

28-June-18

AUSTRALIA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Guaranteed stop premium
AGL Energy Limited	AGL.AX / AGL AU	5%	✓	0.3%
ALS Limited	ALQ.AX / ALQ AU	10%	✓	1%
AMA Group Limited	AMA.AX / AMA AU	75%	☒	1%
AMP Limited	AMP.AX / AMP AU	5%	✓	0.3%
APA Group	APA.AX / APA AU	10%	✓	0.3%
APN Outdoor Group Limited	APO.AX / APO AU	10%	✓	1%
APN Property Group Limited	APD.AX / APD AU	25%	✗	1%
ARB Corporation Limited	ARB.AX / ARB AU	20%	✓	1%
ASX Limited	ASX.AX / ASX AU	10%	✓	0.3%
AVJennings Limited	AVJ.AX / AVJ AU	25%	✗	1%
AWE Limited	AWE.AX / AWE AU	25%	✗	0.3%
Abacus Property Group	ABP.AX / ABP AU	20%	✓	0.7%
Accent Group Limited	AX1.AX / AX1 AU	25%	✓	1%
Adelaide Brighton Limited	ABC.AX / ABC AU	10%	✓	0.3%
Admedus Limited	AHZ.AX / AHZ AU	25%	✗	0.7%
Ainsworth Game Technology Limited	AGI.AX / AGI AU	25%	✓	0.7%
Alkane Resources Limited	ALK.AX / ALK AU	25%	✗	1%
Altium Limited	ALU.AX / ALU AU	15%	✓	1%
Altura Mining Limited	AJM.AX / AJM AU	25%	☒	1%
Alumina Limited	AWC.AX / AWC AU	10%	✓	0.3%
Ancil Limited	AMH.AX / AMH AU	25%	✗	1%
Ancor Limited	AMC.AX / AMC AU	5%	✓	0.3%
Ansell Limited	ANN.AX / ANN AU	10%	✓	0.3%
Ardent Leisure Group	AAD.AX / AAD AU	20%	✓	1%
Arena REIT	ARF.AX / ARF AU	25%	☒	1%
Argosy Minerals Limited	AGY.AX / AGY AU	25%	✗	1%
Aristocrat Leisure Limited	ALL.AX / ALL AU	5%	✓	0.3%
Artemis Resources Limited	ARV.AX / ARV AU	25%	✗	1%
Asaleo Care Limited	AHY.AX / AHY AU	20%	✓	0.7%
Asian Masters Fund Limited	AUF.AX / AUF AU	10%	✗	0.3%
Atlas Arteria Limited	ALX.AX / ALX AU	10%	✓	0.3%
Aurelia Metals Limited	AMI.AX / AMI AU	25%	☒	1%
Aurizon Holdings Limited	AZJ.AX / AZJ AU	10%	✓	0.3%
AusNet Services Limited	AST.AX / AST AU	10%	✓	0.3%
Ausdrill Limited	ASL.AX / ASL AU	20%	✓	0.7%
Austal Limited	ASB.AX / ASB AU	20%	✓	0.7%
Austin Engineering Limited	ANG.AX / ANG AU	25%	✗	1%
Australia and New Zealand Banking Group Limited	ANZ.AX / ANZ AU	5%	✓	0.3%
Australian Agricultural Company Limited	AAC.AX / AAC AU	20%	✗	0.7%
Australian Finance Group Limited	AFG.AX / AFG AU	25%	✗	1%
Australian Foundation Investment Company Limited	AFI.AX / AFI AU	20%	✗	1%
Australian Leaders Fund Limited	ALF.AX / ALF AU	25%	✗	1%
Australian Mines Limited	AUZ.AX / AUZ AU	25%	✗	1%
Australian Pharmaceutical Industries Limited	API.AX / API AU	20%	✓	1%
Australis Oil & Gas Limited	ATS.AX / ATS AU	25%	✗	1%
Automotive Holdings Group Limited	AHG.AX / AHG AU	20%	✓	1%
Aventus Retail Property Fund	AVN.AX / AVN AU	25%	✓	1%
Aveo Group	AOG.AX / AOG AU	10%	✓	0.7%

Axiom Mining Limited	AVQ.AX / AVQ AU	25%	✗	1%
Azumah Resources Limited	AZM.AX / AZM AU	25%	✗	1%
BHP Billiton Limited	BHP.AX / BHP AU	5%	✓	0.3%
BSA Limited	BSA.AX / BSA AU	25%	✗	1%
BWP Trust	BWP.AX / BWP AU	20%	✓	0.7%
BWX Limited	BWX.AX / BWX AU	20%	✓	1%
Bank of Queensland Limited	BOQ.AX / BOQ AU	10%	✓	0.3%
Bapcor Limited	BAP.AX / BAP AU	10%	✓	1%
Base Resources Limited	BSE.AX / BSE AU	25%	☐	0.3%
Bathurst Resources Limited	BRL.AX / BRL AU	25%	✗	0.7%
Beach Energy Limited	BPT.AX / BPT AU	15%	✓	0.7%
Beadell Resources Limited	BDR.AX / BDR AU	25%	☐	0.7%
Bega Cheese Limited	BGA.AX / BGA AU	20%	✓	1%
Bell Financial Group Limited	BFG.AX / BFG AU	25%	✗	1%
Bellamy's Australia Limited	BAL.AX / BAL AU	35%	✓	1%
Bendigo and Adelaide Bank Limited	BEN.AX / BEN AU	10%	✓	0.3%
Berkeley Energia Limited	BKY.AX / BKY AU	25%	☐	1%
Beston Global Food Company Limited	BFC.AX / BFC AU	25%	✗	1%
BetaShares Australian High Interest Cash ETF	AAA.AX / AAA AU	10%	✗	0.3%
BetaShares British Pound ETF	POU.AX / POU AU	10%	✗	0.3%
BetaShares Crude Oil Index ETF Currency Hedged (Synthetic)	OOO.AX / OOO AU	25%	✗	1%
BetaShares NASDAQ 100 ETF	NDQ.AX / NDQ AU	25%	✗	1%
BetaShares S&P ASX 200 Financials Sector ETF	QFN.AX / QFN AU	5%	✗	1%
BetaShares S&P ASX 200 Resources Sector ETF	QRE.AX / QRE AU	25%	✗	1%
BetaShares U.S. Dollar ETF	USD.AX / USD AU	10%	✗	0.3%
Bionomics Limited	BNO.AX / BNO AU	25%	✗	1%
Birimian Limited	BGS.AX / BGS AU	25%	✗	1%
Blackham Resources Limited	BLK.AX / BLK AU	25%	✗	1%
Blue Sky Alternative Investments Limited	BLA.AX / BLA AU	25%	✗	1%
BlueScope Steel Limited	BSL.AX / BSL AU	5%	✓	0.3%
Boom Logistics Limited	BOL.AX / BOL AU	25%	✗	1%
Boral Limited	BLD.AX / BLD AU	5%	✓	0.3%
BrainChip Holdings Limited	BRN.AX / BRN AU	25%	✗	1%
Brambles Limited	BXB.AX / BXB AU	5%	✓	0.3%
Bravura Solutions Limited	BVS.AX / BVS AU	25%	☐	1%
Breville Group Limited	BRG.AX / BRG AU	20%	✓	1%
Brickworks Limited	BKW.AX / BKW AU	20%	✓	1%
Bubs Australia Limited	BUB.AX / BUB AU	20%	✗	1%
CIMIC Group Limited	CIM.AX / CIM AU	10%	✓	0.7%
CSG Limited	CSV.AX / CSV AU	25%	☐	1%
CSL Limited	CSL.AX / CSL AU	5%	✓	0.3%
CSR Limited	CSR.AX / CSR AU	10%	✓	0.3%
CYBG PLC	CYB.AX / CYB AU	10%	✓	0.3%
Cabcharge Australia Limited	CAB.AX / CAB AU	25%	✗	0.3%
Cadence Capital Limited	CDM.AX / CDM AU	25%	✗	0.7%
Caltex Australia Limited	CTX.AX / CTX AU	5%	✓	0.3%
Capitol Health Limited	CAJ.AX / CAJ AU	25%	✗	1%
Capral Limited	CAA.AX / CAA AU	25%	✗	1%
Cardno Limited	CDD.AX / CDD AU	25%	✓	1%
Carnarvon Petroleum Limited	CVN.AX / CVN AU	25%	✗	0.7%
Carnegie Clean Energy Limited	CCE.AX / CCE AU	25%	✗	1%
Cash Converters International Limited	CCV.AX / CCV AU	25%	✗	1%
Challenger Limited	CGF.AX / CGF AU	10%	✓	0.7%

Charter Hall Group	CHC.AX / CHC AU	10%	✓	1%
Charter Hall Long WALE REIT	CLW.AX / CLW AU	25%	✓	1%
Charter Hall Retail Real Estate Investment Trust	CQR.AX / CQR AU	10%	✓	0.3%
Chorus Limited	CNU.AX / CNU AU	20%	✓	0.3%
Class Limited	CL1.AX / CL1 AU	25%	☐	1%
Clean Seas Seafood Limited	CSS.AX / CSS AU	25%	✗	1%
Clean TeQ Holdings Limited	CLQ.AX / CLQ AU	20%	✗	1%
Cleanaway Waste Management Limited	CWY.AX / CWY AU	15%	✓	1%
Clime Capital Limited	CAM.AX / CAM AU	25%	✗	0.3%
Clover Corporation Limited	CLV.AX / CLV AU	25%	✗	1%
Coca-Cola Amatil Limited	CCL.AX / CCL AU	10%	✓	0.3%
Cochlear Limited	COH.AX / COH AU	5%	✓	0.3%
Codan Limited	CDA.AX / CDA AU	25%	✗	1%
Collins Foods Limited	CKF.AX / CKF AU	20%	✗	0.3%
Commonwealth Bank of Australia	CBA.AX / CBA AU	5%	✓	0.3%
Computershare Limited	CPU.AX / CPU AU	10%	✓	0.3%
Consolidated Operations Group Limited	COG.AX / COG AU	25%	✗	1%
Cooper Energy Limited	COE.AX / COE AU	25%	☐	1%
Corporate Travel Management Limited	CTD.AX / CTD AU	10%	✓	1%
Costa Group Holdings Limited	CGC.AX / CGC AU	15%	✓	1%
Credit Corp Group Limited	CCP.AX / CCP AU	20%	✓	1%
Cromwell Property Group	CMW.AX / CMW AU	20%	✓	1%
Crown Resorts Limited	CWN.AX / CWN AU	10%	✓	0.3%
Dacian Gold Limited	DCN.AX / DCN AU	25%	☐	1%
Dexus	DXS.AX / DXS AU	10%	✓	0.3%
Djerriwarrh Investments Limited	DJW.AX / DJW AU	25%	✗	1%
Domain Holdings Australia Limited	DHG.AX / DHG AU	25%	✓	1%
Domino's Pizza Enterprises Limited	DMP.AX / DMP AU	10%	✓	1%
Donaco International Limited	DNA.AX / DNA AU	25%	✗	1%
Downer EDI Limited	DOW.AX / DOW AU	10%	✓	0.3%
DuluxGroup Limited	DLX.AX / DLX AU	10%	✓	0.3%
ERM Power Limited	EPW.AX / EPW AU	25%	☐	0.7%
Eclix Group Limited	ECX.AX / ECX AU	10%	✓	1%
Elders Limited	ELD.AX / ELD AU	20%	✓	0.7%
Emeco Holdings Limited	EHL.AX / EHL AU	25%	✗	0.7%
Empired Limited	EPD.AX / EPD AU	25%	✗	1%
Energy Resources of Australia Limited	ERA.AX / ERA AU	25%	✗	0.3%
Energy World Corporation Limited	EWC.AX / EWC AU	25%	✗	1%
Estia Health Limited	EHE.AX / EHE AU	20%	✓	0.7%
Eureka Group Holdings Limited	EGH.AX / EGH AU	25%	✗	1%
Evans Dixon Limited	ED1.AX / ED1 AU	25%	✗	1%
Evolution Mining Limited	EVN.AX / EVN AU	10%	✓	0.3%
FAR Limited	FAR.AX / FAR AU	25%	☐	1%
Fairfax Media Limited	FXJ.AX / FXJ AU	10%	✓	0.3%
Fastbrick Robotics Limited	FBR.AX / FBR AU	25%	✗	1%
Finders Resources Limited	FND.AX / FND AU	25%	✗	1%
First Cobalt Corporation	FCC.AX / FCC AU	25%	✗	1%
Fisher & Paykel Healthcare Corporation Limited	FPH.AX / FPH AU	10%	✓	1%
Fletcher Building Limited	FBU.AX / FBU AU	10%	✓	1%
FlexiGroup Limited	FXL.AX / FXL AU	20%	✓	1%
Flight Centre Travel Group Limited	FLT.AX / FLT AU	10%	✓	0.7%
Folkestone Education Trust	FET.AX / FET AU	25%	✓	1%
Folkestone Limited	FLK.AX / FLK AU	25%	☐	1%
Fortescue Metals Group Limited	FMG.AX / FMG AU	5%	✓	0.3%

Freedom Foods Group Limited	FNP.AX / FNP AU	25%	☐	1%
Freedom Insurance Group Limited	FIG.AX / FIG AU	25%	✗	1%
Freedom Oil and Gas Limited	FDM.AX / FDM AU	25%	☐	0.3%
Future Generation Investment Company Limited	FGX.AX / FGX AU	25%	✗	0.7%
G8 Education Limited	GEM.AX / GEM AU	10%	✓	0.3%
GDI Property Group	GDI.AX / GDI AU	25%	✓	1%
GPT Group	GPT.AX / GPT AU	10%	✓	0.7%
GUD Holdings Limited	GUD.AX / GUD AU	20%	✓	0.3%
GWA Group Limited	GWA.AX / GWA AU	20%	✓	0.3%
Galaxy Resources Limited	GXY.AX / GXY AU	10%	☐	1%
Gale Pacific Limited	GAP.AX / GAP AU	25%	✗	1%
Gateway Lifestyle Group	GTY.AX / GTY AU	20%	✓	1%
Genworth Mortgage Insurance Australia Limited	GMA.AX / GMA AU	10%	✓	0.7%
Global Construction Services Limited	GCS.AX / GCS AU	25%	✗	1%
Global Geoscience Limited	GSC.AX / GSC AU	25%	☐	1%
Gold Road Resources Limited	GOR.AX / GOR AU	25%	✗	0.3%
Goodman Group	GMG.AX / GMG AU	5%	✓	0.3%
Grain Corporation Limited	GNC.AX / GNC AU	10%	✓	0.7%
Grange Resources Limited	GRR.AX / GRR AU	25%	✗	1%
Greencross Limited	GXL.AX / GXL AU	20%	✗	1%
Greenland Minerals and Energy Limited	GGG.AX / GGG AU	50%	✗	1%
Growthpoint Properties Australia	GOZ.AX / GOZ AU	20%	✓	1%
Hansen Technologies Limited	HSN.AX / HSN AU	25%	✓	1%
Harvey Norman Holdings Limited	HVN.AX / HVN AU	10%	✓	0.3%
Healthscope Limited	HSO.AX / HSO AU	10%	✓	1%
Hotel Property Investments	HPI.AX / HPI AU	25%	✓	1%
IDP Education Limited	IEL.AX / IEL AU	25%	✓	1%
IMF Bentham Limited	IMF.AX / IMF AU	25%	✓	1%
IOOF Holdings Limited	IFL.AX / IFL AU	10%	✓	0.3%
IPH Limited	IPH.AX / IPH AU	20%	✓	1%
IRESS Limited	IRE.AX / IRE AU	10%	✓	0.3%
Idameneo (No.789) Limited	MAY.AX / MAY AU	25%	✗	1%
Iluka Resources Limited	ILU.AX / ILU AU	10%	✓	0.3%
Imdex Limited	IMD.AX / IMD AU	25%	✓	1%
Immutep Limited	IMM.AX / IMM AU	25%	✗	1%
ImpediMed Limited	IPD.AX / IPD AU	25%	☐	1%
Incitec Pivot Limited	IPL.AX / IPL AU	10%	✓	0.7%
Independence Group NL	IGO.AX / IGO AU	10%	✓	0.7%
Infigen Energy	IFN.AX / IFN AU	20%	✓	1%
Infomedia Limited	IFM.AX / IFM AU	25%	☐	1%
Ingenia Communities Group	INA.AX / INA AU	25%	✓	1%
Inghams Group Limited	ING.AX / ING AU	20%	✓	1%
Insurance Australia Group Limited	IAG.AX / IAG AU	5%	✓	0.3%
Integrated Research Limited	IRI.AX / IRI AU	25%	☐	1%
Investa Office Fund	IOF.AX / IOF AU	10%	✓	0.3%
InvoCare Limited	IVC.AX / IVC AU	20%	✓	0.3%
Isentia Group Limited	ISD.AX / ISD AU	20%	✓	1%
JB Hi-Fi Limited	JBH.AX / JBH AU	10%	✗	0.3%
James Hardie Industries PLC	JHX.AX / JHX AU	5%	✓	0.3%
Janus Henderson Group PLC	JHG.AX / JHG AU	10%	✓	0.3%
Japara Healthcare Limited	JHC.AX / JHC AU	20%	✓	1%
Jupiter Mines Limited	JMS.AX / JMS AU	25%	✗	1%
Karoon Gas Australia Limited	KAR.AX / KAR AU	25%	✗	0.7%
Kathmandu Holdings Limited	KMD.AX / KMD AU	25%	✗	0.3%

Kidman Resources Limited	KDR.AX / KDR AU	25%	✗	1%
Kingsgate Consolidated Limited	KCN.AX / KCN AU	25%	✗	0.7%
LendLease Group	LLC.AX / LLC AU	5%	✓	0.7%
Lindsay Australia Limited	LAU.AX / LAU AU	25%	✗	1%
Link Administration Holdings Limited	LNK.AX / LNK AU	10%	✓	1%
Liquefied Natural Gas Limited	LNG.AX / LNG AU	25%	✗	1%
Lithium Power International Limited	LPI.AX / LPI AU	25%	☐	1%
Livehire Limited	LVH.AX / LVH AU	25%	✗	1%
Living Cell Technologies Limited	LCT.AX / LCT AU	25%	✗	1%
Lovisa Holdings Limited	LOV.AX / LOV AU	25%	☐	1%
Lynas Corporation Limited	LYC.AX / LYC AU	15%	✓	0.3%
MACA Limited	MLD.AX / MLD AU	25%	✓	0.3%
MGC Pharmaceuticals Limited	MXC.AX / MXC AU	25%	✗	1%
MMA Offshore Limited	MRM.AX / MRM AU	25%	✓	1%
MOD Resources Limited	MOD.AX / MOD AU	25%	✗	1%
MYOB Group Limited	MYO.AX / MYO AU	10%	✓	1%
Macquarie Group Limited	MQG.AX / MQG AU	5%	✓	0.3%
Magellan Financial Group	MFG.AX / MFG AU	10%	✓	1%
Magnis Resources Limited	MNS.AX / MNS AU	25%	✗	1%
Mantra Group Limited	MTR.AX / MTR AU	20%	✗	1%
MaxiTRANS Industries Limited	MXI.AX / MXI AU	25%	✗	1%
Mayne Pharma Group Limited	MYX.AX / MYX AU	25%	✗	0.3%
McPherson's Limited	MCP.AX / MCP AU	25%	✗	1%
Medibank Private Limited	MPL.AX / MPL AU	10%	✓	0.3%
Medusa Mining Limited	MML.AX / MML AU	25%	☐	1%
Mesoblast Limited	MSB.AX / MSB AU	25%	✗	1%
Metals X Limited	MLX.AX / MLX AU	20%	✓	1%
Metcash Limited	MTS.AX / MTS AU	10%	✓	0.3%
Microequities Asset Management Group Limited	MAM.AX / MAM AU	25%	✗	1%
Millennium Minerals Limited	MOY.AX / MOY AU	25%	✗	1%
Mirvac Group	MGR.AX / MGR AU	5%	✓	0.7%
Monadelphous Group Limited	MND.AX / MND AU	10%	✓	0.3%
Monash IVF Group Limited	MVF.AX / MVF AU	25%	✓	0.7%
Money3 Corporation Limited	MNY.AX / MNY AU	25%	✗	1%
Mount Gibson Iron Limited	MGX.AX / MGX AU	25%	✗	0.3%
Myer Holdings Limited	MYR.AX / MYR AU	10%	✓	0.3%
NEXTDC Limited	NXT.AX / NXT AU	15%	✓	1%
NIB Holdings Limited	NHF.AX / NHF AU	15%	✓	1%
NRW Holdings Limited	NWH.AX / NWH AU	20%	✓	0.3%
NZME Limited	NZM.AX / NZM AU	25%	✓	1%
Nanosonics Limited	NAN.AX / NAN AU	20%	✓	1%
National Australia Bank Limited	NAB.AX / NAB AU	5%	✓	0.3%
National Storage REIT	NSR.AX / NSR AU	20%	✓	1%
Navitas Limited	NVT.AX / NVT AU	10%	✓	1%
Nearmap Limited	NEA.AX / NEA AU	25%	✗	1%
Neometals Limited	NMT.AX / NMT AU	25%	✗	1%
Newcrest Mining Limited	NCM.AX / NCM AU	5%	✓	0.3%
Nine Entertainment Co. Holdings Limited	NEC.AX / NEC AU	10%	✓	0.3%
Northern Star Resources Limited	NST.AX / NST AU	10%	✓	0.3%
Nufarm Limited	NUF.AX / NUF AU	10%	✓	0.3%
OFX Group Limited	OFX.AX / OFX AU	20%	✓	0.7%
OZ Minerals Limited	OZL.AX / OZL AU	10%	✓	1%
Oil Search Limited	OSH.AX / OSH AU	10%	✓	0.3%
OncoSil Medical Limited	OSL.AX / OSL AU	25%	✗	1%

OneMarket Limited	OMN.AX / OMN AU	25%	X	1%
OneVue Holdings Limited	OVH.AX / OVH AU	25%	☐	1%
Opthea Limited	OPT.AX / OPT AU	25%	X	1%
Orica Limited	ORI.AX / ORI AU	10%	✓	0.3%
Origin Energy Limited	ORG.AX / ORG AU	5%	✓	0.3%
Orocobre Limited	ORE.AX / ORE AU	25%	☐	1%
Orora Limited	ORA.AX / ORA AU	10%	✓	0.3%
PM Capital Global Opportunities Fund Limited	PGF.AX / PGF AU	25%	X	0.3%
Pacific Energy Limited	PEA.AX / PEA AU	25%	X	1%
Pact Group Holdings Limited	PGH.AX / PGH AU	10%	✓	1%
Panoramic Resources Limited	PAN.AX / PAN AU	25%	☐	1%
Pantoro Limited	PNR.AX / PNR AU	25%	X	1%
Paragon Care Limited	PGC.AX / PGC AU	25%	X	1%
Paringa Resources Limited	PNL.AX / PNL AU	25%	☐	1%
Peet Limited	PPC.AX / PPC AU	25%	✓	1%
Perpetual Limited	PPT.AX / PPT AU	10%	✓	0.3%
Perseus Mining Limited	PRU.AX / PRU AU	25%	☐	1%
Pharmaxis Limited	PXS.AX / PXS AU	25%	X	1%
Pilbara Minerals Limited	PLS.AX / PLS AU	25%	X	1%
Pinnacle Investment Management Group Limited	PNI.AX / PNI AU	25%	X	1%
Platinum Investment Management Limited	PTM.AX / PTM AU	10%	✓	0.3%
Polynovo Limited	PNV.AX / PNV AU	25%	☐	1%
Praemium Limited	PPS.AX / PPS AU	25%	X	1%
Prairie Mining Limited	PDZ.AX / PDZ AU	25%	X	1%
Premier Investments Limited	PMV.AX / PMV AU	20%	✓	1%
Primary Health Care Limited	PRY.AX / PRY AU	10%	✓	1%
Prime Media Group Limited	PRT.AX / PRT AU	25%	X	1%
Propertylink Group	PLG.AX / PLG AU	20%	✓	1%
QBE Insurance Group Limited	QBE.AX / QBE AU	5%	✓	0.3%
QMS Media Limited	QMS.AX / QMS AU	25%	☐	1%
QV Equities Limited	QVE.AX / QVE AU	25%	X	0.3%
Qantas Airways Limited	QAN.AX / QAN AU	5%	✓	0.3%
Qube Holdings Limited	QUB.AX / QUB AU	10%	✓	0.3%
RCR Tomlinson Limited	RCR.AX / RCR AU	20%	✓	1%
REA Group Limited	REA.AX / REA AU	10%	✓	1%
RPMGlobal Holdings Limited	RUL.AX / RUL AU	25%	X	1%
RXP Services Limited	RXP.AX / RXP AU	25%	X	1%
Ramelius Resources Limited	RMS.AX / RMS AU	25%	☐	1%
Ramsay Health Care Limited	RHC.AX / RHC AU	5%	✓	0.3%
Red River Resources Limited	RVR.AX / RVR AU	25%	☐	1%
Redbubble Limited	RBL.AX / RBL AU	25%	X	1%
Regis Healthcare Limited	REG.AX / REG AU	20%	✓	0.7%
Regis Resources Limited	RRL.AX / RRL AU	10%	✓	1%
Reliance Worldwide Corporation Limited	RWC.AX / RWC AU	10%	✓	1%
ResMed Inc	RMD.AX / RMD AU	10%	✓	0.3%
Resolute Mining Limited	RSG.AX / RSG AU	15%	✓	1%
Ridley Corporation Limited	RIC.AX / RIC AU	25%	X	0.7%
Rio Tinto Limited	RIO.AX / RIO AU	5%	✓	0.3%
Rural Funds Group	RFF.AX / RFF AU	25%	X	1%
Russell Investments Australian Government Bond ETF	RGB.AX / RGB AU	10%	X	0.3%
Russell Investments High Dividend Australian Shares ETF	RDV.AX / RDV AU	10%	X	0.3%
SEEK Limited	SEK.AX / SEK AU	10%	✓	0.3%
SKY Network Television Limited	SKT.AX / SKT AU	20%	✓	1%

SKYCITY Entertainment Group Limited	SKC.AX / SKC AU	20%	✓	1%
SPDR S&P ASX 200 Financials EX A-REIT Fund	OZF.AX / OZF AU	5%	✗	0.3%
SPDR S&P ASX 200 Listed Property Fund	SLF.AX / SLF AU	10%	✗	1%
SPDR S&P ASX 200 Resources Fund	OZR.AX / OZR AU	25%	✗	1%
SPDR S&P/ASX 200 Fund	STW.AX / STW AU	10%	✗	0.3%
SPDR S&P/ASX 50 Fund	SFY.AX / SFY AU	5%	✗	1%
Sandfire Resources NL	SFR.AX / SFR AU	10%	✓	1%
Santos Limited	STO.AX / STO AU	15%	✓	0.3%
Saracen Mineral Holdings Limited	SAR.AX / SAR AU	10%	✓	1%
Scentre Group	SCG.AX / SCG AU	5%	✓	0.3%
Scottish Pacific Group Limited	SCO.AX / SCO AU	25%	✓	1%
Select Harvests Limited	SHV.AX / SHV AU	20%	⊖	1%
Senetas Corporation Limited	SEN.AX / SEN AU	25%	✗	1%
Senex Energy Limited	SXY.AX / SXY AU	25%	✓	1%
Service Stream Limited	SSM.AX / SSM AU	25%	✓	1%
Seven Group Holdings Limited	SVW.AX / SVW AU	10%	✓	0.7%
Seven West Media Limited	SWM.AX / SWM AU	20%	✓	0.3%
Shopping Centres Australasia Property Group	SCP.AX / SCP AU	20%	✓	0.3%
Shiro Holdings Limited	SHM.AX / SHM AU	25%	✗	1%
Sigma Healthcare Limited	SIG.AX / SIG AU	20%	✓	0.3%
Silver Lake Resources Limited	SLR.AX / SLR AU	25%	⊖	1%
Sims Metal Management Limited	SGM.AX / SGM AU	10%	✓	0.3%
Sino Gas & Energy Holdings Limited	SEH.AX / SEH AU	25%	⊖	1%
Sirtex Medical Limited	SRX.AX / SRX AU	10%	✓	1%
Smartgroup Corporation Limited	SIQ.AX / SIQ AU	20%	✓	1%
Sonic Healthcare Limited	SHL.AX / SHL AU	10%	✓	0.3%
South32 Limited	S32.AX / S32 AU	5%	✓	1%
Southern Cross Electrical Engineering Limited	SXE.AX / SXE AU	25%	⊖	1%
Southern Cross Media Group Limited	SXL.AX / SXL AU	20%	✓	0.3%
Spark Infrastructure Group	SKI.AX / SKI AU	10%	✓	0.3%
Spark New Zealand Limited	SPK.AX / SPK AU	20%	✓	0.3%
SpeedCast International Limited	SDA.AX / SDA AU	15%	✓	1%
Spookfish Limited	SFI.AX / SFI AU	25%	✗	1%
St Barbara Limited	SBM.AX / SBM AU	10%	✓	1%
Starpharma Holdings Limited	SPL.AX / SPL AU	25%	✓	1%
Steadfast Group Limited	SDF.AX / SDF AU	10%	✓	0.7%
Stockland	SGP.AX / SGP AU	5%	✓	0.3%
Strike Energy Limited	STX.AX / STX AU	25%	✗	1%
Structural Monitoring Systems PLC	SMN.AX / SMN AU	25%	✗	1%
Suncorp Group Limited	SUN.AX / SUN AU	5%	✓	0.3%
Sundance Energy Australia Limited	SEA.AX / SEA AU	25%	✗	1%
Super Retail Group Limited	SUL.AX / SUL AU	10%	✓	1%
Sydney Airport Limited	SYD.AX / SYD AU	5%	✓	0.3%
Syrah Resources Limited	SYR.AX / SYR AU	25%	✓	1%
TPG Telecom Limited	TPM.AX / TPM AU	10%	✓	1%
Tabcorp Holdings Limited	TAH.AX / TAH AU	10%	✓	0.3%
Tassal Group Limited	TGR.AX / TGR AU	20%	✓	1%
Technology One Limited	TNE.AX / TNE AU	20%	✓	1%
Telstra Corporation Limited	TLS.AX / TLS AU	5%	✓	0.3%
Templeton Global Growth Fund Limited	TGG.AX / TGG AU	25%	✗	1%
The Reject Shop Limited	TRS.AX / TRS AU	25%	✗	1%
The Star Entertainment Group Limited	SGR.AX / SGR AU	10%	✓	1%
The a2 Milk Company Limited	A2M.AX / A2M AU	25%	✓	1%
Thorn Group Limited	TGA.AX / TGA AU	25%	✗	1%

Tox Free Solutions Limited	TOX.AX / TOX AU	25%	✗	1%
Trade Me Group Limited	TME.AX / TME AU	20%	✓	0.3%
Transurban Group	TCL.AX / TCL AU	5%	✓	0.3%
Treasury Wine Estates Limited	TWE.AX / TWE AU	5%	✓	0.3%
UBS IQ MSCI Asia APEX 50 Ethical ETF	UBP.AX / UBP AU	25%	✗	1%
UBS IQ MSCI Europe Ethical ETF	UBE.AX / UBE AU	25%	✗	1%
UBS IQ MSCI Japan Ethical ETF	UBJ.AX / UBJ AU	25%	✗	1%
UBS IQ MSCI World ex Australia Ethical ETF	UBW.AX / UBW AU	25%	✗	1%
UBS IQ Morningstar Australia Quality ETF	ETF.AX / ETF AU	10%	✗	0.3%
Unibail-Rodamco SE	URW.AX / URW AU	25%	✗	1%
VanEck Vectors Australian Banks ETF	MVB.AX / MVB AU	5%	✗	1%
VanEck Vectors Australian Equal Weight ETF	MVW.AX / MVW AU	10%	✗	0.3%
VanEck Vectors Australian Property ETF	MVA.AX / MVA AU	5%	✗	1%
VanEck Vectors Australian Resources ETF	MVR.AX / MVR AU	25%	✗	1%
VanEck Vectors S&P ASX MidCap ETF	MVE.AX / MVE AU	25%	✗	1%
VanEck Vectors Small Companies Masters ETF	MVS.AX / MVS AU	10%	✗	0.3%
Vanguard All-World ex-US Shares Index ETF	VEU.AX / VEU AU	10%	✗	0.3%
Vanguard Australian Fixed Interest Index ETF	VAF.AX / VAF AU	10%	✗	0.3%
Vanguard Australian Government Bond Index ETF	VGB.AX / VGB AU	10%	✗	0.3%
Vanguard Australian Property Securities Index ETF	VAP.AX / VAP AU	10%	✗	0.3%
Vanguard Australian Shares High Yield ETF	VHY.AX / VHY AU	10%	✗	0.3%
Vanguard Australian Shares Index ETF	VAS.AX / VAS AU	10%	✗	0.3%
Vanguard FTSE Emerging Markets Shares ETF	VGE.AX / VGE AU	10%	✗	0.3%
Vanguard MSCI Australian Large Companies Index ETF	VLC.AX / VLC AU	10%	✗	0.3%
Vanguard MSCI Australian Small Companies Index ETF	VSO.AX / VSO AU	25%	✗	1%
Vanguard MSCI Index International Shares ETF	VGS.AX / VGS AU	10%	✗	0.3%
Vanguard US Total Market Shares Index ETF	VTS.AX / VTS AU	10%	✗	0.3%
Vicinity Centres	VCX.AX / VCX AU	10%	✓	1%
Villa World Limited	VLW.AX / VLW AU	25%	✓	1%
Village Roadshow Limited	VRL.AX / VRL AU	20%	✓	1%
Virgin Australia Holdings Limited	VAH.AX / VAH AU	25%	✗	0.3%
Virtus Health Limited	VRT.AX / VRT AU	20%	✓	1%
Vita Group Limited	VTG.AX / VTG AU	25%	☒	1%
Viva Energy REIT	VVR.AX / VVR AU	20%	✓	1%
Vocus Group Limited	VOC.AX / VOC AU	20%	✓	1%
WAM Capital Limited	WAM.AX / WAM AU	25%	✗	1%
WAM Leaders Limited	WLE.AX / WLE AU	25%	✗	1%
WPP AUNZ Limited	WPP.AX / WPP AU	25%	✓	0.7%
Washington H. Soul Pattinson and Company Limited	SOL.AX / SOL AU	15%	✓	1%
Watermark Global Leaders Fund Limited	WGF.AX / WGF AU	20%	✗	0.3%
Watpac Limited	WTP.AX / WTP AU	25%	✗	1%
Webjet Limited	WEB.AX / WEB AU	15%	✓	1%
Weebit Nano Limited	WBT.AX / WBT AU	25%	✗	1%
Wesfarmers Limited	WES.AX / WES AU	5%	✓	0.3%
West African Resources Limited	WAF.AX / WAF AU	25%	✗	1%
Western Areas Limited	WSA.AX / WSA AU	10%	✓	0.7%
Westfield Corporation	WFD.AX / WFD AU	5%	✗	0.3%
Westgold Resources Limited	WGX.AX / WGX AU	20%	☒	1%
Westpac Banking Corporation	WBC.AX / WBC AU	5%	✓	0.3%
Whitehaven Coal Limited	WHC.AX / WHC AU	10%	✓	1%
Wisetech Global Limited	WTC.AX / WTC AU	20%	✗	1%
Woodside Petroleum Limited	WPL.AX / WPL AU	5%	✓	0.3%
Woolworths Group Limited	WOW.AX / WOW AU	5%	✓	0.3%
WorleyParsons Limited	WOR.AX / WOR AU	10%	✓	0.3%

Xero Limited	XRO.AX / XRO AU	20%	✓	1%
amaysim Australia Limited	AYS.AX / AYS AU	20%	✗	1%
carsales.com Limited	CAR.AX / CAR AU	10%	✓	1%
eServGlobal Limited	ESV.AX / ESV AU	25%	✗	1%
iSelect Limited	ISU.AX / ISU AU	25%	✓	1%
iShares China Large-Cap ETF (AU)	IZZ.AX / IZZ AU	10%	✗	0.3%
iShares Core Composite Bond ETF	IAF.AX / IAF AU	10%	✗	0.3%
iShares Global 100 ETF (AU)	IOO.AX / IOO AU	25%	✗	1%
iShares MSCI EAFE ETF (AU)	IVE.AX / IVE AU	25%	✗	1%
iShares MSCI Japan ETF (AU)	IJP.AX / IJP AU	10%	✗	0.3%
iShares MSCI Singapore ETF	ISG.AX / ISG AU	10%	✗	0.3%
iShares Russell 2000 ETF (AU)	IRU.AX / IRU AU	10%	✗	0.3%
iShares S&P 500 ETF	IVV.AX / IVV AU	10%	✗	0.3%
iShares S&P ASX 20 ETF	ILC.AX / ILC AU	10%	✗	0.3%
iShares S&P ASX Dividend Opportunities ETF	IHD.AX / IHD AU	10%	✗	0.3%
iShares S&P Mid-Cap ETF	IJH.AX / IJH AU	10%	✗	0.3%
iSignthis Limited	ISX.AX / ISX AU	25%	☐	1%
oOh!media Limited	OML.AX / OML AU	20%	✗	1%

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3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +61 1800 601 733) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

AUSTRIA

Stock	Ticker	1.Neuer Marginsatz	2.Möglichkeit short zu gehen*	3.Prämie zur Risikobegrenzung
AGRANA Beteiligungs-Aktiengesellschaft	AGRV.VI / AGR AV	25%	✓	0.3%
AMAG Austria Metall AG	AMAV.VI / AMAG AV	25%	✓	0.3%
AT & S Austria Technologie & Systemtechnik Aktiengesellschaft	ATSV.VI / ATS AV	20%	✗	0.3%
Andritz AG	ANDR.VI / ANDR AV	10%	✓	0.3%
Atrium European Real Estate Limited	ATRS.VI / ATRS AV	25%	✓	0.3%
BAWAG Group AG	BAWG.VI / BG AV	25%	✓	0.3%
BKS Bank AG	KAER.VI / BKUS AV	25%	✗	0.3%
BUWOG AG	BWOA.VI / BWO AV	10%	✓	0.3%
BWT Aktiengesellschaft	BWTV.VI / BWT AV	25%	✗	0.3%
Bank für Tirol und Vorarlberg Aktiengesellschaft	TIRO.VI / BTUV AV	25%	✗	0.3%
CA Immobilien Anlagen Aktiengesellschaft	CAIV.VI / CAI AV	15%	✓	0.3%
Conwert Immobilien Invest SE	CONW.VI / CWI AV	15%	✗	0.3%
DO & CO Aktiengesellschaft	DOCO.VI / DOC AV	20%	✓	0.3%
EVN AG	EVNV.VI / EVN AV	25%	✓	0.3%
Erste Group Bank AG	ERST.VI / EBS AV	10%	✓	0.3%
FACC AG	FACC.VI / FACC AV	25%	☐	0.3%
Flughafen Wien Aktiengesellschaft	VIEV.VI / FLU AV	25%	✓	0.3%
Immofinanz AG	IMFI.VI / IIA AV	10%	✓	0.3%
Kapsch TrafficCom AG	KTCG.VI / KTCG AV	25%	✓	0.3%
Lenzing Aktiengesellschaft	LENV.VI / LNZ AV	10%	✓	0.3%
Linz Textil Holding Aktiengesellschaft	LTXV.VI / LTH AV	25%	✗	0.3%
Mayr-Melnhof Karton AG	MMKV.VI / MMK AV	25%	✓	0.3%

OMV Aktiengesellschaft	OMVV.VI / OMV AV	10%	✓	0.3%
Oberbank AG	OBER_p.VI / OBV AV	25%	✗	0.3%
Oberbank AG	OBER.VI / OBS AV	25%	✓	0.3%
Osterreichische Post AG	POST.VI / POST AV	20%	✓	0.3%
PORR AG	ABGV.VI / POS AV	20%	✓	0.3%
Palfinger AG	PALF.VI / PAL AV	25%	✓	0.3%
Polytec Holding AG	POLV.VI / PYT AV	25%	✓	0.3%
RHI AG	RHIV.VI / RHI AV	20%	✓	0.3%
Raiffeisen Bank International AG	RBIV.VI / RBI AV	10%	✓	0.3%
Rosenbauer International AG	RBAV.VI / ROS AV	25%	✓	0.3%
S IMMO AG	SIAG.VI / SPI AV	25%	✓	0.3%
Schoeller-Bleckmann Oilfield Equipment Aktiengesellschaft	SBOE.VI / SBO AV	20%	✓	0.3%
Semperit Aktiengesellschaft Holding	SMPV.VI / SEM AV	25%	✓	0.3%
Strabag SE	STRV.VI / STR AV	25%	✓	0.3%
Telekom Austria Aktiengesellschaft	TELA.VI / TKA AV	20%	✓	0.3%
UBM Development AG	UBMV.VI / UBS AV	25%	✓	0.3%
UNIQA Insurance Group AG	UNIQ.VI / UQA AV	15%	✓	0.3%
VERBUND AG	VERB.VI / VER AV	20%	✗	0.3%
Valneva SE	VLAV.VI / VLA AV	25%	✗	0.3%
Vienna Insurance Group AG	VIGR.VI / VIG AV	20%	✓	0.3%
Voestalpine AG	VOES.VI / VOE AV	10%	✓	0.3%
Wienerberger AG	WBSV.VI / WIE AV	15%	✓	0.3%
Zumtobel Group AG	ZUMV.VI / ZAG AV	20%	✓	0.3%

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*Wichtiger Hinweis: Die Spalte 'Möglichkeit short zu gehen' gibt ausschließlich an, ob es momentan Faktoren gibt (die uns bekannt sind), welche die Short-Positionen für die betreffende Aktie untersagen. Wir geben keine Gewähr dafür, dass Sie auf jeglichen genannten Aktien Short gehen können. Des Weiteren kann eine zusätzliche Gebühr (eine Leihgebühr) erhoben werden, welche in der Zinsanpassung, die für Ihre Position gilt, beinhaltet ist. Um festzustellen, ob diese Gebühr zutrifft, rufen Sie bitte unsere Händler an, bevor Sie Ihre Handelsaktivitäten aufnehmen. Die Leihmöglichkeit und die Leihkosten können kurzfristig geändert werden.

Sollten Sie einen gewünschten Markt nicht finden, können wir diesen normalerweise innerhalb weniger Minuten für Sie hinzufügen. Kontaktieren Sie uns hierzu im Handel unter +43 800 202 511

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BELGIUM

Stock	Ticker	1.Nouveau taux de couverture	2.Position courte?*	3.Cout Stop Garanti
Ablynx NV	ABLX.BR / ABLX BB	25%	✓	0.3%
Ackermans & Van Haaren NV	ACKB.BR / ACKB BB	10%	✓	0.3%
Agfa-Gevaert NV	AGFB.BR / AGFB BB	25%	✓	0.3%
Anheuser-Busch InBev SA NV	ABI.BR / ABI BB	5%	✓	0.3%
Atenor Group	ATEO.BR / ATEB BB	25%	✓	0.3%
Balta Group NV	BALTA.BR / BALTA BB	25%	✓	0.3%
Barco NV	BAR.BR / BAR BB	25%	✓	0.3%
Befimmo NV SA	BEFB.BR / BEFB BB	20%	✓	0.3%
Biocartis Group NV	BCART.BR / BCART BB	25%	✗	0.3%
Care Property Invest NV	CPINV.BR / CPINV BB	25%	✓	0.3%
Celyad SA	CYAD.BR / CYAD BB	25%	✓	0.3%
Cofinimmo SA	COFB.BR / COFB BB	10%	✓	0.3%
Compagnie d'Entreprises CFE SA	CFEB.BR / CFEB BB	20%	✓	0.3%
D'leteren SA	IETB.BR / DIE BB	20%	✓	0.3%
Daleny	NYS.BR / NYS BB	25%	✗	0.3%

Deceuninck NV	DECB.BR / DECB BB	25%	✓	0.3%
EVS Broadcast Equipment SA	EVS.BR / EVS BB	25%	✓	0.3%
Econocom Group SA NV	ECONB.BR / ECONB BB	15%	✓	0.3%
Elia System Operator SA	ELI.BR / ELI BB	20%	✓	0.3%
Etn. Fr. Colruyt NV	COLR.BR / COLR BB	10%	✓	0.3%
Euronav NV	EUAV.BR / EURN BB	20%	✓	0.3%
Fagron NV	FAGRO.BR / FAGR BB	20%	✓	0.3%
GIMV NV	GIMV.BR / GIMB BB	25%	✓	0.3%
Greenyard NV	GREENY.BR / GREEN BB	25%	✓	0.3%
Groupe Bruxelles Lambert SA	GBLB.BR / GBLB BB	10%	✓	0.3%
Ion Beam Applications SA	IBAB.BR / IBAB BB	25%	✗	0.3%
Jensen-Group NV	JEN.BR / JEN BB	25%	✓	0.3%
KBC Ancor ASA	KBCA.BR / KBCA BB	20%	✓	0.3%
KBC Group NV	KBC.BR / KBC BB	10%	✓	0.3%
Kinepolis Group NV	KIPO.BR / KIN BB	25%	✓	0.3%
Lotus Bakeries NV	LOTB.BR / LOTB BB	25%	✓	0.3%
MDxHealth SA	MDXH.BR / MDXH BB	25%	✗	0.3%
Melexis NV	MLXS.BR / MELE BB	15%	✓	0.3%
Mithra Pharmaceuticals SA	MITRA.BR / MITRA BB	25%	✗	0.3%
Montea Comm.VA	MONTE.BR / MONT BB	25%	✗	0.3%
NV Bekaert SA	BEKB.BR / BEKB BB	10%	✓	0.3%
Nyrstar NV	NYR.BR / NYR BB	25%	✓	0.3%
Ontex Group NV	ONTEX.BR / ONTEX BB	10%	✓	0.3%
Orange Belgium SA	OBEL.BR / OBEL BB	25%	✓	0.3%
Proximus PLC	PROX.BR / PROX BB	10%	✓	0.3%
RealDolmen NV	REAL.BR / REA BB	25%	✓	0.3%
Resilux NV	RESI.BR / RES BB	25%	✗	0.3%
Sioen Industries NV	SIOE.BR / SIOE BB	25%	✓	0.3%
Sofina SA	SOF.BR / SOF BB	20%	✓	0.3%
Solvay SA	SOLB.BR / SOLB BB	5%	✓	0.3%
TINC Comm. VA	TINCC.BR / TINC BB	25%	✗	0.3%
Telnet Group Holding NV	TNET.BR / TNET BB	10%	✓	0.3%
Tessenderlo Group NV	TESB.BR / TESB BB	20%	✓	0.3%
ThromboGenics NV	THR.BR / THR BB	25%	✗	0.3%
TiGenix NV	G9U.BR / TIG BB	25%	✓	0.3%
UCB SA	UCB.BR / UCB BB	5%	✓	0.3%
Umicore SA	UMI.BR / UMI BB	10%	✓	0.3%
VIOHALCO SA	VIOH.BR / VIO BB	25%	✓	0.3%
Van De Velde NV	VELD.BR / VAN BB	25%	✓	0.3%
Warehouses De Pauw Comm. VA	WDPP.BR / WDP BB	20%	✓	0.3%
ageas SA NV	AGES.BR / AGS BB	5%	✓	0.3%
argenx SE	ARGX.BR / ARGX BB	25%	✓	0.3%
bpost SA NV	BPOST.BR / BPOST BB	10%	✓	0.3%

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*Attention : la colonne 'Position courte ?' indique simplement qu'il n'y a actuellement aucun facteur (à notre connaissance) qui empêcherait d'ouvrir une position courte sur la valeur concernée. Cette information peut changer rapidement, et il n'est pas garanti que vous puissiez ouvrir des positions courtes sur les valeurs mentionnées. De plus, vous pouvez être soumis à des « frais d'emprunt » qui seront inclus dans l'ajustement d'intérêt applicable à votre position. Afin de savoir si ces frais sont applicables, nous vous recommandons de contacter nos traders avant de réaliser votre transaction. La possibilité de vendre un titre à découvert, ainsi que les frais d'emprunts, peuvent changer rapidement.

Veillez noter que si vous ne trouvez pas le marché que vous recherchez, vous pouvez nous contacter par téléphone au: +33 170 981 819 et nous pourrions normalement ajouter ce marché pour vous en quelques minutes

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CANADA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ARC Resources Limited	ARX.TO / ARX CT	10%	✓	0.3%
Aecon Group Inc	ARE.TO / ARE CN	15%	✗	0.3%
Africa Oil Corporation	AOI.TO / AOI CN	25%	☒	0.3%
Agnico Eagle Mines Limited	AEM.TO / AEM CN	5%	✓	0.3%
Agrium Inc	AGU.TO / AGU CT	5%	✓	0.3%
Aimia Inc	AIM.TO / AIM CN	15%	✗	0.3%
Air Canada	AC.TO / AC CN	10%	✗	0.3%
Alacer Gold Corporation	ASR.TO / ASR CN	20%	✓	0.3%
Alamos Gold Inc	AGI.TO / AGI CT	15%	✓	0.3%
Algonquin Power & Utilities Corporation	AQN.TO / AQN CT	10%	✗	0.3%
Alimentation Couche-Tard Inc	ATDb.TO / ATD/B CT	5%	✓	0.3%
Altius Minerals Corporation	ALS.TO / ALS CT	25%	✗	0.3%
Aphria Inc	APH.TO / APH CT	10%	✗	0.3%
Argonaut Gold Inc	AR.TO / AR CN	20%	✓	0.3%
Arizona Mining Inc	AZ.TO / AZ CT	25%	✗	0.3%
Athabasca Oil Corporation	ATH.TO / ATH CT	25%	✗	0.3%
Aurora Cannabis Inc	ACB.TO / ACB CN	25%	☒	0.3%
Auxly Cannabis Group Inc	XLY.V / XLY CN	25%	✗	0.3%
Avigilon Corporation	AVO.TO / AVO CT	20%	✗	0.3%
B2Gold Corporation	BTO.TO / BTO CT	10%	✓	0.3%
BCE Inc	BCE.TO / BCE CT	5%	✓	0.3%
BMO S&P TSX Equal Weight Banks Index ETF	ZEB.TO / ZEB CT	15%	✗	0.3%
BRP Inc	DOO.TO / DOO CT	15%	✗	0.3%
Badger Daylighting Limited	BAD.TO / BAD CT	15%	✗	0.3%
Bank of Montreal	BMO.TO / BMO CT	5%	✓	0.3%
Barrick Gold Corporation	ABX.TO / ABX CT	5%	✓	0.3%
Baytex Energy Corporation	BTE.TO / BTE CT	10%	✓	0.3%
Birchcliff Energy Limited	BIR.TO / BIR CT	15%	✗	0.3%
BlackBerry Limited	BB.TO / BB CT	10%	✓	0.3%
BlackPearl Resources Inc	PXX.TO / PXX CN	25%	✗	0.3%
Blackbird Energy Inc	BBI.V / BBI CN	25%	✓	0.3%
Bombardier Inc	BBDb.TO / BBD/B CT	10%	✓	0.3%
Bonavista Energy Corporation	BNP.TO / BNP CN	20%	✗	0.3%
Brookfield Asset Management Inc	BAMa.TO / BAM/A CT	5%	✓	0.3%
Brookfield Business Partners LP	BBU_u.TO / BBU-U CN	20%	✗	0.3%
CGI Group Inc	GIBa.TO / GIB/A CT	5%	✗	0.3%
Cameco Corporation	CCO.TO / CCO CT	10%	✓	0.3%
Canaccord Genuity Group Inc	CF.TO / CF CT	25%	✓	0.3%
Canacol Energy Limited	CNE.TO / CNE CN	25%	✓	0.3%
Canada Goose Holdings Inc	GOOS.TO / GOOS CN	25%	✓	0.3%
Canadian Apartment Properties Real Estate Investment Trust	CAR_u.TO / CAR-U CT	15%	✓	0.3%
Canadian Imperial Bank of Commerce	CM.TO / CM CT	5%	✓	0.3%
Canadian National Railway Company	CNR.TO / CNR CT	5%	✓	0.3%
Canadian Natural Resources Limited	CNQ.TO / CNQ CT	5%	✓	0.3%
Canadian Pacific Railway Limited	CP.TO / CP CT	5%	✓	0.3%
Canadian Tire Corporation Limited	CTCa.TO / CTC/A CT	5%	✗	0.3%
Canadian Western Bank	CWB.TO / CWB CN	10%	✓	0.3%
Canexus Corporation	CUS.TO / CUS CT	25%	✗	0.3%
Canfor Corporation	CFP.TO / CFP CT	15%	✓	0.3%

CanniMed Therapeutics Inc	CMED.TO / CMED CN	15%	✗	0.3%
Canopy Growth Corporation	WEED.TO / WEED CN	10%	✗	0.3%
Capstone Mining Corporation	CS.TO / CS CN	25%	✓	0.3%
Cascades Inc	CAS.TO / CAS CT	20%	✓	0.3%
Cenovus Energy Inc	CVE.TO / CVE CT	5%	✓	0.3%
Centamin PLC	CEE.TO / CEE CT	10%	✓	0.3%
Centerra Gold Inc	CG.TO / CG CT	15%	✓	0.3%
Chartwell Retirement Residences	CSH_u.TO / CSH-U CN	20%	✗	0.3%
China Gold International Resources Corporation Limited	CGG.TO / CGG CT	25%	☐	0.3%
Cobalt 27 Capital Corporation	KBLT.V / KBLT CN	25%	✗	0.3%
Cogeco Cable Inc	CCA.TO / CCA CT	15%	✓	0.3%
Cominar Real Estate Investment Trust	CUF_u.TO / CUF-U CN	15%	✓	0.3%
Computer Modelling Group Limited	CMG.TO / CMG CN	25%	✗	0.3%
Constellation Software Inc	CSU.TO / CSU CN	5%	✗	0.3%
Continental Gold Inc	CNL.TO / CNL CT	25%	✓	0.3%
Corus Entertainment Inc	CJRb.TO / CJR/B CT	15%	✓	0.3%
Crescent Point Energy Corporation	CPG.TO / CPG CT	10%	✓	0.3%
Crew Energy Inc	CR.TO / CR CT	20%	✓	0.3%
Cronos Group Inc	CRON.TO / CRON CN	25%	☐	0.3%
Dalradian Resources Inc	DNA.TO / DNA CN	25%	☐	0.3%
Denison Mines Corporation	DML.TO / DML CT	25%	☐	0.3%
Detour Gold Corporation	DGC.TO / DGC CT	10%	✓	0.3%
Dollarama Inc	DOL.TO / DOL CT	5%	✗	0.3%
Dominion Diamond Corporation	DDC.TO / DDC CT	20%	✗	0.3%
Dream Global Real Estate Investment Trust	DRG_u.TO / DRG-U CT	15%	✓	0.3%
Drone Delivery Canada Corporation	FLT.V / FLT CN	25%	✗	0.3%
Dundee Precious Metals Inc	DPM.TO / DPM CT	25%	✓	0.3%
Eldorado Gold Corporation	ELD.TO / ELD CT	10%	✓	0.3%
Element Fleet Management Corporation	EFN.TO / EFN CN	10%	✓	0.3%
Emerald Health Therapeutics Inc	EMH.V / EMH CN	25%	✗	0.3%
Enbridge Inc	ENB.TO / ENB CT	5%	✓	0.3%
Encana Corporation	ECA.TO / ECA CT	5%	✓	0.3%
Endeavour Mining Corporation	EDV.TO / EDV CN	15%	✓	0.3%
Endeavour Silver Corporation	EDR.TO / EDR CT	25%	✓	0.3%
EnerCare Inc	ECI.TO / ECI CN	15%	✗	0.3%
Ensign Energy Services Inc	ESI.TO / ESI CT	25%	✓	0.3%
Equitable Group Inc	EQB.TO / EQB CT	20%	✗	0.3%
Evolve Marijuana ETF	SEED.TO / SEED CN	25%	✗	0.3%
Excelsior Mining Corporation	MIN.TO / MIN CN	25%	✗	0.3%
Exchange Income Corporation	EIF.TO / EIF CT	15%	✗	0.3%
Fairfax Financial Holdings Limited	FFH.TO / FFH CT	10%	✗	0.3%
First Majestic Silver Corporation	FR.TO / FR CT	15%	✓	0.3%
First Quantum Minerals Limited	FM.TO / FM CT	5%	✓	0.3%
Fission Uranium Corporation	FCU.TO / FCU CT	25%	✗	0.3%
Fortis Inc	FTS.TO / FTS CT	5%	✓	0.3%
Fortuna Silver Mines Inc	FVI.TO / FVI CT	20%	✓	0.3%
Franco-Nevada Corporation	FNV.TO / FNV CT	5%	✗	0.3%
Freehold Royalties Limited	FRU.TO / FRU CN	20%	✗	0.3%
Garibaldi Resources Corporation	GGI.V / GGI CN	25%	✗	0.3%
Genworth MI Canada Inc	MIC.TO / MIC CN	10%	✗	0.3%
George Weston Limited	WN.TO / WN CT	10%	✓	0.3%
Gildan Activewear Inc	GIL.TO / GIL CT	5%	✓	0.3%
Goldcorp Inc	G.TO / G CT	5%	✓	0.3%
Golden Star Resources Limited	GSC.TO / GSC CT	20%	✓	0.3%

Gran Colombia Gold Corporation	GCM.TO / GCM CT	25%	✓	0.3%
Gran Tierra Energy Inc	GTE.TO / GTE CN	25%	✓	0.3%
Great Canadian Gaming Corporation	GC.TO / GC CT	15%	✓	0.3%
Great Panther Silver Limited	GPR.TO / GPR CT	25%	☒	0.3%
Guyana Goldfields Inc	GUY.TO / GUY CT	20%	✗	0.3%
HIVE Blockchain Technologies Limited	HIVE.V / HIVE CN	25%	✗	0.3%
Home Capital Group Inc	HCG.TO / HCG CN	15%	✗	0.3%
Horizons BetaPro NYMEX Natural Gas Bull Plus ETF	HNU.TO / HNU CT	10%	✗	0.3%
Horizons Blockchain Technology & Hardware Index ETF	BKCH.TO / BKCH CT	25%	✗	0.3%
Horizons Marijuana Life Sciences Index ETF	HMMJ.TO / HMMJ CN	25%	✓	0.3%
Hudbay Minerals Inc	HBM.TO / HBM CT	10%	✓	0.3%
Hudson's Bay Company	HBC.TO / HBC CT	15%	✗	0.3%
Husky Energy Inc	HSE.TO / HSE CT	10%	✓	0.3%
Hydro One Limited	H.TO / H CN	10%	✓	0.3%
IAMGOLD Corporation	IMG.TO / IMG CT	10%	✓	0.3%
Imperial Oil Limited	IMO.TO / IMO CT	5%	✓	0.3%
Industrial Alliance Insurance and Financial Services Inc	IAG.TO / IAG CT	10%	✓	0.3%
Integra Gold Corporation	ICG.V / ICG CN	25%	☒	0.3%
Inter Pipeline Limited	IPL.TO / IPL CT	5%	✓	0.3%
Ivanhoe Mines Limited	IVN.TO / IVN CT	10%	✓	0.3%
Katanga Mining Limited	KAT.TO / KAT CT	25%	☒	0.3%
Kelt Exploration Limited	KEL.TO / KEL CN	15%	✓	0.3%
Keyera Corporation	KEY.TO / KEY CN	10%	✓	0.3%
Kinross Gold Corporation	K.TO / K CT	10%	✓	0.3%
Kirkland Lake Gold Limited	KL.TO / KL CT	10%	✓	0.3%
Kirkland Lake Gold Limited	NMI.TO / KL CN	10%	✗	0.3%
Largo Resources Limited	LGO.TO / LGO CN	25%	✓	0.3%
Laurentian Bank of Canada	LB.TO / LB CT	15%	✓	0.3%
Leucrotta Exploration Inc	LXE.V / LXE CN	25%	✓	0.3%
Lithium Americas Corporation	LAC.TO / LAC CN	25%	✗	0.3%
Lithium X Energy Corporation	LIX.V / LIX CN	25%	☒	0.3%
Loblaw Companies Limited	L.TO / L CT	5%	✓	0.3%
Lucara Diamond Corporation	LUC.TO / LUC CN	25%	✓	0.3%
Lundin Mining Corporation	LUN.TO / LUN CT	10%	✓	0.3%
MEG Energy Corporation	MEG.TO / MEG CT	25%	✓	0.3%
MTY Food Group Inc	MTY.TO / MTY CT	25%	✗	0.3%
MacDonald Dettwiler and Associates Limited	MDA.TO / MAXR CN	10%	✓	0.3%
Magna International Inc	MG.TO / MG CT	5%	✓	0.3%
Major Drilling Group International Inc	MDI.TO / MDI CN	25%	✗	0.3%
Manulife Financial Corporation	MFC.TO / MFC CT	5%	✓	0.3%
Maple Leaf Foods Inc	MFI.TO / MFI CT	15%	✗	0.3%
Martinrea International Inc	MRE.TO / MRE CT	20%	✗	0.3%
MedReleaf Corporation	LEAF.TO / LEAF CT	25%	☒	0.3%
Methanex Corporation	MX.TO / MX CT	10%	✓	0.3%
Metro Inc	MRU.TO / MRU CT	10%	✓	0.3%
Morguard Corporation	MRC.TO / MRC CN	25%	✗	0.3%
Morguard North American Residential Real Estate Investment Trust	MRG_u.TO / MRG-U CT	25%	✓	0.3%
Morguard Real Estate Investment Trust	MRT_u.TO / MRT-U CN	25%	✓	0.3%
Morneau Shepell Inc	MSI.TO / MSI CT	25%	✓	0.3%
National Bank of Canada	NA.TO / NA CT	5%	✓	0.3%
Nemaska Lithium Inc	NMX.TO / NMX CN	25%	✗	0.3%
Neo Performance Materials Inc	NEO.TO / NEO CN	25%	✓	0.3%
NexGen Energy Limited	NXE.TO / NXE CN	25%	✗	0.3%

Novo Resources Corporation	NVO.V / NVO CN	25%	☐	0.3%
NuVista Energy Limited	NVA.TO / NVA CN	20%	✓	0.3%
Nutrien Limited	NTR.TO / NTR CN	20%	✗	0.3%
Nuuvera Inc	NUU.V / NUU CN	25%	✓	0.3%
Obsidian Energy Limited	OBE.TO / OBE CN	25%	☐	0.3%
OrganiGram Holdings Inc	OGI.V / OGI CN	25%	✗	0.3%
Osisko Gold Royalties Limited	OR.TO / OR CN	15%	✓	0.3%
Osisko Mining Inc	OSK.TO / OSK CN	25%	✓	0.3%
Parex Resources Inc	PXT.TO / PXT CN	15%	✗	0.3%
Pason Systems Inc	PSI.TO / PSI CT	20%	✓	0.3%
Pembina Pipeline Corporation	PPL.TO / PPL CT	5%	✓	0.3%
Peyto Exploration & Development Corporation	PEY.TO / PEY CT	10%	✓	0.3%
Pinetree Capital Limited	PNP.TO / PNP CT	25%	☐	0.3%
Potash Corporation of Saskatchewan Inc	POT.TO / POT CT	5%	✓	0.3%
Power Corporation of Canada	POW.TO / POW CT	10%	✓	0.3%
PrairieSky Royalty Limited	PSK.TO / PSK CN	10%	✗	0.3%
Premier Gold Mines Limited	PG.TO / PG CT	20%	☐	0.3%
ProMetic Life Sciences Inc	PLI.TO / PLI CN	25%	☐	0.3%
Questerre Energy Corporation	QEC.TO / QEC CT	25%	☐	0.3%
Restaurant Brands International Inc	QSR.TO / QSR CT	5%	✗	0.3%
Restaurant Brands International Limited Partnership	QSP_u.TO / QSP-U CT	25%	✓	0.3%
Rogers Communications Inc	RCIb.TO / RCI/B CT	5%	✓	0.3%
Royal Bank of Canada	RY.TO / RY CT	5%	✓	0.3%
Russel Metals Inc	RUS.TO / RUS CT	15%	✓	0.3%
SEMAFO Inc	SMF.TO / SMF CT	15%	✓	0.3%
SNC-Lavalin Group Inc	SNC.TO / SNC CN	10%	✓	0.3%
Sabina Gold & Silver Corporation	SBB.TO / SBB CN	25%	☐	0.3%
Sandstorm Gold Limited	SSL.TO / SSL CN	20%	✓	0.3%
Sandvine Corporation	SVC.TO / SVC CT	20%	✗	0.3%
Saputo Inc	SAP.TO / SAP CT	10%	✓	0.3%
Secure Energy Services Inc	SES.TO / SES CT	20%	✗	0.3%
Seven Generations Energy Limited	VII.TO / VII CN	10%	✓	0.3%
Shaw Communications Inc	SJRb.TO / SJR/B CT	5%	✓	0.3%
Sherritt International Corporation	S.TO / S CT	25%	✓	0.3%
Silvercorp Metals Inc	SVM.TO / SVM CT	20%	✓	0.3%
Solium Capital Inc	SUM.TO / SUM CT	25%	✗	0.3%
Sprott Inc	SII.TO / SII CT	25%	✗	0.3%
Stornoway Diamond Corporation	SWY.TO / SWY CT	25%	✗	0.3%
Sun Life Financial Inc	SLF.TO / SLF CT	5%	✓	0.3%
Suncor Energy Inc	SU.TO / SU CT	5%	✓	0.3%
TELUS Corporation	T.TO / T CT	5%	✓	0.3%
TMAC Resources Inc	TMR.TO / TMR CT	25%	✗	0.3%
TMX Group Limited	X.TO / X CT	15%	✗	0.3%
Tahoe Resources Inc	THO.TO / THO CT	10%	✓	0.3%
Teck Resources Limited	TECKb.TO / TECK/B CT	5%	✓	0.3%
The Bank of Nova Scotia	BNS.TO / BNS CT	5%	✓	0.3%
The Green Organic Dutchman Holdings Limited	TGOD.TO / TGOD CN	25%	✗	0.3%
The Hydrotheatry Corporation	THCX.V / THCX CN	25%	✓	0.3%
The Jean Coutu Group (PJC) Inc	PJCa.TO / PJC/A CT	15%	✗	0.3%
The Stars Group Inc	TSGI.TO / TSGI CN	10%	✓	0.3%
The Supreme Cannabis Company Inc	FIRE.V / FIRE CN	25%	✗	0.3%
The Toronto-Dominion Bank	TD.TO / TD CT	5%	✓	0.3%
Thomson Reuters Corporation	TRI.TO / TRI CT	10%	✓	0.3%
Timbercreek Financial Corporation	TF.TO / TF CN	25%	✗	0.3%

Torex Gold Resources Inc	TXG.TO / TXG CN	15%	✗	0.3%
Tourmaline Oil Corporation	TOU.TO / TOU CT	10%	✗	0.3%
TransAlta Corporation	TA.TO / TA CT	20%	✓	0.3%
TransCanada Corporation	TRP.TO / TRP CT	5%	✓	0.3%
Trevali Mining Corporation	TV.TO / TV CT	20%	✗	0.3%
Trilogy Energy Corporation	TET.TO / TET CT	20%	✗	0.3%
Uranium Participation Corporation	U.TO / U CT	25%	✓	0.3%
Valeant Pharmaceuticals International Inc	VRX.TO / VRX CT	10%	✓	0.3%
Valeura Energy Inc	VLE.TO / VLE CN	25%	✗	0.3%
Vanguard FTSE Canada Index ETF	VCE.TO / VCE CN	10%	✗	0.3%
Veresen Inc	VSN.TO / VSN CN	10%	✓	0.3%
Vermilion Energy Inc	VET.TO / VET CT	10%	✗	0.3%
West Fraser Timber Company Limited	WFT.TO / WFT CN	10%	✗	0.3%
Westshore Terminals Investment Corporation	WTE.TO / WTE CN	20%	✗	0.3%
Wheaton Precious Metals Corporation	WPM.TO / WPM CT	10%	✓	0.3%
Yamana Gold Inc	YRI.TO / YRI CT	10%	✓	0.3%
goeasy Limited	GSY.TO / GSY CT	25%	✗	0.3%
iShares Core S&P TSX Capped Composite Index ETF	XIC.TO / XIC CT	10%	✓	0.3%
iShares S&P TSX 60 Index ETF	XIU.TO / XIU CT	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

DENMARK

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
A.P. Moller - Maersk AS	MAERSKa.CO / MAERSKA DC	10%	✓	0.3%
A.P. Moller - Maersk AS	MAERSKb.CO / MAERSKB DC	5%	✓	0.3%
ALK-Abello AS	ALKb.CO / ALKB DC	20%	✓	0.3%
Alm. Brand AS	ALMB.CO / ALMB DC	20%	✓	0.3%
Ambu AS	AMBUB.CO / AMBUB DC	10%	✓	0.3%
Bang & Olufsen AS	BO.CO / BO DC	20%	☎	0.3%
Bavarian Nordic AS	BAVA.CO / BAVA DC	20%	✓	0.3%
Carlsberg AS	CARLb.CO / CARLB DC	5%	✓	0.3%
Chr. Hansen Holding AS	CHRH.CO / CHR DC	10%	✓	0.3%
Coloplast AS	COLOB.CO / COLOB DC	5%	✓	0.3%
DSV AS	DSV.CO / DSV DC	5%	✓	0.3%
Dampskibsselskabet Norden AS	DNORD.CO / DNORD DC	10%	✗	0.3%
Danske Bank AS	DANSKE.CO / DANSKE DC	10%	✓	0.3%
FLSmidth & Co. AS	FLS.CO / FLS DC	10%	✓	0.3%
G4S PLC	G4S.CO / GR4SEC DC	10%	✗	0.3%
GN Store Nord AS	GN.CO / GN DC	10%	✓	0.3%
Genmab AS	GEN.CO / GEN DC	20%	✓	0.3%
Greentech Energy System SA	G3E.CO / ATHENA DC	25%	✓	0.3%
H. Lundbeck AS	LUN.CO / LUN DC	5%	✓	0.3%
IS SA	ISS.CO / ISS DC	10%	✓	0.3%
Jyske Bank AS	JYSK.CO / JYSK DC	10%	✓	0.3%

Kobenhavns Lufthavne AS	KBHL.CO / KBHL DC	25%	✗	0.3%
MATA SA	MATAS.CO / MATAS DC	20%	✓	0.3%
NKT AS	NKT.CO / NKT DC	10%	✓	0.3%
NNIT AS	NNIT.CO / NNIT DC	20%	✓	0.3%
Net SA	NETS.CO / NETS DC	10%	✓	0.3%
Neurosearch AS	NEUR.CO / NEUR DC	25%	✗	0.3%
Nordea Bank AB (publ)	NDA.CO / NDA DC	10%	✓	0.3%
Novo Nordisk AS	NOVOb.CO / NOVOb DC	5%	✓	0.3%
Novozyme SA	NZYMb.CO / NZYMB DC	5%	✓	0.3%
Orsted AS	ORSTED.CO / ORSTED DC	10%	✓	0.3%
Ossur hf.	OSSR.CO / OSSR DC	25%	✗	0.3%
Pandora AS	PNDORA.CO / PNDORA DC	5%	✓	0.3%
Rockwool International AS	ROCKb.CO / ROCKB DC	10%	✓	0.3%
Royal Unibrew AS	RBREW.CO / RBREW DC	15%	✓	0.3%
SAS AB (publ)	SAS.CO / SAS DC	15%	✗	0.3%
Santa Fe Group AS	SFGR.CO / SFG DC	25%	✓	0.3%
SimCorp AS	SIM.CO / SIM DC	15%	✓	0.3%
Sydbank AS	SYDB.CO / SYDB DC	10%	✓	0.3%
TCM Group AS	TCM.CO / TCM DC	25%	✓	0.3%
TDC AS	TDC.CO / TDC DC	10%	✓	0.3%
Topdanmark AS	TOP.CO / TOP DC	10%	✓	0.3%
Torm PLC	TORMa.CO / TRMDA DC	25%	✗	0.3%
Tryg AS	TRYG.CO / TRYG DC	10%	✓	0.3%
Vestas Wind System SA	VWS.CO / VWS DC	5%	✓	0.3%
William Demant Holding AS	WDH.CO / WDH DC	10%	✓	0.3%
Zealand Pharma AS	ZELA.CO / ZEAL DC	20%	✗	0.3%

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2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

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† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

FINLAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
Afarak Group Oyj	AFAGR.HE / AFAGR FH	25%	✗	0.3%
Ahlstrom-Munksjo Oyj	AHM1.HE / AM1 FH	20%	✓	0.3%
Amer Sports Corporation	AMEAS.HE / AMEAS FH	10%	✓	0.3%
BasWare Oyj	BAS1V.HE / BAS1V FH	25%	☒	0.3%
Biotie Therapies Corporation	BTH1V.HE / BTH1V FH	25%	✗	0.3%
Cargotec Corporation	CGCBV.HE / CGCBV FH	10%	✓	0.3%
Caverion Oyj	CAV1V.HE / CAV1V FH	25%	✓	0.3%
Citycon Oyj	CTY1S.HE / CTY1S FH	20%	✓	0.3%
Cramo Oyj	CRA1V.HE / CRA1V FH	20%	✓	0.3%
Elisa Oyj	ELI1V.HE / ELISA FH	10%	✓	0.3%
Finnair Oyj	FIA1S.HE / FIA1S FH	20%	✓	0.3%
Fortum Oyj	FORTUM.HE / FORTUM FH	5%	✓	0.3%
HKScan Oyj	HKSAV.HE / HKSAV FH	25%	☒	0.3%
Huhtamaki Oyj	HUH1V.HE / HUH1V FH	10%	✓	0.3%

KONE Oyj	KNEBV.HE / KNEBV FH	5%	✓	0.3%
Kemira Oyj	KRA1V.HE / KEMIRA FH	10%	✓	0.3%
Kesko Oyj	KESKOB.HE / KESKOB FH	10%	✓	0.3%
Konecranes PLC	KCRA.HE / KCR FH	10%	✓	0.3%
Kotipizza Group Oyj	PIZZA.HE / PIZZA FH	25%	✓	0.3%
Lehto Group Oyj	LEHTO.HE / LEHTO FH	25%	✓	0.3%
Metsa Board Oyj	METSB.HE / METSB FH	15%	✓	0.3%
Metso Corporation	METSO.HE / METSO FH	10%	✓	0.3%
Neste Oyj	NESTE.HE / NESTE FH	5%	✓	0.3%
Nokia Corporation	NOKIA.HE / NOKIA FH	5%	✓	0.3%
Nokian Renkaat Oyj	NRE1V.HE / NRE1V FH	5%	✓	0.3%
Nordea Bank AB (publ)	NDA1V.HE / NDA1V FH	10%	✓	0.3%
Oriola Oyj	OKDBV.HE / OKDBV FH	25%	✓	0.3%
Orion Oyj	ORNBV.HE / ORNBV FH	10%	✓	0.3%
Outokumpu Oyj	OUT1V.HE / OUT1V FH	10%	✓	0.3%
Outotec Oyj	OTE1V.HE / OTE1V FH	10%	✓	0.3%
Raisio PLC	RAIVV.HE / RAIVV FH	25%	✓	0.3%
Rovio Entertainment Oyj	ROVIO.HE / ROVIO FH	10%	✗	0.3%
Sampo Oyj	SAMAS.HE / SAMPO FH	5%	✓	0.3%
Sanoma Oyj	SAA1V.HE / SAA1V FH	20%	✓	0.3%
Sponda Oyj	SDA1V.HE / SDA1V FH	10%	✗	0.3%
Stockmann Oyj ABP	STCBV.HE / STCBV FH	25%	✓	0.3%
Stora Enso Oyj	STERV.HE / STERV FH	5%	✓	0.3%
Suomen Hoivatilat Oyj	HOIVA.HE / HOIVA FH	25%	✗	0.3%
Technopolis PLC	TPS1V.HE / TPS1V FH	25%	✓	0.3%
Telia Company AB	TELIA1.HE / TELIA1 FH	5%	✓	0.3%
Tieto Oyj	TIETO.HE / TIETO FH	15%	✓	0.3%
UPM-Kymmene Oyj	UPM.HE / UPM FH	5%	✓	0.3%
Uponor Oyj	UPONOR.HE / UPONOR FH	20%	✓	0.3%
Valmet Oyj	VALMT.HE / VALMT FH	10%	✓	0.3%
Wartsila Oyj Abp	WRT1V.HE / WRT1V FH	5%	✓	0.3%
YIT Oyj	YIT.HE / YIT FH	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

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FRANCE

Stock	Ticker	1.Nouveau taux de couverture	2.Position courte?*	3.Cout Stop Garanti
AB Science SA	ABS.PA / AB FP	25%	✗	0.3%
ABC Arbitrage SA	BITI.PA / ABCA FP	25%	✓	0.3%
AKWEL	AKW.PA / AKW FP	25%	✓	0.3%
ANF Immobilier	ACNF.PA / ANF FP	25%	✓	0.3%
AREVA SA	AREVA.PA / AREVA FP	25%	✗	0.3%
ATEME SA	ATEME.PA / ATEME FP	25%	✓	0.3%
AXA SA	AXAF.PA / CS FP	5%	✓	0.3%
Accor SA	ACCP.PA / AC FP	5%	✓	0.3%

Actia Group	MRSP.PA / ATI FP	25%	✓	0.3%
Adocia SA	ADOC.PA / ADOC FP	25%	✗	0.3%
Aeroports de Paris SA	ADP.PA / ADP FP	10%	✓	0.3%
Affine R.E.	BTPP.PA / IML FP	25%	✓	0.3%
Air France-KLM SA	AIRF.PA / AF FP	10%	✓	0.3%
Air Liquide SA	AIRP.PA / AI FP	5%	✓	0.3%
Airbus SE	AIR.PA / AIR FP	5%	✓	0.3%
Akka Technologies	AKA.PA / AKA FP	25%	✓	0.3%
Albioma	ABIO.PA / ABIO FP	25%	✓	0.3%
Alcatel-Lucent	ALUA.PA / ALU FP	10%	✗	0.3%
Alstom SA	ALSO.PA / ALO FP	5%	✓	0.3%
Altamir SCA	ALMP.PA / LTA FP	25%	✓	0.3%
Altea Cogedim	IMAF.PA / ALTA FP	25%	✓	0.3%
Alten SA	LTEN.PA / ATE FP	20%	✓	0.3%
Altran Technologies SA	ALTT.PA / ALT FP	15%	✓	0.3%
Amplitude Surgical SA	AMPLI.PA / AMPLI FP	25%	✗	0.3%
Amundi ETF Floating Rate Euro Corporate 1-3 UCITS ETF	AFRN.PA / AFRN FP	10%	✗	0.3%
Amundi ETF MSCI EM Latin America UCITS ETF EUR	ALAT.PA / ALAT FP	10%	✓	0.3%
Amundi ETF MSCI USA UCITS ETF EUR	CU2.PA / CU2 FP	10%	✓	0.3%
Amundi ETF S&P 500 Buyback UCITS ETF EUR	BYBE.PA / BYBE FP	25%	✗	0.3%
Amundi ETF S&P 500 UCITS ETF EUR C	500.PA / 500 FP	10%	✗	0.3%
Amundi Group	AMUN.PA / AMUN FP	10%	✓	0.3%
Amundi Index Solutions - Amundi CAC 40 UCITS ETF-C	C40.PA / C40 FP	5%	✓	0.3%
April SA	APRL.PA / APR FP	25%	⊖	0.3%
Argan SA	ARGAN.PA / ARG FP	25%	✓	0.3%
Arkema SA	AKE.PA / AKE FP	10%	✓	0.3%
Aroundtown SA	ALCRE.PA / ALCRE FP	25%	✓	0.3%
Artprice.com	ARTF.PA / PRC FP	25%	✗	0.3%
Assystem SA	ASY.PA / ASY FP	25%	✓	0.3%
Atari SA	ATAR.PA / ATA FP	25%	✓	0.3%
Atos SE	ATOS.PA / ATO FP	10%	✓	0.3%
Aubay SA	AUBT.PA / AUB FP	25%	✓	0.3%
Ausy SA	OSI.PA / OSI FP	25%	✗	0.3%
Axway Software SA	AXW.PA / AXW FP	25%	✓	0.3%
BNP Paribas Easy FTSE EPRA NAREIT Eurozone Capped UCITS ETF QD Dis	EEE.PA / EEE FP	10%	✓	0.3%
BNP Paribas Easy Low Carbon 100 Europe(r) UCITS ETF	ECN.PA / ECN FP	10%	✗	0.3%
BNP Paribas SA	BNPP.PA / BNP FP	10%	✓	0.3%
Bastide le Confort Medical SA	BATD.PA / BLC FP	25%	✓	0.3%
Beneteau SA	CHBE.PA / BEN FP	25%	✓	0.3%
BigBen Interactive	BGBN.PA / BIG FP	25%	✓	0.3%
Boiron SA	BOIR.PA / BOI FP	25%	✓	0.3%
Bollore	BOLL.PA / BOL FP	10%	✓	0.3%
Bonduelle SA	BOND.PA / BON FP	25%	✓	0.3%
Bourbon Corporation SA	GPBN.PA / GBB FP	25%	✗	0.3%
Bouygues SA	BOUY.PA / EN FP	5%	✓	0.3%
Bureau Veritas SA	BVI.PA / BVI FP	10%	✓	0.3%
Burelle SA	BULY.PA / BUR FP	25%	✓	0.3%
CBo Territoria SA	ALCBO.PA / CBOT FP	25%	✗	0.3%
CFAO SA	CFAO.PA / CFAO FP	25%	✗	0.3%
CGG	GEPH.PA / CGG FP	25%	✓	0.3%
CNP Assurances SA	CNPP.PA / CNP FP	10%	✓	0.3%
Caisse Regionale de Credit Agricole Mutuel de Paris et d'Ile-de-France	CAIF.PA / CAF FP	25%	✗	0.3%
Capgemini SE	CAPP.PA / CAP FP	5%	✓	0.3%

Carmila SA	CARM.PA / CARM FP	25%	✓	0.3%
Carrefour SA	CARR.PA / CA FP	5%	✓	0.3%
Casino Guichard-Perrachon SA	CASP.PA / CO FP	10%	✓	0.3%
Catering International & Services SA	CTRG.PA / CTRG FP	25%	✓	0.3%
CeGeREAL SA	CGR.PA / CGR FP	25%	✓	0.3%
Cegedim SA	CGDM.PA / CGM FP	25%	⚠	0.3%
Cegid Group SA	CEGI.PA / CGD FP	25%	✗	0.3%
Cellectis SA	ALCLS.PA / ALCLS FP	25%	✓	0.3%
Chargeurs SA	CRIP.PA / CRI FP	20%	✓	0.3%
Christian Dior SE	DIOR.PA / CDI FP	10%	✓	0.3%
Claranova SA	AVQ.PA / CLA FP	20%	✓	0.3%
Coface SA	COFA.PA / COFA FP	20%	✓	0.3%
Colas SA	COLP.PA / RE FP	25%	✗	0.3%
Compagnie Generale des Etablissements Michelin	MICP.PA / ML FP	5%	✓	0.3%
Compagnie Plastic Omnium SA	PLOF.PA / POM FP	10%	✓	0.3%
Compagnie de Saint-Gobain SA	SGOB.PA / SGO FP	5%	✓	0.3%
Compagnie des Alpes SA	CDAF.PA / CDA FP	25%	✓	0.3%
Compagnie du Cambodge	CCAP.PA / CBDG FP	25%	✗	0.3%
Constructions Industrielles de la Mediterr AE	CNIM.PA / COM FP	25%	✗	0.3%
Credit Agricole SA	CAGR.PA / ACA FP	10%	✓	0.3%
Credit Industriel et Commercial	CC.PA / CC FP	25%	✗	0.3%
DBV Technologies SA	DBV.PA / DBV FP	25%	✓	0.3%
Danone	DANO.PA / BN FP	5%	✓	0.3%
Dassault Aviation SA	AVMD.PA / AM FP	10%	✓	0.3%
Dassault Systemes SE	DAST.PA / DSY FP	10%	✓	0.3%
Delta Plus Group	DLTA.PA / DLTA FP	25%	✓	0.3%
Derichebourg	DBG.PA / DBG FP	20%	✓	0.3%
Devoteam SA	DVTM.PA / DVT FP	25%	✓	0.3%
Direct Energie SA	DIREN.PA / DIREN FP	20%	✗	0.3%
Dom Security	DOMS.PA / DOMS FP	25%	✗	0.3%
ENGIE SA	ENGIE.PA / ENGI FP	5%	✓	0.3%
ERAMET SA	ERMT.PA / ERA FP	10%	✓	0.3%
ESI Group SA	ESIG.PA / ESI FP	25%	✓	0.3%
Edenred SA	EDEN.PA / EDEN FP	10%	✓	0.3%
Eiffage SA	FOUG.PA / FGR FP	10%	✓	0.3%
Electricite de France SA	EDF.PA / EDF FP	5%	✓	0.3%
Elior Group	ELIOR.PA / ELIOR FP	10%	✓	0.3%
Elis SA	ELIS.PA / ELIS FP	10%	✓	0.3%
Erytech Pharma SA	ERYP.PA / ERYP FP	25%	✓	0.3%
Essilor International SA	ESSI.PA / EI FP	5%	✓	0.3%
Esso S.A.F.	ESSF.PA / ES FP	25%	✓	0.3%
Etablissements Maurel & Prom SA	MAUP.PA / MAU FP	25%	✓	0.3%
Euler Hermes Group SA	ELER.PA / ELE FP	20%	✓	0.3%
Eur AE	EURA.PA / RF FP	10%	✓	0.3%
Euro Disney S.C.A.	EDLP.PA / EDL FP	25%	✗	0.3%
Eurofins Scientific SE	EUFI.PA / ERF FP	10%	✓	0.3%
Euronext NV	ENX.PA / ENX FP	10%	✓	0.3%
Europcar Groupe SA	EUCAR.PA / EUCAR FP	15%	✓	0.3%
Eutelsat Communications SA	ETL.PA / ETL FP	10%	✓	0.3%
Evolis SA	ALTVO.PA / ALTVO FP	25%	✓	0.3%
Exel Industries SA	EXEP.PA / EXE FP	25%	✓	0.3%
F. Marc de Lacharriere (Fimalac) SA	LBCP.PA / FIM FP	25%	✗	0.3%
FFP SA	FFPP.PA / FFP FP	25%	✓	0.3%
Faiveley Transport SA	FAIP.PA / LEY FP	25%	✗	0.3%

Faurecia SA	EPED.PA / EO FP	10%	✓	0.3%
Figeac Aero SA	FGA.PA / FGA FP	25%	✗	0.3%
Financiere de l'Odet SA	EPMF.PA / ODET FP	25%	✓	0.3%
Fleury Michon SA	FLMI.PA / FLE FP	25%	✓	0.3%
Fnac Darty SA	FNAC.PA / FNAC FP	15%	✓	0.3%
Fonciere Des Murs	FMU.PA / FMU FP	25%	✗	0.3%
Fonciere Developpement Logements	FODL.PA / FDL FP	25%	✗	0.3%
Fonciere de Paris SIIC SA	FDPA.PA / FDPA FP	15%	✗	0.3%
Fonciere des Regions	FDR.PA / COV FP	10%	✓	0.3%
Fromageries Bel	FROB.PA / FBEL FP	25%	✓	0.3%
GL Events	GLTN.PA / GLO FP	25%	✓	0.3%
GameLoft S.E.	GLFT.PA / GFT FP	20%	✗	0.3%
Gaztransport & Technigaz SA	GTT.PA / GTT FP	20%	✓	0.3%
Gecina SA	GFCP.PA / GFC FP	10%	✓	0.3%
Genfit SA	GNFT.PA / GNFT FP	25%	✓	0.3%
Gerard Perrier Industrie SA	GPIF.PA / PERR FP	25%	✗	0.3%
Groupe CRIT SA	CITT.PA / CEN FP	25%	✓	0.3%
Groupe Eurotunnel SE	GETP.PA / GET FP	10%	✓	0.3%
Groupe Gorge SA	GOEG.PA / GOE FP	25%	✓	0.3%
Groupe Guillin SA	ALGIL.PA / ALGIL FP	25%	✓	0.3%
Groupe LDLC SA	LDCO.PA / LDL FP	25%	✗	0.3%
Groupe Laurent-Perrier	LPER.PA / LPE FP	25%	✓	0.3%
Groupe Open	OPEN.PA / OPN FP	25%	✓	0.3%
Groupe Partouche SA	PARP.PA / PARP FP	25%	✓	0.3%
Guerbet SA	GRBT.PA / GBT FP	25%	✓	0.3%
H&K AG	MLHK.PA / MLHK FP	25%	✗	0.3%
HSBC Holdings PLC	HBC.PA / HSB FP	5%	✗	0.3%
Haulotte Group SA	PYHE.PA / PIG FP	25%	✓	0.3%
Havas SA	HAVA.PA / HAV FP	10%	✓	0.3%
Hermes International Societe en commandite par actions	HRMS.PA / RMS FP	10%	✓	0.3%
High Co. SA	HIGH.PA / HCO FP	25%	✗	0.3%
INSIDE Secure	INSD.PA / INSD FP	25%	✓	0.3%
Icade SA	ICAD.PA / ICAD FP	10%	✓	0.3%
Iliad SA	ILD.PA / ILD FP	10%	✓	0.3%
Imerys SA	IMTP.PA / NK FP	10%	✓	0.3%
Infotel SA	ETOF.PA / INF FP	25%	✓	0.3%
Ingenico Group - GCS	INGC.PA / ING FP	10%	✓	0.3%
Innate Pharma SA	IPH.PA / IPH FP	25%	✓	0.3%
InterParfums SA	IPAR.PA / ITP FP	25%	✓	0.3%
Ipsen SA	IPN.PA / IPN FP	10%	✓	0.3%
Ipsos SA	ISOS.PA / IPS FP	20%	✓	0.3%
Itissalat Al-Maghrib (IAM) SA	IAM.PA / IAM FP	25%	✗	0.3%
JCDecaux SA	JCDX.PA / DEC FP	15%	✓	0.3%
Jacquet Metal Service SA	JCQ.PA / JCQ FP	25%	✓	0.3%
Kaufman & Broad SA	KOF.PA / KOF FP	20%	✓	0.3%
Kering SA	PRTP.PA / KER FP	5%	✓	0.3%
Klepierre SA	LOIM.PA / LI FP	5%	✓	0.3%
Korian	KORI.PA / KORI FP	15%	✓	0.3%
L'Oreal SA	OREP.PA / OR FP	5%	✓	0.3%
L.D.C. SA	LOUP.PA / LOUP FP	25%	✓	0.3%
LVMH Moet Hennessy Louis Vuitton S.E.	LVMH.PA / MC FP	5%	✓	0.3%
LafargeHolcim Limited	LHN.PA / LHN FP	5%	✓	0.3%
Lagardere SCA	LAGA.PA / MMB FP	10%	✓	0.3%

Lanson-BCC	LAN.PA / LAN FP	25%	✗	0.3%
Latecoere SA	LAEP.PA / LAT FP	20%	✓	0.3%
Le Noble Age SA	LNA.PA / LNA FP	25%	✓	0.3%
Lectra SA	LECS.PA / LSS FP	25%	✓	0.3%
Legrand SA	LEGD.PA / LR FP	5%	✓	0.3%
Linedata Services SA	LDSV.PA / LIN FP	25%	✓	0.3%
Lisi SA	GFII.PA / FII FP	25%	✓	0.3%
Locindus SA	LOCI.PA / LD FP	25%	✗	0.3%
Lyxor BTP Daily (-2x) Inverse UCITS ETF Acc	BTPS.PA / BTPS FP	10%	✗	0.3%
Lyxor Brazil (Ibovespa) UCITS ETF C-EUR	LYXRIO.PA / RIO FP	10%	✓	0.3%
Lyxor CAC 40 (DR) UCITS ETF Dist	CAC.PA / CAC FP	5%	✓	0.3%
Lyxor CAC 40 Daily (-2x) Inverse UCITS ETF Acc	BX4.PA / BX4 FP	10%	✗	0.3%
Lyxor CAC 40 Daily (2x) Leveraged UCITS ETF Acc	LVC.PA / LVC FP	10%	✗	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF C-EUR	ASI.PA / ASI FP	10%	✓	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB TR UCITS ETF C-EUR	CRB.PA / CRB FP	10%	✓	0.3%
Lyxor Daily Double Short Bund UCITS ETF	DSB.PA / DSB FP	10%	✓	0.3%
Lyxor Euro Stoxx 50 (DR) UCITS ETF D-EUR	MSE.PA / MSE FP	10%	✓	0.3%
Lyxor Euro Stoxx 50 Daily Double Short UCITS ETF	BXX.PA / BXX FP	10%	✗	0.3%
Lyxor Euro Stoxx 50 Daily Leverage UCITS ETF	LVE.PA / LVE FP	10%	✗	0.3%
Lyxor FTSE Athex Large Cap UCITS ETF	LGRE.PA / GRE FP	10%	✓	0.3%
Lyxor Hong Kong (HSI) UCITS ETF D-EUR	HK.PA / HSI FP	10%	✓	0.3%
Lyxor Index Fund Lyxor Global Gender Equality (DR) Ucits ETF C USD Acc	LYELLE.PA / ELLE FP	25%	✗	0.3%
Lyxor Japan (TOPIX(r)) (DR) UCITS ETF D-EUR	JPN.PA / JPN FP	15%	✓	0.3%
Lyxor MSCI Emerging Markets UCITS ETF C-EUR	LYXLEM.PA / LEM FP	10%	✓	0.3%
Lyxor MSCI India UCITS ETF C-EUR	INR.PA / INR FP	10%	✓	0.3%
Lyxor MSCI Korea UCITS ETF C-EUR	KRW.PA / KRW FP	25%	✗	0.3%
Lyxor MSCI World Health Care TR UCITS ETF - C-EUR	HLTW.PA / HLTW FP	10%	✗	0.3%
Lyxor Nasdaq-100 Daily Leverage UCITS ETF	LQQ.PA / LQQ FP	10%	✗	0.3%
Lyxor Stoxx Europe 600 Banks UCITS ETF	LYXBNK.PA / BNK FP	10%	✓	0.3%
Lyxor Stoxx Europe 600 Basic Resources UCITS ETF	BRES.PA / BRE FP	10%	✗	0.3%
Lyxor Stoxx Europe 600 Oil & Gas UCITS ETF	LYXOIL.PA / OIL FP	10%	✓	0.3%
Lyxor Stoxx Europe Select Dividend 30 UCITS ETF	SEL.PA / SEL FP	10%	✗	0.3%
Maisons France Confort SA	MFCP.PA / MFC FP	25%	✓	0.3%
Maisons du Monde SA	MDM.PA / MDM FP	15%	✓	0.3%
Manitou BF SA	MANP.PA / MTU FP	25%	✓	0.3%
Manutan International SA	MATP.PA / MAN FP	25%	✓	0.3%
Marie Brizard Wine & Spirits SA	MBWS.PA / MBWS FP	25%	✗	0.3%
Mauna Kea Technologies SA	MKEA.PA / MKEA FP	25%	✓	0.3%
Mercialys SA	MERY.PA / MERY FP	20%	✓	0.3%
Mersen SA	CBLP.PA / MRN FP	25%	✓	0.3%
Metabolic Explorer SA	METEX.PA / METEX FP	25%	✗	0.3%
Metropole Television SA	MMTP.PA / MMT FP	20%	✓	0.3%
NRJ Group SA	SONO.PA / NRG FP	25%	☒	0.3%
Nanobiotix SA	NANOB.PA / NANO FP	25%	✗	0.3%
Natixis SA	CNAT.PA / KN FP	10%	✓	0.3%
Naturex SA	NATU.PA / NRX FP	25%	✓	0.3%
Neopost SA	NPOS.PA / NEO FP	15%	✓	0.3%
Nexans SA	NEXS.PA / NEX FP	10%	✓	0.3%
Nexity SA	NEXI.PA / NXI FP	15%	✓	0.3%
Nicox SA	NCOX.PA / COX FP	25%	☒	0.3%
Nokia Corporation	NOKIA.PA / NOKIA FP	5%	✓	0.3%
ORPEA SA	ORP.PA / ORP FP	10%	✓	0.3%
Oeneo SA	SABT.PA / SBT FP	25%	✓	0.3%

Onxeo SA	C4X.PA / ONXEO FP	25%	✗	0.3%
Orange SA	ORAN.PA / ORA FP	5%	✓	0.3%
PSB Industries	PSBP.PA / PSB FP	25%	⚡	0.3%
Parrot SA	PARRO.PA / PARRO FP	25%	✗	0.3%
Pernod Ricard SA	PERP.PA / RI FP	5%	✓	0.3%
Peugeot SA	PEUP.PA / UG FP	5%	✓	0.3%
Pharmagest Interactive SA	PHAI.PA / PHA FP	25%	✓	0.3%
Pierre & Vacances SA	PVAC.PA / VAC FP	25%	✓	0.3%
Plastiques du Val de Loire	PLVP.PA / PVL FP	25%	✓	0.3%
Poxel SA	POXEL.PA / POXEL FP	25%	✗	0.3%
Proeware	ALPRO.PA / ALPRO FP	25%	✓	0.3%
Prodways SAS	PWG.PA / PWG FP	25%	⚡	0.3%
Publicis Groupe SA	PUBP.PA / PUB FP	5%	✓	0.3%
Rallye SA	GENC.PA / RAL FP	20%	✓	0.3%
Recylex SA	RXPA.PA / RX FP	15%	✗	0.3%
Remy Cointreau SA	RCOP.PA / RCO FP	10%	✓	0.3%
Renault SA	RENA.PA / RNO FP	5%	✓	0.3%
Rexel SA	RXL.PA / RXL FP	10%	✓	0.3%
Rothschild & Co SCA	ROTH.PA / ROTH FP	25%	✓	0.3%
Rubis	RUBF.PA / RUI FP	10%	✓	0.3%
SCOR SE	SCOR.PA / SCR FP	10%	✓	0.3%
SEB SA	SEBF.PA / SK FP	10%	✓	0.3%
SES SA	SESFd.PA / SESG FP	10%	✓	0.3%
SES-imagotag	SESL.PA / SESL FP	25%	✗	0.3%
SFR Group SA	SFRGR.PA / SFR FP	10%	✓	0.3%
SII (Societe pour l'Informatique Industrielle) SA	IISP.PA / SII FP	25%	✓	0.3%
SMCP S.A.S.	SMCP.PA / SMCP FP	15%	✓	0.3%
SPDR(r) MSCI Europe Telecommunications UCITS ETF	SPSTT.PA / STT FP	25%	✗	0.3%
SPIE SAS	SPIE.PA / SPIE FP	10%	✓	0.3%
SRP Groupe SA	SRPG.PA / SRP FP	25%	✗	0.3%
STMicroelectronics NV	STM.PA / STM FP	5%	✓	0.3%
SUEZ SA	SEVI.PA / SEV FP	10%	✓	0.3%
Safran SA	SAF.PA / SAF FP	5%	✓	0.3%
Sanofi	SASY.PA / SAN FP	5%	✓	0.3%
Sartorius Stedim Biotech SA	STDM.PA / DIM FP	15%	✓	0.3%
Savencia SA	SAVEN.PA / SAVE FP	25%	✓	0.3%
Schlumberger Limited	SLBP.PA / SLB FP	5%	✗	0.3%
Schneider Electric S.E.	SCHN.PA / SU FP	5%	✓	0.3%
Seche Environnement SA	CCHE.PA / SCHP FP	25%	✓	0.3%
Societe BIC SA	BICP.PA / BB FP	10%	✓	0.3%
Societe Generale Group	SOGN.PA / GLE FP	10%	✓	0.3%
Societe Internationale de Plantations d'Heveas SA	SIPH.PA / SIPH FP	25%	✓	0.3%
Societe de la Tour Eiffel	TEIF.PA / EIFF FP	25%	✗	0.3%
Sodexo SA	EXHO.PA / SW FP	5%	✓	0.3%
Soitec SA	SOIT.PA / SOI FP	10%	✓	0.3%
Solocal Group SA	LOCAL.PA / LOCAL FP	20%	✓	0.3%
Solutions 30 S.E.	ALS30.PA / ALS30 FP	20%	✓	0.3%
Somfy SA	DAMA.PA / SO FP	25%	✓	0.3%
Sopra Steria Group	SOPR.PA / SOP FP	15%	✓	0.3%
Stallergenes Greer PLC	STAGR.PA / STAGR FP	25%	✓	0.3%
Stef SA	STF.PA / STF FP	25%	✓	0.3%
Sword Group S.E.	SWOR.PA / SWP FP	25%	✓	0.3%
Synergie SE	SDGI.PA / SDG FP	25%	✓	0.3%
TF1 Group	TFFP.PA / TFI FP	15%	✓	0.3%

TFF Group	TFF.PA / TFF FP	25%	✓	0.3%
TOTAL SA	TOTF.PA / FP FP	5%	✓	0.3%
Tarkett SA	TKTT.PA / TKTT FP	15%	✓	0.3%
Technicolor SA	TCH.PA / TCH FP	15%	✓	0.3%
Technip SA	TECF.PA / TEC FP	5%	✗	0.3%
TechnipFMC PLC	FTI.PA / FTI FP	5%	✓	0.3%
Teleperformance SE	ROCH.PA / TEP FP	10%	✓	0.3%
Terreis (France)	TERR.PA / TER FP	25%	✓	0.3%
Tessi SA	TESI.PA / TES FP	25%	✓	0.3%
Thales SA	TCFP.PA / HO FP	10%	✓	0.3%
Thermador Groupe SA	THHG.PA / THEP FP	25%	✓	0.3%
Total Gabon	EGAB.PA / EC FP	25%	✓	0.3%
Touax SCA	TETR.PA / TOUP FP	25%	✗	0.3%
Transgene SA	TRNG.PA / TNG FP	25%	✗	0.3%
Trigano SA	TRIA.PA / TRI FP	20%	✓	0.3%
Ubisoft Entertainment SA	UBIP.PA / UBI FP	10%	✓	0.3%
Umanis SA	ALUMS.PA / ALUMS FP	25%	✗	0.3%
VIEL & Cie	VEIL.PA / VIL FP	25%	✓	0.3%
VINCI SA	SGEF.PA / DG FP	5%	✓	0.3%
Valeo SA	VLOF.PA / FR FP	5%	✓	0.3%
Vallourec SA	VLLP.PA / VK FP	25%	✓	0.3%
Veolia Environnement SA	VIE.PA / VIE FP	5%	✓	0.3%
Vicat SA	VCTP.PA / VCT FP	20%	✓	0.3%
Vilmorin & Cie SA	VILM.PA / RIN FP	25%	✓	0.3%
Virbac SA	VIRB.PA / VIRP FP	25%	✓	0.3%
Vivendi SA	VIV.PA / VIV FP	5%	✓	0.3%
Vranken-Pommery Monopole SA	VRKP.PA / VRAP FP	25%	✓	0.3%
Wendel	MWDP.PA / MF FP	10%	✓	0.3%
Worldline SA	WLN.PA / WLN FP	15%	✓	0.3%
X-FAB Silicon Foundries SE	XFAB.PA / XFAB FP	20%	✓	0.3%
XPO Logistics Europe SA	XPO.PA / XPO FP	25%	✓	0.3%
Xilam Animation SA	XIL.PA / XIL FP	25%	✓	0.3%
ZCCM Investments Holdings PLC	ZCCM.PA / MLZAM FP	25%	✗	0.3%
Zodiac Aero SAE	ZODC.PA / ZC FP	10%	✓	0.3%
bioMerieux SA	BIOX.PA / BIM FP	10%	✓	0.3%
wee.com AG	MLWEE.PA / MLWEE FP	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Attention : la colonne 'Position courte ?' indique simplement qu'il n'y a actuellement aucun facteur (à notre connaissance) qui empêcherait d'ouvrir une position courte sur la valeur concernée. Cette information peut changer rapidement, et il n'est pas garanti que vous puissiez ouvrir des positions courtes sur les valeurs mentionnées. De plus, vous pouvez être soumis à des « frais d'emprunt » qui seront inclus dans l'ajustement d'intérêt applicable à votre position. Afin de savoir si ces frais sont applicables, nous vous recommandons de contacter nos traders avant de réaliser votre transaction. La possibilité de vendre un titre à découvert, ainsi que les frais d'emprunts, peuvent changer rapidement.

Veillez noter que si vous ne trouvez pas le marché que vous recherchez, vous pouvez nous contacter par téléphone au: +33 170 981 819 et nous pourrions normalement ajouter ce marché pour vous en quelques minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

GERMANY

Stock	Ticker	1.Neuer Marginsatz	2.Möglichkeit short zu gehen*	3.Prämie zur Risikobegrenzung
4SC AG	VScK.DE / VSC GR	25%	✗	1%
7C Solarparken AG	HRPK.DE / HRPK GY	25%	✗	1%
ACCENTRO Real Estate AG	A4Y.DE / A4Y GY	25%	✗	1%
ADLER Real Estate Aktiengesellschaft	ADLG.DE / ADL GY	25%	✗	1%

ADO Properties SA	ADJ.DE / ADJ GY	20%	✓	1%
ADVA Optical Networking SE	ADAG.DE / ADV GY	20%	✓	1%
AT & S Austria Technologie & Systemtechnik Aktiengesellschaft	ATSV.DE / AUS GY	20%	☒	1%
ATOSS Software AG	AOFG.DE / AOF GY	25%	✗	0.7%
AUDI AG	NSUG.DE / NSU GY	25%	✗	1%
AURELIUS Equity Opportunities SE & Co. KGaA	AR4G.DE / AR4 GY	10%	✓	0.7%
Aareal Bank AG	ARLG.DE / ARL GY	10%	✓	1%
Adidas AG	ADSGn.DE / ADS GY	5%	✓	0.3%
Adler Modemarkte AG	ADDG.DE / ADD GY	25%	✗	1%
Airbus SE	AIRG.DE / AIR GY	5%	✓	0.7%
Aixtron SE	AIXGn.DE / AIXA GY	10%	✓	0.7%
Albis Leasing AG	MTVG.DE / ALG GY	25%	✗	1%
All for One Steeb AG	A1OS.DE / A1OS GY	25%	✓	1%
Allgeier SE	AEIGn.DE / AEIN GY	25%	✓	1%
Allianz SE	ALVG.DE / ALV GY	5%	✓	0.3%
Amadeus FiRe AG	AMDG.DE / AAD GY	25%	✓	0.7%
Amundi ETF MSCI Spain UCITS ETF	AMES.DE / AMES GY	10%	✗	0.3%
Apple Inc	AAPL.DE / APC GY	5%	✓	0.3%
Aroundtown Property Holdings PLC	AT1.DE / AT1 GY	25%	✓	1%
Aumann AG	AAGG.DE / AAG GY	20%	✓	1%
Aurubi SA	NAFG.DE / NDA GY	10%	✓	0.7%
Axel Springer SE	SPRGn.DE / SPR GY	10%	✓	0.7%
BASF SE	BASFn.DE / BAS GY	5%	✓	0.3%
BAUER Aktiengesellschaft	B5AG.DE / B5A GY	20%	✓	1%
BB Biotech AG	BIONn.DE / BBZA GY	10%	✓	1%
BNP Paribas Easy iSTOXX(r) MUTB Japan Quality 150 UCITS ETF H EUR Cap	ISTM.DE / ISTM GY	25%	✗	1%
BRAAS Monier Building Group SA	BMSA.DE / BMSA GY	25%	✗	1%
BRAIN Biotechnology Research & Information Network AG	BNNn.DE / BNN GY	25%	✓	1%
BUWOG AG	BWOG.DE / BWO GY	10%	✗	1%
Barrick Gold Corporation	ABX.DE / ABR GR	5%	✗	0.3%
Basler Aktiengesellschaft	BSLG.DE / BSL GY	25%	✓	1%
Bastei Lubbe AG	BST1.DE / BST GY	25%	✗	1%
BayWa Aktiengesellschaft	BYWGNx.DE / BYW6 GY	25%	✓	0.7%
Bayer Aktiengesellschaft	BAYGn.DE / BAYN GY	5%	✓	0.3%
Bayerische Motoren Werke Aktiengesellschaft	BMWG.DE / BMW GY	5%	✓	0.3%
Bayerische Motoren Werke Aktiengesellschaft	BMWG_p.DE / BMW3 GY	15%	✓	0.7%
Bechtle AG	BC8G.DE / BC8 GY	15%	✓	0.7%
Befesa SA	BFSA.DE / BFSA GY	25%	✓	1%
Beiersdorf Aktiengesellschaft	BEIG.DE / BEI GY	5%	✓	0.3%
Berentzen-Gruppe Aktiengesellschaft	BEZ.DE / BEZ GY	25%	✗	1%
Bertrandt Aktiengesellschaft	BDTG.DE / BDT GY	20%	✓	1%
Bet-At-Home.com AG	ARTG.DE / ACX GY	15%	✓	1%
Bijou Brigitte modische Accessoires Aktiengesellschaft	BIJG.DE / BIJ GY	25%	✓	1%
Bilfinger SE	GBFG.DE / GBF GY	10%	☒	0.7%
Biofrontera AG	B8FGn.DE / B8F GY	25%	✗	1%
Biotest Aktiengesellschaft	BIOG.DE / BIO GY	25%	✓	1%
Biotest Aktiengesellschaft	BIOG_p.DE / BIO3 GY	20%	✓	1%
Bitcoin Group SE	ADE.DE / ADE GY	25%	✗	1%
Borussia Dortmund GmbH & Co. Kommanditgesellschaft auf Aktien	BVB.DE / BVB GY	20%	✓	1%
Brenntag AG	BNRGn.DE / BNR GY	10%	✓	0.7%
CENIT Aktiengesellschaft	CSHG.DE / CSH GY	25%	✓	1%

CENTROTEC Sustainable AG	CEVG.DE / CEV GY	25%	✓	1%
CEWE Stiftung & Co. KGaA	CWCG.DE / CWC GY	20%	✓	0.7%
CHORUS Clean Energy AG	CU1G.DE / CU1 GY	25%	✗	1%
CTS Eventim AG & Co. KGaA	EVDG.DE / EVD GY	15%	✓	0.7%
Cancom SE	COKG.DE / COK GY	15%	✓	1%
Capital Stage AG	HWAG.DE / CAP GY	20%	✓	1%
Carl Zeiss Meditec AG	AFXG.DE / AFX GY	15%	✓	0.3%
Ceconomy AG	CECG.DE / CEC GY	10%	✓	0.3%
Citigroup Inc	TRVC.DE / TRVC GY	10%	✗	1%
Clere AG	BAFG.DE / CAG GY	25%	✗	1%
ComStage PSI 20(r) UCITS ETF	CBPSI20.DE / CD47 GY	10%	✗	0.3%
ComStage STOXX(r) Europe 600 Real Estate UCITS ETF	CBSX86R.DE / CBSX86R GY	25%	✗	1%
Commerzbank AG	CBKG.DE / CBK GY	10%	✓	0.3%
Commerzbank ETC 2x Brent Oil Daily Long	XOC2.DE / XOC2 GY	25%	✗	1%
CompuGroup Medical Societas Europaea	COPMa.DE / COP GY	20%	✓	1%
Constantin Medien AG	EV4G.DE / EV4 GY	25%	✗	0.7%
Continental Aktiengesellschaft	CONG.DE / CON GY	5%	✓	0.7%
Covestro Aktiengesellschaft	1COV.DE / 1COV GY	10%	✓	1%
CropEnergie SA	CE2G.DE / CE2 GY	25%	✓	1%
DATA MODUL Aktiengesellschaft Produktion und Vertrieb von elektronischen Systemen	DAMG.DE / DAM GY	25%	✓	1%
DATAGROUP SE	D6HG.DE / D6H GY	25%	✗	1%
DEMIRE Deutsche Mittelstand Real Estate AG	DMRE.DE / DMRE GY	25%	✓	1%
DEUTZ Aktiengesellschaft	DEZG.DE / DEZ GY	15%	✓	1%
DIC Asset AG	DICn.DE / DIC GY	20%	✓	1%
DMG Mori Aktiengesellschaft	GILG.DE / GIL GY	25%	✓	1%
DO Deutsche Office AG	PMOX.DE / PMOX GY	25%	✗	1%
Daimler AG	DAIGn.DE / DAI GY	5%	✓	0.3%
Deka Deutsche Borse EUROGOV(r) Germany UCITS ETF	ETFGS11.DE / ETFGS11 GY	10%	✗	0.3%
Deka MSCI Emerging Markets UCITS ETF	ETFEMMA.DE / ETFEMMA GY	25%	✗	1%
Delivery Hero AG	DMER.DE / DHER GY	10%	✓	1%
Delticom AG	DEXGn.DE / DEX GY	25%	✗	1%
Dermapharm Holding SE	DMPG.DE / DMP GY	25%	✓	1%
Deutsche Bank Aktiengesellschaft	DBKGn.DE / DBK GY	10%	✓	0.3%
Deutsche Beteiligung SA	DBANn.DE / DBAN GY	20%	✓	0.7%
Deutsche Borse Aktiengesellschaft	DB1Gn.DE / DB1 GY	5%	✓	0.3%
Deutsche Euroshop AG	DEQGn.DE / DEQ GY	10%	✓	0.3%
Deutsche Konsum REIT-AG	DKG.DE / DKG GY	25%	✗	1%
Deutsche Lufthansa Aktiengesellschaft	LHAG.DE / LHA GY	5%	✓	0.3%
Deutsche Pfandbriefbank AG	PBBG.DE / PBB GY	15%	✓	1%
Deutsche Post AG	DPWGn.DE / DPW GY	5%	✓	0.3%
Deutsche Rohstoff AG	DR0G.DE / DR0 GY	25%	✗	1%
Deutsche Telekom AG	DTEGn.DE / DTE GY	5%	✓	0.3%
Deutsche Wohnen AG	DWNG.DE / DWNI GY	10%	✓	0.7%
Dialog Semiconductor PLC	DLGS.DE / DLG GY	10%	✓	1%
Diebold Nixdorf Aktiengesellschaft	WING.DE / WIN GY	20%	✓	0.7%
Dr. Honle AG	HNLG.DE / HNL GY	25%	✓	1%
Dragerwerk AG & Co. KGaA	DRWG_p.DE / DRW3 GY	15%	✓	0.7%
Dragerwerk AG & Co. KGaA	DRWG.DE / DRW8 GY	25%	✓	1%
Dream Global Real Estate Investment Trust	DUNGu.DE / DRG GY	20%	✗	0.7%
Drillisch Aktiengesellschaft	DRIG.DE / DRI GY	10%	✓	1%
Durr Aktiengesellschaft	DUEG.DE / DUE GY	10%	✓	1%

E.ON SE	EONGn.DE / EOAN GY	5%	✓	0.3%
EDAG Engineering Group AG	ED4.DE / ED4 GY	25%	✓	1%
ELMOS Semiconductor AG	ELGG.DE / ELG GY	25%	✓	1%
ETFS 3x Daily Long DAX 30	GY3L.DE / GY3L GY	25%	✗	1%
ETFS 3x Daily Short DAX 30	GY3S.DE / GY3S GY	25%	✗	1%
ETFS DAX Daily 2x Short GO UCITS	XDES2.DE / DES2 GY	10%	✗	0.3%
Eckert & Ziegler Strahlen- und Medizintechnik AG	EUZG.DE / EUZ GY	25%	✓	1%
ElringKlinger AG	ZILGn.DE / ZIL2 GY	20%	✗	1%
EnBW Energie Baden-Württemberg AG	EBKG.DE / EBK GY	25%	✗	1%
Energiekontor AG	EKTG.DE / EKT GY	25%	✓	1%
Epigenomic SA	ECXn.DE / ECX GR	25%	✗	1%
Evonik Industrie SA	EVKn.DE / EVK GY	10%	✓	0.3%
Evotec AG	EVTG.DE / EVT GY	10%	✓	1%
Facebook Inc	FB2A.DE / FB2A GY	5%	✗	0.3%
Ferratum Oyj	FRU.DE / FRU GY	25%	⚡	1%
Fielmann AG	FIEG.DE / FIE GY	10%	✓	0.3%
FinLab AG	A7AGn.DE / A7A GY	25%	✗	1%
FinTech Group AG	FTKG.DE / FTK GY	25%	✓	1%
First Sensor AG	SISG.DE / SIS GY	25%	✓	1%
Formycon AG	FYB.DE / FYB GY	25%	✗	1%
Fortec Elektronik AG	FEVG.DE / FEV GY	25%	✗	1%
Francotyp-Postalia Holding AG	FPHG.DE / FPH GY	25%	✗	1%
Fraport AG	FRAG.DE / FRA GY	10%	✓	0.7%
Fresenius Medical Care AG & Co. KGAA	FMEG.DE / FME GY	5%	✓	0.3%
Fresenius SE & Co. KGaA	FREG.DE / FRE GY	5%	✓	0.3%
Fuchs Petrolub SE	FPEG.DE / FPE GY	20%	✓	0.7%
Fuchs Petrolub SE	FPEG_p.DE / FPE3 GY	10%	✓	0.7%
Fyber NV	FBENn.DE / FBEN GY	25%	✗	1%
GEA Group Aktiengesellschaft	G1AG.DE / G1A GY	10%	✓	0.7%
GFT Technologies SE	GFTG.DE / GFT GY	20%	✗	1%
GK Software AG	GKSG.DE / GKS GY	25%	✓	1%
Gerresheimer AG	GXIG.DE / GXI GY	10%	⚡	0.3%
Gerry Weber International AG	GWIG.DE / GWI1 GY	25%	✗	0.7%
Gesco AG	GSC1n.DE / GSC1 GY	25%	⚡	1%
GfK SE	GFKG.DE / GFK GY	25%	✓	0.7%
Godewind Immobilien AG	GWD.DE / GWD GY	25%	✓	1%
Grammer Aktiengesellschaft	GMMG.DE / GMM GY	15%	✓	1%
Grand City Properties SA	GYC.DE / GYC GY	15%	✓	1%
Grenke AG	GLJn.DE / GLJ GY	25%	✓	0.7%
H&R GmbH & Co. KGaA	2HR.DE / 2HRA GY	25%	✓	0.7%
HELMA Eigenheimbau Aktiengesellschaft	H5EG.DE / H5E GY	25%	✓	1%
HOCHTIEF Aktiengesellschaft	HOTG.DE / HOT GY	10%	✓	0.7%
Hamborner REIT AG	HABG.DE / HAB GY	20%	✓	1%
Hamburger Hafen und Logistik Aktiengesellschaft	HHFGn.DE / HHFA GY	15%	✓	0.3%
Hannover Ruck SE	HNRGn.DE / HNR1 GY	10%	✓	0.7%
Hapag-Lloyd Aktiengesellschaft	HLAG.DE / HLAG GY	15%	⚡	1%
Hawesko Holding Aktiengesellschaft	HAWG.DE / HAW GY	25%	✗	0.7%
HeidelbergCement AG	HEIG.DE / HEI GY	5%	✓	0.3%
Heidelberger Druckmaschinen Aktiengesellschaft	HDDG.DE / HDD GY	10%	✓	1%
Heliad Equity Partners GmbH & Co. KGaA	HPBGn.DE / HPBK GY	25%	✓	1%
Hella KGaA Hueck & Company	HLE.DE / HLE GY	10%	✓	0.7%
HelloFresh SE	HFGG.DE / HFG GY	10%	✗	1%
Henkel AG & Co. KGaA	HNKG.DE / HEN GY	10%	✓	0.7%
Henkel AG & Co. KGaA	HNKG_p.DE / HEN3 GY	5%	✓	0.3%

Highlight Communication SA	HLGZ.DE / HLG GY	25%	✓	0.7%
HolidayCheck Group AG	HOC.DE / HOC GY	25%	✗	1%
Hornbach Baumarkt AG	HBMG.DE / HBM GY	25%	✓	1%
Hornbach Holding AG & Co. KGaA	HBH.DE / HBH GY	20%	✓	1%
Hugo Bos SA	BOSSn.DE / BOSS GY	10%	✓	1%
Hypoport AG	HYQn.DE / HYQ GY	15%	✓	1%
INDUS Holding AG	INHG.DE / INH GY	20%	✓	0.7%
INTERSHOP Communications Aktiengesellschaft	ISHG.DE / ISH2 GY	25%	✗	1%
IVU Traffic Technologie SA	IVUG.DE / IVU GY	25%	✗	1%
Idorsia Ltd	19T.F / 19T GR	25%	✗	1%
Infineon Technologie SA	IFXGn.DE / IFX GY	5%	✓	0.3%
Isra Vision AG	ISRG.DE / ISR GY	20%	✓	0.7%
JOST Werke AG	JSTG.DE / JST GY	20%	✓	1%
Jenoptik AG	JENG.DE / JEN GY	15%	✓	0.7%
Jungheinrich Aktiengesellschaft	JUNG_p.DE / JUN3 GY	15%	✓	1%
K+S Aktiengesellschaft	SDFGn.DE / SDF GY	10%	✓	0.3%
KION GROUP AG	KGX.DE / KGX GY	10%	✓	0.7%
KROMI Logistik AG	K1RG.DE / K1R GY	25%	✗	1%
KSB Aktiengesellschaft	KSBG_p.DE / KSB3 GY	25%	✓	1%
KSB Aktiengesellschaft	KSBG.DE / KSB GY	25%	✓	1%
KUKA Aktiengesellschaft	KU2G.DE / KU2 GY	20%	✗	0.7%
KWS Saat SE	KWSG.DE / KWS GY	25%	✓	0.7%
Kabel Deutschland Holding AG	KD8Gn.MU / KD8 GR	10%	✓	0.7%
Klockner & Co SE	KCOGn.DE / KCO GY	10%	✓	1%
Koenig & Bauer AG	SKBG.DE / SKB GY	20%	✓	1%
Krone SA	KRNG.DE / KRN GY	15%	✗	0.7%
LANXESS Aktiengesellschaft	LXSG.DE / LXS GY	5%	✓	1%
LEG Immobilien AG	LEGn.DE / LEG GY	10%	✓	0.3%
LEONI AG	LEOGn.DE / LEO GY	10%	✓	1%
LPKF Laser & Electronics Aktiengesellschaft	LPKG.DE / LPK GY	25%	✗	1%
Lang & Schwarz Aktiengesellschaft	LUSGn.DE / LUS GY	25%	✓	1%
Leifheit Aktiengesellschaft	LEIG.DE / LEI GY	25%	✓	1%
Linde Aktiengesellschaft	LING.DE / LIN GY	5%	✓	0.3%
Linde Aktiengesellschaft	LIN1.DE / LINU GY	10%	✓	0.3%
Lotto24 AG	LO24n.DE / LO24 GY	25%	✗	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF C-EUR	L8I1.DE / ASI GY	10%	✗	0.3%
Lyxor Core MSCI World DR UCITS ETF	LCUW.DE / LCUW GY	25%	✗	1%
Lyxor DAILY LevDAX UCITS ETF	LYXLEVDAX.DE / LYXLEDAX GY	10%	✗	0.7%
Lyxor Japan (TOPIX(r)) (DR) UCITS ETF Daily Hedged D-EUR	JPNH.PA / JPNH GY	10%	✗	0.3%
Lyxor MSCI India UCITS ETF C-EUR	LYXINR.DE / LYXINR GY	10%	✗	0.3%
Lyxor Russia (Dow Jones Russia GDR) UCITS ETF Acc	LYXRUS.DE / LYXRUS GY	10%	✗	0.3%
M1 Kliniken AG	M12.DE / M12 GY	25%	✓	1%
MAN SE	MANG.DE / MAN GY	10%	✓	0.3%
MAN SE	MANG_p.DE / MAN3 GY	25%	✓	1%
MAX Automation Aktiengesellschaft	MXHNn.DE / MXHN GY	25%	✗	1%
MBB SE	MBBG.DE / MBB GY	25%	✗	1%
MEDICLIN Aktiengesellschaft	MEDG.DE / MED GY	25%	✓	1%
METRO AG	B4B3_p.DE / B4B3 GY	25%	✗	1%
MLP AG	MLPG.DE / MLP GY	25%	✓	0.7%
MPC Munchmeyer Petersen Capital AG	MPCKk.DE / MPCK GY	25%	✗	1%
MS Industrie AG	MSAG.DE / MSAG GY	25%	✗	1%
MTU Aero Engine SA	MTXGn.DE / MTX GY	10%	✓	0.7%
MVV Energie AG	MVVGn.DE / MVV1 GY	25%	✗	0.3%

MagForce AG	MF6G.DE / MF6 GY	25%	✓	1%
Manz AG	M5ZG.DE / M5Z GY	25%	✗	1%
MediGene AG	MDG1k.DE / MDG1 GY	25%	✗	1%
Medios AG	ILM1k.DE / ILM1 GY	25%	✓	1%
Mensch und Maschine Software SE	MUMG.DE / MUM GY	25%	✓	1%
Merck Kommanditgesellschaft auf Aktien	MRCG.DE / MRK GY	5%	✓	0.3%
Metro AG	B4B.DE / B4B GY	10%	✓	1%
Mobotix AG	MBQGn.DE / MBQ GY	25%	✗	1%
Mologen AG	MGNG.DE / MGN GY	25%	✗	1%
Morphosy SA	MORG.DE / MOR GY	10%	✓	0.7%
Muhlbauer Holding AG & Co. Kommanditgesellschaft auf Aktien	MUBG.DE / MUB GY	25%	✗	1%
Muller - Die lila Logistik AG	MLLG.DE / MLL GY	25%	✗	1%
Munchener Ruckversicherungs-Gesellschaft Aktiengesellschaft	MUVGn.DE / MUV2 GY	5%	✓	0.3%
Mynaric AG	M0Y.DE / M0Y GY	25%	✓	1%
NFON AG	NFN.DE / NFN GY	25%	✓	1%
NORMA Group SE	NOEJ.DE / NOEJ GY	15%	✓	0.7%
NURNBERGER Beteiligungs-Aktiengesellschaft	NLVGn.DE / NBG6 GY	25%	✗	1%
Naga Group AG/The	N4G.DE / N4G GY	25%	✗	1%
Nanogate AG	N7GG.DE / N7G GY	25%	✗	1%
Nemetschek SE	NEKG.DE / NEM GY	15%	✓	0.7%
Nestle SA	NESN.DE / NESR GY	5%	✗	0.3%
Nexu SA	NXUG.DE / NXU GY	25%	✓	1%
Nokia Corporation	NOKS.F / NOA3 GY	5%	✗	0.3%
NorCom Information Technology AG	NC5Ak.DE / NC5A GY	25%	✗	1%
Nordex SE	NDXG.DE / NDX1 GY	10%	✓	0.7%
OHB SE	OHBG.DE / OHB GY	25%	✗	0.7%
OSRAM Licht AG	OSRn.DE / OSR GR	10%	✓	0.3%
OVH Holding AG	O4BG.DE / O4B GY	25%	✗	1%
PANTAFLIX AG	PALG.DE / PAL GY	25%	✓	1%
PATRIZIA Immobilien AG	PATGn.DE / PAT GR	15%	✓	0.7%
PJSC LUKOIL	LKOHy.DE / LUK GY	50%	✗	0.7%
PNE Wind AG	PNEGn.DE / PNE3 GY	25%	✓	1%
PSI Software AG	PSAGn.DE / PSAN GY	25%	✓	1%
PT Bumi Resources Tbk	BUMI.F / PJM GR	25%	✗	1%
PUMA SE	PUMG.DE / PUM GY	20%	✓	0.7%
PVA TePla AG	TPEG.DE / TPE GY	25%	✓	0.7%
Paion AG	PA8G.DE / PA8 GY	25%	✓	1%
Paragon AG	PGNG.DE / PGN GY	25%	✗	1%
Petro Welt Technologie SA	O2C.DE / O2C GY	25%	✗	1%
Pfeiffer Vacuum Technology AG	PV.DE / PFV GY	15%	✓	0.7%
Porsche Automobil Holding SE	PSHG_p.DE / PAH3 GY	10%	✓	1%
ProSiebenSat.1 Media SE	PSMGn.DE / PSM GY	5%	✓	1%
Progress Werk Oberkirch AG	PWOG.DE / PWO GY	25%	✗	1%
QIAGEN NV	QIA.DE / QIA GY	10%	✓	0.7%
QSC AG	QSCG.DE / QSC GY	25%	✓	1%
R. Stahl AG	RSL2.DE / RSL2 GR	25%	✗	0.7%
RATIONAL Aktiengesellschaft	RAAG.DE / RAA GY	15%	✓	0.7%
RIB Software SE	RIB.DE / RIB GR	15%	✗	1%
RTL Group SA	RRTL.DE / RRTL GY	10%	✓	0.7%
RWE Aktiengesellschaft	RWEG.DE / RWE GY	5%	✓	0.3%
RWE Aktiengesellschaft	RWEG_p.DE / RWE3 GY	20%	✓	1%
Rheinmetall AG	RHMG.DE / RHM GY	10%	✓	0.7%
Rhon-Klinikum Aktiengesellschaft	RHKG.DE / RHK GY	20%	✓	0.3%

Rocket Internet SE	RKET.DE / RKET GY	10%	✓	0.3%
Rofin-Sinar Technologies Inc	RSTI.DE / RSI GY	10%	✗	1%
S&T AG	SANT1.DE / SANT GY	15%	✓	1%
SAP SE	SAPG.DE / SAP GY	5%	✓	0.3%
SGL Carbon SE	SGCG.DE / SGL GY	15%	✓	0.7%
SHW AG	SW1.DE / SW1 GY	25%	✓	1%
SLM Solutions Group AG	AM3D.DE / AM3D GY	20%	✓	1%
SMA Solar Technology AG	S92G.DE / S92 GY	15%	✓	1%
SNP Schneider-Neureither & Partner AG	SHFG.DE / SHF GY	25%	✓	1%
SPDR(r) MSCI World Small Cap UCITS ETF	ZPRS.DE / ZPRS GY	10%	✗	0.3%
SPDR(r) S&P Euro Dividend Aristocrats UCITS ETF	SPYW.DE / SPYW GY	10%	✓	0.3%
SPDR(r) S&P UK Dividend Aristocrats UCITS ETF	SPYG.DE / SPYG GY	10%	✗	0.3%
STADA Arzneimittel Aktiengesellschaft	STAGn.DE / SAZ GY	10%	✓	0.7%
STEICO SE	ST5G.DE / ST5 GY	25%	✓	1%
STRATEC Biomedical AG	SBSG.DE / SBS GY	25%	⚠	0.7%
Saf-Holland SA	SFQN.DE / SFQ GY	20%	✓	1%
Salzgitter Aktiengesellschaft	SZGG.DE / SZG GY	10%	✓	0.7%
Sartorius Aktiengesellschaft	SATG.DE / SRT GY	25%	✓	1%
Sartorius Aktiengesellschaft	SATG_p.DE / SRT3 GY	10%	✓	1%
Schaeffler AG	SHA_p.DE / SHA GR	10%	✓	1%
Schaltbau Holding AG	SLTG.DE / SLT GY	25%	✗	1%
Scout24 AG	G24n.DE / G24 GY	15%	✓	1%
Shop Apotheke Europe NV	SAEG.DE / A2AR94 GY	25%	✓	1%
Siemens Aktiengesellschaft	SIEGn.DE / SIE GY	5%	✓	0.3%
Siemens Healthineer SA	SHLG.DE / SHL GY	10%	✓	1%
Siltronic AG	WAFGn.DE / WAF GY	10%	✓	1%
Singulus Technologie SA	SNGG.DE / SNG GY	25%	✓	0.7%
SinnerSchrader Aktiengesellschaft	SZZG.DE / SZZ GY	25%	✗	1%
Sixt Leasing SE	LNSX.DE / LNSX GY	25%	✓	1%
Sixt SE	SIXG_p.DE / SIX3 GY	25%	⚠	1%
Sixt SE	SIXG.DE / SIX2 GY	15%	⚠	1%
Softing AG	SYTG.DE / SYT GY	25%	✗	1%
Software Aktiengesellschaft	SOWGn.DE / SOW GY	10%	✓	0.7%
Source EURO STOXX Optimised Banks UCITS ETF	S7XE.DE / S7XE GY	10%	✓	0.3%
Source Markets plc - Source Morningstar US Energy Infrastructure MLP UCITS ETF	SOSMLD.DE / SMLD GY	10%	✗	0.3%
Source Markets plc - Source Morningstar US Energy Infrastructure MLP UCITS ETF	SOSMLP.DE / SMLP GY	10%	✗	0.3%
Source Markets plc - Source S&P 500 UCITS ETF	D500.DE / D500 GY	10%	✗	0.3%
Source STOXX Eurozone Exporters UCITS ETF	EZEX.DE / EZEX GY	25%	✗	1%
Stabilus SA	STAB.DE / STM GY	20%	✓	0.7%
Steinhoff International Holdings NV	SNHG.DE / SNH GY	50%	⚠	1%
Stemmer Imaging AG	S9I.DE / S9I GY	25%	✓	1%
Sto SE & Co. KGaA	STOG_p.DE / STO3 GY	25%	✓	1%
Stroer SE & Co. KGaA	SAXG.DE / SAX GY	10%	✓	1%
Sudzucker AG	SZUG.DE / SZU GY	10%	✓	0.7%
Surteco SE	SURG.DE / SUR GY	25%	✓	1%
Suss MicroTec AG	SMHn.DE / SMHN GY	20%	⚠	1%
Sygnis SA	LIO1k.DE / LIO1 GY	25%	✗	1%
Symrise AG	SY1G.DE / SY1 GY	10%	✓	0.7%
Syzygy AG	SYZG.DE / SYZ GY	25%	✗	1%
TAG Immobilien AG	TEGG.DE / TEG GY	15%	✓	0.7%
TAKKT AG	TTKG.DE / TTK GY	25%	✓	0.7%
TLG Immobilien AG	TLGG.DE / TLG GY	20%	✓	1%
TUI AG	TUIGn.DE / TUI1 GY	10%	✓	0.7%

Talanx Aktiengesellschaft	TLXGn.DE / TLX GY	15%	✓	0.7%
Technotran SA	TTRGn.DE / TTR1 GY	25%	✓	1%
Tele Columbu SA	TC1n.DE / TC1 GY	25%	✓	1%
Telefonica Deutschland Holding AG	O2Dn.DE / O2D GY	10%	✓	0.7%
The Royal Bank of Scotland Group PLC	RYS1.DE / RYS1 GY	5%	✗	0.3%
ThyssenKrupp AG	TKAG.DE / TKA GY	5%	✓	0.3%
Tom Tailor Holding AG	TTIGn.DE / TTI GY	20%	✓	0.3%
UBS (Irl) ETF plc - Solactive Global Pure Gold Miners UCITS ETF (USD) A-dis	UBUD.DE / UBUD GY	10%	✗	0.3%
USU Software AG	OSPGk.DE / OSP2 GY	25%	✗	1%
Uniper SE	UN01.DE / UN01 GY	5%	✓	1%
United Internet AG	UTDI.DE / UTDI GY	10%	✓	0.7%
VERBIO Vereinigte BioEnergie AG	VBKG.DE / VBK GY	20%	✓	1%
VITA 34 AG	V3VGn.DE / V3V GY	25%	✗	1%
VTG Aktiengesellschaft	VT9G.DE / VT9 GY	20%	✗	1%
Vapiano SE	VAO.DE / VAO GY	20%	✓	1%
Varta AG	VAR1.DE / VAR1 GY	10%	✓	1%
Vectron System SA	V3SG.DE / V3S GY	25%	✓	1%
Villeroy & Boch AG	VIBG_p.DE / VIB3 GY	25%	✓	1%
Viscom AG	V6CG.DE / V6C GY	25%	✓	1%
Vodafone Group PLC	VODI.DE / VODI GY	5%	✗	0.3%
Volkswagen Aktiengesellschaft	VOWG.DE / VOW GY	10%	✓	1%
Volkswagen Aktiengesellschaft	VOWG_p.DE / VOW3 GY	5%	✓	0.3%
Voltabox AG	VBX.DE / VBX GY	25%	✓	1%
Vonovia SE	VNAn.DE / VNA GY	5%	✓	1%
Vossloh AG	VOSG.DE / VOS GY	25%	⚠	0.7%
WCM Beteiligungs- und Grundbesitz-AG	WCMKk.DE / WCMK GY	25%	✗	1%
Wacker Chemie AG	WCHG.DE / WCH GY	10%	✓	0.7%
Wacker Neuson SE	WACGn.DE / WAC GY	20%	✓	0.7%
Washtec AG	WSUG.DE / WSU GY	20%	✓	0.7%
Westag & Getalit AG	WUGG.DE / WUG3 GY	25%	✗	1%
Westag & Getalit AG	WUGGn.DE / WUG GY	25%	✗	1%
Wirecard AG	WDIG.DE / WDI GY	10%	✓	0.7%
Wustenrot & Wurttembergische AG	WUWGn.DE / WUW GY	20%	✓	1%
XING AG	OBCGn.DE / O1BC GY	20%	✓	0.7%
Xetra-Gold	4GLD.DE / 4GLD GY	10%	✓	0.3%
ZEAL Network SE	TIMGn.DE / TIM GY	25%	✓	0.7%
Zalando SE	ZALG.DE / ZAL GY	10%	✓	0.3%
_wige MEDIA AG	WIGGk.DE / WIG1 GY	25%	✓	1%
adesso AG	ADNGk.DE / ADN1 GY	25%	✗	1%
alstria office REIT-AG	AOXG.DE / AOX GY	15%	✓	0.7%
co.don AG	CNWKk.DE / CNWK GY	25%	✗	1%
comdirect bank Aktiengesellschaft	CDBG.DE / COM GY	25%	✓	0.7%
db Physical Gold Euro Hedged ETC	XAD1.DE / XAD1 GY	10%	✓	0.3%
db x-trackers CSI300 UCITS ETF 1C	XCHA.DE / XCHA GY	10%	✗	0.3%
db x-trackers DAX(r) UCITS ETF (DR) 1C	XDAX.DE / XDAX GY	10%	✓	0.3%
db x-trackers Euro Stoxx 50(r) Short Daily UCITS ETF 1C	XSSX.DE / XSSX GY	10%	✓	0.7%
db x-trackers Euro Stoxx 50(r) UCITS ETF (DR) 1C	XESC.DE / DXET TH	10%	✗	0.3%
db x-trackers Euro Stoxx(r) Select Dividend 30 UCITS ETF (DR) 1D	XD3E.DE / XD3E GY	10%	✓	0.3%
db x-trackers II iTraxx(r) Crossover UCITS ETF 1C	XTXC.DE / XTXC GY	10%	✗	0.3%
db x-trackers MSCI EM Information Technology Index UCITS ETF 1C	XMK1.DE / XMK1 GY	25%	✗	1%
db x-trackers MSCI EMU Index UCITS ETF (DR) 1D	XD5E.DE / XD5E GY	10%	✓	0.3%

db x-trackers MSCI Europe Index UCITS ETF (DR) 1C	XMEU.DE / XMEU GY	10%	✓	0.3%
db x-trackers ShortDAX(r) Daily UCITS ETF 1C	XSDX.DE / XSDX GY	10%	✗	0.7%
db x-trackers Stoxx(r) Europe 600 Banks Short Daily UCITS ETF 1C	XS7S.DE / XS7S GY	25%	✗	1%
db x-trackers Stoxx(r) Global Select Dividend 100 UCITS ETF 1D	XGSD.DE / XGSD GY	10%	✗	0.3%
euromicron AG	EUCAn.DE / EUCA GY	25%	✗	0.7%
freenet AG	FNTGn.DE / FNTN GY	10%	✓	0.7%
home24 SE	H24.DE / H24 GY	25%	✗	1%
i:FAO Aktiengesellschaft	FAOGn.DE / FAO2 GY	25%	✗	1%
iShares \$ Short Duration High Yield Corp Bond UCITS ETF USD (Dist)	IS3K.DE / IS3K GY	10%	✗	0.3%
iShares \$ Treasury Bond 20+yr UCITS ETF USD (Dist)	IS04.DE / IS04 GY	10%	✓	0.3%
iShares (EUR) Corp Bond BB-B UCITS ETF EUR (Dist)	36B1.DE / 36B1 GY	25%	✗	1%
iShares (EUR) Govt Bond 20yr Target Duration UCITS ETF EUR (Dist)	IS05.DE / IS05 GY	25%	✗	1%
iShares (EUR) Ultrashort Bond UCITS ETF EUR (Dist)	IS3M.DE / IS3M GY	10%	✗	0.3%
iShares Core DAX(r) UCITS ETF (DE)	GDAXIEX.DE / DAXEX GY	5%	✓	0.7%
iShares Core MSCI Pacific ex-Japan UCITS ETF USD (Acc)	SXR1.DE / SXR1 GY	10%	✗	0.3%
iShares Core S&P 500 UCITS ETF USD (Acc)	SXR8.DE / SXR8 GY	10%	✓	0.3%
iShares Dow Jones U.S. Select Dividend UCITS ETF (DE)	DJDVPEX.DE / DJDVPEX GY	10%	✗	0.3%
iShares EURO STOXX 50 UCITS ETF (DE)	STX50EEX.DE / SX5EEX GY	10%	✓	0.7%
iShares EURO STOXX 50 UCITS ETF EUR (Dist)	LSTLXX.DE / EUN2 GY	10%	✓	0.3%
iShares EURO STOXX 50 ex-Financials UCITS ETF EUR (Acc)	IUSR.DE / IUSR GY	25%	✗	1%
iShares EURO STOXX Banks 30-15 UCITS ETF (DE)	SX7EEX.DE / SX7EEX GY	10%	✓	0.7%
iShares EURO STOXX Select Dividend 30 UCITS ETF (DE)	SD3EEX.DE / SD3EEX GY	10%	✗	0.3%
iShares Edge MSCI Europe Momentum Factor UCITS ETF EUR (Acc)	CEMR.DE / CEMR GY	10%	✗	0.3%
iShares Edge MSCI Europe Multifactor UCITS ETF EUR (Acc)	IBC0.DE / IBC0 GY	10%	✗	0.3%
iShares Edge MSCI Europe Quality Factor UCITS ETF EUR (Acc)	CEMQ.DE / CEMQ GY	25%	✗	1%
iShares Edge MSCI Europe Size Factor UCITS ETF EUR (Acc)	CEMT.DE / CEMT GY	25%	✗	1%
iShares Edge MSCI Europe Value Factor UCITS ETF EUR (Acc)	CEMS.DE / CEMS GY	25%	✗	1%
iShares Edge MSCI USA Multifactor UCITS ETF USD (Acc)	IBCY.DE / IBCY GY	25%	✗	1%
iShares Edge MSCI World Momentum Factor UCITS ETF USD (Acc)	IS3R.DE / IS3R GY	10%	✗	0.3%
iShares Edge MSCI World Multifactor UCITS ETF USD (Acc)	IB CZ.DE / IBCZ GY	25%	✗	1%
iShares Edge MSCI World Size Factor UCITS ETF USD (Acc)	IS3T.DE / IS3T GY	25%	✗	1%
iShares Edge MSCI World Value Factor UCITS ETF USD (Acc)	IS3S.DE / IS3S GY	10%	✗	0.3%
iShares FTSE 100 UCITS ETF GBP (Acc)	SXRW.DE / SXRW GY	10%	✗	0.3%
iShares Global Govt Bond UCITS ETF USD (Dist)	EUN3.DE / EUN3 GY	10%	✗	0.3%
iShares JPX-Nikkei 400 EUR Hedged UCITS ETF (Acc)	36BY.DE / 36BY GY	25%	✗	1%
iShares MDAX(r) UCITS ETF (DE)	MDAXIEX.DE / MDAXEX GY	10%	✓	0.7%
iShares MSCI China A UCITS ETF USD (Acc)	36BZ.DE / 36BZ GY	25%	✗	1%
iShares MSCI EMU Large Cap UCITS ETF EUR (Acc)	IS3G.DE / IS3G GY	10%	✗	0.3%
iShares MSCI EMU Mid Cap UCITS ETF EUR (Acc)	IS3H.DE / IS3H GY	10%	✗	0.3%
iShares MSCI EMU USD Hedged UCITS ETF (Acc)	CEBP.DE / CEBP GY	25%	✗	1%
iShares MSCI France UCITS ETF EUR (Acc)	IS3U.DE / IS3U GY	25%	✗	1%
iShares MSCI USA Quality Dividend UCITS ETF USD (Dist)	QDVD.DE / QDVD GY	10%	✗	0.3%

iShares MSCI World UCITS ETF USD (Dist)	IQQW.DE / IQQW GY	10%	✘	0.7%
iShares Pfandbriefe UCITS ETF (DE)	R1JKEX.DE / R1JKEX GY	10%	✘	0.3%
iShares S&P 500 Consumer Discretionary Sector UCITS ETF USD (Acc)	QDVK.DE / QDVK GY	25%	✘	1%
iShares S&P 500 Financials Sector UCITS ETF USD (Acc)	QDVH.DE / QDVH GY	25%	✘	1%
iShares S&P 500 Health Care Sector UCITS ETF USD (Acc)	QDVG.DE / QDVG GY	25%	✘	1%
iShares STOXX Europe 600 Automobiles & Parts UCITS ETF (DE)	SXAPEX.DE / SXAPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Banks UCITS ETF (DE)	SX7PEX.DE / SX7PEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Basic Resources UCITS ETF (DE)	SXPPEX.DE / SXPPEX GY	10%	✘	0.7%
iShares STOXX Europe 600 Chemicals UCITS ETF (DE)	SX4PEX.DE / SX4PEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Construction & Materials UCITS ETF (DE)	SXOPEX.DE / SXOPEX GY	25%	✘	1%
iShares STOXX Europe 600 Financial Services UCITS ETF (DE)	SXFPEX.DE / SXFPEX GY	25%	✘	1%
iShares STOXX Europe 600 Food & Beverage UCITS ETF (DE)	SX3PEX.DE / SX3PEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Health Care UCITS ETF (DE)	SXDPEX.DE / SXDPEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Industrial Goods & Services UCITS ETF (DE)	SXNPEX.DE / SXNPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Insurance UCITS ETF (DE)	SXIPEX.DE / SXIPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Media UCITS ETF (DE)	SXMPEX.DE / SXMPEX GY	25%	✘	1%
iShares STOXX Europe 600 Oil & Gas UCITS ETF (DE)	SXEPEX.DE / SXEPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Personal & Household Goods UCITS ETF (DE)	SXQPEX.DE / SXQPEX GY	25%	✘	1%
iShares STOXX Europe 600 Real Estate UCITS ETF (DE)	SREEEX.DE / SREEEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Retail UCITS ETF (DE)	SXRPEX.DE / SXRPEX GY	25%	✘	1%
iShares STOXX Europe 600 Telecommunications UCITS ETF (DE)	SXKPEX.DE / SXKPEX GY	10%	✘	0.3%
iShares STOXX Europe 600 Travel & Leisure UCITS ETF (DE)	SXTPEX.DE / SXTPEX GY	25%	✘	1%
iShares STOXX Europe 600 UCITS ETF (DE)	STOXXIEX.DE / SXXPIEX GY	10%	✓	0.7%
iShares STOXX Europe 600 Utilities UCITS ETF (DE)	SX6PEX.DE / SX6PEX GY	10%	✘	0.3%
iShares STOXX Europe Select Dividend 30 UCITS ETF (DE)	SD3PEX.DE / SD3PEX GY	10%	✘	0.3%
iShares STOXX Global Select Dividend 100 UCITS ETF (DE)	SDGPEX.DE / SDGPEX GY	10%	✘	0.3%
iShares TA-25 Israel UCITS ETF USD (Acc)	QDVM.DE / QDVM GY	25%	✘	1%
iShares TecDAX(r) UCITS ETF (DE)	TECDAXEX.DE / TDXPEX GY	10%	✘	0.3%
iShares US Equity Buyback Achievers UCITS ETF USD (Acc)	CEM2.DE / CEM2 GY	25%	✘	1%
init innovation in traffic systems SE	IXXG.DE / IXX GY	25%	✘	0.7%
innogy SE	IGY.DE / IGY GY	10%	✓	1%
m-u-t AG	M7UG.DE / M7U GY	25%	✘	1%
mutare SA	MUXG.DE / MUX GY	25%	✘	1%
publity AG	PBYG.DE / PBY GY	25%	✓	1%
secunet Security Networks Aktiengesellschaft	YSNG.DE / YSN GY	25%	✘	1%
va-Q-tec AG	VQTG.DE / VQT GY	25%	✓	1%
zooplu SA	ZO1G.DE / ZO1 GY	15%	✓	1%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Wichtiger Hinweis: Die Spalte 'Möglichkeit short zu gehen' gibt ausschließlich an, ob es momentan Faktoren gibt (die uns bekannt sind), welche die

Short-Positionen für die betreffende Aktie untersagen. Wir geben keine Gewähr dafür, dass Sie auf jeglichen genannten Aktien Short gehen können. Des Weiteren kann eine zusätzliche Gebühr (eine Leihgebühr) erhoben werden, welche in der Zinsanpassung, die für Ihre Position gilt, beinhaltet ist. Um festzustellen, ob diese Gebühr zutrifft, rufen Sie bitte unsere Händler an, bevor Sie Ihre Handelsaktivitäten aufnehmen. Die Leihmöglichkeit und die Leihkosten können kurzfristig geändert werden.

Sollten Sie einen gewünschten Markt nicht finden, können wir diesen normalerweise innerhalb weniger Minuten für Sie hinzufügen. Kontaktieren Sie uns hierzu im Handel unter +49 800 181 8876

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

HONG KONG

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
361 Degrees International Limited	1361.HK / 1361 HK	20%	✓	0.3%
AAC Technologies Holdings Inc	2018.HK / 2018 HK	5%	✓	0.3%
AGTech Holdings Limited	8279.HK / 8279 HK	25%	✗	0.3%
AIA Group Limited	1299.HK / 1299 HK	5%	✓	0.3%
ANTA Sports Products Limited	2020.HK / 2020 HK	10%	✓	0.3%
APT Satellite Holdings Limited	1045.HK / 1045 HK	25%	✓	0.3%
ASM Pacific Technology Limited	0522.HK / 522 HK	10%	✓	0.3%
Agile Group Holdings Limited	3383.HK / 3383 HK	10%	✓	0.3%
Agricultural Bank of China Limited	1288.HK / 1288 HK	10%	✓	0.3%
Air China Limited	0753.HK / 753 HK	10%	✓	0.3%
Ajisen (China) Holdings Limited	0538.HK / 538 HK	25%	✓	0.3%
Alibaba Health Information Technology Limited	0241.HK / 241 HK	10%	✓	0.3%
Alibaba Pictures Group Limited	1060.HK / 1060 HK	10%	✓	0.3%
Aluminum Corporation of China Limited	2600.HK / 2600 HK	10%	✓	0.3%
Angang Steel Company Limited	0347.HK / 347 HK	10%	✓	0.3%
Anhui Conch Cement Company Limited	0914.HK / 914 HK	10%	✓	0.3%
Asian Citrus Holdings Limited	0073.HK / 73 HK	25%	✗	1%
Ausnutria Dairy Corporation Limited	1717.HK / 1717 HK	25%	✓	0.3%
AviChina Industry & Technology Company Limited	2357.HK / 2357 HK	15%	✓	0.3%
BAIC Motor Corporation Limited	1958.HK / 1958 HK	10%	✓	0.3%
BBMG Corporation	2009.HK / 2009 HK	10%	✓	0.3%
BOC Aviation Limited	2588.HK / 2588 HK	15%	✓	0.3%
BOC Hong Kong (Holdings) Limited	2388.HK / 2388 HK	5%	✓	0.3%
BYD Company Limited	1211.HK / 1211 HK	10%	✓	0.3%
BYD Electronic (International) Company Limited	0285.HK / 285 HK	10%	✓	0.3%
Bank of China Limited	3988.HK / 3988 HK	5%	✓	0.3%
Bank of Chongqing Company Limited	1963.HK / 1963 HK	25%	✓	0.3%
Bank of Communications Company Limited	3328.HK / 3328 HK	5%	✓	0.3%
Bank of Jinzhou Company Limited	0416.HK / 416 HK	25%	✗	0.3%
Beijing Capital International Airport Company Limited	0694.HK / 694 HK	10%	✓	0.3%
Beijing Enterprises Holdings Limited	0392.HK / 392 HK	10%	✓	0.3%
Beijing Enterprises Water Group Limited	0371.HK / 371 HK	10%	✓	0.3%
Beijing Jingneng Clean Energy Company Limited	0579.HK / 579 HK	20%	✓	0.3%
Bosideng International Holdings Limited	3998.HK / 3998 HK	25%	✓	0.3%
Brightoil Petroleum (Holdings) Limited	0933.HK / 933 HK	25%	✗	0.3%
Brilliance China Automotive Holdings Limited	1114.HK / 1114 HK	10%	✓	0.3%
C C Land Holdings Limited	1224.HK / 1224 HK	25%	✓	0.3%
C.P. Pokphand Company Limited	0043.HK / 43 HK	25%	✓	0.3%
CAR Inc	0699.HK / 699 HK	15%	✓	0.3%
CGN Power Company Limited	1816.HK / 1816 HK	10%	✓	0.3%
CIFI Holdings (Group) Company Limited	0884.HK / 884 HK	10%	✗	0.3%
CIMC Enric Holdings Limited	3899.HK / 3899 HK	20%	✓	0.3%
CITIC Limited	0267.HK / 267 HK	10%	✓	0.3%

CITIC Resources Holdings Limited	1205.HK / 1205 HK	25%	✓	0.3%
CITIC Securities Company Limited	6030.HK / 6030 HK	10%	✓	0.3%
CK Asset Holdings Limited	1113.HK / 1113 HK	10%	✓	0.3%
CK Hutchison Holdings Limited	0001.HK / 1 HK	5%	✓	0.3%
CK Infrastructure Holdings Limited	1038.HK / 1038 HK	10%	✓	0.3%
CLP Holdings Limited	0002.HK / 2 HK	5%	✓	0.3%
CNOOC Limited	0883.HK / 883 HK	5%	✓	0.3%
COSCO SHIPPING Development Company Limited	2866.HK / 2866 HK	15%	✓	0.3%
COSCO SHIPPING Energy Transportation Company Limited	1138.HK / 1138 HK	15%	✓	0.3%
COSCO SHIPPING Holdings Company Limited	1919.HK / 1919 HK	10%	✗	0.3%
COSCO SHIPPING Ports Limited	1199.HK / 1199 HK	10%	✓	0.3%
CRRC Corporation Limited	1766.HK / 1766 HK	10%	✓	0.3%
CSI Properties Limited	0497.HK / 497 HK	25%	✓	0.3%
CSPC Pharmaceutical Group Limited	1093.HK / 1093 HK	10%	✓	0.3%
CSSC Offshore & Marine Engineering (Group) Company Limited	0317.HK / 317 HK	20%	✗	0.3%
CT Environmental Group Limited	1363.HK / 1363 HK	20%	☐	0.3%
Cafe de Coral Holdings Limited	0341.HK / 341 HK	20%	✓	0.3%
Cathay Pacific Airways Limited	0293.HK / 293 HK	10%	✓	0.3%
Champion Real Estate Investment Trust	2778.HK / 2778 HK	15%	✓	0.3%
Chaowei Power Holdings Limited	0951.HK / 951 HK	20%	✗	0.3%
China Aerospace International Holdings Limited	0031.HK / 31 HK	25%	✓	0.3%
China Agri-Industries Holdings Limited	0606.HK / 606 HK	15%	✓	0.3%
China Aircraft Leasing Group Holdings Limited	1848.HK / 1848 HK	20%	✓	0.3%
China All Access (Holdings) Limited	0633.HK / 633 HK	25%	✗	0.3%
China Animal Healthcare Limited	0940.HK / 940 HK	25%	✗	0.3%
China BlueChemical Limited	3983.HK / 3983 HK	20%	✓	0.3%
China CITIC Bank Corporation Limited	0998.HK / 998 HK	10%	✓	0.3%
China Cinda Asset Management Company Limited	1359.HK / 1359 HK	10%	✓	0.3%
China Coal Energy Company Limited	1898.HK / 1898 HK	10%	✓	0.3%
China Communications Construction Company Limited	1800.HK / 1800 HK	10%	✓	0.3%
China Communications Services Corporation Limited	0552.HK / 552 HK	15%	✓	0.3%
China Conch Venture Holdings Limited	0586.HK / 586 HK	15%	✓	0.3%
China Construction Bank Corporation	0939.HK / 939 HK	5%	✓	0.3%
China Dongxiang (Group) Company Limited	3818.HK / 3818 HK	20%	✓	0.3%
China Eastern Airlines Corporation Limited	0670.HK / 670 HK	15%	✓	0.3%
China Everbright Bank Company Limited	6818.HK / 6818 HK	15%	✓	0.3%
China Everbright Greentech Limited	1257.HK / 1257 HK	20%	✓	0.3%
China Everbright International Limited	0257.HK / 257 HK	10%	✓	0.3%
China Everbright Limited	0165.HK / 165 HK	10%	✓	0.3%
China Evergrande Group	3333.HK / 3333 HK	10%	✓	0.3%
China First Capital Group Limited	1269.HK / 1269 HK	20%	✗	0.3%
China Foods Limited	0506.HK / 506 HK	15%	✓	0.3%
China Galaxy Securities Company Limited	6881.HK / 6881 HK	10%	✓	0.3%
China Gas Holdings Limited	0384.HK / 384 HK	10%	✓	0.3%
China High Speed Transmission Equipment Group Company Limited	0658.HK / 658 HK	15%	✗	0.3%
China Hongqiao Group Limited	1378.HK / 1378 HK	20%	✗	0.3%
China Huarong Asset Management Company Limited	2799.HK / 2799 HK	10%	✗	0.3%
China International Capital Corporation Limited	3908.HK / 3908 HK	10%	✓	0.3%
China International Marine Containers (Group) Company Limited	2039.HK / 2039 HK	20%	✓	0.3%
China Jinmao Holdings Group Limited	0817.HK / 817 HK	10%	✓	0.3%
China LNG Group Limited	0931.HK / 931 HK	25%	✗	0.3%

China Lesso Group Holdings Limited	2128.HK / 2128 HK	20%	✓	0.3%
China Life Insurance Company Limited	2628.HK / 2628 HK	5%	✓	0.3%
China Lilang Limited	1234.HK / 1234 HK	25%	✓	0.3%
China Literature Limited	0772.HK / 772 HK	10%	✗	0.3%
China Longyuan Power Group Corporation Limited	0916.HK / 916 HK	10%	✓	0.3%
China Maple Leaf Educational Systems Limited	1317.HK / 1317 HK	10%	✓	0.3%
China Mengniu Dairy Company Limited	2319.HK / 2319 HK	5%	✓	0.3%
China Merchants Bank Company Limited	3968.HK / 3968 HK	10%	✓	0.3%
China Merchants Port Holdings Company Limited	0144.HK / 144 HK	10%	✓	0.3%
China Merchants Securities Company Limited	6099.HK / 6099 HK	25%	✗	0.3%
China Minsheng Banking Corporation Limited	1988.HK / 1988 HK	10%	✓	0.3%
China Mobile Limited	0941.HK / 941 HK	5%	✓	0.3%
China Modern Dairy Holdings Limited	1117.HK / 1117 HK	25%	✓	0.3%
China Molybdenum Company Limited	3993.HK / 3993 HK	10%	✓	0.3%
China National Building Material Company Limited	3323.HK / 3323 HK	10%	✓	0.3%
China New Higher Education Group Limited	2001.HK / 2001 HK	15%	✗	0.3%
China Oil And Gas Group Limited	0603.HK / 603 HK	25%	✓	0.3%
China Oilfield Services Limited	2883.HK / 2883 HK	10%	✓	0.3%
China Oriental Group Company Limited	0581.HK / 581 HK	15%	✓	0.3%
China Overseas Land & Investment Limited	0688.HK / 688 HK	5%	✓	0.3%
China Overseas Property Holdings Limited	2669.HK / 2669 HK	15%	✓	0.3%
China Pacific Insurance (Group) Company Limited	2601.HK / 2601 HK	10%	✓	0.3%
China Petroleum & Chemical Corporation	0386.HK / 386 HK	5%	✓	0.3%
China Power Clean Energy Development Company Limited	0735.HK / 735 HK	20%	✓	0.3%
China Power International Development Limited	2380.HK / 2380 HK	10%	✓	0.3%
China Railway Construction Corporation Limited	1186.HK / 1186 HK	10%	✓	0.3%
China Railway Group Limited	0390.HK / 390 HK	10%	✓	0.3%
China Railway Signal & Communication Corporation Limited	3969.HK / 3969 HK	20%	✓	0.3%
China Reinsurance (Group) Corporation	1508.HK / 1508 HK	20%	✓	0.3%
China Resources Beer (Holdings) Company Limited	0291.HK / 291 HK	10%	✓	0.3%
China Resources Cement Holdings Limited	1313.HK / 1313 HK	10%	✓	0.3%
China Resources Gas Group Limited	1193.HK / 1193 HK	10%	✓	0.3%
China Resources Land Limited	1109.HK / 1109 HK	5%	✓	0.3%
China Resources Pharmaceutical Group Limited	3320.HK / 3320 HK	10%	✗	0.3%
China Resources Power Holdings Company Limited	0836.HK / 836 HK	10%	✓	0.3%
China Shanshui Cement Group Limited	0691.HK / 691 HK	15%	✗	0.3%
China Shenhua Energy Company Limited	1088.HK / 1088 HK	5%	✓	0.3%
China Singyes Solar Technologies Holdings Limited	0750.HK / 750 HK	25%	✓	0.3%
China South City Holdings Limited	1668.HK / 1668 HK	10%	✓	0.3%
China Southern Airlines Company Limited	1055.HK / 1055 HK	10%	✓	0.3%
China State Construction International Holdings Limited	3311.HK / 3311 HK	10%	✓	0.3%
China Suntien Green Energy Corporation Limited	0956.HK / 956 HK	20%	✓	0.3%
China Taiping Insurance Holdings Company Limited	0966.HK / 966 HK	10%	✓	0.3%
China Telecom Corporation Limited	0728.HK / 728 HK	10%	✓	0.3%
China Travel International Investment Hong Kong Limited	0308.HK / 308 HK	15%	✓	0.3%
China Unicom (Hong Kong) Limited	0762.HK / 762 HK	5%	✓	0.3%
China Vanke Company Limited	2202.HK / 2202 HK	10%	✓	0.3%
China Water Affairs Group Limited	0855.HK / 855 HK	15%	✓	0.3%
China YuHua Education Corporation Limited	6169.HK / 6169 HK	10%	✗	0.3%
China Yurun Food Group Limited	1068.HK / 1068 HK	25%	✓	0.3%
China Zhengtong Auto Services Holdings Limited	1728.HK / 1728 HK	10%	✓	0.3%
China Zhongwang Holdings Limited	1333.HK / 1333 HK	15%	✗	0.3%

ChinaAMC ETF Series - ChinaAMC CSI 300 Index ETF	3188.HK / 3188 HK	20%	✗	0.3%
Chinasoft International Limited	0354.HK / 354 HK	10%	✓	0.3%
Chinese Estates Holdings Limited	0127.HK / 127 HK	15%	✓	0.3%
Chong Hing Bank Limited	1111.HK / 1111 HK	25%	✓	0.3%
Chong Sing Holdings FinTech Group Limited	8207.HK / 8207 HK	15%	✗	0.3%
Chongqing Rural Commercial Bank Company Limited	3618.HK / 3618 HK	15%	✓	0.3%
Chow Sang Sang Holdings International Limited	0116.HK / 116 HK	25%	✓	0.3%
Chow Tai Fook Jewellery Group Limited	1929.HK / 1929 HK	15%	✓	0.3%
Cogobuy Group	0400.HK / 400 HK	10%	✗	0.3%
Colour Life Services Group Company Limited	1778.HK / 1778 HK	25%	✓	0.3%
Comba Telecom Systems Holdings Limited	2342.HK / 2342 HK	20%	✓	0.3%
Coolpad Group Limited	2369.HK / 2369 HK	25%	✗	0.3%
Cosmo Lady (China) Holdings Company Limited	2298.HK / 2298 HK	25%	✗	0.3%
Country Garden Holdings Company Limited	2007.HK / 2007 HK	10%	✓	0.3%
Country Garden Services Holdings Company Limited	6098.HK / 6098 HK	25%	✗	0.3%
Dah Sing Banking Group Limited	2356.HK / 2356 HK	20%	✓	0.3%
Dah Sing Financial Holdings Limited	0440.HK / 440 HK	20%	✓	0.3%
Dali Foods Group Company Limited	3799.HK / 3799 HK	10%	✗	0.3%
Dalian Port (PDA) Company Limited	2880.HK / 2880 HK	25%	✓	0.3%
Datang International Power Generation Company Limited	0991.HK / 991 HK	10%	✓	0.3%
Digital China Holdings Limited	0861.HK / 861 HK	20%	✗	0.3%
Dongfang Electric Corporation Limited	1072.HK / 1072 HK	20%	✓	0.3%
Dongfeng Motor Group Company Limited	0489.HK / 489 HK	10%	✓	0.3%
Dongjiang Environmental Company Limited	0895.HK / 895 HK	25%	✓	0.3%
Dongyue Group Limited	0189.HK / 189 HK	10%	✗	0.3%
ENN Energy Holdings Limited	2688.HK / 2688 HK	10%	✓	0.3%
Emperor Capital Group Limited	0717.HK / 717 HK	25%	✓	0.3%
Esprit Holdings Limited	0330.HK / 330 HK	15%	✓	0.3%
Everbright Securities Company Limited	6178.HK / 6178 HK	25%	✗	0.3%
Evergrande Health Industry Group Limited	0708.HK / 708 HK	15%	✗	0.3%
FDG Electric Vehicles Limited	0729.HK / 729 HK	20%	✗	0.3%
FIH Mobile Limited	2038.HK / 2038 HK	20%	✓	0.3%
Far East Horizon Limited	3360.HK / 3360 HK	20%	✓	0.3%
First Pacific Company Limited	0142.HK / 142 HK	20%	✓	0.3%
Fosun International Limited	0656.HK / 656 HK	10%	✓	0.3%
Fu Shou Yuan International Group Limited	1448.HK / 1448 HK	20%	✓	0.3%
Fullshare Holdings Limited	0607.HK / 607 HK	10%	✗	0.3%
Fuyao Glass Industry Group Company Limited	3606.HK / 3606 HK	10%	✓	0.3%
GCL-Poly Energy Holdings Limited	3800.HK / 3800 HK	10%	✓	0.3%
GF Securities Company Limited	1776.HK / 1776 HK	10%	✓	0.3%
GOME Retail Holdings Limited	0493.HK / 493 HK	10%	✓	0.3%
Galaxy Entertainment Group Limited	0027.HK / 27 HK	5%	✓	0.3%
Geely Automobile Holdings Limited	0175.HK / 175 HK	10%	✓	0.3%
Genscript Biotech Corporation	1548.HK / 1548 HK	25%	✗	0.3%
Genting Hong Kong Limited	0678.HK / 678 HK	25%	✗	0.3%
Giordano International Limited	0709.HK / 709 HK	25%	✓	0.3%
Glencore PLC	0805.HK / 805 HK	5%	✗	0.3%
Global Brands Group Holding Limited	0787.HK / 787 HK	15%	✓	0.3%
Golden Eagle Retail Group Limited	3308.HK / 3308 HK	25%	✓	0.3%
Goodbaby International Holdings Limited	1086.HK / 1086 HK	20%	✓	0.3%
Great Eagle Holdings Limited	0041.HK / 41 HK	25%	✓	0.3%
Great Wall Motor Company Limited	2333.HK / 2333 HK	10%	✓	0.3%
Greentown China Holdings Limited	3900.HK / 3900 HK	10%	✓	0.3%

Guangdong Investment Limited	0270.HK / 270 HK	10%	✓	0.3%
Guangshen Railway Company Limited	0525.HK / 525 HK	20%	✓	0.3%
Guangzhou Automobile Group Company Limited	2238.HK / 2238 HK	10%	✓	0.3%
Guangzhou R&F Properties Company Limited	2777.HK / 2777 HK	10%	✓	0.3%
Guotai Junan Securities Company Limited	2611.HK / 2611 HK	25%	✗	0.3%
HC International Inc	2280.HK / 2280 HK	20%	✗	0.3%
HK Electric Investments and HK Electric Investments Limited	2638.HK / 2638 HK	15%	✗	0.3%
HKR International Limited	0480.HK / 480 HK	25%	✓	0.3%
HKT Trust and HKT Limited	6823.HK / 6823 HK	10%	✓	0.3%
HSBC Holdings PLC	0005.HK / 5 HK	5%	✓	0.3%
Haier Electronics Group Company Limited	1169.HK / 1169 HK	10%	✓	0.3%
Haitong International Securities Group Limited	0665.HK / 665 HK	10%	✓	0.3%
Haitong Securities Company Limited	6837.HK / 6837 HK	10%	✓	0.3%
Hang Lung Group Limited	0010.HK / 10 HK	20%	✓	0.3%
Hang Lung Properties Limited	0101.HK / 101 HK	10%	✓	0.3%
Hang Seng Bank Limited	0011.HK / 11 HK	5%	✓	0.3%
Hang Seng China Enterprises Index ETF	2828.HK / 2828 HK	10%	✗	0.3%
Harvest Funds (Hong Kong) ETF - Harvest MSCI China A Index ETF	3118.HK / 3118 HK	25%	✗	0.3%
Health and Happiness (H&H) International Holdings Limited	1112.HK / 1112 HK	10%	☐	0.3%
Henderson Land Development Company Limited	0012.HK / 12 HK	5%	✓	0.3%
HengTen Networks Group Limited	0136.HK / 136 HK	15%	✗	0.3%
Hengan International Group Company Limited	1044.HK / 1044 HK	5%	✓	0.3%
Hi Sun Technology (China) Limited	0818.HK / 818 HK	25%	✓	0.3%
Hisense Kelon Electrical Holdings Company Limited	0921.HK / 921 HK	20%	✓	0.3%
Honbridge Holdings Limited	8137.HK / 8137 HK	25%	✗	0.3%
Hong Kong Aircraft Engineering Company Limited	0044.HK / 44 HK	25%	✓	0.3%
Hong Kong Exchanges and Clearing Limited	0388.HK / 388 HK	5%	✓	0.3%
Hopewell Highway Infrastructure Limited	0737.HK / 737 HK	25%	✗	0.3%
Hopewell Holdings Limited	0054.HK / 54 HK	25%	✓	0.3%
Hopson Development Holdings Limited	0754.HK / 754 HK	25%	✓	0.3%
Huabao International Holdings Limited	0336.HK / 336 HK	20%	✓	0.3%
Huaneng Power International Inc	0902.HK / 902 HK	10%	✓	0.3%
Huaneng Renewables Corporation Limited	0958.HK / 958 HK	10%	✓	0.3%
Huatai Securities Company Limited	6886.HK / 6886 HK	10%	✓	0.3%
Huayi Tencent Entertainment Company Limited	0419.HK / 419 HK	15%	✗	0.3%
Hutchison Telecommunications Hong Kong Holdings Limited	0215.HK / 215 HK	15%	✓	0.3%
Hysan Development Company Limited	0014.HK / 14 HK	15%	✓	0.3%
IGG Inc	0799.HK / 799 HK	10%	✓	0.3%
IMAX China Holding Inc	1970.HK / 1970 HK	15%	✓	0.3%
Industrial and Commercial Bank of China Limited	1398.HK / 1398 HK	5%	✓	0.3%
Jiangsu Expressway Company Limited	0177.HK / 177 HK	15%	✓	0.3%
Jiangxi Copper Company Limited	0358.HK / 358 HK	10%	✓	0.3%
Jinchuan Group International Resources Company Limited	2362.HK / 2362 HK	25%	✗	0.3%
Johnson Electric Holdings Limited	0179.HK / 179 HK	15%	✓	0.3%
K. Wah International Holdings Limited	0173.HK / 173 HK	20%	✓	0.3%
KWG Property Holding Limited	1813.HK / 1813 HK	10%	✓	0.3%
Kerry Properties Limited	0683.HK / 683 HK	10%	✓	0.3%
Kingboard Chemical Holdings Limited	0148.HK / 148 HK	10%	✓	0.3%
Kingboard Laminates Holdings Limited	1888.HK / 1888 HK	10%	✓	0.3%
Kingdee International Software Group Company Limited	0268.HK / 268 HK	10%	✓	0.3%

Kingsoft Corporation Limited	3888.HK / 3888 HK	10%	✓	0.3%
Kingston Financial Group Limited	1031.HK / 1031 HK	10%	✗	0.3%
Kowloon Development Company Limited	0034.HK / 34 HK	25%	✓	0.3%
KuangChi Science Limited	0439.HK / 439 HK	20%	✗	0.3%
Kunlun Energy Company Limited	0135.HK / 135 HK	10%	✓	0.3%
L'Occitane International SA	0973.HK / 973 HK	25%	✓	0.3%
Landing International Development Limited	0582.HK / 582 HK	15%	✗	0.3%
Lee and Man Paper Manufacturing Limited	2314.HK / 2314 HK	10%	✓	0.3%
Legend Holdings Corporation	3396.HK / 3396 HK	15%	✓	0.3%
Lenovo Group Limited	0992.HK / 992 HK	5%	✓	0.3%
Leyou Technologies Holdings Limited	1089.HK / 1089 HK	20%	✗	0.3%
Li & Fung Limited	0494.HK / 494 HK	10%	✓	0.3%
Li Ning Company Limited	2331.HK / 2331 HK	10%	✓	0.3%
Lifestyle International Holdings Limited	1212.HK / 1212 HK	25%	✗	0.3%
Link Real Estate Investment Trust	0823.HK / 823 HK	5%	✓	0.3%
Liu Chong Hing Investment Limited	0194.HK / 194 HK	25%	✓	0.3%
Livzon Pharmaceutical Group Inc	1513.HK / 1513 HK	15%	✓	0.3%
Logan Property Holdings Company Limited	3380.HK / 3380 HK	10%	✓	0.3%
Longfor Properties Company Limited	0960.HK / 960 HK	10%	✗	0.3%
Lonking Holdings Limited	3339.HK / 3339 HK	15%	✓	0.3%
Luk Fook Holdings (International) Limited	0590.HK / 590 HK	15%	✓	0.3%
Luye Pharma Group Limited	2186.HK / 2186 HK	10%	✗	0.3%
MGM China Holdings Limited	2282.HK / 2282 HK	10%	✓	0.3%
MMG Limited	1208.HK / 1208 HK	10%	✓	0.3%
MTR Corporation Limited	0066.HK / 66 HK	5%	✓	0.3%
Maanshan Iron & Steel Company Limited	0323.HK / 323 HK	10%	✗	0.3%
Man Wah Holdings Limited	1999.HK / 1999 HK	10%	✗	0.3%
Manulife Financial Corporation	0945.HK / 945 HK	5%	✗	0.3%
Meitu Inc	1357.HK / 1357 HK	10%	✗	0.3%
Melco International Development Limited	0200.HK / 200 HK	10%	✓	0.3%
Metallurgical Corporation of China Limited	1618.HK / 1618 HK	15%	✓	0.3%
Minth Group Limited	0425.HK / 425 HK	10%	✓	0.3%
NWS Holdings Limited	0659.HK / 659 HK	15%	✓	0.3%
Naga Corporation Limited	3918.HK / 3918 HK	15%	✓	0.3%
New China Life Insurance Company Limited	1336.HK / 1336 HK	10%	✓	0.3%
New World Development Company Limited	0017.HK / 17 HK	5%	✓	0.3%
Nexteer Automotive Group Limited	1316.HK / 1316 HK	10%	✓	0.3%
Nine Dragons Paper (Holdings) Limited	2689.HK / 2689 HK	10%	✓	0.3%
North Mining Shares Company Limited	0433.HK / 433 HK	25%	✗	0.3%
Orient Overseas (International) Limited	0316.HK / 316 HK	10%	✓	0.3%
Orient Securities Company Limited	3958.HK / 3958 HK	15%	✗	0.3%
PAX Global Technology Limited	0327.HK / 327 HK	20%	✓	0.3%
PCCW Limited	0008.HK / 8 HK	15%	✓	0.3%
PICC Property and Casualty Company Limited	2328.HK / 2328 HK	10%	✓	0.3%
Pacific Basin Shipping Limited	2343.HK / 2343 HK	15%	✓	0.3%
Pacific Textiles Holdings Limited	1382.HK / 1382 HK	20%	✓	0.3%
Panda Green Energy Group Limited	0686.HK / 686 HK	15%	✗	0.3%
PetroChina Company Limited	0857.HK / 857 HK	5%	✓	0.3%
Ping An Healthcare and Technology Co Ltd	1833.HK / 1833 HK	15%	✗	0.3%
Ping An Insurance (Group) Company of China Limited	2318.HK / 2318 HK	5%	✓	0.3%
Poly Property Group Company Limited	0119.HK / 119 HK	15%	✓	0.3%
Postal Savings Bank of China Company Limited	1658.HK / 1658 HK	10%	✓	0.3%
Pou Sheng International (Holdings) Limited	3813.HK / 3813 HK	20%	✓	0.3%
Power Assets Holdings Limited	0006.HK / 6 HK	5%	✓	0.3%

Premia CSI Caixin China Bedrock Economy ETF	2803.HK / 2803 HK	25%	✗	0.3%
Premia CSI Caixin China New Economy ETF	3173.HK / 3173 HK	25%	✗	0.3%
Razer Inc	1337.HK / 1337 HK	10%	☐	0.3%
Regina Miracle International (Holdings) Limited	2199.HK / 2199 HK	20%	✗	0.3%
Renhe Commercial Holdings Company Limited	1387.HK / 1387 HK	25%	✗	0.3%
SITC International Holdings Company Limited	1308.HK / 1308 HK	20%	✓	0.3%
SJM Holdings Limited	0880.HK / 880 HK	10%	✓	0.3%
SOHO China Limited	0410.HK / 410 HK	10%	✓	0.3%
SPDR Gold Shares (HK)	2840.HK / 2840 HK	5%	✗	0.3%
Sa Sa International Holdings Limited	0178.HK / 178 HK	20%	✓	0.3%
Samsonite International SA	1910.HK / 1910 HK	10%	✓	0.3%
Sands China Limited	1928.HK / 1928 HK	5%	✓	0.3%
Semiconductor Manufacturing International Corporation	0981.HK / 981 HK	10%	✓	0.3%
Shandong Weigao Group Medical Polymer Company Limited	1066.HK / 1066 HK	20%	✓	0.3%
Shanghai Electric Group Company Limited	2727.HK / 2727 HK	10%	✗	0.3%
Shanghai Fosun Pharmaceutical (Group) Company Limited	2196.HK / 2196 HK	10%	✓	0.3%
Shanghai Industrial Holdings Limited	0363.HK / 363 HK	15%	✓	0.3%
Shanghai Pharmaceuticals Holding Company Limited	2607.HK / 2607 HK	10%	✓	0.3%
Shangri-La Asia Limited	0069.HK / 69 HK	15%	✓	0.3%
Shengjing Bank Company Limited	2066.HK / 2066 HK	25%	✓	0.3%
Shenzhen Expressway Company Limited	0548.HK / 548 HK	20%	✓	0.3%
Shenzhen International Holdings Limited	0152.HK / 152 HK	10%	✓	0.3%
Shenzhen Investment Limited	0604.HK / 604 HK	15%	✓	0.3%
Shenzhou International Group Holdings Limited	2313.HK / 2313 HK	10%	✗	0.3%
Shimao Property Holdings Limited	0813.HK / 813 HK	10%	✓	0.3%
Shougang Fushan Resources Group Limited	0639.HK / 639 HK	20%	✓	0.3%
Shui On Land Limited	0272.HK / 272 HK	15%	✓	0.3%
Shun Tak Holdings Limited	0242.HK / 242 HK	20%	✓	0.3%
Silver Grant International Industries Limited	0171.HK / 171 HK	25%	✓	0.3%
Singamas Container Holdings Limited	0716.HK / 716 HK	20%	✓	0.3%
Sino Biopharmaceutical Limited	1177.HK / 1177 HK	10%	✓	0.3%
Sino Land Company Limited	0083.HK / 83 HK	10%	✓	0.3%
Sino-Ocean Group Holding Limited	3377.HK / 3377 HK	10%	✓	0.3%
Sinofert Holdings Limited	0297.HK / 297 HK	20%	✓	0.3%
Sinopec Shanghai Petrochemical Company Limited	0338.HK / 338 HK	10%	✓	0.3%
Sinopharm Group Company Limited	1099.HK / 1099 HK	10%	✓	0.3%
Sinotrans Limited	0598.HK / 598 HK	10%	✓	0.3%
Sinotrans Shipping Limited	0368.HK / 368 HK	20%	✓	0.3%
Sinotruk (Hong Kong) Limited	3808.HK / 3808 HK	15%	✓	0.3%
Skyfame Realty (Holdings) Limited	0059.HK / 59 HK	20%	✗	0.3%
Skyworth Digital Holdings Limited	0751.HK / 751 HK	15%	✓	0.3%
SmarTone Telecommunications Holdings Limited	0315.HK / 315 HK	25%	✓	0.3%
Sound Global Limited	0967.HK / 967 HK	25%	✗	0.3%
Standard Chartered PLC	2888.HK / 2888 HK	5%	✓	0.3%
Stella International Holdings Limited	1836.HK / 1836 HK	25%	✓	0.3%
Sun Art Retail Group Limited	6808.HK / 6808 HK	10%	✓	0.3%
Sun Hung Kai & Company Limited	0086.HK / 86 HK	25%	✓	0.3%
Sun Hung Kai Properties Limited	0016.HK / 16 HK	5%	✓	0.3%
Sunac China Holdings Limited	1918.HK / 1918 HK	10%	✓	0.3%
Sunlight Real Estate Investment Trust	0435.HK / 435 HK	25%	✓	0.3%
Sunny Optical Technology (Group) Company Limited	2382.HK / 2382 HK	10%	✓	0.3%
Swire Pacific Limited	0019.HK / 19 HK	10%	✓	0.3%

Swire Pacific Limited	0087.HK / 87 HK	20%	✗	0.3%
Swire Properties Limited	1972.HK / 1972 HK	10%	✗	0.3%
TCL Multimedia Technology Holdings Limited	1070.HK / 1070 HK	25%	✓	0.3%
Techtronic Industries Company Limited	0669.HK / 669 HK	10%	✓	0.3%
Television Broadcasts Limited	0511.HK / 511 HK	20%	✓	0.3%
Tencent Holdings Limited	0700.HK / 700 HK	5%	✓	0.3%
Tenfu (Cayman) Holdings Company Limited	6868.HK / 6868 HK	25%	☐	0.3%
Texhong Textile Group Limited	2678.HK / 2678 HK	25%	✓	0.3%
Texwinca Holdings Limited	0321.HK / 321 HK	25%	✓	0.3%
The Bank of East Asia Limited	0023.HK / 23 HK	10%	✓	0.3%
The Hong Kong and China Gas Company Limited	0003.HK / 3 HK	10%	✓	0.3%
The Hongkong and Shanghai Hotels Limited	0045.HK / 45 HK	15%	✓	0.3%
The People's Insurance Company (Group) of China Limited	1339.HK / 1339 HK	10%	✓	0.3%
Tiangong International Company Limited	0826.HK / 826 HK	25%	✓	0.3%
Tianjin Development Holdings Limited	0882.HK / 882 HK	25%	✓	0.3%
Tianjin Port Development Holdings Limited	3382.HK / 3382 HK	25%	✓	0.3%
Tianneng Power International Limited	0819.HK / 819 HK	15%	✓	0.3%
Tibet Water Resources Limited	1115.HK / 1115 HK	20%	✗	0.3%
Tingyi (Cayman Islands) Holding Corporation	0322.HK / 322 HK	10%	✓	0.3%
Tong Ren Tang Technologies Company Limited	1666.HK / 1666 HK	15%	✓	0.3%
Tongda Group Holdings Limited	0698.HK / 698 HK	10%	✓	0.3%
Towngas China Company Limited	1083.HK / 1083 HK	20%	✓	0.3%
Tracker Fund of Hong Kong	2800.HK / 2800 HK	5%	✗	0.3%
Transport International Holdings Limited	0062.HK / 62 HK	25%	✓	0.3%
TravelSky Technology Limited	0696.HK / 696 HK	10%	✓	0.3%
Truly International Holdings Limited	0732.HK / 732 HK	10%	✗	0.3%
Tsingtao Brewery Company Limited	0168.HK / 168 HK	15%	✓	0.3%
Uni-President China Holdings Limited	0220.HK / 220 HK	15%	✓	0.3%
United Energy Group Limited	0467.HK / 467 HK	25%	☐	0.3%
Value Partners Group Limited	0806.HK / 806 HK	10%	✓	0.3%
Vitasoy International Holdings Limited	0345.HK / 345 HK	20%	✓	0.3%
Viva China Holdings Limited	8032.HK / 8032 HK	25%	✓	0.3%
Vtech Holdings Limited	0303.HK / 303 HK	15%	✓	0.3%
W.I.S.E. - CSI 300 China Tracker	2827.HK / 2827 HK	15%	✗	0.3%
WH Group Limited	0288.HK / 288 HK	10%	✓	0.3%
Want Want China Holdings Limited	0151.HK / 151 HK	10%	✓	0.3%
Wasion Group Holdings Limited	3393.HK / 3393 HK	25%	✓	0.3%
We Solutions Limited	0860.HK / 860 HK	20%	✗	0.3%
Weichai Power Company Limited	2338.HK / 2338 HK	10%	✓	0.3%
West China Cement Limited	2233.HK / 2233 HK	20%	✓	0.3%
Wharf (Holdings) Limited	0004.HK / 4 HK	25%	✓	0.3%
Wharf Real Estate Investment Company Limited	1997.HK / 1997 HK	25%	✓	0.3%
Wheelock and Company Limited	0020.HK / 20 HK	10%	✓	0.3%
WuXi Biologics (Cayman) Inc	2269.HK / 2269 HK	10%	✗	0.3%
Wynn Macau Limited	1128.HK / 1128 HK	10%	✓	0.3%
Xiabuxiabu Catering Management (China) Holdings Company Limited	0520.HK / 520 HK	15%	✓	0.3%
Xin Point Holdings Limited	1571.HK / 1571 HK	20%	✗	0.3%
Xingda International Holdings Limited	1899.HK / 1899 HK	25%	✓	0.3%
Xinjiang Goldwind Science & Technology Company Limited	2208.HK / 2208 HK	15%	✗	0.3%
Xinyi Glass Holdings Limited	0868.HK / 868 HK	10%	✓	0.3%
Xinyi Solar Holdings Limited	0968.HK / 968 HK	10%	✓	0.3%
Yangtze Optical Fibre And Cable Joint Stock Limited				

Company	6869.HK / 6869 HK	10%	✓	0.3%
Yanzhou Coal Mining Company Limited	1171.HK / 1171 HK	10%	✓	0.3%
Yestar Healthcare Holdings Company Limited	2393.HK / 2393 HK	25%	✗	0.3%
YiChang HEC ChangJiang Pharmaceutical Company Limited	1558.HK / 1558 HK	20%	✗	0.3%
Yue Yuen Industrial (Holdings) Limited	0551.HK / 551 HK	10%	✓	0.3%
Yuexiu Property Company Limited	0123.HK / 123 HK	10%	✓	0.3%
Yuexiu Transport Infrastructure Limited	1052.HK / 1052 HK	25%	✓	0.3%
ZTE Corporation	0763.HK / 763 HK	10%	✗	0.3%
Zall Group Limited	2098.HK / 2098 HK	10%	✗	0.3%
Zhaojin Mining Industry Company Limited	1818.HK / 1818 HK	10%	☐	0.3%
Zhejiang Expressway Company Limited	0576.HK / 576 HK	15%	✓	0.3%
ZhongAn Online P & C Insurance Company Limited	6060.HK / 6060 HK	10%	✗	0.3%
Zhongsheng Group Holdings Limited	0881.HK / 881 HK	10%	✓	0.3%
Zhou Hei Ya International Holdings Company Limited	1458.HK / 1458 HK	10%	✗	0.3%
Zhuzhou CRRC Times Electric Company Limited	3898.HK / 3898 HK	10%	✓	0.3%
Zijin Mining Group Company Limited	2899.HK / 2899 HK	10%	✓	0.3%
Zoomlion Heavy Industry Science and Technology Company Limited	1157.HK / 1157 HK	15%	✓	0.3%
iShares FTSE A50 China Index ETF	2823.HK / 2823 HK	5%	✗	0.3%
iShares MSCI China Index ETF	2801.HK / 2801 HK	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

IRELAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ARYZTA AG	ARYN.I / YZA ID	20%	✓	0.3%
Abbey PLC	ABBY.I / ABBY ID	25%	✗	0.3%
Allied Irish Banks PLC	AIBG.I / AIBG ID	10%	✓	0.3%
Allied Irish Banks PLC	ALBT.I / ALBT ID	10%	✗	0.3%
Applegreen PLC	APGNA.I / APGN ID	25%	✓	0.3%
Bank Of Ireland Group PLC	BIRG.I / BIRG ID	10%	✓	0.3%
C&C Group PLC	GCC.I / GCC ID	20%	✓	0.3%
CPL Resources PLC	DQ5.I / CPL ID	25%	✗	0.3%
CRH PLC	CRH.I / CRH ID	10%	✓	0.3%
Cairn Homes PLC	CRN.I / CRN ID	15%	✓	0.3%
Dalata Hotel Group PLC	DHG.I / DHG ID	20%	✓	0.3%
Draper Esprit PLC	GROW.I / GROW ID	25%	✗	0.3%
FBD Holdings PLC	FBD.I / FBD ID	25%	✓	0.3%
Falcon Oil & Gas Limited	FACF.I / FAC ID	25%	✗	0.3%
Fyffes PLC	FFY.I / FFY ID	25%	✗	0.3%
Glanbia PLC	GL9.I / GLB ID	10%	✓	0.3%
Glenveagh Properties PLC	GLV.I / GLV ID	25%	✓	0.3%
Green REIT PLC	GN1.I / GRN ID	20%	✓	0.3%
Greencoat Renewables PLC	GRPG.I / GRP ID	25%	✓	0.3%
Hibernia REIT PLC	HBRN.I / HBRN ID	20%	✓	0.3%

IFG Group PLC	IFG.I / IFP ID	25%	✓	0.3%
Irish Continental Group PLC	IR5B_u.I / IR5B ID	25%	✓	0.3%
Irish Residential Properties REIT PLC	IRES.I / IRES ID	20%	✗	0.3%
Kenmare Resources PLC	JEV.I / KMR ID	25%	✗	0.3%
Kerry Group PLC	KYGa.I / KYG ID	10%	✓	0.3%
Kingspan Group PLC	KSP.I / KSP ID	10%	✓	0.3%
Mincon Group PLC	MCON.I / MIO ID	25%	✗	0.3%
Origin Enterprises PLC	OGN.I / OGN ID	20%	✓	0.3%
Paddy Power Betfair PLC	PPB.I / PPB ID	10%	✓	0.3%
Permanent TSB Group Holdings PLC	ILOA.I / ILOA ID	20%	✓	0.3%
Ryanair Holdings PLC	RYA.I / RYA ID	10%	✓	0.3%
Smurfit Kappa Group PLC	SKG.I / SKG ID	20%	✓	0.3%
Total Produce PLC	T7O.I / TOT ID	25%	✓	0.3%
Tullow Oil PLC	TLW.I / TLW ID	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / CouÛt Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +353 1800 995 363) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

ITALY

Stock	Ticker	1.Tasso di margine nuovo	2.Vendita allo scoperto*	3.Premio Rischio Limitato
Be Think Solve Execute SpA	BET.MI / BET IM	25%	✗	0.3%
CTI BioPharma Corporation	CTIC.MI / CTIC IM	25%	✗	0.3%
Cembre SpA	CEMB.MI / CMB IM	25%	✓	0.3%
ETFS 5x Long GBP Short EUR ETC	EGB5.MI / EGB5 IM	25%	✗	0.3%
Elic ASA	ELC.MI / ELC IM	25%	✓	0.3%
F.I.L.A. - Fabbrica Italiana Lapis ed Affini SpA	FILA.MI / FILA IM	25%	✓	0.3%
GIMA TT SpA	GIMA.MI / GIMA IM	10%	✓	0.3%
Gamenet Group SpA	GAME.MI / GAME IM	25%	✓	0.3%
Gefran SpA	GFRN.MI / GE IM	20%	✓	0.3%
Lyxor EuroMTS 1-3Y Investment Grade (DR) UCITS ETF	EM13.MI / EM13 IM	10%	✓	0.3%
Lyxor S&P 500 VIX Futures Enhanced Roll UCITS ETF - C-EUR	LVO.MI / LVO IM	10%	✗	0.3%
MolMed SpA	MLMD.MI / MLM IM	25%	✗	0.3%
Nice SpA	NICE.MI / NICE IM	25%	✗	0.3%
Orsero SpA	ORSO.MI / ORS IM	25%	✗	0.3%
Pirelli & C. SpA	PIRC.MI / PIRC IM	10%	✓	0.3%
Tecnoinvestimenti SpA	TECNO.MI / TECN IM	25%	✓	0.3%
Unieuro SpA	UNIR.MI / UNIR IM	20%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / CouÛt Stop Garanti / Prémio de Risco Limitado

*Nota importante: La colonna 'vendita allo scoperto' indica la presenza o l'assenza di fattori (di cui siamo a conoscenza) che ci consentono di aprire posizioni short su un certo titolo. Non possiamo offrirvi alcuna garanzia sulla possibilità di vendere allo scoperto un certo titolo. Inoltre, ci potrebbe essere una commissione extra (per il prestito titoli) che vi sarà addebitata unitamente agli interessi calcolati sulla posizione. Per sapere in anticipo se verrà applicata tale commissione, vi invitiamo a contattare i nostri trader prima di operare. La disponibilità di titoli per operazioni short e il costo per il prestito titoli possono essere modificati con un breve preavviso.

Se non riesce a trovare il mercato desiderato, la prego di contattarci sul numero +39 800 979 2981 e saremo in grado di aggiungerlo per lei in pochi minuti

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

JAPAN

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ANA Holdings Inc	9202.T / 9202 JT	5%	✓	0.3%
ASICS Corporation	7936.T / 7936 JT	10%	✓	0.3%
Access Company Limited	4813.T / 4813 JT	20%	✗	0.3%
Advantest Corporation	6857.T / 6857 JT	10%	✓	0.3%
Aeon Company Limited	8267.T / 8267 JT	5%	✓	0.3%
Air Water Inc	4088.T / 4088 JT	10%	✓	0.3%
Aisin Seiki Company Limited	7259.T / 7259 JT	10%	✓	0.3%
Ajinomoto Co. Inc	2802.T / 2802 JT	5%	✓	0.3%
Alps Electric Company Limited	6770.T / 6770 JT	5%	✓	0.3%
Amada Holdings Company Limited	6113.T / 6113 JT	10%	✓	0.3%
Aozora Bank Limited	8304.T / 8304 JT	10%	✓	0.3%
Asahi Glass Company Limited	5201.T / 5201 JT	5%	✓	0.3%
Asahi Group Holdings Limited	2502.T / 2502 JT	5%	✓	0.3%
Asahi Intecc Company Limited	7747.T / 7747 JT	10%	✓	0.3%
Asahi Kasei Corporation	3407.T / 3407 JT	5%	✓	0.3%
Astellas Pharma Inc	4503.T / 4503 JT	5%	✓	0.3%
BANDAI NAMCO Holdings Inc	7832.T / 7832 JT	10%	✓	0.3%
Bridgestone Corporation	5108.T / 5108 JT	5%	✓	0.3%
Brother Industries Limited	6448.T / 6448 JT	10%	✓	0.3%
COMSYS Holdings Corporation	1721.T / 1721 JT	10%	✓	0.3%
CYBERDYNE Inc	7779.T / 7779 JT	10%	✗	0.3%
Canon Inc	7751.T / 7751 JT	5%	✓	0.3%
Capcom Company Limited	9697.T / 9697 JT	10%	✓	0.3%
Casio Computer Company Limited	6952.T / 6952 JT	10%	✓	0.3%
Central Japan Railway Company	9022.T / 9022 JT	5%	✓	0.3%
Chiyoda Corporation	6366.T / 6366 JT	10%	✓	0.3%
Chubu Electric Power Company Inc	9502.T / 9502 JT	5%	✓	0.3%
Chugai Pharmaceutical Company Limited	4519.T / 4519 JT	5%	✓	0.3%
Citizen Watch Company Limited	7762.T / 7762 JT	10%	✓	0.3%
Cookpad Inc	2193.T / 2193 JT	15%	✗	0.3%
Cosmo Energy Holdings Company Limited	5021.T / 5021 JT	10%	✓	0.3%
Credit Saison Company Limited	8253.T / 8253 JT	10%	✓	0.3%
CyberAgent Inc	4751.HK / 4751 JT	10%	✓	0.3%
DENSO Corporation	6902.T / 6902 JT	5%	✓	0.3%
DMG Mori Company Limited	6141.T / 6141 JT	10%	✓	0.3%
Dai Nippon Printing Company Limited	7912.T / 7912 JT	10%	✓	0.3%
Dai-ichi Life Holdings Inc	8750.T / 8750 JT	5%	✓	0.3%
Daicel Corporation	4202.T / 4202 JT	10%	✓	0.3%
Daido Steel Company Limited	5471.T / 5471 JT	25%	✓	0.3%
Daiichi Sankyo Company Limited	4568.T / 4568 JT	5%	✓	0.3%
Daikin Industries Limited	6367.T / 6367 JT	5%	✓	0.3%
Daikyo Inc	8840.T / 8840 JT	25%	✓	0.3%
Daito Trust Construction Company Limited	1878.T / 1878 JT	5%	✓	0.3%
Daiwa House Industry Company Limited	1925.T / 1925 JT	5%	✓	0.3%
Daiwa Securities Group Inc	8601.T / 8601 JT	5%	✓	0.3%
DeNA Company Limited	2432.T / 2432 JT	10%	✓	0.3%
Denka Company Limited	4061.T / 4061 JT	10%	✓	0.3%
Dentsu Inc	4324.T / 4324 JT	5%	✓	0.3%

Don Quijote Holdings Company Limited	7532.T / 7532 JT	10%	✓	0.3%
Dowa Holdings Company Limited	5714.T / 5714 JT	10%	✓	0.3%
East Japan Railway Company	9020.T / 9020 JT	5%	✓	0.3%
Ebara Corporation	6361.T / 6361 JT	10%	✓	0.3%
Eisai Company Limited	4523.T / 4523 JT	5%	✓	0.3%
Electric Power Development Company Limited	9513.T / 9513 JT	10%	✓	0.3%
FUJIFILM Holdings Corporation	4901.T / 4901 JT	5%	✓	0.3%
FamilyMart UNY Holdings Company Limited	8028.T / 8028 JT	5%	✗	0.3%
Fanuc Corporation	6954.T / 6954 JT	5%	✓	0.3%
Fast Retailing Company Limited	9983.T / 9983 JT	5%	✓	0.3%
Fuji Electric Company Limited	6504.T / 6504 JT	10%	✓	0.3%
Fuji Media Holdings Inc	4676.T / 4676 JT	10%	✓	0.3%
Fujikura Limited	5803.T / 5803 JT	10%	✓	0.3%
Fujitsu Limited	6702.T / 6702 JT	5%	✓	0.3%
Fukuoka Financial Group Inc	8354.T / 8354 JT	10%	✓	0.3%
Furukawa Electric Company Limited	5801.T / 5801 JT	10%	✓	0.3%
GMO Internet Inc	9449.T / 9449 JT	10%	✓	0.3%
GS Yuasa Corporation	6674.T / 6674 JT	10%	✓	0.3%
Gree Inc	3632.T / 3632 JT	10%	✓	0.3%
H.I.S. Company Limited	9603.T / 9603 JT	10%	✓	0.3%
HASEKO Corporation	1808.T / 1808 JT	10%	✓	0.3%
HORIBA Limited	6856.T / 6856 JP	10%	✗	0.3%
HOYA Corporation	7741.T / 7741 JT	5%	✓	0.3%
Hankyu Hanshin Holdings Inc	9042.T / 9042 JT	10%	✓	0.3%
Heiwa Real Estate Company Limited	8803.T / 8803 JT	20%	✓	0.3%
Hino Motors Limited	7205.T / 7205 JT	5%	✓	0.3%
Hirose Electric Company Limited	6806.T / 6806 JT	10%	✓	0.3%
Hisamitsu Pharmaceutical Co. Inc	4530.T / 4530 JT	10%	✓	0.3%
Hitachi Chemical Company Limited	4217.T / 4217 JT	10%	✓	0.3%
Hitachi Construction Machinery Company Limited	6305.T / 6305 JT	5%	✓	0.3%
Hitachi Limited	6501.T / 6501 JT	5%	✓	0.3%
Hitachi Metals Limited	5486.T / 5486 JT	10%	✓	0.3%
Hokkaido Electric Power Company Inc	9509.T / 9509 JT	15%	✓	0.3%
Hokuetsu Kishu Paper Company Limited	3865.T / 3865 JT	10%	✓	0.3%
Hokuhoku Financial Group Inc	8377.T / 8377 JT	15%	✓	0.3%
Hokuriku Electric Power Company	9505.T / 9505 JT	10%	✓	0.3%
Honda Motor Company Limited	7267.T / 7267 JT	5%	✓	0.3%
Hosiden Corporation	6804.T / 6804 JT	10%	✓	0.3%
IHI Corporation	7013.T / 7013 JT	10%	✓	0.3%
ITOCHU Corporation	8001.T / 8001 JT	5%	✓	0.3%
Ibiden Company Limited	4062.T / 4062 JT	10%	✓	0.3%
Idemitsu Kosan Company Limited	5019.T / 5019 JT	10%	✓	0.3%
Inpex Corporation	1605.T / 1605 JT	5%	✓	0.3%
Isetan Mitsukoshi Holdings Limited	3099.T / 3099 JT	10%	✓	0.3%
Isuzu Motors Limited	7202.T / 7202 JT	5%	✓	0.3%
J. Front Retailing Company Limited	3086.T / 3086 JT	10%	✓	0.3%
JAPAN POST BANK Company Limited	7182.T / 7182 JT	10%	✓	0.3%
JFE Holdings Inc	5411.T / 5411 JT	5%	✓	0.3%
JGC Corporation	1963.T / 1963 JT	10%	✓	0.3%
JSR Corporation	4185.T / 4185 JT	10%	✓	0.3%
JTEKT Corporation	6473.T / 6473 JT	5%	✓	0.3%
JXTG Holdings Inc	5020.T / 5020 JT	5%	✓	0.3%
Japan Airlines Company Limited	9201.T / 9201 JT	10%	✓	0.3%
Japan Display Inc	6740.T / 6740 JT	10%	✗	0.3%

Japan Exchange Group Inc	8697.T / 8697 JT	10%	✓	0.3%
Japan Post Holdings Company Limited	6178.T / 6178 JT	10%	✓	0.3%
Japan Post Insurance Company Limited	7181.T / 7181 JT	10%	✓	0.3%
Japan Tobacco Inc	2914.T / 2914 JT	5%	✓	0.3%
KDDI Corporation	9433.T / 9433 JT	5%	✓	0.3%
Kajima Corporation	1812.T / 1812 JT	5%	✓	0.3%
Kakaku.com Inc	2371.T / 2371 JT	10%	✓	0.3%
Kansai Paint Company Limited	4613.T / 4613 JT	10%	✓	0.3%
Kao Corporation	4452.T / 4452 JT	5%	✓	0.3%
Kawasaki Heavy Industries Limited	7012.T / 7012 JT	10%	✓	0.3%
Kawasaki Kisen Kaisha Limited	9107.T / 9107 JT	10%	✗	0.3%
Keio Corporation	9008.T / 9008 JT	10%	✓	0.3%
Keisei Electric Railway Company Limited	9009.T / 9009 JT	10%	✓	0.3%
Keyence Corporation	6861.T / 6861 JT	5%	✓	0.3%
Kikkoman Corporation	2801.T / 2801 JT	5%	✓	0.3%
Kintetsu Group Holdings Company Limited	9041.T / 9041 JT	25%	✓	0.3%
Kirin Holdings Company Limited	2503.T / 2503 JT	5%	✓	0.3%
Kobe Steel Limited	5406.T / 5406 JT	10%	✓	0.3%
Koito Manufacturing Company Limited	7276.T / 7276 JT	10%	✓	0.3%
Komatsu Limited	6301.T / 6301 JT	5%	✓	0.3%
Konami Holdings Corporation	9766.T / 9766 JT	5%	✓	0.3%
Konica Minolta Inc	4902.T / 4902 JT	10%	✓	0.3%
Kubota Corporation	6326.T / 6326 JT	5%	✓	0.3%
Kuraray Company Limited	3405.T / 3405 JT	5%	✓	0.3%
Kurita Water Industries Limited	6370.T / 6370 JT	10%	✓	0.3%
Kyocera Corporation	6971.T / 6971 JT	5%	✓	0.3%
Kyoritsu Maintenance Company Limited	9616.T / 9616 JT	10%	✗	0.3%
Kyowa Hakko Kirin Company Limited	4151.T / 4151 JT	5%	✓	0.3%
Kyushu Electric Power Company Inc	9508.T / 9508 JT	10%	✓	0.3%
LINE Corporation	3938.T / 3938 JT	10%	✓	0.3%
LIXIL Group Corporation	5938.T / 5938 JT	10%	✓	0.3%
Lawson Inc	2651.T / 2651 JT	10%	✓	0.3%
M3 Inc	2413.T / 2413 JT	10%	✓	0.3%
MISUMI Group Inc	9962.T / 9962 JT	10%	✓	0.3%
MODEC Inc	6269.T / 6269 JT	25%	✓	0.3%
Makita Corporation	6586.T / 6586 JT	10%	✓	0.3%
Marubeni Corporation	8002.T / 8002 JT	5%	✓	0.3%
Marui Group Company Limited	8252.T / 8252 JT	10%	✓	0.3%
Matsui Securities Company Limited	8628.T / 8628 JT	10%	✓	0.3%
Mazda Motor Corporation	7261.T / 7261 JT	5%	✓	0.3%
MediPal Holdings Corporation	7459.T / 7459 JT	10%	✓	0.3%
MegaChips Corporation	6875.T / 6875 JT	10%	✗	0.3%
Meidensha Corporation	6508.T / 6508 JT	10%	✓	0.3%
Meiji Holdings Company Limited	2269.T / 2269 JT	5%	✓	0.3%
Mercari Inc	4385.T / 4385 JT	20%	✗	0.3%
MinebeaMitsumi Inc	6479.T / 6479 JT	10%	✓	0.3%
Miraca Holdings Inc	4544.T / 4544 JT	10%	✓	0.3%
Mitsubishi Chemical Holdings Corporation	4188.T / 4188 JT	5%	✓	0.3%
Mitsubishi Corporation	8058.T / 8058 JT	5%	✓	0.3%
Mitsubishi Electric Corporation	6503.T / 6503 JT	5%	✓	0.3%
Mitsubishi Estate Company Limited	8802.T / 8802 JT	5%	✓	0.3%
Mitsubishi Gas Chemical Company Inc	4182.T / 4182 JT	10%	✓	0.3%
Mitsubishi Heavy Industries Limited	7011.T / 7011 JT	5%	✓	0.3%
Mitsubishi Logisnext Company Limited	7105.T / 7105 JT	20%	✓	0.3%

Mitsubishi Materials Corporation	5711.T / 5711 JT	10%	✓	0.3%
Mitsubishi Motors Corporation	7211.T / 7211 JT	5%	✓	0.3%
Mitsubishi Tanabe Pharma Corporation	4508.T / 4508 JT	10%	✓	0.3%
Mitsubishi UFJ Financial Group Inc	8306.T / 8306 JT	5%	✓	0.3%
Mitsubishi UFJ Lease & Finance Company Limited	8593.T / 8593 JT	10%	✓	0.3%
Mitsui & Company Limited	8031.T / 8031 JT	5%	✓	0.3%
Mitsui Chemicals Inc	4183.T / 4183 JT	10%	✓	0.3%
Mitsui Engineering & Shipbuilding Company Limited	7003.T / 7003 JT	10%	✓	0.3%
Mitsui Fudosan Company Limited	8801.T / 8801 JT	5%	✓	0.3%
Mitsui O.S.K. Lines Limited	9104.T / 9104 JT	10%	✓	0.3%
Mizuho Financial Group Inc	8411.T / 8411 JT	5%	✓	0.3%
Monex Group Inc	8698.T / 8698 JT	15%	✗	0.3%
Murata Manufacturing Company Limited	6981.T / 6981 JT	5%	✓	0.3%
NEC Corporation	6701.T / 6701 JT	5%	✗	0.3%
NGK Insulators Limited	5333.T / 5333 JT	5%	✓	0.3%
NGK Spark Plug Company Limited	5334.T / 5334 JT	10%	✓	0.3%
NH Foods Limited	2282.T / 2282 JT	10%	✓	0.3%
NOK Corporation	7240.T / 7240 JT	10%	✓	0.3%
NSK Limited	6471.T / 6471 JT	5%	✓	0.3%
NTN Corporation	6472.T / 6472 JT	10%	✓	0.3%
NTT DOCOMO Inc	9437.T / 9437 JT	5%	✓	0.3%
NTT Data Corporation	9613.T / 9613 JT	5%	✓	0.3%
Nabtesco Corporation	6268.T / 6268 JT	10%	✓	0.3%
Nidec Corporation	6594.T / 6594 JT	5%	✓	0.3%
Nihon M&A Center Inc	2127.T / 2127 JT	10%	✓	0.3%
Nikko Nikkei225 Listed	1330.T / 1330 JT	10%	✗	0.3%
Nikon Corporation	7731.T / 7731 JT	5%	✓	0.3%
Nintendo Company Limited	7974.T / 7974 JT	10%	✓	0.3%
Nippon Electric Glass Company Limited	5214.T / 5214 JT	10%	✓	0.3%
Nippon Express Company Limited	9062.T / 9062 JT	10%	✓	0.3%
Nippon Paper Industries Company Limited	3863.T / 3863 JT	10%	✓	0.3%
Nippon Sheet Glass Company Limited	5202.T / 5202 JT	10%	✓	0.3%
Nippon Shokubai Company Limited	4114.T / 4114 JT	10%	✓	0.3%
Nippon Steel & Sumitomo Metal Corporation	5401.T / 5401 JT	5%	✓	0.3%
Nippon Telegraph and Telephone Corporation	9432.T / 9432 JT	5%	✓	0.3%
Nippon Television Holdings Inc	9404.T / 9404 JT	10%	✓	0.3%
Nippon Yusen Kabushiki K ASA	9101.T / 9101 JT	10%	✓	0.3%
Nipro Corporation	8086.T / 8086 JT	10%	✓	0.3%
Nishi-Nippon Financial Holdings Inc	7189.T / 7189 JT	15%	✓	0.3%
Nissan Motor Company Limited	7201.T / 7201 JT	5%	✓	0.3%
Nisshin Seifun Group Inc	2002.T / 2002 JT	10%	✓	0.3%
Nissin Foods Holdings Company Limited	2897.T / 2897 JT	10%	✓	0.3%
Nitori Holdings Company Limited	9843.T / 9843 JT	10%	✓	0.3%
Nitto Denko Corporation	6988.T / 6988 JT	5%	✓	0.3%
Nomura Holdings Inc	8604.T / 8604 JT	5%	✓	0.3%
Nomura Research Institute Limited	4307.T / 4307 JT	10%	✓	0.3%
Nomura Topix Listed	1306.T / 1306 JT	10%	✗	0.3%
OMRON Corporation	6645.T / 6645 JT	10%	✓	0.3%
ORIX Corporation	8591.T / 8591 JT	5%	✓	0.3%
Obayashi Corporation	1802.T / 1802 JT	5%	✓	0.3%
Odakyu Electric Railway Company Limited	9007.T / 9007 JT	10%	✓	0.3%
Oji Holdings Corporation	3861.T / 3861 JT	10%	✓	0.3%
Olympus Corporation	7733.T / 7733 JT	5%	✓	0.3%
Oriental Land Company Limited	4661.T / 4661 JT	5%	✓	0.3%

Osaka Gas Company Limited	9532.T / 9532 JT	5%	✓	0.3%
Otsuka Holdings Company Limited	4578.T / 4578 JT	5%	✓	0.3%
POLA Orbis Holdings Inc	4927.T / 4927 JT	10%	✗	0.3%
Panasonic Corporation	6752.T / 6752 JT	5%	✓	0.3%
Pasona Group Inc	2168.T / 2168 JT	25%	✓	0.3%
PeptiDream Inc	4587.T / 4587 JT	25%	✗	0.3%
Persol Holdings Company Limited	2181.T / 2181 JT	10%	✓	0.3%
Pigeon Corporation	7956.T / 7956 JT	10%	✓	0.3%
ROHM Company Limited	6963.T / 6963 JT	10%	✓	0.3%
Rakuten Inc	4755.T / 4755 JT	10%	✓	0.3%
Rengo Company Limited	3941.T / 3941 JT	10%	✓	0.3%
Resona Holdings Inc	8308.T / 8308 JT	5%	✓	0.3%
Ricoh Company Limited	7752.T / 7752 JT	5%	✓	0.3%
Rinnai Corporation	5947.T / 5947 JT	10%	✓	0.3%
SBI Holdings Inc	8473.T / 8473 JT	10%	✓	0.3%
SECOM Company Limited	9735.T / 9735 JT	5%	✓	0.3%
SMC Corporation	6273.T / 6273 JT	5%	✓	0.3%
Sankyo Company Limited	6417.T / 6417 JT	10%	✓	0.3%
Sanrio Company Limited	8136.T / 8136 JT	10%	✗	0.3%
Santen Pharmaceutical Company Limited	4536.T / 4536 JT	10%	✓	0.3%
Sapporo Holdings Limited	2501.T / 2501 JT	10%	✓	0.3%
Sega Sammy Holdings Inc	6460.T / 6460 JT	10%	✓	0.3%
Seiko Epson Corporation	6724.T / 6724 JT	10%	✓	0.3%
Sekisui Chemical Company Limited	4204.T / 4204 JT	10%	✓	0.3%
Sekisui House Limited	1928.T / 1928 JT	5%	✓	0.3%
Seven & i Holdings Company Limited	3382.T / 3382 JT	5%	✓	0.3%
Sharp Corporation	6753.T / 6753 JT	25%	✗	0.3%
Shikoku Electric Power Company Inc	9507.T / 9507 JT	10%	✓	0.3%
Shimadzu Corporation	7701.T / 7701 JT	10%	✓	0.3%
Shimamura Company Limited	8227.T / 8227 JT	10%	✓	0.3%
Shimano Inc	7309.T / 7309 JT	10%	✓	0.3%
Shimizu Corporation	1803.T / 1803 JT	5%	✓	0.3%
Shin-Etsu Chemical Company Limited	4063.T / 4063 JT	5%	✓	0.3%
Shinsei Bank Limited	8303.T / 8303 JT	10%	✓	0.3%
Shionogi & Company Limited	4507.T / 4507 JT	5%	✓	0.3%
Shiseido Company Limited	4911.T / 4911 JT	5%	✓	0.3%
Shoei Company Limited	7839.T / 7839 JT	20%	✗	0.3%
Showa Denko K.K.	4004.T / 4004 JT	5%	✓	0.3%
Showa Shell Sekiyu K.K.	5002.T / 5002 JT	10%	✓	0.3%
SoftBank Group Corporation	9984.T / 9984 JT	5%	✓	0.3%
Sojitz Corporation	2768.T / 2768 JT	10%	✓	0.3%
Sompo Holdings Inc	8630.T / 8630 JT	5%	✓	0.3%
Sony Corporation	6758.T / 6758 JT	5%	✓	0.3%
Sony Financial Holdings Inc	8729.T / 8729 JT	5%	✓	0.3%
Square Enix Holdings Company Limited	9684.T / 9684 JT	10%	✓	0.3%
Stanley Electric Company Limited	6923.T / 6923 JT	10%	✓	0.3%
Start Today Company Limited	3092.T / 3092 JT	10%	✓	0.3%
Subaru Corporation	7270.T / 7270 JT	5%	✓	0.3%
Sumco Corporation	3436.T / 3436 JT	5%	✓	0.3%
Sumitomo Chemical Company Limited	4005.T / 4005 JT	5%	✓	0.3%
Sumitomo Corporation	8053.T / 8053 JT	5%	✓	0.3%
Sumitomo Electric Industries Limited	5802.T / 5802 JT	5%	✓	0.3%
Sumitomo Heavy Industries Limited	6302.T / 6302 JT	10%	✓	0.3%
Sumitomo Mitsui Financial Group Inc	8316.T / 8316 JT	5%	✓	0.3%

Sumitomo Mitsui Trust Holdings Inc	8309.T / 8309 JT	5%	✓	0.3%
Sumitomo Realty & Development Company Limited	8830.T / 8830 JT	5%	✓	0.3%
Sumitomo Rubber Industries Limited	5110.T / 5110 JT	10%	✓	0.3%
Suzuki Motor Corporation	7269.T / 7269 JT	5%	✓	0.3%
Systemex Corporation	6869.T / 6869 JT	10%	✓	0.3%
T&D Holdings Inc	8795.T / 8795 JT	5%	✓	0.3%
TDK Corporation	6762.T / 6762 JT	5%	✓	0.3%
THK Company Limited	6481.T / 6481 JT	10%	✓	0.3%
TOHO GAS Company Limited	9533.T / 9533 JT	25%	✓	0.3%
Taiheiyo Cement Corporation	5233.T / 5233 JT	10%	✓	0.3%
Taisei Corporation	1801.T / 1801 JT	5%	✓	0.3%
Taiyo Nippon Sanso Corporation	4091.T / 4091 JT	10%	✓	0.3%
Taiyo Yuden Company Limited	6976.T / 6976 JT	10%	✓	0.3%
Takashimaya Company Limited	8233.T / 8233 JT	10%	✓	0.3%
Takeda Pharmaceutical Company Limited	4502.T / 4502 JT	5%	✗	0.3%
Teijin Limited	3401.T / 3401 JT	10%	✓	0.3%
Terumo Corporation	4543.T / 4543 JT	5%	✓	0.3%
The Chiba Bank Limited	8331.T / 8331 JT	5%	✓	0.3%
The Chugoku Bank Limited	8382.T / 8382 JT	15%	✓	0.3%
The Chugoku Electric Power Company Inc	9504.T / 9504 JT	10%	✓	0.3%
The Hiroshima Bank Limited	8379.T / 8379 JT	10%	✓	0.3%
The Iyo Bank Limited	8385.T / 8385 JT	10%	✓	0.3%
The Japan Steel Works Limited	5631.T / 5631 JT	10%	✓	0.3%
The Kansai Electric Power Company Inc	9503.T / 9503 JT	5%	✓	0.3%
The Shizuoka Bank Limited	8355.T / 8355 JT	10%	✓	0.3%
The Yokohama Rubber Company Limited	5101.T / 5101 JT	10%	✓	0.3%
Tobu Railway Company Limited	9001.T / 9001 JT	10%	✓	0.3%
Toho Company Limited	9602.T / 9602 JT	10%	✓	0.3%
Tohoku Electric Power Company Inc	9506.T / 9506 JT	10%	✓	0.3%
Tokio Marine Holdings Inc	8766.T / 8766 JT	5%	✓	0.3%
Tokuyama Corporation	4043.T / 4043 JT	10%	✓	0.3%
Tokyo Electric Power Company Holdings Inc	9501.T / 9501 JT	5%	✓	0.3%
Tokyo Electron Limited	8035.T / 8035 JT	5%	✓	0.3%
Tokyo Gas Company Limited	9531.T / 9531 JT	5%	✓	0.3%
Tokyo Tatemono Company Limited	8804.T / 8804 JT	10%	✓	0.3%
Tokyu Corporation	9005.T / 9005 JT	10%	✓	0.3%
Tokyu Fudosan Holdings Corporation	3289.T / 3289 JT	10%	✓	0.3%
Toppan Printing Company Limited	7911.T / 7911 JT	10%	✓	0.3%
Toray Industries Inc	3402.T / 3402 JT	5%	✓	0.3%
Toshiba Corporation	6502.T / 6502 JT	50%	✓	0.3%
Toto Limited	5332.T / 5332 JT	5%	✓	0.3%
Toyo Seikan Group Holdings Limited	5901.T / 5901 JT	10%	✗	0.3%
Toyo Suisan Kaisha Limited	2875.T / 2875 JT	10%	✓	0.3%
Toyo Tire & Rubber Company Limited	5105.T / 5105 JT	10%	✓	0.3%
Toyota Industries Corporation	6201.T / 6201 JT	10%	✓	0.3%
Toyota Motor Corporation	7203.T / 7203 JT	5%	✓	0.3%
Toyota Tsusho Corporation	8015.T / 8015 JT	5%	✓	0.3%
Trend Micro Inc	4704.T / 4704 JT	5%	✓	0.3%
Tsuruha Holdings Inc	3391.T / 3391 JT	10%	✓	0.3%
UACJ Corporation	5741.T / 5741 JT	10%	✗	0.3%
USS Company Limited	4732.T / 4732 JT	10%	✓	0.3%
Ube Industries Limited	4208.T / 4208 JT	10%	✓	0.3%
Unicharm Corporation	8113.T / 8113 JT	5%	✓	0.3%
Ushio Inc	6925.T / 6925 JT	15%	✓	0.3%

West Japan Railway Company	9021.T / 9021 JT	5%	✓	0.3%
YASKAWA Electric Corporation	6506.T / 6506 JT	5%	✓	0.3%
Yahoo Japan Corporation	4689.T / 4689 JT	5%	✗	0.3%
Yakult Honsha Company Limited	2267.T / 2267 JT	10%	✓	0.3%
Yamada Denki Company Limited	9831.T / 9831 JT	10%	✓	0.3%
Yamaguchi Financial Group Inc	8418.T / 8418 JT	10%	✓	0.3%
Yamaha Motor Company Limited	7272.T / 7272 JT	5%	✓	0.3%
Yamato Holdings Company Limited	9064.T / 9064 JT	5%	✓	0.3%
Yokogawa Electric Corporation	6841.T / 6841 JT	10%	✓	0.3%
ZEON Corporation	4205.T / 4205 JT	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / CouÛt Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

NETHERLANDS

Stock	Ticker	1.Nieuw margin vereiste	2.Kan ik short gaan?*	3.Beperkt risico premie
ABN AMRO Group NV	ABNd.AS / ABN NA	10%	✓	0.3%
AEGON NV	AEGN.AS / AGN NA	5%	✓	0.3%
AMG Advanced Metallurgical Group NV	AMG.AS / AMG NA	10%	✓	0.3%
ARCADIS NV	ARDS.AS / ARCAD NA	15%	✓	0.3%
ASM International NV	ASMI.AS / ASM NA	10%	✓	0.3%
ASML Holding NV	ASML.AS / ASML NA	5%	✓	0.3%
ASR Nederland NV	ASRNL_w.AS / ASRNL NA	10%	✓	0.3%
Aalberts Industries NV	AALB.AS / AALB NA	10%	✓	0.3%
Accell Group NV	ACCG.AS / ACCEL NA	20%	✓	0.3%
Adyen NV	ADYEN.AS / ADYEN NA	25%	✗	0.3%
Akzo Nobel NV	AKZO.AS / AKZA NA	5%	✓	0.3%
Altice NV	ATCA.AS / ATC NA	10%	☐	0.3%
Altice NV	ATCB.AS / ATCB NA	20%	☐	0.3%
Amsterdam Commodities NV	ARBN.AS / ACOMO NA	25%	✓	0.3%
Aperam	APAM.AS / APAM NA	10%	✓	0.3%
ArcelorMittal	MT.AS / MT NA	5%	✓	0.3%
Avantium NV	AVTX.AS / AVTX NA	25%	✗	0.3%
BE Semiconductor Industries NV	BESI.AS / BESI NA	10%	✓	0.3%
Basic-Fit NV	BFIT_w.AS / BFIT NA	20%	✓	0.3%
Batenburg Techniek NV	BTBN.AS / BATEN NA	25%	✗	0.3%
Beter Bed Holding NV	BETR.AS / BBED NA	25%	✓	0.3%
BinckBank NV	BINCK.AS / BINCK NA	25%	✓	0.3%
Boussard & Gavaudan Holding Limited	BGHL.AS / BGHL NA	25%	✗	0.3%
Brunel International NV	BRUN.AS / BRNL NA	20%	✓	0.3%
Coca-Cola European Partners PLC	CCE.AS / CCE NA	5%	✓	0.3%
Corbion NV	CORB.AS / CRBN NA	15%	✓	0.3%
Delta Lloyd NV	DLL.AS / DL NA	10%	✓	0.3%
ETFS DAXglobal Gold Mining GO UCITS ETF	AUCO.AS / AUCO NA	10%	✗	0.3%
ETFS Physical Gold	PHAU.AS / PHAU NA	10%	✗	0.3%
Eurocastle Investment Limited	ECT.AS / ECT NA	25%	✓	0.3%

Eurocommercial Properties NV	SIPFc.AS / ECMPA NA	15%	✓	0.3%
Flow Traders NV	FLOW.AS / FLOW NA	15%	✓	0.3%
ForFarmers NV	FFARM.AS / FFARM NA	20%	✓	0.3%
Fugro NV	FUGRc.AS / FUR NA	10%	✓	0.3%
Galapagos NV	GLPG.AS / GLPG NA	15%	✓	0.3%
Gemalto NV	GTO.AS / GTO NA	25%	✓	0.3%
GrandVision NV	GVNV.AS / GNVV NA	20%	✓	0.3%
Groothandelsgebouwen NV	GRHAc.AS / GROHA NA	25%	✗	0.3%
HAL Trust	HLAN.AS / HAL NA	20%	✓	0.3%
Heijmans NV	HEIJ.AS / HEIJM NA	20%	✓	0.3%
Heineken Holding NV	HEIO.AS / HEIO NA	10%	✓	0.3%
Heineken NV	HEIN.AS / HEIA NA	5%	✓	0.3%
Hunter Douglas NV	HUDN.AS / HDG NA	25%	✓	0.3%
ICT Group NV	ICTA.AS / ICT NA	25%	✗	0.3%
IMCD NV	IMCD.AS / IMCD NA	15%	✓	0.3%
ING Groep NV	ING.AS / INGA NA	10%	✓	0.3%
Intertrust NV	INTER.AS / INTER NA	20%	✓	0.3%
KAS BANK NV	KASNc.AS / KA NA	25%	✓	0.3%
Kendrion NV	SVEL.AS / KENDR NA	25%	✓	0.3%
Kiadis Pharma NV	KDS.AS / KDS NA	25%	✗	0.3%
Koninklijke Ahold Delhaize NV	AD.AS / AD NA	5%	✓	0.3%
Koninklijke BAM Groep nv	BAMN.AS / BAMNB NA	10%	✓	0.3%
Koninklijke DSM NV	DSMN.AS / DSM NA	5%	✓	0.3%
Koninklijke KPN NV	KPN.AS / KPN NA	5%	✓	0.3%
Koninklijke Philips NV	PHG.AS / PHIA NA	5%	✓	0.3%
Koninklijke VolkerWessels NV	KVW_w.AS / KVW NA	15%	✓	0.3%
Koninklijke Vopak NV	VOPA.AS / VPK NA	10%	✓	0.3%
Koninklijke Wessanen NV	BSWSc.AS / WES NA	15%	✓	0.3%
Lucas Bols NV	BOLS.AS / BOLS NA	25%	☒	0.3%
N.V. Nederlandsche Apparatenfabriek Nedap	NEDP.AS / NEDAP NA	25%	✓	0.3%
NIBC Holding NV	NIBC.AS / NIBC NA	25%	✓	0.3%
NN Group NV	NN.AS / NN NA	5%	✗	0.3%
NSI NV	NSTEc.AS / NSI NA	25%	✓	0.3%
Neways Electronics International NV	NEWN.AS / NEWAY NA	25%	✗	0.3%
OCI NV	OCI.AS / OCI NA	10%	✓	0.3%
Ordina NV	ORDN.AS / ORDI NA	25%	✓	0.3%
Pharming Group NV	PHAR.AS / PHARM NA	25%	✓	0.3%
Philips Lighting NV	LIGHT_w.AS / LIGHT NA	10%	✓	0.3%
PostNL NV	PTNL.AS / PNL NA	10%	✓	0.3%
RELX NV	RELX.AS / REN NA	5%	✓	0.3%
Randstad Holding NV	RAND.AS / RAND NA	5%	✓	0.3%
Refresco Group NV	RFRG.AS / RFRG NA	15%	✓	0.3%
Royal Boskalis Westminster NV	BOSN.AS / BOKA NA	10%	✓	0.3%
Royal Dutch Shell PLC	RDSb.AS / RDSB NA	5%	✓	0.3%
Royal Dutch Shell PLC	RDSa.AS / RDSA NA	5%	✓	0.3%
SBM Offshore NV	SBMO.AS / SBMO NA	10%	✓	0.3%
SPDR(r) AEX UCITS ETF	AEXT.AS / AEXT NA	5%	✗	0.3%
Sif Holding NV	SIFG.AS / SIFG NA	20%	✓	0.3%
Sligro Food Group NV	SLIGR.AS / SLIGR NA	20%	✓	0.3%
Stern Groep NV	ARTN.AS / STRN NA	25%	✗	0.3%
TKH Group NV	TWKNC.AS / TWEKA NA	10%	✓	0.3%
Takeaway.com NV	TKWY.AS / TKWY NA	20%	✓	0.3%
Telegraaf Media Groep NV	TLGNc.AS / TMG NA	25%	✗	0.3%
Tetragon Financial Group Limited	TFG.AS / TFG NA	25%	✓	0.3%

TomTom NV	TOM2.AS / TOM2 NA	10%	✓	0.3%
Unibail-Rodamco SE and WFD	URW.AS / URW NA	5%	✓	0.3%
Unilever NV	UNc.AS / UNA NA	5%	✓	0.3%
Van Lanschot Kempen NV	VLAN.AS / VLK NA	20%	✓	0.3%
Vanguard FTSE Developed Europe UCITS ETF	VEUR.AS / VEUR NA	10%	✗	0.3%
Vanguard FTSE Developed Europe ex UK UCITS ETF	VERX.AS / VERX NA	10%	✗	0.3%
Vanguard S&P 500 UCITS ETF	VUSA.AS / VUSA NA	10%	✗	0.3%
VastNed Retail NV	VASN.AS / VASTN NA	20%	✓	0.3%
Wereldhave NV	WEHA.AS / WHA NA	10%	✓	0.3%
Wolters Kluwer NV	WLSNc.AS / WKL NA	5%	✓	0.3%
iShares \$ Short Duration Corp Bond UCITS ETF USD (Dist)	SDIG.AS / SDIG NA	10%	✗	0.3%
iShares \$ TIPS UCITS ETF	TPSA.AS / TPSA NA	10%	✗	0.3%
iShares \$ Ultrashort Bond UCITS ETF USD (Dist)	ERND.AS / ERND NA	10%	✗	0.3%
iShares (EUR) Corp Bond Large Cap UCITS ETF EUR (Dist)	IBCX.AS / IBCX NA	10%	✓	0.3%
iShares AEX UCITS ETF EUR (Dist)	IAEX.AS / IAEX NA	10%	✓	0.3%
iShares China Large Cap UCITS ETF USD (Dist)	FXC.AS / FXC NA	10%	✗	0.3%
iShares Core MSCI EM IMI UCITS ETF USD (Acc)	EMIM.AS / EMIM NA	10%	✓	0.3%
iShares Core MSCI World UCITS ETF USD (Acc)	IWDA.AS / IWDA NA	5%	✗	0.3%
iShares Core S&P 500 UCITS ETF USD (Acc)	CSPX.AS / CSPX NA	10%	✗	0.3%
iShares EURO STOXX 50 UCITS ETF EUR (Dist)	EUEA.AS / EUEA NA	10%	✗	0.3%
iShares European Property Yield UCITS ETF EUR (Dist)	IPRP.AS / IPRP NA	10%	✗	0.3%
iShares Global Infrastructure UCITS ETF	INFR.AS / INFR NA	10%	✗	0.3%
iShares MSCI Australia UCITS ETF USD (Acc)	SAUS.AS / SAUS NA	10%	✗	0.3%
iShares MSCI EM UCITS ETF USD (Dist)	IEEM.AS / IEMM NA	10%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

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3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / CouÛt Stop Garanti / Prémio de Risco Limitado

*Belangrijke opmerking: de column 'Kan ik short gaan?' geeft aan of er op dat moment factoren zijn (waar wij van op de hoogte zijn) die er voor zorgen dat er geen short-posities op het betreffende aandeel kunnen worden ingenomen. Er is geen absolute garantie dat u short kunt gaan op welk aandeel dan ook, wij geven hier alleen een goede indicatie. Daarnaast kunnen er extra kosten bijkomen (leenkosten) die worden verwerkt met de rentekosten die worden verwerkt op uw positie. Om te bepalen of er een kostenpost geldt, neemt u dan contact op met onze dealingdesk voordat u handelt. De mogelijkheid om te lenen en de kosten om aandelen te lenen kunnen snel worden veranderd wanneer nodig.

Indien u de markt waarnaar u op zoek bent niet terug kunt vinden, gelieve onze dealing desk te contacteren (op +31 800 295 0001) waardoor we deze, normaal gesproken, in een korte tijdspanne manueel voor u kunnen toevoegen

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

NORWAY

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
African Petroleum Corporation Limited	AFPC.OL / APCL NO	25%	✗	0.3%
Akastor ASA	AKAS.OL / AKA NO	25%	✓	0.3%
Aker ASA	AKER.OL / AKER NO	20%	✓	0.3%
Aker BP ASA	AKERBP.OL / AKERBP NO	10%	✓	0.3%
Aker Solutions	AKSOL.OL / AKSO NO	10%	✓	0.3%
American Shipping Company ASA	AMSCA.OL / AMSC NO	25%	✗	0.3%
Archer Limited	ARCHER.OL / ARCHER NO	25%	✗	0.3%
Arcu SAA	ARCUS.OL / ARCUS NO	25%	✓	0.3%
Asetek AS	ASETEK.OL / ASETEK NO	25%	✓	0.3%
Atea ASA	ATEA.OL / ATEA NO	20%	✓	0.3%
Austevoll Seafood ASA	AUSS.OL / AUSS NO	20%	✓	0.3%
Avance Gas Holding Limited	AVANCE.OL / AVANCE NO	25%	✓	0.3%
Avocet Mining PLC	AVM.OL / AVM NO	25%	✗	0.3%
Awilco Drilling PLC	AWDR.OL / AWDR NO	25%	✓	0.3%

Axactor AB (publ)	AXAC.OL / AXA NO	20%	✓	0.3%
B2 Holding AS	B2H.OL / B2H NO	25%	✓	0.3%
BW LPG Limited	BWLPG.OL / BWLPG NO	10%	✓	0.3%
BW Offshore Limited	BWO.OL / BWO NO	20%	✓	0.3%
Bionor Pharma ASA	SOLON.OL / SOLON NO	25%	✗	0.3%
Borr Drilling Limited	BDRILL.OL / BDRILL NO	20%	✓	0.3%
Borregaard ASA	BRGD.OL / BRG NO	20%	✓	0.3%
DNB ASA	DNB.OL / DNB NO	5%	✓	0.3%
DNO ASA	DNO.OL / DNO NO	10%	✓	0.3%
DOF ASA	DOF.OL / DOF NO	25%	✓	0.3%
Deep Sea Supply PLC	DESSC.OL / DESSC NO	25%	✗	0.3%
EVRY AS	EVRY.OL / EVRY NO	25%	✓	0.3%
Ekorne SAA	EKO.OL / EKO NO	25%	✓	0.3%
Electromagnetic Geoservice SAA	EMGS.OL / EMGS NO	25%	✗	0.3%
Element ASA	ELEM.OL / ELE NO	25%	✓	0.3%
Entra ASA	ENTRA.OL / ENTRA NO	20%	✓	0.3%
Equinor ASA	EQNR.OL / EQNR NO	5%	✓	0.3%
Europri SAA	EPRI.OL / EPR NO	25%	✓	0.3%
Farstad Shipping ASA	FAR.OL / FAR NO	25%	✗	0.3%
Fjordkraft Holding ASA	FKRAFT.OL / FKRAFT NO	25%	✓	0.3%
Flex LNG Limited	FLNG.OL / FLNG NO	25%	✓	0.3%
Fred. Olsen Energy ASA	FOE.OL / FOE NO	20%	✗	0.3%
Frontline Limited	FRO.OL / FRO NO	20%	✓	0.3%
Funcom NV	FUNCOM.OL / FUNCOM NO	20%	✓	0.3%
Gaming Innovation Group Inc	GAMIG.OL / GIG NO	25%	✗	0.3%
Gjensidige Forsikring ASA	GJFS.OL / GJF NO	10%	✓	0.3%
Golden Ocean Group Limited	GOGLT.OL / GOGL NO	20%	✓	0.3%
Grieg Seafood ASA	GSFO.OL / GSF NO	15%	✓	0.3%
Hafslund ASA	HNB.OL / HNB NO	25%	✗	0.3%
Hexagon Composite SAA	HEX.OL / HEX NO	25%	✓	0.3%
Hoegh LNG Holdings Limited	HLNGH.OL / HLNG NO	25%	⊠	0.3%
Hunter Group ASA	HUNTG.OL / HUNT NO	25%	✗	0.3%
IDEX ASA	IDEX.OL / IDEX NO	25%	✓	0.3%
Interoil Exploration and Production ASA	IOX.OL / IOX NO	25%	✗	0.3%
Jinhui Shipping and Transportation Limited	JINS.OL / JIN NO	25%	✗	0.3%
Kitron ASA	KP5.OL / KIT NO	25%	✗	0.3%
Komplett Bank ASA	KOMPBK.OL / KOMP NO	25%	✓	0.3%
Kongsberg Automotive ASA	KOA.OL / KOA NO	25%	✓	0.3%
Kongsberg Gruppen ASA	KOG.OL / KOG NO	25%	✓	0.3%
Kvaerner ASA	KVAER.OL / KVAER NO	25%	✓	0.3%
Leroy Seafood Group Asa	LSG.OL / LSG NO	10%	✓	0.3%
Link Mobility Group ASA	LINK.OL / LINK NO	25%	✗	0.3%
Marine Harvest ASA	MHG.OL / MHG NO	5%	✓	0.3%
NEL ASA	NEL.OL / NEL NO	20%	✓	0.3%
NEXT Biometrics Group ASA	NEXT.OL / NEXT NO	25%	✗	0.3%
NRC Group ASA	NRC.OL / NRC NO	25%	✗	0.3%
Nordic Nanovector ASA	NANOV.OL / NANO NO	25%	✓	0.3%
Nordic Semiconductor ASA	NOD.OL / NOD NO	20%	✓	0.3%
Norsk Hydro ASA	NHY.OL / NHY NO	5%	✓	0.3%
Northern Drilling Limited	NODL.OL / NODL NO	25%	✓	0.3%
Norwegian Air Shuttle ASA	NWC.OL / NAS NO	25%	✓	0.3%
Norwegian Energy Company AS	NOR.OL / NOR NO	25%	✓	0.3%
Norwegian Finans Holding ASA	NOFI.OL / NOFI NO	15%	✓	0.3%
Norwegian Property ASA	NPRO.OL / NPRO NO	25%	✓	0.3%

Ocean Yield AS	OCY.OL / OCY NO	25%	☒	0.3%
Odfjell Drilling Limited	ODLL.OL / ODL NO	25%	✓	0.3%
Olav Thon Eiendomsselskap ASA	OLT.OL / OLT NO	25%	✓	0.3%
Orkla ASA	ORK.OL / ORK NO	10%	✓	0.3%
Otello Corporation ASA	OTELLO.OL / OTELLO NO	20%	✓	0.3%
P F Bakkafrøst	BAKKA.OL / BAKKA NO	10%	✓	0.3%
Petroleum Geo-Service SAA	PGS.OL / PGS NO	10%	✓	0.3%
PhotoCure ASA	PHO.OL / PHO NO	25%	✗	0.3%
Polarcus Limited	PLCS.OL / PLCS NO	25%	✓	0.3%
Prosafe SE	PRSO.OL / PRS NO	20%	✗	0.3%
Protector Forsikring ASA	PROTCT.OL / PROTCT NO	20%	✓	0.3%
Questerre Energy Corporation	QEC.OL / QEC NO	25%	✗	0.3%
REC Silicon ASA	REC.OL / REC NO	20%	✓	0.3%
RenoNorden ASA	RENO.OL / RENO NO	25%	✗	0.3%
Royal Caribbean Cruises Limited	RCL.OL / RCL NO	5%	✗	0.3%
Saferoad Holding ASA	SAFER.OL / SAFE NO	25%	✓	0.3%
SalMar ASA	SALM.OL / SALM NO	10%	✓	0.3%
Scatec Solar ASA	SSOL.OL / SSO NO	20%	✓	0.3%
Schibsted ASA	SBSTA.OL / SCHA NO	10%	✓	0.3%
Schibsted ASA	SBSTB.OL / SCHB NO	15%	✓	0.3%
Seadrill Limited	SDRL.OL / SDRL NO	20%	✗	0.3%
Selvaag Bolig ASA	SBOO.OL / SBO NO	25%	✓	0.3%
Sevan Marine ASA	SEVAN.OL / SEVAN NO	25%	✗	0.3%
Siem Offshore Inc	SIOFF.OL / SIOFF NO	25%	✗	0.3%
Skandiabanken ASA	SBANK.OL / SBANK NO	20%	✓	0.3%
Solstad Farstad ASA	SOFF.OL / SOFF NO	25%	✗	0.3%
Songa Offshore SE	SONG.OL / SONG NO	20%	✓	0.3%
SpareBank 1 SR-Bank ASA	SRBANK.OL / SRBANK NO	20%	✓	0.3%
Spectrum ASA	SPU.OL / SPU NO	25%	✓	0.3%
Stolt-Nielsen Limited	SNI.OL / SNI NO	25%	✓	0.3%
Storebrand ASA	STB.OL / STB NO	10%	✓	0.3%
Subsea 7 SA	SUBC.OL / SUBC NO	5%	✓	0.3%
TGS-NOPEC Geophysical Company ASA	TGS.OL / TGS NO	10%	✓	0.3%
Targovax ASA	TRVX.OL / TRVX NO	25%	✗	0.3%
Telenor ASA	TEL.OL / TEL NO	5%	✓	0.3%
The Scottish Salmon Company PLC	SSCOM.OL / SSC NO	25%	✓	0.3%
Thin Film Electronic SAA	THIN.OL / THIN NO	25%	✓	0.3%
Tomra System SAA	TOM.OL / TOM NO	20%	✓	0.3%
Veidekke ASA	VEI.OL / VEI NO	25%	✓	0.3%
Weifa ASA	WEIFA.OL / WEIFA NO	25%	✗	0.3%
Wentworth Resources Limited	WRLT.OL / WRL NO	25%	✗	0.3%
XXL ASA	XXLA.OL / XXL NO	20%	✓	0.3%
Yara International ASA	YAR.OL / YAR NO	5%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +47 2240 0220) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

PORTUGAL

Stock	Ticker	1.Margem	2.Posições curtas?*	3.Prémio de Risco Limitado
Altri S.G.P.S. SA	ALSS.LS / ALTR PL	20%	✓	0.3%
Banco BPI SA	BBPI.LS / BPI PL	20%	✓	0.3%
Banco Comercial Portugues SA	BCP.LS / BCP PL	10%	✓	0.3%
Banco Santander SA	SANT.LS / SANT PL	10%	✓	0.3%
CTT - Correios De Portugal SA	CTT.LS / CTT PL	10%	✓	0.3%
Corticeira Amorim S.G.P.S. SA	CORA.LS / COR PL	25%	✓	0.3%
EDP - Energias de Portugal SA	EDP.LS / EDP PL	5%	✓	0.3%
EDP Renovaveis SA	EDPR.LS / EDPR PL	20%	✓	0.3%
Galp Energia SGPS SA	GALP.LS / GALP PL	5%	✓	0.3%
Ibersol S.G.P.S. SA	IBS.LS / IBS PL	25%	✓	0.3%
Jeronimo Martins SGPS SA	JMT.LS / JMT PL	10%	✓	0.3%
Mota-Engil SGPS SA	MOTA.LS / EGL PL	20%	✓	0.3%
NOS S.G.P.S. SA	NOS.LS / NOS PL	10%	✓	0.3%
Pharol SGPS SA	PHRA.LS / PHR PL	20%	✗	0.3%
REN - Redes Energeticas Nacionais SGPS SA	RENE.LS / RENE PL	20%	✓	0.3%
SC SGPS SA	SONAC.LS / SONC PL	25%	✓	0.3%
Semapa - Sociedade de Investimento e Gestao SGPS SA	SEM.LS / SEM PL	20%	✓	0.3%
Sonae SGPS SA	YSO.LS / SON PL	10%	✓	0.3%
Sonaecom S.G.P.S. SA	SNC.LS / SNC PL	25%	✓	0.3%
The Navigator Company SA	NVGR.LS / NVG PL	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Nota importante: A Coluna "Posições curtas?" só indica se actualmente há algum factor (que tenhamos conhecimento) que proíba as posições curtas na acção específica. Não há qualquer garantia que poderá abrir posições curtas na acção específica. Adicionalmente, poderá ser cobrada uma taxa extra (de empréstimo) que será incluída nos ajustes de juros aplicados à sua posição. De modo a determinar se será aplicada alguma taxa adicional, por favor contacte com a mesa de dealing. A possibilidade de empréstimo de acções bem como o seu custo pode ser cobrada com pouco aviso prévio.

Se você não consegue encontrar o mercado que está a procurar, por favor ligue para a nossa mesa de negociação (em +351 800 814 662) e que normalmente vai poder de adicioná-lo para em questão de minutos

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

SINGAPORE

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
AEM Holdings Limited	AEM.SI / AEM SP	20%	✗	0.3%
AIMS AMP Capital Industrial REIT	AART.SI / AAREIT SP	25%	✓	0.3%
APAC Realty Limited	APAC.SI / APAC SP	20%	✗	0.3%
Accordia Golf Trust	ACCO.SI / AGT SP	25%	✓	0.3%
Addvalue Technologies Limited	AVAU.SI / ADDV SP	25%	✗	0.3%
Ascendas Hospitality Trust	ASHP.SI / ASCHT SP	25%	✓	0.3%
Ascendas India Trust	AIN.T.SI / AIT SP	25%	✓	0.3%
Ascendas Real Estate Investment Trust	AEMN.SI / AREIT SP	10%	✓	0.3%
Ascott Residence Trust	ASRT.SI / ART SP	20%	✓	0.3%
Asian Pay Television Trust	ASIA.SI / APTT SP	25%	✓	0.3%
Baker Technology Limited	BATE.SI / BTL SP	25%	✗	0.3%
Banyan Tree Holdings Limited	BANY.SI / BTH SP	25%	✗	0.3%
Best World International Limited	BEST.SI / BEST SP	15%	✓	0.3%
Bonvests Holdings Limited	BVHS.SI / BVEST SP	25%	✓	0.3%
Boustead Projects Limited	BOUS.SI / BOCJ SP	25%	✗	0.3%
Boustead Singapore Limited	BTSS.SI / BOCS SP	25%	✓	0.3%
BreadTalk Group Limited	BRET.SI / BREAD SP	25%	✓	0.3%

Bukit Sembawang Estates Limited	BSES.SI / BS SP	25%	☐	0.3%
Bumitama Agri Limited	BUMI.SI / BAL SP	25%	✓	0.3%
Bund Center Investment Limited	BCIL.SI / BCI SP	30%	✗	0.3%
CDL Hospitality Trusts	CDLT.SI / CDREIT SP	20%	✓	0.3%
CITIC Envirotech Limited	CITI.SI / CEL SP	25%	✗	0.3%
CNMC Goldmine Holdings Ltd	CNMC.SI / 5TP SP	25%	✗	0.3%
COSCO Shipping International (Singapore) Company Limited	COSC.SI / COS SP	50%	✗	0.3%
CSE Global Limited	CSES.SI / CSE SP	25%	✗	0.3%
Cache Logistics Trust	CALT.SI / CACHE SP	20%	✓	0.3%
CapitaLand Commercial Trust	CACT.SI / CCT SP	10%	✓	0.3%
CapitaLand Limited	CATL.SI / CAPL SP	10%	✓	0.3%
CapitaLand Mall Trust	CMLT.SI / CT SP	10%	✓	0.3%
CapitaLand Retail China Trust	CRCT.SI / CRCT SP	20%	✓	0.3%
Charisma Energy Services Ltd	CHAR.SI / CHEN SP	25%	✗	0.3%
China Aviation Oil (Singapore) Corporation Limited	CNAO.SI / CAO SP	25%	✗	0.3%
China Everbright Water Limited	CEWL.SI / CEWL SP	25%	☐	0.3%
China Jinjiang Environment Holding Company Limited	CJEH.SI / CJE SP	25%	✗	0.3%
Chip Eng Seng Corporation Limited	CESE.SI / CHIP SP	25%	✓	0.3%
Chuan Hup Holdings Limited	CHHS.SI / CH SP	25%	✗	0.3%
City Developments Limited	CTDM.SI / CIT SP	10%	✓	0.3%
Cityneon Holdings Limited	CNHL.SI / CITN SP	20%	☐	0.3%
Civmec Limited	CIVM.SI / CVL SP	25%	✗	0.3%
ComfortDelGro Corporation Limited	CMDG.SI / CD SP	10%	✓	0.3%
Cordlife Group Limited	CORD.SI / CLGL SP	25%	✗	0.3%
Courage Investment Group Limited	CRMG.SI / CMG SP	25%	✗	0.3%
Cromwell European Real Estate Investment Trust	CROM.SI / CERT SP	25%	✓	0.3%
DBS Group Holdings Limited	DBSM.SI / DBS SP	10%	✓	0.3%
Dairy Farm International Holdings Limited	DAIR.SI / DFI SP	15%	✓	0.3%
Del Monte Pacific Limited	DMPL.SI / DELM SP	25%	☐	0.3%
DeLong Holdings Limited	DELO.SI / DLNG SP	25%	✗	0.3%
Duty Free International Limited	DUTY.SI / DFIL SP	25%	✗	0.3%
ESR-REIT	ESRR.SI / EREIT SP	25%	✓	0.3%
Ezion Holdings Limited	EZHL.SI / EZI SP	50%	✗	0.3%
Far East Hospitality Trust	FAEH.SI / FEHT SP	25%	✓	0.3%
Far East Orchard Limited	FARE.SI / FEOR SP	25%	✓	0.3%
First Real Estate Investment Trust	FRET.SI / FIRT SP	25%	✓	0.3%
First Resources Limited	FRLD.SI / FR SP	20%	✓	0.3%
Food Empire Holdings Limited	FEMP.SI / FEH SP	25%	☐	0.3%
Fortune Real Estate Investment Trust	FORT.SI / FRT SP	25%	✓	0.3%
Fraser and Neave Limited	FRNM.SI / FNN SP	25%	✗	0.3%
Frasers Centrepoint Trust	FCRT.SI / FCT SP	20%	✓	0.3%
Frasers Commercial Trust	FRCR.SI / FCOT SP	25%	✓	0.3%
Frasers Logistics & Industrial Trust	FRAEoI.SI / FLT SP	25%	✓	0.3%
Frasers Property Limited	FRPL.SI / FPL SP	25%	✓	0.3%
Fu Yu Corporation Limited	FUYU.SI / FUYU SP	25%	✗	0.3%
GL Limited	GLLI.SI / GLL SP	25%	✓	0.3%
GSH Corporation Limited	GSHC.SI / GSH SP	25%	☐	0.3%
Gallant Venture Limited	GLVT.SI / GALV SP	25%	✗	0.3%
Genting Hong Kong Limited	GENH.SI / GENHK SP	25%	✗	0.3%
Genting Singapore PLC	GENS.SI / GENS SP	10%	✓	0.3%
Geo Energy Resources Limited	GEOE.SI / GERL SP	25%	✗	0.3%
Global Investments Limited	GLNV.SI / GINV SP	25%	✗	0.3%
Golden Agri-Resources Limited	GAGR.SI / GGR SP	10%	✓	0.3%

Golden Energy and Resources Limited	GOLD.SI / GER SP	25%	✗	0.3%
Great Eastern Holdings Limited	GELA.SI / GE SP	25%	✓	0.3%
GuocoLand Limited	GUOC.SI / GUOL SP	25%	✓	0.3%
Halcyon Agri Corporation Limited	HALC.SI / HA CL SP	25%	✓	0.3%
Haw Par Corporation Limited	HPAR.SI / HPAR SP	25%	✓	0.3%
Health Management International Limited	HMIH.SI / HMI SP	25%	⚠	0.3%
Hengxin Technology Limited	HNGX.SI / HENG SP	25%	✗	0.3%
Hi-P International Limited	HIPI.SI / HIP SP	20%	✓	0.3%
Ho Bee Land Limited	HBEE.SI / HOBEE SP	25%	✓	0.3%
Hong Fok Corporation Limited	HONG.SI / HFC SP	25%	✓	0.3%
Hong Leong Asia Limited	HAAA.SI / HLA SP	25%	✗	0.3%
Hong Leong Finance Limited	HLSF.SI / HLF SP	25%	✓	0.3%
Hongkong Land Holdings Limited	HKLD.SI / HKL SP	10%	✓	0.3%
Hotel Properties Limited	HPPS.SI / HPL SP	25%	✗	0.3%
Hutchison Port Holdings Trust	HPHT.SI / HPHT SP	10%	✓	0.3%
Hyflux Limited	HYFL.SI / HYF SP	25%	✗	0.3%
IHH Healthcare Berhad	IHHH.SI / IHH SP	25%	✗	0.3%
Indofood Agri Resources Limited	IFAR.SI / IFAR SP	25%	✓	0.3%
Japfa Limited	JAPF.SI / JAP SP	20%	✓	0.3%
Jardine Cycle & Carriage Limited	JCYC.SI / JCNC SP	10%	✓	0.3%
Jardine Matheson Holdings Limited	JARD.SI / JM SP	10%	✓	0.3%
Jardine Strategic Holdings Limited	JSH.SI / JS SP	10%	✓	0.3%
Jumbo Group Limited	JUMB.SI / JUMBO SP	25%	✗	0.3%
K1 Ventures Limited	KMAR.SI / KONE SP	25%	✗	0.3%
Keppel Corporation Limited	KPLM.SI / KEP SP	10%	✓	0.3%
Keppel DC REIT	KEPE.SI / KDCREIT SP	20%	✓	0.3%
Keppel Infrastructure Trust	KEPL.SI / KIT SP	20%	✓	0.3%
Keppel REIT	KASA.SI / KREIT SP	15%	✓	0.3%
Keppel Telecommunications & Transportation Limited	KTEL.SI / KPTT SP	25%	✗	0.3%
Kimly Limited	KIML.SI / KMLY SP	25%	✗	0.3%
Lian Beng Group Limited	LIBG.SI / LBG SP	25%	✓	0.3%
Lippo Malls Indonesia Retail Trust	LMRT.SI / LMRT SP	25%	✓	0.3%
Low Keng Huat (Singapore) Limited	LKH.SI / LKH SP	25%	✗	0.3%
M1 Limited	MONE.SI / M1 SP	20%	✓	0.3%
Mandarin Oriental International Limited	MOIL.SI / MAND SP	20%	✓	0.3%
Manulife US REIT	MANU.SI / MUST SP	20%	✓	0.3%
Mapletree Commercial Trust	MACT.SI / MCT SP	15%	✓	0.3%
Mapletree Greater China Commercial Trust	MAPE.SI / MAGIC SP	15%	✓	0.3%
Mapletree Industrial Trust	MAPI.SI / MINT SP	15%	✓	0.3%
Mapletree Logistics Trust	MAPL.SI / MLT SP	15%	✓	0.3%
Metro Holdings Limited	MTHL.SI / METRO SP	25%	✓	0.3%
Midas Holdings Limited	MIDA.SI / MIDAS SP	25%	✗	0.3%
OUE Commercial Real Estate Investment Trust	OUEC.SI / OUECT SP	25%	✗	0.3%
OUE Hospitality Trust	OUER.SI / OUEHT SP	25%	✓	0.3%
Olam International Limited	OLAM.SI / OLAM SP	20%	✓	0.3%
Oversea-Chinese Banking Corporation Limited	OCBC.SI / OCBC SP	10%	✓	0.3%
Overseas Union Enterprise Ltd	OVES.SI / OUE SP	25%	✓	0.3%
Oxley Holdings Limited	OXHL.SI / OHL SP	25%	✓	0.3%
PACC Offshore Services Holdings Limited	PACC.SI / POSH SP	25%	✗	0.3%
Pan-United Corporation Limited	PANU.SI / PAN SP	25%	✗	0.3%
Parkway Life Real Estate Investment Trust	PWLR.SI / PREIT SP	20%	✓	0.3%
Perennial Real Estate Holdings Limited	PERE.SI / PREH SP	25%	✓	0.3%
Q & M Dental Group (Singapore) Limited	QMDT.SI / QNM SP	25%	✗	0.3%
RHT Health Trust	RHTH.SI / RHT SP	25%	✓	0.3%

Raffles Medical Group Limited	RAFG.SI / RFMD SP	20%	✓	0.3%
Riverstone Holdings Limited	RVHL.SI / RSTON SP	25%	✓	0.3%
Rotary Engineering Limited	ROTE.SI / RTRY SP	25%	✗	0.3%
Rowsley Limited	THOS.SI / TMG SP	20%	✗	0.3%
SATS Limited	SATS.SI / SATS SP	10%	✓	0.3%
SBS Transit Limited	SBVV.SI / SBUS SP	25%	⊘	0.3%
SIA Engineering Company Limited	SIAE.SI / SIE SP	20%	✓	0.3%
SIIC Environment Holdings Limited	SIIC.SI / SIIC SP	25%	✓	0.3%
SPDR Gold Shares (SGX)	SGLD.SI / GLD SP	20%	✗	0.3%
SPDR Straits Times Index ETF	STTF.SI / STTF SP	20%	✗	0.3%
SPH REIT	SPHR.SI / SPHREIT SP	25%	✓	0.3%
Sabana Shari'ah Compliant Industrial Real Estate Investment Trust	SABA.SI / SSREIT SP	25%	✓	0.3%
Sarine Technologies Limited	SARI.SI / SARINE SP	25%	✗	0.3%
Sembcorp Industries Limited	SCIL.SI / SCI SP	10%	✓	0.3%
Sembcorp Marine Limited	SCMN.SI / SMM SP	10%	✗	0.3%
Sheng Siong Group Limited	SHEN.SI / SSG SP	20%	✓	0.3%
Silverlake Axis Limited	SLVX.SI / SILV SP	20%	✓	0.3%
Sinarmas Land Limited	SINA.SI / SML SP	25%	✓	0.3%
Sing Holdings Limited	SHDS.SI / SING SP	25%	✗	0.3%
Sing Investments & Finance Limited	SINV.SI / SIF SP	25%	✗	0.3%
Singapore Airlines Limited	SIAL.SI / SIA SP	10%	✓	0.3%
Singapore Exchange Limited	SGXL.SI / SGX SP	10%	✓	0.3%
Singapore Post Limited	SPOS.SI / SPOST SP	15%	✓	0.3%
Singapore Press Holdings Limited	SPRM.SI / SPH SP	10%	✓	0.3%
Singapore Technologies Engineering Limited	STEG.SI / STE SP	10%	✓	0.3%
Singapore Telecommunications Limited	STEL.SI / ST SP	10%	✓	0.3%
Sino Grandness Food Industry Group Limited	SOGF.SI / SFGI SP	25%	✗	0.3%
Soilbuild Business Space REIT	SBSR.SI / SBREIT SP	25%	✓	0.3%
Stamford Land Corporation Limited	SFLD.SI / STL SP	25%	✓	0.3%
StarHub Limited	STAR.SI / STH SP	10%	✓	0.3%
Starhill Global Real Estate Investment Trust	STHL.SI / SGREIT SP	20%	✓	0.3%
Sunningdale Tech Limited	SUND.SI / SUNN SP	20%	✗	0.3%
Sunpower Group Limited	SUNP.SI / SPWG SP	25%	✗	0.3%
Suntec Real Estate Investment Trust	SUNT.SI / SUN SP	10%	✓	0.3%
Tai Sin Electric Limited	TAIS.SI / TSE SP	25%	✗	0.3%
Tan Chong International Limited	TCIL.SI / TCI SP	25%	✗	0.3%
Tat Hong Holdings Limited	TAT.SI / TAT SP	25%	✗	0.3%
Thai Beverage Public Company Limited	TBEV.SI / THBEV SP	10%	✓	0.3%
The Place Holdings Limited	EUCN.SI / EUCON SP	25%	✗	0.3%
Tuan Sing Holdings Limited	TSHS.SI / TSH SP	25%	✓	0.3%
UMS Holdings Limited	UMSH.SI / UMSH SP	15%	⊘	0.3%
UOB-Kay Hian Holdings Limited	UOKH.SI / UOBK SP	25%	✓	0.3%
UOL Group Limited	UTOS.SI / UOL SP	10%	✓	0.3%
United Engineers Limited	UTES.SI / UEM SP	20%	✓	0.3%
United Industrial Corporation Limited	UICS.SI / UIC SP	25%	✓	0.3%
United Overseas Bank Limited	UOBH.SI / UOB SP	10%	✓	0.3%
United SSE 50 China ETF	USCH.SI / USSE50 SP	10%	✗	0.3%
Vallianz Holdings Ltd	VHLD.SI / VALZ SP	25%	✗	0.3%
Valuetronics Holdings Limited	VLUE.SI / VALUE SP	25%	✗	0.3%
Vard Holdings Limited	VARD.SI / VARD SP	25%	✗	0.3%
Venture Corporation Limited	VENM.SI / VMS SP	10%	✓	0.3%
Vibrant Group Limited	VIBR.SI / VIBG SP	25%	✗	0.3%
Wee Hur Holdings Limited	WHHL.SI / WHUR SP	25%	✗	0.3%

Wheelock Properties (Singapore) Limited	WPSL.SI / WP SP	25%	✓	0.3%
Wilmar International Limited	WLIL.SI / WIL SP	10%	✓	0.3%
Wing Tai Holdings Limited	WTHS.SI / WINGT SP	20%	✓	0.3%
Yangzijiang Shipbuilding (Holdings) Limited	YAZG.SI / YZJSGD SP	10%	✓	0.3%
Yanlord Land Group Limited	YNLG.SI / YLLG SP	15%	✓	0.3%
Ying Li International Real Estate Limited	YING.SI / YINGLI SP	25%	☒	0.3%
Yoma Strategic Holdings Limited	YOMA.SI / YOMA SP	20%	✓	0.3%
Yongnam Holdings Limited	YNAM.SI / YNH SP	25%	✗	0.3%
iFAST Corporation Limited	IFAS.SI / IFAST SP	25%	✗	0.3%
iShares MSCI India Index ETF	INDI.SI / INDIA SP	20%	✗	0.3%
mm2 Asia Limited	MM2A.SI / MM2 SP	20%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

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SOUTH AFRICA

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ADvTECH Limited	ADHJ.J / ADH SJ	25%	✓	1%
AECI Limited	AFEJ.J / AFE SJ	20%	✓	1%
AVI Limited	AVIJ.J / AVI SJ	15%	✓	1%
Adcock Ingram Holdings Limited	AIPJ.J / AIP SJ	25%	✓	1%
Adcorp Holdings Limited	ADRJ.J / ADR SJ	25%	✓	1%
AfricaGold ETF	ETFGLDJ.J / ETFGLD SJ	25%	✓	1%
African Oxygen Limited	AFXJ.J / AFX SJ	25%	✓	1%
African Phoenix Investments Limited	AXLJ.J / AXL SJ	40%	✓	1%
African Rainbow Capital Investments Limited	AILJ.J / AIL SJ	25%	✓	1%
African Rainbow Minerals Limited	ARIJ.J / ARI SJ	10%	✓	1%
Alexander Forbes Group Holdings Limited	AFHJ.J / AFH SJ	25%	✓	1%
Allied Electronics Corporation Limited	AELJ.J / AEL SJ	25%	✓	1%
Allied Electronics Corporation Limited	AENJ.J / AEN SJ	25%	✓	1%
Alviva Holdings Limited	AVVJ.J / AVV SJ	25%	✓	1%
Anchor Group Limited	ACGJ.J / ACG SJ	25%	✓	1%
Anglo American PLC	AGLJ.J / AGL SJ	5%	✓	1%
Anglo American Platinum Limited	AMSJ.J / AMS SJ	10%	✓	1%
AngloGold Ashanti Limited	ANGJ.J / ANG SJ	10%	✓	1%
ArcelorMittal South Africa Limited	ACLJ.J / ACL SJ	25%	✓	1%
Arrowhead Properties Limited	AWAJ.J / AWA SJ	25%	✓	1%
Ascendis Health Limited	ASCI.J / ASC SJ	25%	✓	1%
Ashburton MidCap ETF	ASHMIDJ.J / ASHMID SJ	25%	✓	1%
Aspen Pharmacare Holdings Limited	APNJ.J / APN SJ	5%	✓	1%
Assore Limited	ASRJ.J / ASR SJ	20%	✓	1%
Astral Foods Limited	ARLJ.J / ARL SJ	20%	✓	1%
Attacq Limited	ATTJ.J / ATT SJ	25%	✓	1%
Aveng Limited	AEGJ.J / AEG SJ	25%	✓	1%
BHP Billiton PLC	BILJ.J / BIL SJ	5%	✓	1%
BID Corporation Limited	BIDJ.J / BID SJ	5%	✓	1%

Barclays Africa Group Limited	BGAJ.J / BGA SJ	5%	✓	1%
Barloworld Limited	BAWJ.J / BAW SJ	10%	✓	1%
Blue Label Telecoms Limited	BLUJ.J / BLU SJ	20%	✓	1%
Brait SE	BATJ.J / BAT SJ	20%	✓	1%
Capitec Bank Holdings Limited	CPIJ.J / CPI SJ	20%	✓	1%
Cashbuild Limited	CSBJ.J / CSB SJ	25%	✓	1%
Choppies Enterprises Limited	CHPJ.J / CHP SJ	25%	✓	1%
City Lodge Hotels Limited	CLHJ.J / CLH SJ	25%	✓	1%
Clicks Group Limited	CLSJ.J / CLS SJ	10%	✓	1%
Clover Industries Limited	CLRJ.J / CLR SJ	25%	✓	1%
Comair Limited	COMJ.J / COM SJ	25%	✓	1%
Compagnie Financiere Richemont SA	CFRJ.J / CFR SJ	5%	✓	1%
Consolidated Infrastructure Group Limited	CILJ.J / CIL SJ	25%	✓	1%
CoreShares S&P 500 ETF	CSP500J.J / CSP500 SJ	25%	✓	1%
Coronation Fund Managers Limited	CMLJ.J / CML SJ	15%	✓	1%
Curro Holdings Limited	COHJ.J / COH SJ	25%	✓	1%
DRDGOLD Limited	DRDJ.J / DRD SJ	25%	✓	1%
Datatec Limited	DTCJ.J / DTC SJ	15%	✓	1%
Delta Property Fund Limited	DLTJ.J / DLT SJ	25%	✓	1%
Dis-Chem Pharmacies Limited	DCPJ.J / DCP SJ	20%	✓	1%
Discovery Limited	DSYJ.J / DSY SJ	10%	✓	1%
Distell Group Holdings Limited	DGHJ.J / DGH SJ	25%	✗	1%
Distell Group Limited	DSTJ.J / DST SJ	15%	✓	1%
EOH Holdings Limited	EOHJ.J / EOH SJ	15%	✓	1%
Echo Polska Properties NV	EPPJ.J / EPP SJ	20%	✓	1%
Efora Energy Ltd	EELJ.J / EEL SJ	25%	✓	1%
Emira Property Fund Limited	EMIJ.J / EMI SJ	20%	✓	1%
Exxaro Resources Limited	EXXJ.J / EXX SJ	10%	✓	1%
Famous Brands Limited	FBRJ.J / FBR SJ	20%	✓	1%
FirstRand Limited	FSRJ.J / FSR SJ	5%	✓	1%
Fortress Income Fund Limited	FFBJ.J / FFB SJ	10%	✓	1%
Fortress Income Fund Limited	FFAJ.J / FFA SJ	10%	✓	1%
Gold Fields Limited	GFIJ.J / GFI SJ	10%	✓	1%
Grand Parade Investments Limited	GPLJ.J / GPL SJ	25%	✓	1%
Greenbay Properties Limited	GRPJ.J / GRP SJ	20%	✓	1%
Grindrod Limited	GNDJ.J / GND SJ	25%	✓	1%
Growthpoint Properties Limited	GRTJ.J / GRT SJ	10%	✓	1%
Harmony Gold Mining Company Limited	HARJ.J / HAR SJ	15%	✓	1%
Hosken Consolidated Investments Limited	HCIJ.J / HCI SJ	25%	✓	1%
Hospitality Property Fund Limited	HPAJ.J / HPA SJ	25%	✓	1%
Hospitality Property Fund Limited	HPBJ.J / HPB SJ	25%	✓	1%
Hudaco Industries Limited	HDCJ.J / HDC SJ	25%	✓	1%
Hulamin Limited	HLMJ.J / HLM SJ	25%	✓	1%
Hyprop Investments Limited	HYPJ.J / HYP SJ	15%	✓	1%
Impala Platinum Holdings Limited	IMPJ.J / IMP SJ	10%	✓	1%
Imperial Holdings Limited	IPLJ.J / IPL SJ	10%	✓	1%
Investec Limited	INLJ.J / INL SJ	10%	✓	1%
Investec PLC	INPJ.J / INP SJ	10%	✓	1%
Investec Property Fund Limited	IPFJ.J / IPF SJ	25%	✓	1%
Invicta Holdings Limited	IVTJ.J / IVT SJ	25%	✓	1%
JSE Limited	JSEJ.J / JSE SJ	20%	✓	1%
KAP Industrial Holdings Limited	KAPJ.J / KAP SJ	20%	✓	1%
Kumba Iron Ore Limited	KIOJ.J / KIO SJ	10%	✓	1%
Lewis Group Limited	LEWJ.J / LEW SJ	25%	✓	1%

Liberty Holdings Limited	LBHJ.J / LBH SJ	15%	✓	1%
Libstar Holdings Limited	LBRJ.J / LBR SJ	25%	✓	1%
Life Healthcare Group Holdings Limited	LHCJ.J / LHC SJ	10%	✓	1%
Long4life Limited	L4LJ.J / L4L SJ	25%	✓	1%
Lonmin PLC	LONJ.J / LON SJ	20%	✓	1%
MMI Holdings Limited	MMIJ.J / MMI SJ	15%	✓	1%
MTN Group Limited	MTNJ.J / MTN SJ	5%	✓	1%
Massmart Holdings Limited	MSMJ.J / MSM SJ	15%	✓	1%
Master Drilling Group Limited	MDIJ.J / MDI SJ	25%	✓	1%
Merafe Resources Limited	MRFJ.J / MRF SJ	25%	✓	1%
Mondi Limited	MNDJ.J / MND SJ	10%	✓	1%
Mpact Limited	MPTJ.J / MPT SJ	25%	✓	1%
Mr Price Group Limited	MRPJ.J / MRP SJ	10%	✓	1%
Murray & Roberts Holdings Limited	MURJ.J / MUR SJ	25%	✓	1%
NEPI Rockcastle PLC	NRPJ.J / NRP SJ	10%	✓	1%
Nampak Limited	NPkJ.J / NPK SJ	20%	✓	1%
Naspers Limited	NPNJ.n.J / NPN SJ	10%	✓	1%
Nedbank Group Limited	NEDJ.J / NED SJ	10%	✓	1%
Netcare Limited	NTCJ.J / NTC SJ	10%	✓	1%
New Europe Property Investments PLC	NEPJ.J / NEP SJ	20%	✓	1%
NewGold Debentures	GLDJ.J / GLD SJ	10%	✓	1%
NewGold Platinum ETF	NGPLTJ.J / NGPLT SJ	10%	✓	1%
Northam Platinum Limited	NHMJ.J / NHM SJ	15%	✓	1%
Oceana Group Limited	OCEJ.J / OCE SJ	25%	✓	1%
Octodec Investments Limited	OCTJ.J / OCT SJ	25%	✓	1%
Old Mutual Ltd	OMUJ.J / OMU SJ	5%	✗	1%
Old Mutual PLC	OMLJ.J / OML SJ	5%	✓	1%
Omnia Holdings Limited	OMNJ.J / OMN SJ	25%	✓	1%
PPC Limited	PPCJ.J / PPC SJ	20%	✓	1%
PSG Group Limited	PSGJ.J / PSG SJ	20%	✓	1%
Pallinghurst Resources Limited	PGLJ.J / PGL SJ	25%	✓	1%
Pan African Resources PLC	PANJ.J / PAN SJ	25%	✓	1%
Peregrine Holdings Limited	PGRJ.J / PGR SJ	25%	✓	1%
Petmin Limited	PETJ.J / PET SJ	25%	✓	1%
Phumelela Gaming and Leisure Limited	PHMJ.J / PHM SJ	25%	✓	1%
Pick n Pay Stores Limited	PIKJ.J / PIK SJ	15%	✓	1%
Pioneer Food Group Limited	PFGJ.J / PFG SJ	15%	✓	1%
Quantum Foods Holdings Limited	QFHJ.J / QFH SJ	25%	✓	1%
Quilter PLC	QLTJ.J / QLT SJ	5%	✗	1%
RCL Foods Limited	RCLJ.J / RCL SJ	25%	✓	1%
RDI REIT PLC	RPLJ.J / RPL SJ	25%	✓	1%
RMB Holdings Limited	RMHJ.J / RMH SJ	10%	✓	1%
Rand Merchant Investment Holdings Limited	RMIJ.J / RMI SJ	10%	✓	1%
Raubex Group Limited	RBXJ.J / RBX SJ	25%	✓	1%
Rebosis Property Fund Limited	REBJ.J / REB SJ	25%	✓	1%
Redefine Properties Limited	RDFJ.J / RDF SJ	10%	✓	1%
Reinet Investments S.C.A.	RNIJ.J / RNI SJ	10%	✓	1%
Remgro Limited	REMJ.J / REM SJ	5%	✓	1%
Resilient REIT Limited	RESJ.J / RES SJ	15%	✓	1%
Reunert Limited	RLOJ.J / RLO SJ	20%	✓	1%
Rhodes Food Group Holdings Limited	RFGJ.J / RFG SJ	25%	✓	1%
Rockcastle Global Real Estate Company Limited	ROCJ.J / ROC SJ	20%	✓	1%
SA Corporate Real Estate Limited	SACJ.J / SAC SJ	20%	✓	1%
SABMiller PLC	SABJ.J / SAB SJ	5%	✓	1%

Sanlam Limited	SLMJ.J / SLM SJ	5%	✓	1%
Santam Limited	SNTJ.J / SNT SJ	25%	✓	1%
Sappi Limited	SAPJ.J / SAP SJ	10%	✓	1%
Sasfin Holdings Limited	SFNJ.J / SFN SJ	25%	✓	1%
Sasol Limited	SOLJ.J / SOL SJ	5%	✓	1%
Satrix 40 ETF	STX40J.J / STX40 SJ	5%	✓	1%
Satrix Fini ETF	STXFINJ.J / STXFIN SJ	10%	✓	1%
Satrix Indi ETF	STXINDJ.J / STXIND SJ	10%	✓	1%
Schroder European Real Estate Investment Trust PLC	SCDJ.J / SCD SJ	25%	✓	1%
Shoprite Holdings Limited	SHPJ.J / SHP SJ	10%	✓	1%
Sibanye Gold Limited	SGLJ.J / SGL SJ	10%	✓	1%
Sirius Real Estate Limited	SREJ.J / SRE SJ	25%	✓	1%
South32 Limited	S32J.J / S32 SJ	5%	✓	1%
Spur Corporation Limited	SURJ.J / SUR SJ	25%	✓	1%
Standard Bank Group Limited	SBKJ.J / SBK SJ	5%	✓	1%
Steinhoff Africa Retail Limited	SRRJ.J / SRR SJ	10%	✓	1%
Steinhoff International Holdings NV	SNHJ.J / SNH SJ	50%	✓	1%
Sun International Limited	SUIJ.J / SUI SJ	25%	✓	1%
Super Group Limited	SPGJ.J / SPG SJ	20%	✓	1%
Telkom SA SOC Limited	TKGJ.J / TKG SJ	15%	✓	1%
The Bidvest Group Limited	BVTJ.J / BVT SJ	10%	✓	1%
The Foschini Group Limited	TFGJ.J / TFG SJ	10%	✓	1%
The Pivotal Fund Limited	PIVJ.J / PIV SJ	25%	✓	1%
The SPAR Group Limited	SPPJ.J / SPP SJ	10%	✓	1%
Tiger Brands Limited	TBSJ.J / TBS SJ	10%	✓	1%
Tiso Blackstar Group SE	TBGJ.J / TBG SJ	25%	✓	1%
Tongaat Hulett Limited	TONJ.J / TON SJ	20%	✓	1%
Tower Property Fund Limited	TWRJ.J / TWR SJ	25%	✓	1%
Transaction Capital Limited	TCPJ.J / TCP SJ	25%	✓	1%
Trencor Limited	TREJ.J / TRE SJ	25%	✓	1%
Truworths International Limited	TRUJ.J / TRU SJ	10%	✓	1%
Tsogo Sun Holdings Limited	TSHJ.J / TSH SJ	20%	✓	1%
Vodacom Group Limited	VODJ.J / VOD SJ	5%	✓	1%
Vukile Property Fund Limited	VKEJ.J / VKE SJ	20%	✓	1%
Wesizwe Platinum Limited	WEZJ.J / WEZ SJ	25%	✓	1%
Wilson Bayly Holmes-Ovcon Limited	WBOJ.J / WBO SJ	25%	✓	1%
Woolworths Holdings Limited	WHLJ.J / WHL SJ	10%	✓	1%
Zeder Investments Limited	ZEDJ.J / ZED SJ	25%	✓	1%
enX Group Limited	ENXJ.J / ENX SJ	25%	✓	1%
intu properties PLC	ITUJ.J / ITU SJ	10%	✓	1%

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SPAIN

Stock	Ticker	1.Nuevo tipo de margen	2.¿Posición corta?*	3.Prima de riesgo limitado
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Acerinox SA	ACX.MC / ACX SM	10%	✓	0.3%
Aedas Homes S.A.U.	AEDAS.MC / AEDAS SM	10%	✓	0.3%
Airbus SE	AIR.MC / AIR SM	5%	✓	0.3%
Audax Renovables SA	ADX.R.MC / ADX SM	25%	✗	0.3%
Azkoyen SA	AZK.MC / AZK SM	25%	✓	0.3%
Baron de Ley SA	BDL.MC / BDL SM	25%	✓	0.3%
General de Alquiler de Maquinaria SA	GALQ.MC / GALQ SM	25%	✗	0.3%
Gestamp Automocion SA	GEST.MC / GEST SM	10%	✓	0.3%
Grifols SA	GRLSbn.MC / GRF/P SM	20%	✓	0.3%
Grupo Empresarial San Jose SA	GSJ.MC / GSJ SM	25%	✓	0.3%
Iberpapel Gestion SA	IBG.MC / IBG SM	25%	✓	0.3%
Laboratorio Reig Jofre SA	RJFE.MC / RJF SM	25%	✗	0.3%
Lingotes Especiales SA	LGT.MC / LGT SM	25%	✗	0.3%
Lyxor Ibex 35 Doble Apalancado Diario UCITS ETF C-EUR	IBEXA.MC / IBEXA SM	15%	✗	0.3%
Masmovil Ibercom SA	MASM.MC / MAS SM	25%	✓	0.3%
Merlin Properties Socimi SA	MRL.MC / MRL SM	25%	✓	0.3%
Metrovacesa Suelo y Promocion SA	MVC.MC / MVC SM	25%	✓	0.3%
Miquel y Costas & Miquel SA	MCM.MC / MCM SM	25%	✓	0.3%
Neinor Homes S.A.U.	HOME.MC / HOME SM	10%	✓	0.3%
Oryzon Genomics SA	ORY.MC / ORY SM	25%	✗	0.3%
Parques Reunidos Servicios Centrales SAU	PQR.MC / PQR SM	25%	✓	0.3%
Pharma Mar SA	PHMR.MC / PHM SM	25%	✓	0.3%
Prosegur Cash SA	CASHP.MC / CASH SM	25%	✓	0.3%
Renta Corp Real Estate SA	REN.MC / REN SM	25%	✓	0.3%
Unicaja Banco SA	UNI.MC / UNI SM	25%	✓	0.3%
Vocento SA	VOC.MC / VOC SM	25%	✓	0.3%

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*Nota importante: la columna ¿Posición corta? sólo indica si actualmente hay algún factor (del que nosotros seamos conscientes) que prohíba las posiciones cortas en las acciones correspondientes. No hay garantías de que usted pueda ponerse corto en las acciones citadas. Adicionalmente, pueden existir cargos adicionales (comisiones por el préstamo de valores) que se incluirán en los ajustes por intereses aplicados a su posición. Para determinar si este cargo se va a aplicar, llame a nuestros dealers antes de abrir la operación. La posibilidad de tomar prestadas las acciones y su posible coste pueden ser cambiadas con poca antelación.

Si usted no encuentra el Mercado que está buscando, por favor llame a nuestra mesa de operaciones (en el teléfono +34 91 787 61 81) y normalmente lo podremos añadir en cuestión de minutos

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SWEDEN

Stock	Ticker	1.Säkerhets-krav	2.Kan blankas?*	3.Premie för risk-begränsning
AB Electrolux (publ)	ELUXb.ST / ELUXB SS	5%	✓	0.3%
AB Fagerhult	FAG.ST / FAG SS	25%	✓	0.3%
AB Volvo (publ)	VOLVa.ST / VOLVA SS	15%	✓	0.3%
AB Volvo (publ)	VOLVb.ST / VOLVB SS	5%	✓	0.3%
ABB Limited	ABB.ST / ABB SS	5%	✓	0.3%
AF AB (publ)	AFb.ST / AFB SS	20%	✓	0.3%
ASSA ABLOY AB (publ)	ASSAb.ST / ASSAB SS	5%	✓	0.3%
AcadeMedia AB	ACADE.ST / ACAD SS	20%	✓	0.3%
Acando AB (publ.)	ACANb.ST / ACANB SS	25%	✓	0.3%
Active Biotech AB	ACTI.ST / ACTI SS	25%	✗	0.3%
Addtech AB (publ.)	ADDTb.ST / ADDTB SS	25%	✓	0.3%
Africa Oil Corporation	AOIC.ST / AOI SS	25%	✓	0.3%
Agromino AS	AGROM.ST / AGRO SS	25%	✗	0.3%
Ahlsell AB (publ)	AHSL.ST / AHSL SS	10%	✓	0.3%

Aktiebolaget Industrivarden	INDUa.ST / INDUA SS	15%	✓	0.3%
Aktiebolaget Industrivarden	INDUc.ST / INDUC SS	10%	✓	0.3%
Alfa Laval AB (publ)	ALFA.ST / ALFA SS	5%	✓	0.3%
Angler Gaming PLC	ANGLR.ST / ANGL SS	25%	✗	0.3%
Anoto Group AB (publ)	ANOT.ST / ANOT SS	25%	✗	0.3%
Arion Banki HF	ARIONsdb.ST / ARIONSDB SS	25%	✗	0.3%
Arjo AB	ARJOb.ST / ARJOB SS	25%	✓	0.3%
AstraZeneca PLC	AZN.ST / AZN SS	5%	✓	0.3%
Atlas Copco AB	ATCOa.ST / ATCOA SS	5%	✓	0.3%
Atrium Ljungberg AB (publ)	ATRLJb.ST / ATRLJB SS	25%	✓	0.3%
Attendo AB (publ)	ATTE.ST / ATT SS	20%	✓	0.3%
Autoliv Inc	ALIVsdb.ST / ALIV SS	10%	✓	0.3%
Avanza Bank Holding AB (publ)	AVANZ.ST / AZA SS	20%	✓	0.3%
Axfood AB	AXFO.ST / AXFO SS	10%	✓	0.3%
Axis AB (publ)	AXIS.ST / AXIS SS	25%	✗	0.3%
BE Group AB (publ)	BEGR.ST / BEGR SS	25%	✓	0.3%
Beijer Alma AB (publ)	BEIAb.ST / BEIAB SS	25%	✓	0.3%
Beijer Electronics Group AB (publ)	BELE.ST / BELE SS	25%	✗	0.3%
Bergman & Beving Aktiebolag	BERGb.ST / BERGB SS	25%	✓	0.3%
Besqab AB (publ)	BESQ.ST / BESQ SS	25%	✗	0.3%
Betsson AB	BETSb.ST / BETSB SS	10%	✓	0.3%
Bilia AB	BILla.ST / BILIA SS	20%	✓	0.3%
BillerudKorsnas AB (publ)	BILL.ST / BILL SS	10%	✓	0.3%
BioGaia AB	BIOGb.ST / BIOGB SS	25%	✓	0.3%
Biolinvent International AB	BINV.ST / BINV SS	25%	✗	0.3%
Biotage AB	BIOT.ST / BIOT SS	25%	✓	0.3%
Bjorn Borg AB (publ)	BORG.ST / BORG SS	25%	✓	0.3%
BlackPearl Resources Inc	PXXSsdb.ST / PXXSSDB SS	25%	✓	0.3%
Boliden AB (publ.)	BOL.ST / BOL SS	5%	✓	0.3%
Bonava AB (publ)	BONAVb.ST / BONAVB SS	20%	✓	0.3%
Bravida Holding AB (publ)	BRAV.ST / BRAV SS	20%	✓	0.3%
Bufab AB (publ)	BUFAB.ST / BUFAB SS	25%	✓	0.3%
Bure Equity AB	BURE.ST / BURE SS	25%	✓	0.3%
Bygghem Group AB (publ)	BMAX.ST / BMAX SS	20%	✓	0.3%
C-Rad AB	CRADb.ST / CRADB SS	25%	✗	0.3%
Capio AB (publ)	CAPIO.ST / CAPIO SS	20%	✓	0.3%
Castellum AB (publ)	CAST.ST / CAST SS	10%	✓	0.3%
Catena AB (publ)	CATE.ST / CATE SS	25%	✓	0.3%
Catena Media PLC	CATME.ST / CTM SS	25%	✓	0.3%
CellaVision AB (publ)	CEVI.ST / CEVI SS	20%	✓	0.3%
Cimco Marine AB (publ)	CIMCO.ST / CIMCO SS	25%	✗	0.3%
Cinnober Financial Technology AB (publ)	CINN.ST / CINN SS	25%	✗	0.3%
Clas Ohlson AB (publ)	CLASb.ST / CLASB SS	25%	✓	0.3%
Cloetta AB (publ)	CLOEb.ST / CLAB SS	20%	✓	0.3%
Collector AB	COLLE.ST / COLL SS	25%	✓	0.3%
Com Hem Holding AB (publ)	COMH.ST / COMH SS	15%	✓	0.3%
Concentric AB (publ)	CONIC.ST / COIC SS	25%	✓	0.3%
Concordia Maritime AB (publ)	CCORb.ST / CCORB SS	25%	✗	0.3%
Coor Service Management Holding AB (publ)	COOR.ST / COOR SS	25%	✓	0.3%
Dios Fastigheter AB (publ)	DIOS.ST / DIOS SS	20%	✓	0.3%
Dometic Group AB (publ)	DOMETIC.ST / DOM SS	15%	✓	0.3%
Doro AB (publ)	DORO.ST / DORO SS	25%	✓	0.3%
Duni AB (publ)	DUNI.ST / DUNI SS	25%	✓	0.3%

Dustin Group AB (publ)	DUST.ST / DUST SS	25%	✓	0.3%
Eastnine AB (publ)	EAST.ST / EAST SS	25%	✗	0.3%
Elekta AB (publ)	EKTAb.ST / EKTAB SS	10%	✓	0.3%
Eltel AB (publ)	ELTEL.ST / ELTEL SS	25%	✗	0.3%
EnQuest PLC	ENQ.ST / ENQ SS	25%	✗	0.3%
Enea AB	ENEA.ST / ENEA SS	25%	✓	0.3%
Enea AB	ENEAIL.ST / ENEAIL SS	25%	✗	0.3%
Epiroc AB	EPIRb.ST / EPIB SS	25%	✗	0.3%
Essity Aktiebolag (publ)	ESSITYb.ST / ESSITYB SS	10%	✓	0.3%
Essity Aktiebolag (publ)	ESSITYa.ST / ESSITYA SS	25%	✓	0.3%
Evolution Gaming Group AB	EVOG.ST / EVO SS	25%	✓	0.3%
Fabege AB (publ)	FABG.ST / FABG SS	10%	✓	0.3%
FastPartner AB (publ)	FPAR.ST / FPAR SS	25%	✓	0.3%
Fastighets AB Balder (publ)	BALDb.ST / BALDB SS	10%	✓	0.3%
Fenix Outdoor International AG	FEOIb.ST / FOIB SS	25%	✓	0.3%
Fingerprint Cards AB (publ)	FINGb.ST / FINGB SS	20%	✓	0.3%
G5 Entertainment AB (publ)	G5EN.ST / G5EN SS	20%	✓	0.3%
Getinge AB	GETIb.ST / GETIB SS	10%	✓	0.3%
Getinge AB	GETIbtab.ST / GETIBTAB SS	25%	✗	0.3%
Global Gaming 555 AB (publ)	GLOBAL.ST / GLOBAL SS	25%	✗	0.3%
Granges AB (publ)	GRANG.ST / GRNG SS	20%	✓	0.3%
Gunnebo AB	GUNN.ST / GUNN SS	25%	✓	0.3%
H & M Hennes & Mauritz AB (publ)	HMb.ST / HMB SS	5%	✓	0.3%
Haldex AB (publ)	HLDX.ST / HLDX SS	25%	✓	0.3%
Hansa Medical AB (publ)	HMED.ST / HMED SS	25%	✗	0.3%
Hemfosa Fastigheter AB (publ)	HEMF.ST / HEMF SS	15%	✓	0.3%
Hemfosa Fastigheter AB (publ)	HEMFpref.ST / HEMFPREF SS	25%	✗	0.3%
Hexagon AB	HEXAb.ST / HEXAB SS	10%	✓	0.3%
Hexatronic Group AB (publ)	HTRO.ST / HTRO SS	25%	✗	0.3%
Hexpol AB (Publ)	HPOLb.ST / HPOLB SS	15%	✓	0.3%
HiQ International AB (publ)	HIQ.ST / HIQ SS	25%	✓	0.3%
Hoist Finance AB (publ)	HOFI.ST / HOFI SS	25%	✓	0.3%
Holmen Aktiebolag (publ)	HOLMb.ST / HOLMB SS	15%	✓	0.3%
Hufvudstaden AB (publ)	HUFVa.ST / HUFVA SS	15%	✓	0.3%
Husqvarna AB (publ)	HUSQa.ST / HUSQA SS	25%	✓	0.3%
Husqvarna AB (publ)	HUSQb.ST / HUSQB SS	10%	✓	0.3%
IAR Systems Group AB (publ)	IARb.ST / IARB SS	25%	✗	0.3%
ICA Gruppen AB	ICAA.ST / ICA SS	10%	✓	0.3%
INVISIO Communications AB (publ.)	IVSO.ST / IVSO SS	25%	✓	0.3%
Immunovia AB (publ)	IMMNOV.ST / IMMNOV SS	25%	✗	0.3%
Industrial and Financial Systems IFS AB (publ)	IFSb.ST / IFSB SS	25%	✗	0.3%
Indutrade AB (publ)	INDT.ST / INDT SS	15%	✓	0.3%
Infant Bacterial Therapeutics AB	IBTb.ST / IBTB SS	25%	✓	0.3%
International Petroleum Corporation	IPCOR.ST / IPCO SS	20%	✓	0.3%
Intrum Justitia AB	INTRUM.ST / INTRUM SS	10%	✓	0.3%
Investment AB Latour (publ)	LATOb.ST / LATOB SS	20%	✓	0.3%
Investment AB Oresund (publ)	ORES.ST / ORES SS	25%	✗	0.3%
Investor AB	INVEa.ST / INVEA SS	15%	✓	0.3%
Investor AB	INVEb.ST / INVEB SS	5%	✓	0.3%
Invuo Technologie SA	INVUO.ST / INVUO SS	25%	✗	0.3%
Inwido AB	INWI.ST / INWI SS	20%	✓	0.3%
Itab Shop Concept AB	ITABb.ST / ITABB SS	25%	✗	0.3%
JM AB (publ)	JM.ST / JM SS	10%	✓	0.3%

Kambi Group PLC	KAMBI.ST / KAMBI SS	25%	✓	0.3%
KappAhl AB (publ)	KAHL.ST / KAHL SS	20%	✓	0.3%
Karo Pharma AB (publ)	KARO.ST / KARO SS	25%	✗	0.3%
Kindred Group PLC	KINDsdb.ST / KINDSDB SS	10%	✓	0.3%
Kinnevik AB	KINVb.ST / KINVB SS	5%	✓	0.3%
Klovern AB (publ)	KLOVa.ST / KLOVA SS	25%	✓	0.3%
Klovern AB (publ)	KLOVb.ST / KLOVB SS	20%	✓	0.3%
Knowit Aktiebolag (publ)	KNOW.ST / KNOW SS	25%	✓	0.3%
Kungsleden Aktiebolag	KLED.ST / KLED SS	15%	✓	0.3%
Kungsleden Aktiebolag	KLEDbta.ST / KLEDBTA SS	25%	✓	0.3%
L E Lundbergforetagen AB (publ)	LUNDb.ST / LUNDB SS	15%	✓	0.3%
Lagercrantz Group AB (publ)	LAGRb.ST / LAGRb SS	25%	✓	0.3%
LeoVegas AB	LEOV.ST / LEO SS	25%	✓	0.3%
Lifco AB	LIFCOb.ST / LIFCOB SS	20%	✓	0.3%
Lindab International AB	LIAB.ST / LIAB SS	25%	✓	0.3%
Loomis AB (publ)	LOOMb.ST / LOOMB SS	15%	✓	0.3%
Lucara Diamond Corporation	LUC.ST / LUC SS	25%	✗	0.3%
Lundin Gold Inc	LUNG.ST / LUG SS	25%	✗	0.3%
Lundin Mining Corporation	LUMIN.ST / LUMI SS	10%	✗	0.3%
Lundin Petroleum AB (publ)	LUPE.ST / LUPE SS	10%	✓	0.3%
MQ Holding AB	MQH.ST / MQ SS	25%	✓	0.3%
Matra Petroleum AB (publ)	MATRA.ST / MATRA SS	25%	✗	0.3%
Meda AB	MEDAa.ST / MEDAA SS	10%	✗	0.3%
Medivir AB (publ)	MVIRb.ST / MVIRB SS	25%	✓	0.3%
Mekonomen AB	MEKO.ST / MEKO SS	25%	✓	0.3%
Melker Schorling AB	MELK.ST / MELK SS	20%	✓	0.3%
Mertiva AB (publ)	MERT.NGM / MERTMTFASS	25%	✗	0.3%
Midsona AB (publ)	MSONb.ST / MSONB SS	25%	✓	0.3%
Millicom International Cellular SA	MICsdb.ST / MIC SS	10%	✓	0.3%
Modern Times Group Mtg AB	MTGb.ST / MTGB SS	10%	✓	0.3%
Momentum Group AB (publ)	MMGRb.ST / MMGRB SS	25%	✓	0.3%
Mr Green & Co AB (publ)	MRG.ST / MRG SS	20%	✓	0.3%
Mycronic AB (publ)	MYCR.ST / MYCR SS	15%	✓	0.3%
NCC AB	NCCb.ST / NCCB SS	10%	✓	0.3%
NIBE Industrier AB (publ)	NIBEb.ST / NIBEB SS	10%	✓	0.3%
Net Insight AB (publ)	NETIb.ST / NETIB SS	25%	✗	0.3%
NetEnt AB (publ)	NETb.ST / NETB SS	15%	✓	0.3%
NetEnt AB (publ)	NETIb.ST / NETILB1 SS	25%	✗	0.3%
NeuroVive Pharmaceutical AB	NVP.ST / NVP SS	25%	✗	0.3%
New Wave Group AB (publ)	NEWAb.ST / NEWAB SS	25%	✓	0.3%
Nexam Chemical Holding AB (publ)	NEXAM.ST / NEXAM SS	25%	✓	0.3%
Nilorgruppen AB	NILb.ST / NILB SS	25%	✗	0.3%
Nobia AB (publ)	NOBI.ST / NOBI SS	20%	✓	0.3%
Nobina AB	NOBINA.ST / NOBINA SS	20%	✓	0.3%
Nokia Corporation	NOKI.ST / NOKIASEK SS	5%	✗	0.3%
Nolato AB (publ)	NOLAb.ST / NOLAB SS	20%	✓	0.3%
Nordax Group AB (publ)	NDX.ST / NDX SS	20%	✓	0.3%
Nordea Bank AB (publ)	NDA.ST / NDA SS	5%	✓	0.3%
Nordic Waterproofing Holding AS	NWGH.ST / NWG SS	25%	✓	0.3%
Nordnet AB (publ)	NNb.ST / NNB SS	25%	✗	0.3%
Oasmia Pharmaceutical AB (publ)	OASM.ST / OASM SS	25%	✗	0.3%
Old Mutual PLC	OLDM.ST / OLDM SS	5%	✗	0.3%
Oncopeptides AB (publ)	ONCO.ST / ONCO SS	25%	✗	0.3%

Opus Group AB (publ)	OPUS.ST / OPUS SS	25%	✓	0.3%
Orexo AB (publ)	ORX.ST / ORX SS	25%	✗	0.3%
Oriflame Holding AG	ORIFL.ST / ORI SS	15%	✓	0.3%
Oscar Properties Holding AB (publ)	OP.ST / OP SS	25%	✗	0.3%
Oscar Properties Holding AB (publ)	OPpref.ST / OPPREF SS	25%	✓	0.3%
Padox AB (publ)	PANDXb.ST / PNDXB SS	20%	✓	0.3%
Paradox Interactive AB	PDXI.ST / PDX SS	25%	✓	0.3%
Peab AB	PEABb.ST / PEABB SS	15%	✓	0.3%
Platzer Fastigheter Holding AB (publ)	PLAZb.ST / PLAZB SS	25%	✓	0.3%
Precise Biometric SA	PREC.ST / PREC SS	25%	✗	0.3%
Pricer AB (publ)	PRICb.ST / PRICB SS	25%	✗	0.3%
Prime Living AB (publ)	PRIME.ST / PRIME SS	25%	✗	0.3%
Proact IT Group AB (publ)	PACT.ST / PACT SS	25%	✓	0.3%
Probi AB (publ)	PROB.ST / PROB SS	25%	✗	0.3%
Qliro Group AB (publ)	QLRO.ST / QLRO SS	25%	✓	0.3%
Rato SA	RATOb.ST / RATOB SS	15%	✓	0.3%
Rato SA	RATOpref.ST / RATOPREF SS	25%	✗	0.3%
RaySearch Laboratories AB (publ)	RAYb.ST / RAYB SS	20%	✓	0.3%
Recipharm AB (publ)	RECIb.ST / RECIB SS	25%	✗	0.3%
Rezidor Hotel Group AB (publ)	RADH.ST / RADH SS	25%	✓	0.3%
RusForest AB (publ)	RUSF.ST / RUSF SS	25%	✗	0.3%
SAS AB (publ)	SAS.ST / SAS SS	15%	✓	0.3%
SAS AB (publ)	SASpref.ST / SASPREF SS	25%	✓	0.3%
SEMAFO Inc	SMF.ST / SMF SS	15%	✗	0.3%
SKF AB	SKFb.ST / SKFB SS	5%	✓	0.3%
SSAB AB	SSABa.ST / SSABA SS	10%	✓	0.3%
SSAB AB	SSABb.ST / SSABB SS	10%	✓	0.3%
Saab AB (publ)	SAABb.ST / SAABB SS	10%	✓	0.3%
Sandvik AB	SAND.ST / SAND SS	5%	✓	0.3%
Scandi Standard AB (publ)	SCST.ST / SCST SS	25%	✓	0.3%
Scandic Hotels Group AB	SHOTE.ST / SHOT SS	20%	✓	0.3%
Securita SA	SECUB.ST / SECUB SS	5%	✓	0.3%
Sensys Gatso Group AB (publ)	SENS.ST / SENS SS	25%	✗	0.3%
ShaMaran Petroleum Corporation	SNMP.ST / SNM SS	25%	✗	0.3%
Skandinaviska Enskilda Banken AB (publ)	SEBa.ST / SEBA SS	5%	✓	0.3%
Skandinaviska Enskilda Banken AB (publ)	SEBc.ST / SEBC SS	25%	✓	0.3%
Skanska AB (publ)	SKAb.ST / SKAB SS	5%	✓	0.3%
SkiStar AB (publ)	SKISb.ST / SKISB SS	25%	✓	0.3%
Starbreeze AB	STZEb.ST / STARB SS	25%	✗	0.3%
Stendorren Fastigheter AB (publ)	STEFb.ST / STEFB SS	25%	✓	0.3%
Stora Enso Oyj	STEA.ST / STEA SS	25%	✗	0.3%
Stora Enso Oyj	STEr.ST / STER SS	10%	✓	0.3%
Svenska Cellulosa Aktiebolaget SCA (publ)	SCAb.ST / SCAB SS	10%	✓	0.3%
Svenska Handelsbanken AB (publ)	SHBa.ST / SHBA SS	5%	✓	0.3%
Svolder AB	SVOLb.ST / SVOLB SS	25%	✓	0.3%
Svolder AB	SVOLa.ST / SVOLA SS	25%	✗	0.3%
Sweco AB (publ)	SWECb.ST / SWECB SS	20%	✓	0.3%
Swedbank AB (publ)	SWEDa.ST / SWEDA SS	5%	✓	0.3%
Swedish Match AB (publ)	SWMA.ST / SWMA SS	5%	✓	0.3%
Swedish Orphan Biovitrum AB	SOBIV.ST / SOBI SS	10%	✓	0.3%
Systemair AB (publ)	SYSR.ST / SYSR SS	25%	✓	0.3%
Tele2 AB (publ)	TEL2b.ST / TEL2B SS	5%	✓	0.3%
Telefonaktiebolaget LM Ericsson (publ)	ERICb.ST / ERICB SS	10%	✓	0.3%

Telia Company AB	TELIA.ST / TELIA SS	5%	✓	0.3%
Tethys Oil AB (publ)	TETY.ST / TETY SS	25%	✓	0.3%
Thule Group AB	THULE.ST / THULE SS	15%	✓	0.3%
Tieto Oyj	TIETOS.ST / TIETOS SS	15%	✓	0.3%
Tobii AB (publ)	TOBII.ST / TOBII SS	20%	✗	0.3%
Tradedoubler AB	TRAD.ST / TRAD SS	25%	✗	0.3%
Trelleborg AB	TRELB.ST / TRELB SS	10%	✓	0.3%
Victoria Park AB (publ)	VICPb.ST / VICPB SS	25%	✓	0.3%
Victoria Park AB (publ)	VICPpref.ST / VICPPREF SS	25%	✗	0.3%
Vitrolife AB (publ)	VITR.ST / VITR SS	20%	✓	0.3%
Vostok New Ventures Limited	VNVsdb.ST / VNVSDB SS	25%	✗	0.3%
Wallenstam AB (publ)	WALLb.ST / WALLB SS	20%	✓	0.3%
Wihlborgs Fastigheter AB (publ)	WIHL.ST / WIHL SS	20%	✓	0.3%
XACT Bear ETF	XACTBEAR.ST / XACBEAR SS	10%	✗	0.3%
XACT Bull ETF	XACTBULL.ST / XACBULL SS	10%	✗	0.3%
myFC Holding AB	MYFC.ST / MYFC SS	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Viktig information: Kolumnen "Kan blankas?" skall endast ses som en guide till huruvida det för närvarande finns några omständigheter (som vi känner till) som förhindrar korta positioner på den berörda aktien. Den är inte en garanti att du kommer att kunna blanka en aktie som anges. Det kan därutöver tillkomma en extra avgift (en belåningskostnad) som kommer att inkluderas i räntestjusteringen som gäller för din position. För att fastställa huruvida en avgift tillkommer ber vi dig kontakta vår kundservice innan du handlar. Möjligheten att låna och kostnaden för att låna aktier kan förändras med kort varsel.

Om du inte hittar aktien du söker, vänligen ring vårt mäklarbord (+46 8 505 15 002) eftersom vi vanligtvis lägger till aktier i vårt utbud på några minuter

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

SWITZERLAND

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
ABB Limited	ABBN.VX / ABBN SW	5%	✓	0.3%
ARYZTA AG	ARYN.S / ARYN SW	20%	✓	0.3%
Actelion Limited	ATLN.S / ATLN SW	10%	✓	0.3%
Adecco Group AG	ADEN.VX / ADEN SW	5%	✓	0.3%
Allreal Holding AG	ALLN.S / ALLN SW	15%	✓	0.3%
Arbonia AG	ARBNO.S / ARBN SW	20%	✓	0.3%
Ascom Holding AG	ASCN.S / ASCN SW	20%	✓	0.3%
Autoneum Holding AG	AUTON.S / AUTN SW	15%	✓	0.3%
BB Biotech AG	BION.S / BION SW	10%	✓	1%
BFW Liegenschaften AG	BLIN.S / BLIN SW	25%	✓	0.3%
BKW AG	BKWB.S / BKW SW	20%	✓	0.3%
Baloise Holding AG	BALN.VX / BALN SW	5%	✓	0.3%
Banque Cantonale Vaudoise	BCVN.S / BCVN SW	15%	✓	0.3%
Barry Callebaut AG	BARN.S / BARN SW	10%	✓	0.3%
Basilea Pharmaceutica AG	BSLN.S / BSLN SW	25%	✓	0.3%
Bobst Group SA	BOBNN.S / BOBNN SW	20%	✓	0.3%
Bossard Holding AG	BOS.S / BOSN SW	15%	✓	0.3%
Bucher Industrie SA	BUCN.S / BUCN SW	10%	✓	0.3%
Burckhardt Compression Holding AG	BCHN.S / BCHN SW	15%	✓	0.3%
Ceva Logistic SA	CEVAL.S / CEVA SW	25%	✓	0.3%
Chocoladefabriken Lindt & Sprungli AG	LISP.S / LISP SW	10%	✓	0.3%
Clariant AG	CLN.VX / CLN SW	10%	✓	0.3%
Comet Holding AG	COTNE.S / COTN SW	15%	✓	0.3%
Compagnie Financiere Richemont SA	CFR.VX / CFR SW	5%	✓	0.3%

Credit Suisse Group AG	CSGN.VX / CSGN SW	5%	✓	0.3%
DKSH Holding Limited	DKSH.S / DKSH SW	10%	✓	0.3%
Datwyler Holding Inc	DAE.S / DAE SW	20%	✓	0.3%
Dufry AG	DUFN.S / DUFN SW	5%	✓	0.3%
EFG International AG	EFGN.S / EFGN SW	20%	✓	0.3%
EMS-Chemie Holding AG	EMSN.S / EMSN SW	10%	✓	0.3%
Eastern Property Holdings Limited	EPH.S / EPH SW	25%	✗	0.3%
Emmi AG	EMMN.S / EMMN SW	15%	✓	0.3%
Evolve Holding SA	EVE.S / EVE SW	25%	✗	0.3%
Flughafen Zurich AG	FHZN.S / FHZN SW	10%	✓	0.3%
Forbo Holding AG	FORN.S / FORN SW	15%	✓	0.3%
GAM Holding AG	GAMH.S / GAM SW	10%	✓	0.3%
Galenica Sante AG	GALE.S / GALE SW	10%	✓	0.3%
Geberit AG	GEBN.VX / GEBN SW	5%	✓	0.3%
Georg Fischer AG	FIN.S / FI/N SW	10%	✓	0.3%
Givaudan SA	GIVN.VX / GIVN SW	5%	✓	0.3%
Gurit Holding AG	GUR.S / GUR SW	20%	✓	0.3%
HBM Healthcare Investment SA	HBMN.S / HBMN SW	20%	✓	0.3%
HIAG Immobilien Holding AG	HIAG.S / HIAG SW	25%	✗	0.3%
Helvetia Holding AG	HELN.S / HELN SW	10%	✓	0.3%
Hochdorf Holding AG	HOCN.S / HOCN SW	25%	✓	0.3%
INFICON Holding AG	IFCN.S / IFCN SW	15%	✓	0.3%
Idorsia Limited	IDIA.S / IDIA SW	25%	✓	0.3%
Implenia AG	IMPN.S / IMPN SW	20%	✓	0.3%
Interroll Holding AG	INRN.S / INRN SW	25%	✓	0.3%
Intershop Holding AG	ISN.S / ISN SW	20%	✓	0.3%
Julius Baer Group Limited	BAER.VX / BAER SW	5%	✓	0.3%
Kardex AG	KARN.S / KARN SW	20%	✓	0.3%
Komax Holding AG	KOMN.S / KOMN SW	15%	✓	0.3%
Kudelski SA	KUD.S / KUD SW	20%	✓	0.3%
Kuehne + Nagel International AG	KNIN.VX / KNIN SW	5%	✓	0.3%
Kuoni Reisen Holding AG	KUNN.S / KUNN SW	15%	✗	0.3%
LafargeHolcim Limited	LHN.VX / LHN SW	5%	✓	0.3%
Landis+Gyr Group AG	LANDI.S / LAND SW	10%	✓	0.3%
Leclanche SA	LECN.S / LECN SW	25%	✗	0.3%
Leonteq AG	LEON.S / LEON SW	15%	✗	0.3%
Logitech International SA	LOGN.S / LOGN SW	10%	✓	0.3%
Lonza Group Limited	LONN.VX / LONN SW	10%	✓	0.3%
Lyxor Daily Double Short SMI UCITS ETF C-CHF	LYSSL.S / LYSSL SW	25%	✗	0.3%
Lyxor Daily Leveraged SMI UCITS ETF C-CHF	LYSLE.S / LYSLE SW	25%	✗	0.3%
Meyer Burger Technology AG	MBTN.S / MBTN SW	10%	✓	0.3%
Micronas Semiconductor Holding AG	MASN.S / MASN SW	25%	✗	0.3%
Mobimo Holding AG	MOBN.S / MOBN SW	20%	✓	0.3%
Molecular Partner SA	MOLN.S / MOLN SW	25%	✓	0.3%
Myriad Group AG	MYRN.S / MYRN SW	25%	✗	0.3%
Nestle SA	NESN.VX / NESN SW	5%	✓	0.3%
Newron Pharmaceuticals SpA	NWRN.S / NWRN SW	25%	✗	0.3%
Novartis AG	NOVN.VX / NOVN SW	5%	✓	0.3%
OC Oerlikon Corporation AG	OERL.S / OERL SW	10%	✓	0.3%
Orascom Development Holding AG	ODHN.S / ODHN SW	25%	✓	0.3%
PSP Swiss Property AG	PSPN.S / PSPN SW	10%	✓	0.3%
Panalpina World Transport (Holding) Limited	PWTN.S / PWTN SW	10%	✓	0.3%
Pargesa Holding SA	PARG.S / PARG SW	10%	✓	0.3%
Partners Group Holding AG	PGHN.S / PGHN SW	5%	✓	0.3%

Phoenix Mecano AG	PM.S / PM SW	25%	✓	0.3%
Polyphor AG	POLN.S / POLN SW	25%	✓	0.3%
Rieter Holding AG	RIEN.S / RIEN SW	20%	✓	0.3%
Roche Holding AG	ROG.S / ROG SW	5%	✓	0.3%
SFS Group AG	SFSN.S / SFSN SW	15%	✓	0.3%
SGS SA	SGSN.S / SGSN SW	5%	✓	0.3%
Santhera Pharmaceuticals Holding AG	SANN.S / SANN SW	25%	✗	0.3%
Schaffner Holding AG	SAHN.S / SAHN SW	25%	✓	0.3%
Schindler Holding AG	SCHN.S / SCHN SW	10%	✓	0.3%
Schindler Holding AG	SCHP.VX / SCHP SW	10%	✓	0.3%
Schmolz + Bickenbach AG	STLN.S / STLN SW	25%	✓	0.3%
Schweiter Technologie SA	SWTQ.S / SWTQ SW	20%	✓	0.3%
Sensirion AG	SENSI.S / SENS SW	25%	✓	0.3%
Siegfried Holding AG	SFZN.S / SFZN SW	15%	✓	0.3%
Sika AG	SIKA.S / SIKA SW	5%	✓	0.3%
Sonova Holding AG	SOON.VX / SOON SW	5%	✓	0.3%
Source EURO STOXX Optimised Banks UCITS ETF	S7XE.S / S7XE SW	10%	✗	0.3%
Straumann Holding AG	STMN.S / STMN SW	10%	✓	0.3%
Sunrise Communications Group AG	SRCG.S / SRCG SW	10%	✓	0.3%
Swiss Life Holding AG	SLHN.VX / SLHN SW	5%	✓	0.3%
Swiss National Bank	SNBN.S / SNBN SW	25%	✓	0.3%
Swiss Prime Site AG	SPSN.S / SPSN SW	10%	✓	0.3%
Swiss Re Limited	SRENH.VX / SREN SW	5%	✓	0.3%
Swisscom AG	SCMN.VX / SCMN SW	5%	✓	0.3%
Swissquote Group Holding Limited	SQN.S / SQN SW	25%	✓	0.3%
Tecan Group Limited	TECN.S / TECN SW	15%	✓	0.3%
Temenos Group AG	TEMN.S / TEMN SW	10%	✓	0.3%
The Swatch Group AG	UHRN.S / UHRN SW	10%	✓	0.3%
The Swatch Group AG	UHR.VX / UHR SW	5%	✓	0.3%
Tornos Holding AG	TOHN.S / TOHN SW	25%	✓	0.3%
UBS ETF - MSCI Emerging Markets Socially Responsible UCITS ETF (USD) A-dis	MSRUSA.S / MSRUSA SW	10%	✓	0.3%
UBS Group AG	UBSG.VX / UBSG SW	5%	✓	0.3%
VAT Group AG	VACN.S / VACN SW	10%	✓	0.3%
VP Bank AG	VPBN.S / VPBN SW	25%	✓	0.3%
Valiant Holding AG	VATN.S / VATN SW	20%	✓	0.3%
Valora Holding AG	VALN.S / VALN SW	20%	✓	0.3%
Vifor Pharma AG	VIFN.S / VIFN SW	10%	✓	0.3%
Von Roll Holding AG	ROLS.S / ROL SW	25%	✗	0.3%
Vontobel Holding AG	VONN.S / VONN SW	15%	✓	0.3%
WiseKey International Holding AG	WIHN.S / WIHN SW	25%	✓	0.3%
Wartec Invest AG	WARN.S / WARN SW	25%	✓	0.3%
Ypsomed Holding AG	YPSN.S / YPSN SW	15%	✓	0.3%
ZKB Gold ETF AA CHF	ZGLD.S / ZGLD SW	15%	✓	0.3%
ZKB Gold ETF AA EUR	ZGLDEU.S / ZGLDEU SW	10%	✓	0.3%
ZKB Silver ETF AA CHF	ZSIL.S / ZSIL SW	10%	✓	0.3%
Zehnder Group AG	ZEHN.S / ZEHN SW	25%	✓	0.3%
Zur Rose Group AG	ROSEG.S / ROSE SW	15%	✓	0.3%
Zurich Insurance Group AG	ZURN.VX / ZURN SW	5%	✓	0.3%
am SA	AMS.S / AMS SW	10%	✓	0.3%
dormakaba Holding AG	DOKA.S / DOKA SW	10%	✓	0.3%
gategroup Holding AG	GATE.S / GATE SW	15%	✗	0.3%
iShares S&P 500 Energy Sector UCITS ETF USD (Acc)	ISIUES.S / IUES SW	25%	✗	0.3%
iShares SMI(r) (CH)	CSSMI.S / CSSMI SW	10%	✓	0.3%

lastminute.com NV	LMN.S / LMN SW	25%	✓	0.3%
mobilezone holding ag	MOZN.S / MOZN SW	20%	✓	0.3%
u-blox Holding AG	UBXN.S / UBXN SW	10%	✓	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +41 228 881 006) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

UNITED KINGDOM

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
1Spatial PLC	SPA.L / SPA LN	25%	✗	1%
3i Group PLC	III.L / III LN	5%	✓	0.3%
3i Infrastructure PLC	3IN.L / 3IN LN	20%	✓	0.3%
4D pharma PLC	DDDD.L / DDDD LN	25%	✗	0.3%
4imprint Group PLC	FOUR.L / FOUR LN	25%	✓	1%
88 Energy Limited	88E.L / 88E LN	25%	✗	1%
888 Holdings PLC	888.L / 888 LN	15%	✓	0.7%
A&J Mucklow Group PLC	MKLW.L / MKLW LN	25%	✓	0.7%
A.G. BARR PLC	BAG.L / BAG LN	25%	✓	0.7%
AA PLC	AAAA.L / AA/ LN	20%	✓	0.3%
AB Dynamics PLC	ABDP.L / ABDP LN	25%	✗	1%
AEW UK Long Lease REIT PLC	AEWL.L / AEWL LN	25%	✗	1%
AEW UK REIT PLC	AEWU.L / AEWU LN	25%	✗	1%
AFC Energy PLC	AFEN.L / AFC LN	25%	✗	1%
AFH Financial Group PLC	AFHP.L / AFHP LN	25%	✗	1%
AFI Development PLC	AFRbb.L / AFRB LN	25%	✗	0.7%
AFI Development PLC	AFIDLq.L / AFID LI	25%	✗	1%
AIB Group PLC	AIBG.L / AIBG LN	10%	✓	0.3%
AIQ Limited	AIQ.L / AIQ LN	25%	✗	1%
AIREA PLC	AIEA.L / AIEA LN	25%	✗	1%
ANGLE PLC	AGLE.L / AGL LN	25%	✗	1%
AO World PLC	AO.L / AO/ LN	25%	✓	0.3%
ASOS PLC	ASOS.L / ASC LN	10%	✓	0.7%
ATTRAQT Group PLC	ATQT.L / ATQT LN	25%	✗	1%
AVEVA Group PLC	AVV.L / AVV LN	15%	✓	0.3%
Abaco Capital PLC	ABAA.L / ABA LN	25%	✗	1%
Abcam PLC	ABCA.L / ABC LN	15%	✓	0.7%
Aberdeen Asian Smaller Companies Investment Trust PLC	AAS.L / AAS LN	25%	✓	0.7%
Aberdeen Asset Management PLC	ADN.L / ADN LN	10%	✓	0.3%
Aberdeen Diversified Income and Growth Trust PLC	ADIG.L / ADIG LN	25%	✓	0.7%
Aberdeen Emerging Markets Investment Company Limited	ADMf.L / AEMC LN	25%	✓	0.3%
Aberdeen Frontier Markets Investment Company Limited	AFMF.L / AFMC LN	25%	✗	1%
Aberdeen Japan Investment Trust PLC	AJIT.L / AJIT LN	25%	✗	1%
Aberdeen Latin American Income Fund Limited	ALAIF.L / ALAI LN	25%	✗	0.3%
Aberdeen New Dawn Investment Trust PLC	ABD.L / ABD LN	25%	✓	0.7%

Aberdeen New India Investment Trust PLC	ANII.L / ANII LN	25%	✓	0.7%
Aberdeen New Thai Investment Trust PLC	ANW.L / ANW LN	25%	☒	1%
Aberdeen Smaller Companies Income Trust PLC	ASCHI.L / ASCI LN	25%	✗	1%
Aberdeen Standard European Logistics Income PLC	ASLI.L / ASLI LN	25%	✓	1%
Aberforth Geared Income Trust PLC	AGIZ.L / AGIZ LN	25%	✗	0.3%
Aberforth Geared Income Trust PLC	AGIT.L / AGIT LN	25%	✗	0.3%
Aberforth Smaller Companies Trust PLC	ASL.L / ASL LN	25%	✓	0.3%
Aberforth Split Level Trust PLC	ASITA.L / ASIT LN	25%	✗	0.7%
Abzena PLC	ABZA.L / ABZA LN	25%	✗	1%
Acacia Mining PLC	ACAA.L / ACA LN	15%	✓	0.7%
Accrol Group Holdings PLC	ACRL.L / ACRL LN	25%	✗	1%
Accsys Technologies PLC	ACCS.L / AXS LN	25%	✓	1%
Acencia Debt Strategies Limited	ACD.L / ACD LN	25%	✗	1%
Acorn Income Fund Limited	AIF.L / AIF LN	25%	✗	1%
Acron PJSC	AKRNq.L / AKRN LI	50%	✗	1%
Active Energy Group PLC	AEGR.L / AEG LN	25%	✗	1%
AdEPT Telecom PLC	ADT.L / ADT LN	25%	✗	1%
Adamas Finance Asia Limited	ADAM.L / ADAM LN	25%	✗	1%
Admiral Group PLC	ADML.L / ADM LN	10%	✓	0.7%
Advanced Medical Solutions Group PLC	AMSU.L / AMS LN	25%	✓	1%
Advanced Oncotherapy PLC	AVO.L / AVO LN	25%	✗	1%
Afarak Group Oyj	AFRK.L / AFRK LN	25%	✗	1%
Aggregated Micro Power Holdings PLC	AMPH.L / AMPH LN	25%	✗	1%
Aggreko PLC	AGGK.L / AGK LN	10%	✓	0.3%
Air Partner PLC	AIRA.L / AIR LN	25%	✓	0.7%
Albion Development VCT PLC	AADV.L / AADV LN	25%	✗	1%
Albion Enterprise VCT PLC	AAEV.L / AAEV LN	25%	✗	1%
Albion Technology & General VCT PLC	AATG.L / AATG LN	25%	✗	1%
Albion Venture Capital Trust PLC	AAVC.L / AAVC LN	25%	✗	1%
Alcentra European Floating Rate Income Fund Limited	AEFS.L / AEFS LN	25%	✓	0.3%
Aldermore Group PLC	ALD.L / ALD LN	15%	✓	1%
Alfa Financial Software Holdings PLC	ALFA.L / ALFA LN	20%	✓	1%
Allergy Therapeutics PLC	AGY.L / AGY LN	25%	✗	1%
Alliance Pharma PLC	ALAPH1.L / APH LN	25%	✓	0.3%
Alliance Trust PLC	ATST.L / ATST LN	15%	✓	0.3%
Allianz Technology Trust PLC	ATT.L / ATT LN	25%	✓	0.7%
Allied Minds PLC	ALML.L / ALM LN	25%	✓	1%
Alpha FX Group PLC	AFX.L / AFX LN	25%	✗	1%
Alpha Financial Markets Consulting UK Limited	AFM.L / AFM LN	25%	✗	1%
Alpha Real Trust Limited	ARTL.L / ARTL LN	25%	✗	1%
Alternative Asset Opportunities PCC Limited	TLI_p.L / TLI LN	25%	✗	1%
Alternative Liquidity Fund Limited	ALFAL.L / ALF LN	25%	✗	0.7%
Altitude Group PLC	ALT.L / ALT LN	25%	✗	1%
Altyn PLC	ALTNA.L / ALTN LN	25%	☒	1%
Amati AIM VCT plc (LSE:AMAT)	AMATA.L / AMAT LN	25%	✗	1%
Amati VCT PLC	ATIV.L / ATI LN	25%	✗	1%
Amec Foster Wheeler PLC	AMFW.L / AMFW LN	10%	✓	0.7%
Amedeo Air Four Plus Limited Fund	AA4A.L / AA4 LN	25%	✗	1%
Amerisur Resources PLC	AMER.L / AMER LN	25%	✓	1%
Amiad Water Systems Limited	AFS.L / AFS LN	25%	✗	1%
Aminex PLC	AMNX.I / AEX LN	25%	✗	1%
Amino Technologies PLC	AMO.L / AMO LN	25%	✗	1%
Amryt Pharma PLC	AMYT.L / AMYT LN	25%	✗	1%
Amundi ETF Euro Stoxx Small Cap UCITS ETF	ESM.L / ESM LN	10%	✗	0.3%

Amundi ETF FTSE EPRA Europe Real Estate UCITS ETF	EPRE.L / EPRE LN	10%	✗	0.3%
Amundi ETF Jpx-Nikkei 400 UCITS ETF Daily Hedged GBP	JPHG.L / JPHG LN	10%	✗	0.3%
Amundi ETF Jpx-Nikkei 400 UCITS ETF JPY	JPNY.L / JPNY LN	10%	✗	0.3%
Amundi ETF MSCI EM Latin America UCITS ETF USD	ALAG.L / ALAG LN	25%	✗	1%
Amundi ETF MSCI Eastern Europe ex Russia UCITS ETF USD	CE9G.L / CE9G LN	25%	✗	1%
Amundi ETF MSCI Emerging Markets UCITS ETF USD	AUEM.L / AUEM LN	10%	✗	0.3%
Amundi ETF MSCI Europe Consumer Discretionary UCITS ETF	CD6.L / CD6 LN	10%	✗	0.3%
Amundi ETF MSCI Europe Energy UCITS ETF	ANRJ.L / ANRJ LN	25%	✗	1%
Amundi ETF MSCI Europe Healthcare UCITS ETF	CH5.L / CH5 LN	10%	✗	0.3%
Amundi ETF MSCI India UCITS ETF USD	CI2G.L / CI2G LN	25%	✗	1%
Amundi ETF MSCI Italy UCITS ETF	CI1.L / CI1 LN	10%	✓	0.3%
Amundi ETF MSCI Pacific ex Japan UCITS ETF DR USD	CP9G.L / CP9G LN	25%	✗	1%
Amundi ETF MSCI Spain UCITS ETF	CS1.L / CS1 LN	10%	✗	0.3%
Amundi ETF MSCI World Energy UCITS ETF USD	AMCWEG.L / CWEG LN	25%	✗	1%
Amundi ETF MSCI World Financials UCITS ETF USD	CWFG.L / CWFG LN	25%	✗	1%
Amundi ETF NASDAQ-100 UCITS ETF USD	ANXU.L / ANXU LN	10%	✗	0.3%
Amundi ETF S&P 500 Buyback UCITS ETF USD	BYBG.L / BYBG LN	25%	✗	1%
Amur Minerals Corporation	A7L.L / AMC LN	25%	✓	1%
Andrews Sykes Group PLC	ANSY.L / ASY LN	25%	✗	1%
Anexo Group PLC	ANXA.L / ANX LN	25%	✗	1%
Angling Direct PLC	ANG.L / ANG LN	25%	✗	1%
Anglo African Oil & Gas PLC	AAOG.L / AAOG LN	25%	✓	1%
Anglo American PLC	AAL.L / AAL LN	5%	✓	0.3%
Anglo Asian Mining PLC	AAZ.L / AAZ LN	25%	✗	1%
Anglo Pacific Group PLC	APF.L / APF LN	25%	✓	0.7%
Anglo-Eastern Plantations PLC	ANEA.L / AEP LN	25%	✓	0.7%
Angus Energy PLC	ANGSA.L / ANGS LN	25%	✗	1%
Animalcare Group PLC	ANCR.L / ANCR LN	25%	✗	1%
Anpario PLC	ANP.L / ANP LN	25%	✗	1%
Antofagasta PLC	ANTO.L / ANTO LN	5%	✓	0.3%
Apax Global Alpha Limited	APAX.L / APAX LN	25%	✓	1%
Applegreen PLC	APGNA.L / APGN LN	25%	✗	1%
Appscatter Group PLC	APPSA.L / APPS LN	25%	✗	1%
AquaBounty Technologies Inc	ABTU.L / ABTU LN	25%	✗	1%
Aquis Exchange PLC	AQX.L / AQX LN	25%	✗	1%
Arbuthnot Banking Group PLC	ARBB.L / ARBB LN	25%	✓	1%
Arena Events Group Limited	ARE.L / ARE LN	25%	✗	1%
Ariana Resources PLC	ARNR.L / AAU LN	25%	✗	1%
Arix Bioscience PLC	ARIX.L / ARIX LN	25%	✓	1%
Arricano Real Estate PLC	ARO.A.L / ARO LN	25%	✗	1%
Arrow Global Group PLC	ARWA.L / ARW LN	20%	✓	1%
Artemis Alpha Trust PLC	ATS.L / ATS LN	25%	☒	0.7%
Artemis Alpha Trust PLC	ATSS.L / ATSS LN	25%	✗	1%
Artemis VCT PLC	AAM.L / AAM LN	25%	✗	1%
Artidium PLC	ARTA.L / ARTA LN	25%	✗	1%
Ascent Resources PLC	ASCR.L / AST LN	25%	✗	1%
Ascential PLC	ASCL.L / ASCL LN	15%	✓	1%
Aseana Properties Limited	ASPL.L / ASPL LN	25%	✗	1%
Ashmore Group PLC	ASHM.L / ASHM LN	15%	✓	0.3%
Ashtead Group PLC	AHT.L / AHT LN	5%	✓	0.7%
Asiamet Resources Limited	ARSA.L / ARS LN	25%	✗	1%
Asian Citrus Holdings Limited	ACHLA.L / ACHL LN	25%	✗	1%
Asset Company PLC	ASTO.L / ASTO LN	25%	✗	1%

Associated British Foods PLC	ABF.L / ABF LN	5%	✓	0.3%
Assura PLC	AGRP.L / AGR LN	20%	✓	0.7%
AstraZeneca PLC	AZN.L / AZN LN	5%	✓	0.3%
Atalaya Mining PLC	ATYM.L / ATYM LN	25%	✗	1%
Atlantis Japan Growth Fund Limited	AJG.L / AJG LN	25%	✗	1%
Atlas Mara Limited	ATMA.L / ATMA LN	25%	✗	1%
Audioboom Group PLC	BOOMA.L / BOOM LN	25%	✗	1%
Augean PLC	AUGS.L / AUG LN	25%	✗	1%
Aurora Investment Trust PLC	AURT.L / ARR LN	25%	✗	1%
Autins Group PLC	AUTG.L / AUTG LN	25%	✗	1%
Auto Trader Group PLC	AUTOA.L / AUTO LN	10%	✓	1%
Avacta Group PLC	AVTG.L / AVCT LN	25%	✗	1%
AvangardCo Investments Public Limited	AVGRq.L / AVGR LI	25%	✗	1%
Avast PLC	AVST.L / AVST LN	25%	✓	1%
Avation PLC	AVAP.L / AVAP LN	25%	✗	1%
Avesco Group PLC	AVS.L / AVS LN	25%	✗	1%
Avesoro Resources Inc	ASOA.L / ASO LN	25%	✗	1%
Avingtrans PLC	AVG.L / AVG LN	25%	✗	1%
Aviva PLC	AV.L / AV/ LN	5%	✓	0.7%
Avon Rubber PLC	AVON.L / AVON LN	25%	✓	0.7%
Axa Property Trust	APT.L / APT LN	25%	✗	0.7%
Axis Bank Ltd	AXBKq.L / AXB LI	25%	✓	1%
B&M European Value Retail SA	BMEB.L / BME LN	10%	✓	1%
B.P. Marsh & Partners PLC	BPM.L / BPM LN	25%	✗	1%
B.S.D Crown Limited	EBLZ.L / BSD LN	25%	✗	1%
BAE Systems PLC	BAES.L / BA/ LN	5%	✓	0.3%
BASF SE	BFA.L / BFA LN	5%	✗	1%
BATM Advanced Communications Limited	BVC.L / BVC LN	25%	✓	0.7%
BB Healthcare Trust	BBH.L / BBH LN	25%	✗	1%
BBA Aviation PLC	BBA.L / BBA LN	10%	✓	0.7%
BBGI Sicav SA	BBGIB.L / BBGI LN	25%	✓	0.3%
BCA Marketplace PLC	BCA.L / BCA LN	20%	✓	1%
BCRE-Brack Capital Real Estate Investments NV	BCRE.L / BCRE LN	25%	✗	1%
BECAP12 Fund LP	BCAPx.L / BC12 LN	25%	✗	1%
BGEO Group PLC	BGEO.L / BGEO LN	15%	✓	0.7%
BH Global Limited	BHGGu.L / BHGU LN	25%	✗	0.3%
BH Global Limited	BHGG.L / BHGG LN	25%	☐	0.3%
BH Macro Limited	BHMG.L / BHMG LN	25%	✓	0.7%
BH Macro Limited	BHMGx.L / BHME LN	25%	✗	0.3%
BH Macro Limited	BHMGu.L / BHMU LN	25%	✗	0.3%
BHP Billiton PLC	BLT.L / BLT LN	5%	✓	0.3%
BMO Barclays Global High Yield Bond (GBP Hedged) UCITS ETF GBP Hedged Distributing Sh AE	ZHYG.L / ZHYG LN	10%	✓	0.3%
BMO Enhanced Income UK Equity UCITS ETF	ZWUK.L / ZWUK LN	25%	✗	1%
BP PLC	BP.L / BP/ LN	5%	✓	0.3%
BT Group PLC	BT.L / BT/A LN	5%	✓	0.3%
BTG PLC	BTG.L / BTG LN	15%	✓	0.7%
Babcock International Group PLC	BAB.L / BAB LN	10%	✓	0.3%
Bahamas Petroleum Company PLC	BPCB.L / BPC LN	25%	✓	1%
Baillie Gifford Shin Nippon PLC	BGS.L / BGS LN	25%	✓	1%
Baillie Gifford US Growth Trust PLC	USAB.L / USA LN	25%	✓	1%
Baker Steel Resources Trust Limited	BSRT.L / BSRT LN	25%	✗	1%
Bakkavor Group PLC	BAKK.L / BAKK LN	25%	✓	1%
Balfour Beatty PLC	BALF.L / BBY LN	10%	✓	0.3%

Banco Santander SA	SANq.L / BNC LN	5%	✓	0.7%
Bango PLC	BGO.L / BGO LN	25%	✗	1%
Bank Of Ireland Group PLC	BIRG.L / BIRG LN	10%	✓	0.3%
Barclays PLC	BARC.L / BARC LN	5%	✓	0.3%
Baring Emerging Europe PLC	BEE.L / BEE LN	25%	✓	0.7%
Barloworld Limited	BWOB.L / BWO LN	10%	✗	0.7%
Baronsmead Second Venture Trust PLC	BRMD.L / BMD LN	25%	✗	1%
Baronsmead VCT 5	BAV.L / BAV LN	25%	✗	1%
Baronsmead Venture Trust PLC	BARV.L / BVT LN	25%	✗	1%
Barratt Developments PLC	BDEV.L / BDEV LN	5%	✓	0.7%
Base Resources Limited	BSE.L / BSE LN	25%	✗	1%
Be Heard Group PLC	BHRD.L / BHRD LN	25%	✗	1%
Beazley PLC	BEZG.L / BEZ LN	10%	✓	0.3%
Beeks Trading Corporation Limited	BKSB.L / BKS LN	25%	✗	1%
Begbies Traynor Group PLC	BEG.L / BEG LN	25%	✗	0.7%
Bellway PLC	BWY.L / BWY LN	10%	✓	0.7%
Belvoir Lettings PLC	BLVB.L / BLV LN	25%	✗	1%
Benchmark Holdings PLC	BMKB.L / BMK LN	25%	✗	1%
Beowulf Mining PLC	BEM.L / BEM LN	25%	✗	1%
Berkeley Energia Limited	BKY.L / BKY LN	25%	✗	1%
Better Capital PCC Limited	BCAP.L / BCAP LN	25%	✗	1%
Beximco Pharmaceuticals Limited	BXPq.L / BXP LN	99%	✗	1%
Biffa PLC	BIFF.L / BIFF LN	25%	✓	1%
Big Yellow Group PLC	BYG.L / BYG LN	20%	✓	0.7%
Bigblu Broadband PLC	BBB.L / BBB LN	25%	✗	1%
Bilby PLC	BILBI.L / BILB LN	25%	✗	1%
Billington Holdings PLC	BILN.L / BILN LN	25%	✗	1%
BioPharma Credit PLC	BPCR.L / BPCR LN	25%	✓	1%
Bioquell PLC	BIOQ.L / BQE LN	25%	✗	1%
Bioventix PLC	BVXP.L / BVXP LN	25%	✗	1%
BlackRock Commodities Income Investment Trust PLC	BRCI.L / BRCI LN	25%	✗	0.3%
BlackRock Emerging Europe PLC	BEEP.L / BEEP LN	25%	✓	0.7%
BlackRock Frontiers Investment Trust PLC	BRFI.L / BRFI LN	25%	✓	0.3%
BlackRock Greater Europe Investment Trust PLC	BRGE.L / BRGE LN	25%	✓	0.3%
BlackRock Income and Growth Investment Trust PLC	BRIGB.L / BRIG LN	25%	✗	1%
BlackRock Latin American Investment Trust PLC	BRLA.L / BRLA LN	25%	✓	0.7%
BlackRock North American Income Trust PLC	BRNA.L / BRNA LN	25%	✓	1%
BlackRock Smaller Companies Trust PLC	BRSC.L / BRSC LN	25%	✓	0.7%
BlackRock Throgmorton Trust PLC	THRG.L / THRG LN	25%	✓	0.3%
BlackRock World Mining Trust PLC	BRWM.L / BRWM LN	25%	✓	0.7%
Blackstone GSO Loan Financing Limited	BGLF.L / BGLF LN	25%	✗	1%
Blackstone GSO Loan Financing Limited	BGLP.L / BGLP LN	25%	✗	1%
Blanco Technology Group PLC	BLTGB.L / BLTG LN	25%	✗	1%
Bloomsbury Publishing PLC	BLPU.L / BMY LN	25%	✓	0.7%
Blue Capital Alternative Income Fund Limited	BCAI.L / BCAI LN	25%	✗	1%
Blue Planet Investment Trust PLC	BLP.L / BLP LN	25%	✗	1%
Blue Prism Group PLC	PRSMB.L / PRSM LN	20%	✗	1%
Bluefield Solar Income Fund Limited	BSIF.L / BSIF LN	25%	☐	0.3%
Bodycote PLC	BOY.L / BOY LN	15%	✓	0.7%
Boku Inc	BOKU.L / BOKU LN	25%	✗	1%
Bonmarche Holdings PLC	BONB.L / BON LN	25%	✗	1%
Booker Group PLC	BOK.L / BOK LN	10%	✓	0.7%
Boost Bund 10Y 3X Short Daily ETP	WT3BUS.L / 3BUS LN	10%	✗	0.3%
Boost Copper 3x Leverage Daily ETP	3HCL.L / 3HCL LN	25%	✗	1%

Boost Copper 3x Short Daily ETP	3HCS.L / 3HCS LN	25%	✗	1%
Boost EURO STOXX(r) 50 3x Leverage Daily ETP	3EUL.L / 3EUL LN	10%	✗	0.3%
Boost EURO STOXX(r) 50 3x Short Daily ETP	3EUS.L / 3EUS LN	25%	✗	1%
Boost FTSE 100 1X Short Daily ETP	SUK1.L / SUK1 LN	25%	✗	1%
Boost FTSE 100 3x Leverage Daily ETP	3UKL.L / 3UKL LN	25%	✗	1%
Boost FTSE 100 3x Short Daily ETP	3UKS.L / 3UKS LN	25%	✗	1%
Boost FTSE 250 2X Leverage Daily ETP	2MCL.L / 2MCL LN	25%	✗	1%
Boost Gilts 10Y 1x Short Daily ETP	1GIS.L / 1GIS LN	25%	✗	1%
Boost Gilts 10Y 3X Leverage Daily ETP	3GIL.L / 3GIL LN	25%	✗	1%
Boost Gold 3x Leverage Daily ETP	3LGO.L / 3LGO LN	25%	✗	1%
Boost Gold 3x Leverage Daily ETP	3GOL.L / 3GOL LN	25%	✗	1%
Boost Gold 3x Short Daily ETP	3SGO.L / 3SGO LN	20%	✗	1%
Boost Gold 3x Short Daily ETP	3GOS.L / 3GOS LN	20%	✗	0.7%
Boost LevDAX(r) 3x Daily ETP	3LDE.L / 3LDE LN	25%	✗	1%
Boost LevDAX(r) 3x Daily ETP	3DEL.L / 3DEL LN	10%	✗	0.3%
Boost Natural Gas 3x Leverage Daily ETP	3NGL.L / 3NGL LN	20%	✗	0.7%
Boost Natural Gas 3x Short Daily ETP	3NGS.L / 3NGS LN	20%	✗	1%
Boost S&P 500 3x Leverage Daily ETP	3USL.L / 3USL LN	25%	✗	1%
Boost Silver 3x Leverage Daily ETP	3SIL.L / 3SIL LN	25%	✗	1%
Boost Silver 3x Short Daily ETP	3SIS.L / 3SIS LN	25%	✗	1%
Boost US Treasuries 10Y 3X Short daily ETP	3TYS.L / 3TYS LN	10%	✗	0.3%
Boost WTI Oil 3x Leverage Daily ETP	3OIL.L / 3OIL LN	25%	✗	1%
Boost WTI Oil 3x Leverage Daily ETP	3LOI.L / 3LOI LN	25%	✗	1%
Boost WTI Oil 3x Short Daily ETP	3OIS.L / 3OIS LN	25%	✗	1%
Boost WTI Oil 3x Short Daily ETP	3SOI.L / 3SOI LN	25%	✗	1%
Bovis Homes Group PLC	BVS.L / BVS LN	10%	✓	0.7%
BowLeven PLC	BLVN.L / BLVN LN	25%	✓	0.7%
Brady PLC	BRDY.L / BRY LN	25%	✗	1%
Braemar Shipping Services PLC	BRMS.L / BMS LN	25%	✓	0.7%
Brammer PLC	BRAM.L / BRAM LN	25%	✗	0.7%
Breedon Group PLC	BREE.L / BREE LN	25%	✗	1%
Brewin Dolphin Holdings PLC	BRW.L / BRW LN	20%	✓	0.7%
British American Tobac Company PLC	BATS.L / BATS LN	5%	✓	0.3%
British Empire Trust PLC	BTEM.L / BTEM LN	25%	✓	0.3%
British Smaller Companies VCT 2 PLC	BSC.L / BSC LN	25%	✗	1%
British Smaller Companies VCT PLC	BSV.L / BSV LN	25%	✗	1%
Britvic PLC	BVIC.L / BVIC LN	10%	✓	0.3%
Brooks Macdonald Group PLC	BRK.L / BRK LN	25%	✓	1%
Bunzl PLC	BNZL.L / BNZL LN	10%	✓	0.3%
Burberry Group PLC	BRBY.L / BRBY LN	5%	✓	0.3%
Burford Capital Limited	BURF.L / BUR LN	25%	✗	1%
Bushveld Minerals Limited	BMNB.L / BMN LN	25%	✗	1%
C&C Group PLC	GCC.L / CCR LN	20%	✓	0.3%
C4X Discovery Holdings PLC	C4XD.L / C4XD LN	25%	✗	1%
CATCo Reinsurance Opportunities Fund Limited	CATC.L / CAT LN	25%	✗	1%
CC Japan Income & Growth Trust PLC	CCJI.L / CCJI LN	10%	✗	0.3%
CLS Holdings PLC	CLSH.L / CLI LN	25%	✓	0.7%
CMC Markets PLC	CMCX.L / CMCX LN	25%	✓	1%
CML Microsystems PLC	CML.L / CML LN	25%	✗	1%
CPPGroup PLC	CPPG.L / CPP LN	25%	✓	0.7%
CRH PLC	CRH.L / CRH LN	10%	✓	0.3%
CVC Credit Partners European Opportunities Limited	CCPE.L / CCPE LN	25%	✗	0.3%
CVC Credit Partners European Opportunities Limited	CCPG.L / CCPG LN	25%	✗	0.3%
CVS Group PLC	CVSG.L / CVSG LN	15%	✓	1%

CYBG PLC	CYBGC.L / CYBG LN	15%	✓	0.3%
Cadence Minerals PLC	KDNC.L / KDNC LN	25%	✗	1%
Cairn Energy PLC	CNE.L / CNE LN	25%	✓	0.3%
Cairn Homes PLC	CRN.L / CRN LN	15%	✓	1%
Cake Box Holdings Ltd	CBOX.L / CBOX LN	25%	☐	1%
Caledonia Investments PLC	CLDN.L / CLDN LN	25%	✓	0.3%
Caledonia Mining Corporation PLC	CALq.L / CMCL LN	25%	✗	1%
Cambria Automobiles PLC	CAMBA.L / CAMB LN	25%	✗	1%
Cambridge Cognition Holdings PLC	COGc.L / COG LN	25%	✗	1%
Camellia PLC	CAME.L / CAM LN	25%	✗	1%
Canadian General Investments Limited	CGIq.L / CGI LN	25%	✗	1%
Canadian Overseas Petroleum Limited	COPL.L / COPL LN	25%	✗	1%
Candover Investments PLC	CDI.L / CDI LN	25%	☐	0.7%
Cap-XX Limited	CAPX.L / CPX LN	25%	✗	1%
Capita PLC	CPI.L / CPI LN	10%	✓	0.3%
Capital & Counties Properties PLC	CAPCC.L / CAPC LN	10%	✓	0.7%
Capital & Regional PLC	CAL.L / CAL LN	25%	✓	0.7%
Capital Drilling Limited	CAPD.L / CAPD LN	25%	✗	1%
Capital Gearing Trust PLC	CGT.L / CGT LN	25%	☐	1%
Carador Income Fund PLC	CIFu.L / CIFU LN	25%	✗	1%
Carclo PLC	C1Y.L / CAR LN	25%	✓	0.7%
Card Factory PLC	CARDC.L / CARD LN	20%	✓	0.3%
CareTech Holdings PLC	CTH.L / CTH LN	25%	✗	1%
Caribbean Investment Holdings Limited	CIHL.L / CIHL LN	25%	✗	1%
Carnival PLC	CCL.L / CCL LN	5%	✓	0.3%
Carpentright PLC	CPRC.L / CPR LN	25%	✗	0.7%
Carr's Group PLC	CARRC.L / CARR LN	25%	☐	1%
Castings PLC	CGS.L / CGS LN	25%	✓	0.7%
Castleton Technology PLC	CTPC.L / CTP LN	25%	✗	1%
Caza Oil & Gas Inc	CAZA.L / CAZA LN	25%	✗	1%
Cello Group PLC	CLL.L / CLL LN	25%	✗	1%
Celtic PLC	CCP.L / CCP LN	25%	✗	1%
Centkos Securities PLC	CNKS.L / CNKS LN	25%	✗	1%
Centamin PLC	CEY.L / CEY LN	10%	✓	0.7%
Centaur Media PLC	CAU.L / CAU LN	25%	☐	0.7%
Center for Cargo Container Traffic TransContainer PJSC	TRCNq.L / TRCN LI	50%	✗	1%
Central Asia Metals PLC	CAML.L / CAML LN	25%	✓	0.3%
CentralNic Group PLC	CNIC.L / CNIC LN	25%	✗	1%
Centrica PLC	CNA.L / CNA LN	5%	✓	0.3%
Ceres Power Holdings PLC	CWR.L / CWR LN	25%	✓	1%
Cerillion PLC	CER.L / CER LN	25%	✗	1%
Chaarat Gold Holdings Limited	CGH.L / CGH LN	25%	☐	0.3%
Chariot Oil & Gas Limited	CHARC.L / CHAR LN	25%	✓	0.7%
Charlemagne Capital Limited	CHAR.L / CCAP LN	25%	✗	1%
Charles Stanley Group PLC	CAY.L / CAY LN	25%	✓	0.7%
Charles Taylor PLC	CHAT.L / CTR LN	25%	✓	0.7%
Charter Court Financial Services Group Limited	CCFS.L / CCFS LN	20%	✓	1%
Chelverton Small Companies Dividend Trust PLC	SDV.L / SDV LN	25%	✗	1%
Chelverton Small Companies ZDP PLC	SDVZ_p.L / SDVZ LN	25%	✗	0.3%
Chelyabinsk Zinc Plant PJSC	CHZNq.L / CHZN LI	50%	✗	1%
Chemring Group PLC	CHG.L / CHG LN	25%	✓	0.7%
Chenavari Capital Solutions Limited	CCSL.L / CCSL LN	25%	✗	1%
Cherkizovo Group PJSC	CHEq.L / CHE LI	50%	✗	1%
Chesnara PLC	CSN.L / CSN LN	25%	✓	0.7%

Chrysalis VCT PLC	CRYS.L / CYS LN	25%	✗	1%
Churchill China PLC	CHCH.L / CHH LN	25%	✗	1%
Cineworld Group PLC	CINE.L / CINE LN	15%	✓	0.7%
Circassia Pharmaceuticals PLC	CIRCI.L / CIR LN	25%	✓	0.7%
Circle Holdings PLC	CIRC.L / CIRC LN	25%	✗	1%
City Merchants High Yield Trust Limited	CMHY.L / CMHY LN	25%	✓	0.3%
City Natural Resources High Yield Trust PLC	CYNL.L / CYN LN	25%	✓	0.7%
City of London Group PLC	CITY.L / CIN LN	25%	✗	1%
City of London Investment Group PLC	CLIG.L / CLIG LN	25%	☐	0.3%
CityFibre Infrastructure Holdings PLC	CITYC.L / CITY LN	25%	✗	1%
Civitas Social Housing PLC	CSH.L / CSH LN	25%	✓	1%
Clarkson PLC	CKN.L / CKN LN	25%	✓	0.7%
ClearStar Inc	CLSU.L / CLSU LN	25%	✗	1%
Clinigen Group PLC	CLINC.L / CLIN LN	15%	✓	1%
Clipper Logistics PLC	CLG.L / CLG LN	25%	✓	1%
Close Brothers Group PLC	CBRO.L / CBG LN	15%	✓	0.7%
CloudTag Inc	CTAG.L / CTAG LN	25%	✗	1%
Cloudcall Group PLC	SNTY.L / CALL LN	25%	✗	1%
Coats Group PLC	COA.L / COA LN	15%	✗	1%
Cobham PLC	COB.L / COB LN	10%	✓	0.3%
Coca-Cola HBC AG	CCH.L / CCH LN	5%	✓	0.3%
Codemasters Group Holdings PLC	CDM.L / CDM LN	25%	✗	1%
Cohort PLC	CHRT.L / CHRT LN	25%	✗	1%
Colefax Group PLC	CFX.L / CFX LN	25%	✗	1%
Columbus Energy Resources PLC	CERP.L / CERP LN	25%	✗	1%
Commercial International Bank (Egypt) S. AE	COMIq.L / CBKD LI	20%	✓	1%
Compass Group PLC	CPG.L / CPG LN	5%	✓	0.3%
Computacenter PLC	CCC.L / CCC LN	25%	✓	0.7%
Concurrent Technologies PLC	CNCT.L / CNC LN	25%	✗	1%
Condor Gold PLC	CNDR.L / CNR LN	25%	✗	1%
Connect Group PLC	CNCTC.L / CNCT LN	25%	✓	0.7%
Consort Medical PLC	CSRT.L / CSRT LN	25%	✓	0.7%
Constellation Healthcare Technologies Inc	CHT.L / CHT LN	25%	✗	1%
ContourGlobal PLC	GLO.L / GLO LN	10%	✓	1%
Convatec Group PLC	CTEC.L / CTEC LN	10%	✓	1%
Conviviality PLC	CVRC.L / CVR LN	20%	✓	1%
Corero Network Security PLC	CNSP.L / CNS LN	25%	✗	1%
Coro Energy PLC	CORO.L / CORO LN	25%	✗	1%
Costain Group PLC	COSG.L / COST LN	25%	✓	0.7%
Countryside Properties PLC	CSPC.L / CSP LN	15%	✓	1%
Countrywide PLC	CWD.L / CWD LN	25%	✓	0.7%
Craneware PLC	CRW.L / CRW LN	25%	✗	1%
Cranswick PLC	CWK.L / CWK LN	20%	✓	0.7%
Creo Medical Limited	CREO.L / CREO LN	25%	✗	1%
Crest Nicholson Holdings PLC	CRST.L / CRST LN	15%	✓	0.7%
Creston PLC	CRCRE.L / CRE LN	25%	✗	1%
Croda International PLC	CRDA.L / CRDA LN	10%	✓	0.3%
Croma Security Solutions Group PLC	CSSG.L / CSSG LN	25%	✗	1%
Crown Place VCT PLC	CRPL.L / CRWN LN	25%	✗	1%
Crusader Resources Limited	CAS.L / CAS LN	25%	✗	1%
Crystal Amber Fund Limited	CRSL.L / CRS LN	25%	✗	1%
Curtis Banks Group PLC	CBP.L / CBP LN	25%	✓	0.3%
Custodian REIT PLC	CREI.L / CREI LN	25%	✓	1%
Cyprotex PLC	CN8.L / CRX LN	25%	✗	1%

D4t4 Solutions PLC	ISSL.L / D4T4 LN	25%	✗	1%
DCC PLC	DCC.L / DCC LN	10%	✓	0.7%
DFS Furniture PLC	DFSD.L / DFS LN	25%	✓	1%
DP Aircraft I Limited	DPAD.L / DPA LN	25%	✗	1%
DP Eurasia NV	DPEU.L / DPEU LN	25%	✓	1%
DP Poland PLC	DPP.L / DPP LN	25%	✗	1%
DS Smith PLC	SMDS.L / SMDS LN	10%	✓	0.3%
DW Catalyst Fund Limited	DWCG.L / DWCG LN	25%	✗	0.3%
DX (Group) PLC	DXDX.L / DX/ LN	25%	✓	1%
Daejan Holdings PLC	DJAN.L / DJAN LN	25%	✓	0.7%
Daily Mail and General Trust PLC	DMGOa.L / DMGT LN	15%	✓	0.3%
Dairy Crest Group PLC	DCG.L / DCG LN	20%	✓	0.3%
Dalata Hotel Group PLC	DALD.L / DAL LN	20%	✓	0.7%
Dalradian Resources Inc	DALR.L / DALR LN	25%	✓	1%
Damille Investments II Limited	DIL2.L / DIL2 LN	25%	✗	0.3%
Daniel Stewart Securities PLC	DAN.L / DAN LN	25%	✗	1%
Dart Group PLC	DTG.L / DTG LN	15%	✓	0.7%
Datatec Limited	DTC.L / DTC LN	15%	✗	1%
De La Rue PLC	DLAR.L / DLAR LN	25%	✓	0.7%
Debenhams PLC	DEB.L / DEB LN	20%	✓	0.7%
Dechra Pharmaceuticals PLC	DPH.L / DPH LN	15%	✓	0.7%
Dee Valley Group PLC	DEEV.L / DVW LN	25%	✗	1%
Dee Valley Group PLC	DVWt.L / DVWA LN	25%	✗	1%
DekelOil Public Limited	DKLD.L / DKL LN	25%	✗	1%
Derwent London PLC	DLN.L / DLN LN	10%	✓	0.3%
Destiny Pharma PLC	DEST.L / DEST LN	25%	✗	1%
Devro PLC	DVO.L / DVO LN	25%	✓	0.7%
Dewhurst PLC	DWHT.L / DWHT LN	25%	✗	1%
Dewhurst PLC	DWHTt.L / DWHA LN	25%	✗	1%
Dexion Absolute Limited	DAB.L / DAB LN	25%	✗	0.3%
Diageo PLC	DGE.L / DGE LN	5%	✓	0.3%
Dialight PLC	DIAL.L / DIA LN	25%	✓	0.7%
Dignity PLC	DTY.L / DTY LN	15%	✓	0.7%
Diploma PLC	DPLM.L / DPLM LN	20%	✓	0.7%
Direct Line Insurance Group PLC	DLGD.L / DLG LN	5%	✓	0.3%
Diurnal Limited	DNL.L / DNL LN	25%	✗	1%
Diversified Gas & Oil PLC	DGOC.L / DGOC LN	25%	✗	1%
Dixons Carphone PLC	DC.L / DC/ LN	20%	✓	0.3%
Dods Group PLC	DODS.L / DODS LN	25%	✗	1%
Dolphin Capital Investors Limited	DOLC.L / DCI LN	25%	✗	1%
Domino's Pizza Group PLC	DOM.L / DOM LN	10%	✓	0.7%
Doric Nimrod Air 3 Limited	DNA3.L / DNA3 LN	25%	✗	1%
Doric Nimrod Air One Limited	DNAO_p.L / DNA LN	25%	✗	1%
Doric Nimrod Air Two Limited	DNA2_p.L / DNA2 LN	25%	✗	1%
Downing FOUR VCT PLC	DO1Bb.L / DO1B LN	25%	✗	0.3%
Downing One VCT PLC	DDV1.L / DDV1 LN	25%	✗	1%
Downing Strategic Micro-Cap Investment Trust PLC	DSM.L / DSM LN	25%	✗	1%
Downing THREE VCT PLC	DP3J.L / DP3J LN	25%	✗	0.3%
Downing THREE VCT PLC	DP3H.L / DP3H LN	25%	✗	0.3%
Downing Two VCT PLC	DP2G.L / DP2G LN	25%	✗	0.3%
Dragon Ukrainian Properties & Development PLC	DUPD.L / DUPD LN	25%	✗	0.7%
Draper Esprit PLC	GROW.L / GROW LN	25%	✗	1%
Drax Group PLC	DRX.L / DRX LN	15%	✓	0.3%
Driver Group PLC	DRV.L / DRV LN	25%	✗	1%

Drum Income Plus REIT PLC	DRIP.L / DRIP LN	25%	✗	1%
Duke Royalty Limited	DUKE.L / DUKE LN	25%	✗	1%
Dunedin Enterprise Investment Trust PLC	DUNE.L / DNE LN	25%	✓	0.3%
Dunedin Income Growth Investment Trust PLC	DIG.L / DIG LN	25%	✓	0.3%
Dunedin Smaller Companies Investment Trust PLC	DNDL.L / DNDL LN	25%	☐	0.3%
Dunelm Group PLC	DNLM.L / DNLM LN	20%	✓	0.7%
ECO Animal Health Group PLC	EAH.L / EAH LN	25%	✗	1%
EKF Diagnostics Holdings PLC	EKF.L / EKF LN	25%	✓	0.7%
EMIS Group PLC	EMISG.L / EMIS LN	25%	✓	1%
EP Global Opportunities Trust PLC	EPGT.L / EPG LN	25%	✓	0.3%
EPE Special Opportunities PLC	ESOE.L / ESO LN	25%	✗	0.3%
ETFS 1x Daily Short All Commodities ETC	SALL.L / SALL LN	25%	✗	1%
ETFS 1x Daily Short Brent Crude ETC	SBRT.L / SBRT LN	25%	✗	0.7%
ETFS 1x Daily Short Cocoa ETC	SCOC.L / SCOC LN	10%	✗	1%
ETFS 1x Daily Short Coffee ETC	SCFE.L / SCFE LN	25%	✗	1%
ETFS 1x Daily Short Copper ETC	SCPR.L / SCOP LN	10%	✓	0.7%
ETFS 1x Daily Short Corn ETC	SCRN.L / SCOR LN	10%	✗	0.7%
ETFS 1x Daily Short Cotton ETC	SCTON.L / SCTO LN	10%	✗	0.7%
ETFS 1x Daily Short Energy ETC	SNRG.L / SNRG LN	25%	✗	1%
ETFS 1x Daily Short Ex-Energy ETC	SNEY.L / SNEY LN	25%	✗	1%
ETFS 1x Daily Short Gasoline ETC	SGAS.L / SGAS LN	25%	✗	1%
ETFS 1x Daily Short Gold ETC	SBUL.L / SBUL LN	10%	✓	0.3%
ETFS 1x Daily Short Grains ETC	SGRA.L / SGRA LN	25%	✗	1%
ETFS 1x Daily Short Heating Oil ETC	SHEA.L / SHEA LN	25%	✗	1%
ETFS 1x Daily Short Industrial Metals ETC	SIME.L / SIMT LN	25%	✗	1%
ETFS 1x Daily Short Lead ETC	SLEA.L / SLEA LN	25%	✗	1%
ETFS 1x Daily Short Lean Hogs ETC	SLHO.L / SLHO LN	25%	✗	1%
ETFS 1x Daily Short Livestock ETC	SLST.L / SLST LN	25%	✗	1%
ETFS 1x Daily Short Natural Gas ETC	SNGA.L / SNGA LN	25%	✗	1%
ETFS 1x Daily Short Nickel ETC	SNIK.L / SNIK LN	10%	✗	0.3%
ETFS 1x Daily Short Petroleum ETC	SPTO.L / SPET LN	10%	✗	1%
ETFS 1x Daily Short Platinum ETC	SPLA.L / SPLA LN	10%	✗	1%
ETFS 1x Daily Short Precious Metals ETC	SPMT.L / SPMT LN	25%	✗	1%
ETFS 1x Daily Short Silver ETC	SSIL.L / SSIL LN	10%	✗	0.7%
ETFS 1x Daily Short Softs ETC	SSFT.L / SSFT LN	25%	✗	1%
ETFS 1x Daily Short Soybean Oil ETC	SSYO.L / SSSYO LN	25%	✗	1%
ETFS 1x Daily Short Soybeans ETC	SSOB.L / SSOB LN	10%	✗	0.3%
ETFS 1x Daily Short Sugar ETC	SSUG.L / SSUG LN	10%	✗	0.3%
ETFS 1x Daily Short Tin ETC	STIM.L / STIM LN	25%	✗	1%
ETFS 1x Daily Short WTI Crude Oil ETC	SOIL.L / SOIL LN	10%	✗	0.7%
ETFS 1x Daily Short Wheat ETC	SWEA.L / SWEA LN	10%	✗	0.7%
ETFS 1x Daily Short Zinc ETC	SZIC.L / SZIC LN	10%	✗	0.7%
ETFS 2x Daily Long All Commodities ETC	LALL.L / LALL LN	25%	✗	1%
ETFS 2x Daily Long Aluminium ETC	LALU.L / LALU LN	25%	✗	1%
ETFS 2x Daily Long Cocoa ETC	LCOC.L / LCOC LN	10%	✗	0.3%
ETFS 2x Daily Long Coffee ETC	LCFE.L / LCFE LN	15%	✗	0.7%
ETFS 2x Daily Long Copper ETC	LCOP.L / LCOP LN	25%	✗	1%
ETFS 2x Daily Long Cotton ETC	LCTO.L / LCTO LN	25%	✗	1%
ETFS 2x Daily Long Ex-Energy ETC	LNEY.L / LNEY LN	25%	✗	1%
ETFS 2x Daily Long Gasoline ETC	LGAS.L / LGAS LN	15%	✗	1%
ETFS 2x Daily Long Gold ETC	LBUL.L / LBUL LN	10%	✗	0.7%
ETFS 2x Daily Long Grains ETC	LGRA.L / LGRA LN	25%	✗	1%
ETFS 2x Daily Long Industrial Metal ETC	LIME.L / LIME LN	25%	✗	1%
ETFS 2x Daily Long Lead ETC	LLEA.L / LLEA LN	25%	✗	1%

ETFS 2x Daily Long Lean Hogs ETC	LLHO.L / LLHO LN	25%	✗	1%
ETFS 2x Daily Long Live Cattle ETC	LLCT.L / LLCT LN	15%	✗	1%
ETFS 2x Daily Long Livestock ETC	LLST.L / LLST LN	25%	✗	1%
ETFS 2x Daily Long Natural Gas ETC	LNGA.L / LNGA LN	10%	✗	0.7%
ETFS 2x Daily Long Petroleum ETC	LPET.L / LPET LN	25%	✗	1%
ETFS 2x Daily Long Platinum ETC	LPLA.L / LPLA LN	25%	✗	1%
ETFS 2x Daily Long Precious Metals ETC	LPMT.L / LPMT LN	25%	✗	1%
ETFS 2x Daily Long Silver ETC	LSIL.L / LSIL LN	10%	✗	0.7%
ETFS 2x Daily Long Softs ETC	LSFT.L / LSFT LN	25%	✗	1%
ETFS 2x Daily Long Soybean Oil ETC	LSYO.L / LSYO LN	15%	✗	1%
ETFS 2x Daily Long Sugar ETC	LSUG.L / LSUG LN	10%	✗	0.3%
ETFS 2x Daily Long Tin ETC	LTIM.L / LTIM LN	25%	✗	1%
ETFS 2x Daily Long WTI Crude Oil ETC	LOIL.L / LOIL LN	10%	✗	0.7%
ETFS 2x Daily Long Zinc ETC	LZIC.L / LZIC LN	25%	✗	1%
ETFS 3x Daily Long FTSE 100	UK3L.L / UK3L LN	25%	✗	1%
ETFS 3x Daily Long WTI Crude Oil Exchange Traded Commodity	3CRL.L / 3CRL LN	25%	✗	1%
ETFS 3x Daily Short Coffee Exchange Traded Commodity	3CFS.L / 3CFS LN	25%	✗	1%
ETFS 3x Daily Short Copper Exchange Traded Commodity	3CUS.L / 3CUS LN	25%	✗	1%
ETFS 3x Daily Short FTSE 100	UK3S.L / UK3S LN	25%	✗	1%
ETFS 3x Daily Short Gold Exchange Traded Commodity	3AUS.L / 3AUS LN	25%	✗	1%
ETFS 3x Daily Short Natural Gas Exchange Traded Commodity	NG3S.L / NG3S LN	25%	✗	1%
ETFS 3x Daily Short Nickel Exchange Traded Commodity	3NIS.L / 3NIS LN	25%	✗	1%
ETFS 3x Daily Short Silver Exchange Traded Commodity	SI3S.L / SI3S LN	25%	✗	1%
ETFS 3x Daily Short Sugar Exchange Traded Commodity	3SUS.L / 3SUS LN	25%	✗	1%
ETFS 3x Daily Short WTI Crude Oil Exchange Traded Commodity	3CRS.L / 3CRS LN	25%	✗	1%
ETFS 3x Daily Short Wheat Exchange Traded Commodity	3WHS.L / 3WHS LN	25%	✗	1%
ETFS 3x Long AUD Short GBP	AUP3.L / AUP3 LN	20%	✗	1%
ETFS 3x Long AUD Short USD	LAU3.L / LAU3 LN	25%	✗	1%
ETFS 3x Long EUR Short GBP	EUP3.L / EUP3 LN	25%	✗	1%
ETFS 3x Long GBP Short USD	LGB3.L / LGB3 LN	25%	✗	1%
ETFS 3x Long JPY Short GBP	JPP3.L / JPP3 LN	25%	✗	1%
ETFS 3x Long USD Short GBP	USP3.L / USP3 LN	25%	✗	1%
ETFS 3x Short AUD Long GBP	SAP3.L / SAP3 LN	20%	✗	1%
ETFS 3x Short AUD Long USD	SAU3.L / SAU3 LN	25%	✗	1%
ETFS 3x Short EUR Long USD	SEU3.L / SEU3 LN	10%	✗	0.3%
ETFS 3x Short GBP Long USD	SGB3.L / SGB3 LN	25%	✗	1%
ETFS 3x Short JPY Long GBP	SYP3.L / SYP3 LN	20%	✗	1%
ETFS 3x Short JPY Long USD	SJP3.L / SJP3 LN	20%	✗	1%
ETFS 5x Long EUR Short GBP ETC	EUP5.L / EUP5 LN	25%	✗	1%
ETFS 5x Long EUR Short USD ETC	SUD5.L / SUD5 LN	25%	✗	1%
ETFS 5x Long USD Short GBP ETC	USP5.L / USP5 LN	25%	✗	1%
ETFS 5x Short EUR Long GBP ETC	SUP5.L / SUP5 LN	25%	✗	1%
ETFS 5x Short EUR Long USD ETC	LUD5.L / LUD5 LN	25%	✗	1%
ETFS 5x Short USD Long GBP ETC	PUS5.L / PUS5 LN	25%	✗	1%
ETFS Agriculture ETC	AIGA.L / AIGA LN	10%	✓	0.7%
ETFS Agriculture ETC	AGAP.L / AGAP LN	10%	✗	0.3%
ETFS All Commodities ETC	AIGC.L / AIGC LN	10%	✓	0.7%
ETFS Aluminium ETC	ALMN.L / ALUM LN	10%	✗	0.7%
ETFS Bearish GBP vs G10 Currency Basket Securities	SGBB.L / SGBB LN	25%	✗	1%
ETFS Bearish USD vs Commodity Currency Basket Securities	LCOM.L / LCOM LN	25%	✗	1%

ETFS Bearish USD vs G10 Currency Basket Securities	SUSB.L / SUSB LN	25%	✗	1%
ETFS Brent 1yr ETC	OSB1.L / OSB1 LN	10%	✗	1%
ETFS Brent 2yr ETC	OSB2.L / OSB2 LN	10%	✗	1%
ETFS Brent 3yr ETC	OSB3.L / OSB3 LN	25%	✗	1%
ETFS Brent Oil 1 month ETC	OILB.L / OILB LN	10%	☐	0.7%
ETFS Brent Oil 1 month ETC	OLBP.L / OLBP LN	10%	✗	0.3%
ETFS Bullish GBP vs G10 Currency Basket Securities	LGBB.L / LGBB LN	25%	✗	1%
ETFS Bullish USD vs G10 Currency Basket Securities	LUSB.L / LUSB LN	25%	✗	1%
ETFS Carbon ETC	CARB.L / CARB LN	10%	✗	1%
ETFS Coffee ETC	COFF.L / COFF LN	10%	✗	0.7%
ETFS Copper ETC	COPA.L / COPA LN	10%	✓	0.7%
ETFS Corn ETC	CORN.L / CORN LN	10%	✗	0.7%
ETFS Cotton ETC	COTNC.L / COTN LN	10%	✗	0.7%
ETFS DAX(r) Daily 2x Long GO UCITS ETF	DEL2.L / DEL2 LN	10%	✗	0.3%
ETFS DAX(r) Daily 2x Long GO UCITS ETF	DL2P.L / DL2P LN	10%	✗	0.3%
ETFS DAX(r) Daily 2x Short GO UCITS ETF	DES2.L / DES2 LN	15%	✗	0.7%
ETFS DAXglobal Gold Mining GO UCITS ETF	AUCOP.L / AUCP LN	10%	✗	0.3%
ETFS Energy ETC	AIGE.L / AIGE LN	10%	☐	0.7%
ETFS Ex-Agriculture and Livestock ETC	XFRM.L / XFRM LN	10%	✗	0.3%
ETFS Ex-Energy ETC	AIGX.L / AIGX LN	10%	✗	0.7%
ETFS FTSE 100(r) Leveraged (Daily 2x) GO UCITS ETF	LUK2.L / LUK2 LN	10%	✗	0.7%
ETFS FTSE 100(r) Super Short Strategy (Daily 2x) GO UCITS ETF	SUK2.L / SUK2 LN	10%	✓	0.7%
ETFS G10 vs USD Multi Strategy FX Basket Security	ENFX.L / ENFX LN	25%	✗	1%
ETFS GBP Daily Hedged Agriculture DJ-UBS PDSM ETC	ECPAGR.L / PAGR LN	10%	✗	1%
ETFS GBP Daily Hedged All Commodities DJ-UBS PD ETC	PALL.L / PALL LN	10%	✗	1%
ETFS GBP Daily Hedged Copper ETC	PCOP.L / PCOP LN	25%	✗	1%
ETFS GBP Daily Hedged Energy DJ-UBS PDSM ETC	PENR.L / PENR LN	10%	✗	1%
ETFS GBP Daily Hedged Forward Agriculture DJ-UBS PD-F3SM ETC	PFAG.L / PFAG LN	25%	✗	1%
ETFS GBP Daily Hedged Forward All Commodities DJ-UBS PD-F3SM ETC	PFCM.L / PFCM LN	10%	✗	1%
ETFS GBP Daily Hedged Forward Energy DJ-UBS PD-F3SM ETC	PFEN.L / PFEN LN	10%	✗	1%
ETFS GBP Daily Hedged Gold ETC	PBUL.L / PBUL LN	10%	✗	1%
ETFS GBP Daily Hedged Industrial Metals DJ-UBS PDSM ETC	PIMT.L / PIMT LN	10%	✗	1%
ETFS GBP Daily Hedged Natural Gas ETC	PNGA.L / PNGA LN	10%	✗	1%
ETFS GBP Daily Hedged Physical Gold ETC	GBSP.L / GBSP LN	10%	✗	1%
ETFS GBP Daily Hedged Precious Metals DJ-UBS PDSM ETC	PPMT.L / PPMT LN	25%	✗	1%
ETFS Gasoline ETC	UGAS.L / UGAS LN	10%	✗	0.7%
ETFS Gold ETC	BULL.L / BULL LN	10%	✗	0.7%
ETFS Grains ETC	GRNS.L / AIGG LN	10%	✗	0.7%
ETFS Grains ETC	AGGP.L / AGGP LN	10%	✗	0.3%
ETFS Heating Oil ETC	HEAT.L / HEAT LN	10%	✗	0.7%
ETFS ISE Cyber Security GO UCITS ETF Accumulating ETF SAE	ISPY.L / ISPY LN	10%	✗	0.3%
ETFS Industrial Metals ETC	AIGI.L / AIGI LN	10%	☐	0.7%
ETFS Lead ETC	LEED.L / LEED LN	25%	✗	1%
ETFS Lean Hogs ETC	HOGS.L / HOGS LN	10%	✗	0.3%
ETFS Live Cattle ETC	CATL.L / CATL LN	10%	✗	0.3%
ETFS Livestock ETC	AIGL.L / AIGL LN	10%	✗	0.3%
ETFS Lombard Odier IM Emerging Market Local Government Bond Fundamental GO UCITS ETF	LOCL.L / LOCL LN	10%	✗	0.3%
ETFS Lombard Odier IM Euro Corporate Bond Fundamental GO UCITS ETF	FWEU.L / FWEU LN	25%	✗	1%

ETFS Lombard Odier IM Global Corporate Bond Fundamental GO UCITS ETF	ECCREG.L / CREG LN	10%	✗	0.3%
ETFS Lombard Odier IM Global Government Bond Fundamental GO UCITS ETF	CORG.L / CORG LN	10%	✗	0.3%
ETFS Lombard Odier IM Global Government Bond Fundamental GO UCITS ETF	ECCORE.L / CORE LN	10%	✗	0.3%
ETFS Long AUD Short GBP	GBAU.L / GBAU LN	25%	✗	1%
ETFS Long AUD Short USD	LAUD.L / LAUD LN	25%	✗	1%
ETFS Long AUD Short USD	AUDP.L / AUDP LN	25%	✗	1%
ETFS Long CAD Short GBP	GBCA.L / GBCA LN	25%	✗	1%
ETFS Long CAD Short USD	LCAD.L / LCAD LN	25%	✗	1%
ETFS Long CHF Short GBP	GBCH.L / GBCH LN	25%	✗	1%
ETFS Long CHF Short USD	CHLP.L / CHFP LN	10%	✗	1%
ETFS Long CNY Short USD	LCNP.L / LCNP LN	10%	✗	1%
ETFS Long EUR Short GBP	GBUR.L / GBUR LN	25%	✗	1%
ETFS Long EUR Short USD	EURP.L / EURP LN	10%	✗	1%
ETFS Long EUR Short USD	LERO.L / LEUR LN	10%	✗	0.3%
ETFS Long GBP Short USD	GBPP.L / GBPP LN	25%	✗	1%
ETFS Long GBP Short USD	LGBP.L / LGBP LN	25%	✗	1%
ETFS Long INR Short USD	LINRP.L / LINP LN	10%	✗	1%
ETFS Long INR Short USD	LINRR.L / LINR LN	10%	✗	0.3%
ETFS Long JPY Short GBP	GBJP.L / GBJP LN	25%	✗	1%
ETFS Long JPY Short USD	LJPY.L / LJPY LN	25%	✗	1%
ETFS Long JPY Short USD	JPYP.L / JPYP LN	25%	✗	1%
ETFS Long NOK Short GBP	GBNO.L / GBNO LN	25%	✗	1%
ETFS Long NOK Short USD	LNOK.L / LNOK LN	25%	✗	1%
ETFS Long NOK Short USD	NOKP.L / NOKP LN	25%	✗	1%
ETFS Long NZD Short GBP	GBNZ.L / GBNZ LN	25%	✗	1%
ETFS Long NZD Short USD	NZDP.L / NZDP LN	10%	✗	1%
ETFS Long SEK Short USD	LSEK.L / LSEK LN	25%	✗	1%
ETFS Long SEK Short USD	SEKP.L / SEKP LN	25%	✗	1%
ETFS Long USD Short GBP	GBUS.L / GBUS LN	25%	✗	1%
ETFS Longer Dated Agriculture ETC	FAGR.L / FAGR LN	10%	✗	1%
ETFS Longer Dated All Commodities ETC	FAIG.L / FAIG LN	25%	✗	1%
ETFS Longer Dated All Commodities GO UCITS ETF	COMF.L / COMF LN	10%	✓	0.3%
ETFS Longer Dated All Commodities GO UCITS ETF	CMFPP.L / CMFP LN	10%	✓	0.3%
ETFS Longer Dated Brent Crude ETC	FBRT.L / FBRT LN	25%	✓	1%
ETFS Longer Dated Crude Oil ETC	FCRU.L / FCRU LN	25%	✗	1%
ETFS Longer Dated Energy ETC	ENEF.L / ENEF LN	10%	✗	0.3%
ETFS Longer Dated Ex-Energy ETC	EXEF.L / EXEF LN	25%	✗	1%
ETFS Longer Dated Grains ETC	GRAF.L / GRAF LN	10%	✗	1%
ETFS Longer Dated Heating Oil ETC	HEAF.L / HEAF LN	25%	✗	1%
ETFS Longer Dated Lean Hogs ETC	HOGF.L / HOGF LN	25%	✗	1%
ETFS Longer Dated Live Cattle ETC	CATF.L / CATF LN	25%	✗	1%
ETFS Longer Dated Livestock ETC	FLIV.L / FLIV LN	25%	✗	1%
ETFS Longer Dated Natural Gas ETC	NGAF.L / NGAF LN	25%	✗	1%
ETFS Longer Dated Petroleum ETC	FPET.L / FPET LN	10%	✗	1%
ETFS Natural Gas ETC	NGAS.L / NGAS LN	10%	✗	0.7%
ETFS Nickel ETC	NICK.L / NICK LN	10%	✓	0.7%
ETFS Petroleum ETC	AIGO.L / AIGO LN	10%	✗	0.7%
ETFS Physical Gold	PHAU.L / PHAU LN	10%	✓	0.7%
ETFS Physical Gold	PHGP.L / PHGP LN	10%	✗	0.7%
ETFS Physical PM Basket ETC	PHPM.L / PHPM LN	10%	✗	0.7%
ETFS Physical PM Basket ETC	PHPP.L / PHPP LN	10%	✗	0.3%
ETFS Physical Palladium ETC	PHPD.L / PHPD LN	10%	✗	0.7%

ETFS Physical Platinum ETC	PHPT.L / PHPT LN	10%	✓	0.7%
ETFS Physical Silver ETC	PHAG.L / PHAG LN	10%	✗	0.7%
ETFS Physical Silver ETC	PHSP.L / PHSP LN	10%	✓	0.3%
ETFS Physical Swiss Gold ETC	SGBS.L / SGBS LN	25%	✓	1%
ETFS Platinum ETC	PLTT.L / PLTT LN	25%	✗	1%
ETFS Precious Metals ETC	AIGP.L / AIGP LN	10%	⚠	0.7%
ETFS Russell 2000 US Small Cap GO UCITS ETF	RTWP.L / RTWP LN	10%	✗	0.3%
ETFS Short AUD Long GBP	AUGB.L / AUGB LN	25%	✗	1%
ETFS Short CAD Long GBP	CAGB.L / CAGB LN	25%	✗	1%
ETFS Short CAD Long USD	SCAD.L / SCAD LN	25%	✗	1%
ETFS Short CAD Long USD	SCDP.L / SCDP LN	25%	✗	1%
ETFS Short CHF Long GBP	CHGB.L / CHGB LN	25%	✗	1%
ETFS Short CNY Long USD	SCYP.L / SCYP LN	10%	✗	0.3%
ETFS Short EUR Long GBP	URGB.L / URGB LN	25%	✗	1%
ETFS Short EUR Long USD	SERO.L / SEUR LN	10%	✗	1%
ETFS Short GBP Long USD	USD2.L / USD2 LN	10%	✗	0.3%
ETFS Short GBP Long USD	SGBP.L / SGBP LN	10%	✗	0.3%
ETFS Short INR Long USD	SINP.L / SINP LN	10%	✗	1%
ETFS Short JPY Long GBP	JPGB.L / JPGB LN	25%	✗	1%
ETFS Short JPY Long USD	SJPY.L / SJPY LN	25%	✗	1%
ETFS Short JPY Long USD	SJPP.L / SJPP LN	25%	✗	1%
ETFS Short NOK Long GBP	NOGB.L / NOGB LN	25%	✗	1%
ETFS Short NOK Long USD	SNOK.L / SNOK LN	25%	✗	1%
ETFS Short NOK Long USD	SNOP.L / SNOP LN	25%	✗	1%
ETFS Short NZD Long GBP	NZGB.L / NZGB LN	25%	✗	1%
ETFS Short NZD Long USD	SNZD.L / SNZD LN	25%	✗	1%
ETFS Short NZD Long USD	SNZP.L / SNZP LN	25%	✗	1%
ETFS Short SEK Long GBP	SKGB.L / SKGB LN	25%	✗	1%
ETFS Short SEK Long USD	SSEK.L / SSEK LN	25%	✗	1%
ETFS Short USD Long GBP	USGB.L / USGB LN	25%	✗	1%
ETFS Silver ETC	SLVR.L / SLVR LN	10%	✗	0.7%
ETFS Softs ETC	AIGS.L / AIGS LN	10%	✗	0.7%
ETFS Soybean Oil ETC	SOYO.L / SOYO LN	10%	✗	0.7%
ETFS Soybeans ETC	SOYB.L / SOYB LN	10%	✗	0.7%
ETFS Sugar ETC	SUGA.L / SUGA LN	10%	✓	0.7%
ETFS Tin ETC	TINM.L / TINM LN	25%	✗	1%
ETFS US Energy Infrastructure MLP GO UCITS ETF	MLPX.L / MLPX LN	10%	✓	1%
ETFS US Energy Infrastructure MLP GO UCITS ETF	MLPI.L / MLPI LN	10%	✓	0.3%
ETFS WTI 1yr ETC	OSW1.L / OSW1 LN	25%	✗	1%
ETFS WTI 2mth ETC	OILW.L / OILW LN	10%	✗	0.7%
ETFS WTI 2yr ETC	OSW2.L / OSW2 LN	25%	✗	1%
ETFS WTI 3yr ETC	OSW3.L / OSW3 LN	10%	✗	1%
ETFS WTI Crude Oil ETC	CRUD.L / CRUD LN	10%	✓	0.7%
ETFS Wheat ETC	WEAT.L / WEAT LN	10%	✓	0.7%
ETFS Zinc ETC	ZINC.L / ZINC LN	10%	✗	0.7%
ETFS-E Fund MSCI China A GO UCITS ETF	CASH.L / CASH LN	10%	✗	0.3%
EVR Holdings PLC	EVRH.L / EVRH LN	25%	✗	1%
EVRAZ PLC	EVRE.L / EVR LN	10%	✓	0.7%
Eagle Eye Solutions Group PLC	EYE.L / EYE LN	25%	✗	1%
Earthport PLC	EPO.L / EPO LN	25%	✓	1%
Ebiquity PLC	EBQ.L / EBQ LN	25%	✗	1%
Echo Energy PLC	ECHOE.L / ECHO LN	25%	✗	1%
Eckoh PLC	ECK.L / ECK LN	25%	✗	1%
Eco (Atlantic) Oil & Gas Limited	ECO.L / ECO LN	25%	✗	1%

Ecofin Global Utilities and Infrastructure Trust PLC	EGL.L / EGL LN	25%	✓	1%
Eddie Stobart Logistics PLC	ESLE.L / ESL LN	25%	✗	1%
Edinburgh Dragon Trust PLC	EFM.L / EFM LN	25%	✓	0.3%
Edinburgh Worldwide Investment Trust PLC	EWI.L / EWI LN	25%	✓	0.7%
Ediston Property Investment Company PLC	EPICE.L / EPIC LN	25%	✓	1%
Edita Food Industries Company (S. AE)	EFIDq.L / EFID LI	25%	✓	1%
Ei Group PLC	EIGE.L / EIG LN	25%	✓	0.7%
El Oro Limited	ELX.L / ELX LN	25%	✗	0.3%
Eland Oil & Gas PLC	ELA.L / ELA LN	25%	✓	1%
Elderstreet VCT PLC	ELDR.L / EDV LN	25%	✗	1%
Elecosoft PLC	ELCO.L / ELCO LN	25%	✗	1%
Electra Private Equity PLC	ELTA.L / ELTA LN	25%	✓	0.7%
Electrocomponents PLC	ECM.L / ECM LN	10%	✓	0.3%
Elegant Hotels Group PLC	EHGE.L / EHG LN	25%	✗	1%
Elektron Technology PLC	EKT.L / EKT LN	25%	✗	1%
Elementis PLC	ELM.L / ELM LN	20%	✓	0.7%
Emmerson PLC	EML.L / EML LN	25%	✗	1%
Empiric Student Property PLC	ESP.L / ESP LN	25%	✓	1%
Empresaria Group PLC	EMPR.L / EMR LN	25%	✗	1%
Empyrean Energy PLC	EMEL.L / EME LN	25%	✗	1%
EnQuest PLC	ENQ.L / ENQ LN	25%	✓	0.7%
Energiean Oil & Gas PLC	ENOG.L / ENOG LN	25%	✓	1%
Enteq Upstream PLC	NTQ.L / NTQ LN	25%	✗	1%
Entertainment One Limited	ETO.L / ETO LN	15%	✓	1%
Epwin Group PLC	EPWN.L / EPWN LN	25%	✓	1%
Equiniti Group PLC	EQN.L / EQN LN	20%	✓	1%
Ergomed PLC	ERGO.L / ERGO LN	25%	✗	1%
Escape Hunt PLC	ESCE.L / ESC LN	25%	✗	1%
Escher Group Holdings PLC	ESCH.L / ESCH LN	25%	✗	1%
Essentra PLC	ESNT.L / ESNT LN	15%	✓	0.3%
Etalon Group PLC	ETLNGq.L / ETLN LI	20%	✓	1%
Eurocell PLC	ECEL.L / ECEL LN	25%	✗	1%
Euromoney Institutional Investor PLC	ERM.L / ERM LN	25%	✓	0.7%
Europa Oil & Gas (Holdings) PLC	EOG.L / EOG LN	25%	✗	1%
European Assets Trust NV	EUNZ.L / EAT LN	25%	✗	1%
European Metals Holdings Limited	EMHE.L / EMH LN	25%	✗	1%
European Wealth Group Ltd	EWGE.L / EWG LN	25%	✗	1%
Eve Sleep PLC	EVEE.L / EVE LN	25%	✗	1%
Everyman Media Group PLC	EMANE.L / EMAN LN	25%	✗	1%
Exillon Energy PLC	EXIL.L / EXI LN	25%	✗	0.7%
Exova Group PLC	EXO.L / EXO LN	25%	✗	1%
Experian PLC	EXPN.L / EXPN LN	5%	✓	0.3%
F&C Capital and Income Investment Trust PLC	FCI.L / FCI LN	25%	✓	0.7%
F&C Commercial Property Trust Limited	FCPTL.L / FCPT LN	25%	✓	0.3%
F&C Global Smaller Companies PLC	FCS.L / FCS LN	25%	✓	0.7%
F&C Managed Portfolio Trust plc - Growth Portfolio	FMPG.L / FMPG LN	25%	✗	0.3%
F&C Managed Portfolio Trust plc - Income Sh AE	FMPGi.L / FMPI LN	25%	✗	0.3%
F&C Private Equity Trust PLC	FPERx.L / FPEO LN	25%	✓	0.7%
F&C UK High Income Trust PLC	ICTAa.L / FHI LN	25%	✗	0.3%
F&C UK High Income Trust PLC	ICTA_u.L / FHIU LN	25%	✗	0.3%
F&C UK High Income Trust PLC	ICTAb.L / FHIB LN	25%	✗	0.3%
F&C UK Real Estate Investments Limited	FCRE.L / FCRE LN	25%	☐	0.7%
FBD Holdings PLC	FBH.L / FBH LN	25%	✗	1%
FDM Group (Holdings) PLC	FDM.L / FDM LN	25%	✓	0.3%

FFI Holdings PLC	FFIF.L / FFI LN	25%	✗	1%
FIH group PLC	FIH.L / FIH LN	25%	✗	1%
FW Thorpe PLC	TFW.L / TFW LN	25%	✓	0.3%
Fair Oaks Income Fund Limited	FAIR.L / FAIR LN	25%	✗	1%
FairFX Group PLC	FFX.L / FFX LN	25%	✗	1%
Faroe Petroleum PLC	FPM.L / FPM LN	25%	✓	0.7%
Faron Pharmaceuticals Oy	FARN.L / FARN LN	25%	✗	1%
FastJet PLC	FJET.L / FJET LN	25%	✗	1%
Fastforward Innovations Limited	FFWD.L / FFWD LN	25%	✗	1%
Federal Grid Co Unified Energy System PJSC	FEESq.L / FEES LI	50%	✗	1%
Fenner PLC	FENR.L / FENR LN	20%	✓	0.7%
Ferguson PLC	FERG.L / FERG LN	5%	✓	0.3%
Ferrexpo PLC	FXPO.L / FXPO LN	10%	✓	0.7%
Fevertree Drinks PLC	FEVR.L / FEVR LN	10%	✓	1%
Fidelity Asian Values PLC	FAS.L / FAS LN	25%	✓	0.3%
Fidelity Asian Values PLC	FASS.L / FASS LN	25%	✗	1%
Fidelity China Special Situations PLC	FCSS.L / FCSS LN	25%	✓	0.7%
Fidelity Investment Trust - Fidelity European Values PLC	FEV.L / FEV LN	25%	✓	0.3%
Fidelity Investment Trust - Fidelity Special Values PLC	FSV.L / FSV LN	25%	✓	0.7%
Fidelity Japanese Values PLC	FJV.L / FJV LN	25%	✓	0.7%
Fidelity Japanese Values PLC	FJVS.L / FJVS LN	25%	✗	0.7%
Fidessa group PLC	FDSA.L / FDSA LN	20%	✓	0.7%
Filta Group Holdings PLC	FLTA.L / FLTA LN	25%	✗	1%
Findel PLC	FDL.L / FDL LN	25%	✓	1%
Finsbury Food Group PLC	FIF.L / FIF LN	25%	✗	1%
Finsbury Growth & Income Trust PLC	FGT.L / FGT LN	10%	✓	0.7%
Firestone Diamonds PLC	FDI.L / FDI LN	25%	✗	1%
First Derivatives PLC	FRST.L / FDP LN	25%	✓	1%
First Property Group PLC	FSTP.L / FPO LN	25%	✗	1%
First Trust Emerging Markets AlphaDEX(r) UCITS ETF Class A USD	FEM.L / FEM LN	10%	✗	1%
First Trust Emerging Markets AlphaDEX(r) UCITS ETF Class A USD	FEMU.L / FEMU LN	10%	✗	0.3%
First Trust Eurozone AlphaDEX(r) UCITS ETF Class A Sh AE	FEUZ.L / FEUZ LN	10%	✗	0.3%
First Trust FactorFX UCITS ETF Class A USD	FTFX.L / FTFX LN	25%	✗	1%
First Trust FactorFX UCITS ETF Class B GBP	FXGB.L / FXGB LN	25%	✗	1%
First Trust US Large Cap Core AlphaDEX(r) UCITS ETF Class A USD	FEX.L / FEX LN	10%	✗	0.3%
First Trust US Large Cap Core AlphaDEX(r) UCITS ETF Class B USD	FEXD.L / FEXD LN	10%	✗	1%
First Trust United Kingdom AlphaDEX(r) UCITS ETF Class A GBP	FKU.L / FKU LN	10%	✗	0.3%
Firstgroup PLC	FGP.L / FGP LN	20%	✓	0.3%
Flowtech Fluidpower PLC	FLOL.L / FLO LN	25%	✓	1%
Flybe Group PLC	FLYB.L / FLYB LN	25%	✓	1%
Focusrite PLC	TUNE.L / TUNE LN	25%	✗	1%
Footasylum Limited	FOOT.L / FOOT LN	25%	✗	1%
Foreign & Colonial Investment Trust PLC	FRCL.L / FRCL LN	20%	✓	0.3%
Foresight 3 VCT PLC	FST3.L / FTD LN	25%	✗	1%
Foresight 4 VCT PLC	FTF.L / FTF LN	25%	✗	1%
Foresight Solar & Infrastructure VCT PLC	FTSV.L / FTSV LN	25%	✗	1%
Foresight Solar Fund PLC	FSFL.L / FSFL LN	25%	✓	0.3%
Foresight VCT PLC	FTV.L / FTV LN	25%	✗	1%
Forterra PLC	FORT.L / FORT LN	15%	✓	1%
Foxtons Group PLC	FOXT.L / FOXT LN	25%	✓	0.7%

Franchise Brands PLC	FRAN.L / FRAN LN	25%	✗	1%
FreeAgent Holdings PLC	FREE.L / FREE LN	25%	✗	1%
French Connection Group PLC	FCCN.L / FCCN LN	25%	✓	1%
Frenkel Topping Group PLC	FEN.L / FEN LN	25%	✗	1%
Fresnillo PLC	FRES.L / FRES LN	10%	✓	0.7%
Frontera Resources Corporation	FRR.L / FRR LN	25%	✗	1%
Frontier Developments PLC	FDEV.L / FDEV LN	25%	✗	1%
Frontier IP Group PLC	FIPPG.L / FIPP LN	25%	✗	1%
Fulcrum Utility Services Limited	FCRM.L / FCRM LN	25%	✗	1%
Fuller Smith & Turner PLC	FSTA.L / FSTA LN	25%	✓	0.7%
FundLogic Alternatives plc MS Scientific Beta Global Equity Factors UCITS ETF USD	GEF.L / GEF LN	10%	✗	0.3%
FundLogic Alternatives plc MS Scientific Beta US Equity Factors UCITS ETF USD	USEF.L / USEF LN	10%	✗	0.3%
Funding Circle SME Income Fund Limited	FCIF.L / FCIF LN	25%	✓	0.3%
Fundsmith Emerging Equities Trust PLC	FEET.L / FEET LN	25%	✓	0.3%
Fusionex International PLC	FXIF.L / FXI LN	25%	✗	1%
Futura Medical PLC	FUM.L / FUM LN	25%	✗	1%
Future PLC	FUTR.L / FUTR LN	25%	✓	0.7%
Fyffes PLC	FFY.L / FFY LN	25%	✗	1%
G3 Exploration Ltd	G3EG.L / G3E LN	25%	✗	1%
G4S PLC	GFS.L / GFS LN	10%	✓	0.3%
GAIL India Ltd	GAILq.L / GAID LI	25%	✓	1%
GAME Digital PLC	GMDG.L / GMD LN	25%	✓	0.7%
GAN PLC	GAMEG.L / GAN LN	25%	✗	1%
GB Group PLC	GBGP.L / GBG LN	25%	✓	1%
GBGI Limited	GBGI.L / GBGI LN	25%	✗	1%
GCM Resources PLC	GCM.L / GCM LN	25%	✗	1%
GCP Asset Backed Income Fund Limited	GABIG.L / GABI LN	25%	⚠	0.3%
GCP Asset Backed Income Fund Limited	GABIGc.L / GABC LN	25%	✗	0.7%
GCP Infrastructure Investments Limited	GCPI.L / GCP LN	25%	✓	0.7%
GCP Student Living PLC	DIGS.L / DIGS LN	25%	✗	1%
GKN PLC	GKN.L / GKN LN	15%	✓	0.3%
GLI Finance Limited	GLIF.L / GLIF LN	25%	✗	1%
GRC International Group PLC	GRCG.L / GRC LN	25%	✗	1%
GVC Holdings PLC	GVC.L / GVC LN	20%	✓	0.3%
GW Pharmaceuticals PLC	GWP.L / GWP LN	25%	✗	0.7%
GYG PLC	GYG.L / GYG LN	25%	✗	1%
Gabelli Value Plus+ Trust PLC	GVP.L / GVP LN	25%	✗	0.3%
Galliford Try PLC	GFRD.L / GFRD LN	15%	✓	0.7%
Gama Aviation PLC	GMAA.L / GMAA LN	25%	✗	1%
Games Workshop Group PLC	GAW.L / GAW LN	20%	✓	1%
Gamma Communications PLC	GAMA.L / GAMA LN	25%	✓	1%
Gateley (Holdings) PLC	GTLY.L / GTLY LN	25%	✗	1%
Gattaca PLC	GATC.L / GATC LN	25%	✓	1%
Gear4Music (Holdings) PLC	G4M.L / G4M LN	25%	✗	1%
Geiger Counter Limited	GCLq.L / GCL LN	25%	✗	1%
Gem Diamonds Limited	GEMD.L / GEMD LN	25%	✓	0.7%
Genel Energy PLC	GENL.L / GENL LN	20%	✓	0.7%
Genesis Emerging Markets Fund	GSS.L / GSS LN	25%	✓	0.7%
Genus PLC	GNS.L / GNS LN	20%	✓	0.3%
Georgia Healthcare Group PLC	GHGG.L / GHG LN	25%	✓	1%
GetBusy PLC	GETB.L / GETB LN	25%	✗	1%
Gfinity PLC	GFIN.L / GFIN LN	25%	✗	1%
Glanbia PLC	GL9.L / GLB LN	10%	✓	0.3%

GlaxoSmithKline PLC	GSK.L / GSK LN	5%	✓	0.3%
Glencore PLC	GLEN.L / GLEN LN	10%	✓	0.3%
Glenveagh Properties PLC	GLV.L / GLV LN	25%	✓	1%
Global Invacom Group Limited	GINV.L / GINV LN	25%	✗	1%
Global Ports Holding PLC	GPH.L / GPH LN	25%	☐	1%
Global Ports Investments PLC	GLPRq.L / GLPR LI	25%	✗	1%
Global Telecom Holding S. AE	GLTDq.L / GLTD LI	25%	✗	1%
GlobalData PLC	DATA.L / DATA LN	25%	✗	1%
Globaltrans Investment PLC	GLTRq.L / GLTR LI	25%	✓	1%
Globalworth Real Estate Investment Limited	GW.L / GWI LN	25%	✗	1%
Goals Soccer Centres PLC	GOAL.L / GOAL LN	25%	✗	1%
Gocompare.com Group PLC	GOCO.L / GOCO LN	25%	✓	1%
Gold Bullion Securities ETC	GBSx.L / GBS LN	10%	✓	0.3%
Golden Prospect Precious Metals Limited	GPM.L / GPM LN	25%	✗	1%
Golden Prospect Precious Metals Ltd-RTS	GPSS.L / GPSS LN	25%	✗	1%
Goldenport Holdings Inc	GPRT.L / GPRT LN	25%	✗	0.7%
Goldplat PLC	GLDP.L / GDP LN	25%	✗	1%
Gooch & Housego PLC	GHH.L / GHH LN	25%	✓	0.7%
Good Energy Group PLC	GOODG.L / GOOD LN	25%	✗	1%
Goodwin PLC	GDWN.L / GDWN LN	25%	☐	0.7%
Gordon Dadds Group PLC	GOR.L / GOR LN	25%	✗	1%
Grafton Group PLC	GFTU_u.L / GFTU LN	15%	✓	0.7%
Grainger PLC	GRI.L / GRI LN	20%	✓	0.7%
Great Eastern Energy Corporation Limited	GEECq.L / GEEC LN	25%	✗	1%
Great Portland Estates PLC	GPOR.L / GPOR LN	10%	✓	0.3%
Greatland Gold PLC	GGPL.L / GGP LN	25%	✗	1%
Green REIT PLC	GRNG.L / GRN LN	20%	✓	0.7%
Greencoat Renewables PLC	GRPG.L / GRP LN	25%	✗	1%
Greencoat UK Wind PLC	UKWG.L / UKW LN	25%	✓	1%
Greencore Group PLC	GNC.L / GNC LN	10%	✓	0.7%
Greene King PLC	GNK.L / GNK LN	10%	✓	0.3%
Greggs PLC	GRG.L / GRG LN	15%	✓	0.7%
Gresham House PLC	GHE.L / GHE LN	25%	✗	1%
Gresham House Strategic PLC	GHS.L / GHS LN	25%	✗	1%
Gresham Technologies PLC	GHT.L / GHT LN	25%	✗	1%
Griffin Mining Limited	GFM.L / GFM LN	25%	✗	1%
Ground Rents Income Fund PLC	GRIQ.L / GRIQ LN	25%	✗	1%
Grupo Clarin SA	GCSAq.L / GCLA LI	25%	✗	1%
Gulf Investment Fund PLC	GIF.L / GIF LN	25%	✓	1%
Gulf Keystone Petroleum Limited	GKP.L / GKP LN	25%	✓	0.7%
Gulf Marine Services PLC	GMS.L / GMS LN	25%	✓	1%
H&T Group PLC	HTGR.L / HAT LN	25%	✓	0.7%
HICL Infrastructure Company Limited	HICL.L / HICL LN	15%	✓	0.3%
HMS Hydraulic Machines & Systems Group PLC	HMSGq.L / HMSG LI	50%	✗	1%
HSBC FTSE 100 UCITS ETF	HUKX.L / HUKX LN	10%	✗	0.3%
HSBC FTSE 250 UCITS ETF	HMCX.L / HMCX LN	10%	✗	0.3%
HSBC FTSE EPRA NAREIT Developed UCITS ETF	HPROP.L / HPRO LN	10%	✗	0.3%
HSBC FTSE EPRA NAREIT Developed UCITS ETF	HPRD.L / HPRD LN	10%	✗	0.3%
HSBC Holdings PLC	HSBA.L / HSBA LN	5%	✓	0.3%
HSBC MSCI AC FAR EAST ex JAPAN UCITS ETF	HMAD.L / HMAD LN	10%	✗	0.3%
HSBC MSCI Brazil UCITS ETF	HMBR.L / HMBR LN	25%	✗	1%
HSBC MSCI Brazil UCITS ETF	HBRL.L / HBRL LN	25%	✗	1%
HSBC MSCI China UCITS ETF	HMCH.L / HMCH LN	10%	✓	0.3%
HSBC MSCI EM Latin America UCITS ETF	HMLA.L / HMLA LN	10%	✗	0.3%

HSBC MSCI Emerging Markets UCITS ETF	HMEF.L / HMEF LN	10%	✗	0.3%
HSBC MSCI Indonesia UCITS ETF	HIDRI.L / HIDR LN	10%	✗	0.3%
HSBC MSCI Russia Capped UCITS ETF	HRUB.L / HRUB LN	10%	✗	0.3%
HSBC MSCI Russia Capped UCITS ETF	HRUD.L / HRUD LN	10%	✗	0.3%
HSBC MSCI Turkey UCITS ETF	HTRY.L / HTRY LN	25%	✗	1%
HSBC MSCI USA UCITS ETF	HMUD.L / HMUD LN	10%	✗	0.3%
HSBC MSCI World UCITS ETF	HMWO.L / HMWO LN	10%	✗	0.3%
HSBC S&P 500 UCITS ETF	HSPX.L / HSPX LN	10%	✓	0.3%
HSS Hire Group PLC	HSS.L / HSS LN	25%	✗	1%
Hadrian's Wall Secured Investments Limited	HWSL.L / HWSL LN	25%	✓	0.3%
Halfords Group PLC	HFD.L / HFD LN	20%	✓	0.3%
Halma PLC	HLMA.L / HLMA LN	10%	✓	0.3%
Halyk Savings Bank of Kazakh SA	HSBKq.L / HSBK LI	25%	☐	1%
Hammerson PLC	HMSO.L / HMSO LN	20%	✓	0.3%
Hansa Trust PLC	HAN.L / HAN LN	25%	☐	0.7%
Hansa Trust PLC	HANa.L / HANA LN	25%	✗	0.3%
Hansard Global PLC	HSD.L / HSD LN	25%	✗	1%
Hansteen Holdings PLC	HSTN.L / HSTN LN	10%	✓	0.7%
HarbourVest Global Private Equity Limited	HVPEa.L / HVPE LN	25%	✓	0.3%
Hardide PLC	HDD.L / HDD LN	25%	✗	1%
Hargreave Hale AIM VCT 1 PLC	HHV.L / HHV LN	25%	✗	0.3%
Hargreave Hale AIM VCT 2 PLC	HHVx.L / HHVT LN	25%	✗	0.3%
Hargreaves Lansdown PLC	HRGV.L / HL/ LN	10%	✓	0.3%
Hargreaves Services PLC	HASE.L / HSP LN	25%	✓	0.7%
Harvey Nash Group PLC	HARV.L / HVN LN	25%	✓	1%
Harwood Wealth Management Group PLC	HW.L / HW/ LN	25%	✗	1%
Harworth Group PLC	HWG.L / HWG LN	25%	✗	1%
Hastings Group Holdings PLC	HSTG.L / HSTG LN	20%	✓	1%
Haydale Graphene Industries PLC	HAYD.L / HAYD LN	25%	✗	1%
Hays PLC	HAYS.L / HAS LN	10%	✓	0.3%
Hayward Tyler Group PLC	HAYT.L / HAYT LN	25%	✗	1%
Hazel Renewable Energy VCT1 PLC	HR1O.L / HR1O LN	25%	✗	0.3%
Hazel Renewable Energy VCT2 PLC	HR2O.L / HR2O LN	25%	✗	0.3%
Headlam Group PLC	HEAD.L / HEAD LN	25%	✓	0.7%
Helical PLC	HLCL.L / HLCL LN	25%	✓	0.7%
Helios Underwriting PLC	HUW.L / HUW LN	25%	✗	1%
Henderson Alternative Strategies Trust PLC	HAST.L / HAST LN	25%	✓	0.3%
Henderson Diversified Income Trust PLC	HDIV.L / HDIV LN	25%	✓	0.3%
Henderson EuroTrust PLC	HNE.L / HNE LN	25%	✓	0.7%
Henderson European Focus Trust PLC	HEFT.L / HEFT LN	25%	✓	0.7%
Henderson Far East Income Limited	HFEL.L / HFEL LN	25%	✓	0.7%
Henderson High Income Trust PLC	HHI.L / HHI LN	25%	✓	0.7%
Henderson International Income Trust PLC	HINT.L / HINT LN	25%	✓	0.3%
Henderson Opportunities Trust PLC	HENT.L / HOT LN	25%	✓	0.3%
Henry Boot PLC	BOOT.L / BOOT LN	25%	✓	0.7%
Herald Investment Trust PLC	HRI.L / HRI LN	25%	✓	0.3%
HgCapital Trust plc 2017	HGT.L / HGT LN	25%	✓	0.7%
Hibernia REIT PLC	HBRN.L / HBRN LN	20%	✓	0.7%
Highbridge Multi-Strategy Fund Limited	HMSF.L / HMSF LN	25%	☐	0.3%
Highcroft Investments PLC	HCFT.L / HCFT LN	25%	✗	1%
Highland Gold Mining Limited	HGM.L / HGM LN	20%	✓	0.7%
Highlands Natural Resources PLC	HNRH.L / HNR LN	25%	✗	1%
Hikma Pharmaceuticals PLC	HIK.L / HIK LN	10%	✓	0.3%
Hill & Smith Holdings PLC	HILS.L / HILS LN	20%	✓	0.7%

Hilton Food Group PLC	HFG.L / HFG LN	25%	✓	0.7%
Hiscox Limited	HSX.L / HSX LN	10%	✓	0.3%
Hochschild Mining PLC	HOCM.L / HOC LN	15%	✓	0.7%
Hogg Robinson Group PLC	HRG.L / HRG LN	25%	✓	1%
Hollywood Bowl Group PLC	BOWL.L / BOWL LN	25%	✓	1%
HomeServe PLC	HSV.L / HSV LN	15%	✓	0.7%
Hon Hai Precision Industry Co Ltd	2137q.L / HHPD LI	25%	✓	1%
Horizon Discovery Group PLC	HZDH.L / HZD LN	25%	✗	1%
Horizonte Minerals PLC	HZM.L / HZM LN	25%	✗	1%
Hostelworld Group PLC	HSW.L / HSW LN	25%	✓	1%
Hotel Chocolat Group PLC	HOTC.L / HOTC LN	25%	✗	1%
Howden Joinery Group PLC	HWDN.L / HWDN LN	10%	✓	0.7%
Hummingbird Resources PLC	HUMR.L / HUM LN	25%	✗	1%
Hunting PLC	HTG.L / HTG LN	15%	✓	0.3%
Huntsworth PLC	HNTS.L / HNT LN	25%	✓	0.7%
Hurricane Energy PLC	HUR.L / HUR LN	25%	✓	1%
Hutchison China MediTech Limited	HCM.L / HCM LN	25%	✗	1%
HydroDec Group PLC	HYR.L / HYR LN	25%	✗	1%
Hyundai Motor Co	0538q.L / HYUD LI	25%	✗	1%
I-Nexus Global PLC	INX.L / INX LN	25%	✗	1%
IBEX Global Solutions PLC	IBEX.L / IBEX LN	25%	✗	1%
ICAP PLC	IAP.L / IAP LN	10%	✗	0.7%
ICG Enterprise Trust PLC	ICGT.L / ICGT LN	25%	✓	0.7%
ICG-Longbow Senior Secured UK Property Debt Investments Limited	LBOW.L / LBOW LN	25%	✓	1%
IDE Group Holdings PLC	IDEI.L / IDE LN	25%	✗	1%
IDOX PLC	IDOX.L / IDOX LN	25%	✓	0.3%
IG Design Group PLC	IGRI.L / IGR LN	25%	✗	1%
IGas Energy PLC	IGAS.L / IGAS LN	25%	✓	1%
IMI PLC	IMI.L / IMI LN	10%	✓	0.3%
IMImobile PLC	IMOI.L / IMO LN	25%	✗	1%
INVESCO Perpetual UK Smaller Companies Investment Trust PLC	IPU.L / IPU LN	25%	✓	0.3%
IP Group PLC	IPO.L / IPO LN	25%	✓	0.7%
IQE PLC	IQE.L / IQE LN	10%	✓	1%
ITE Group PLC	ITE.L / ITE LN	25%	✓	0.7%
ITM Power PLC	ITM.L / ITM LN	25%	✓	1%
ITV PLC	ITV.L / ITV LN	5%	✓	0.3%
IWG PLC	IWG.L / IWG LN	30%	✓	1%
Ibstock PLC	IBST.L / IBST LN	15%	✓	1%
Ideagen PLC	IDEA.L / IDEA LN	25%	✗	1%
Imagination Technologies Group PLC	IMG.L / IMG LN	25%	✓	0.7%
ImmuPharma PLC	IMM.L / IMM LN	25%	✗	1%
Immunodiagnostic Systems Holdings PLC	IDH.L / IDH LN	25%	✗	1%
Impact Healthcare REIT PLC	IHR.L / IHR LN	25%	✗	1%
Impax Asset Management Group PLC	IPX.L / IPX LN	25%	✗	1%
Impax Environmental Markets PLC	IMPX.L / IEM LN	25%	✓	0.7%
Impellam Group PLC	IPEL.L / IPEL LN	25%	✗	1%
Imperial Brands PLC	IMB.L / IMB LN	5%	✓	0.3%
Inch Kenneth Kajang Rubber PLC	IKK.L / IKK LN	25%	✗	1%
Inchcape PLC	INCH.L / INCH LN	10%	✓	0.7%
Independent Oil and Gas PLC	IOG.L / IOG LN	25%	✗	1%
India Capital Growth Fund Limited	IGC.L / IGC LN	25%	✗	1%
Indivior PLC	INDV.L / INDV LN	20%	✓	0.7%
Indus Gas Limited	INDII.L / INDI LN	25%	✗	0.7%

Industrial Multi Property Trust PLC	IMPT.L / IMPT LN	25%	✗	1%
Informa PLC	INF.L / INF LN	10%	✓	0.3%
Infrastructure India PLC	IIP.L / IIP LN	25%	✗	1%
Ingenta PLC	INGI.L / ING LN	25%	✗	1%
Inland Homes PLC	ILND.L / INL LN	25%	✗	1%
Inland ZDP PLC	INLZ_p.L / INLZ LN	25%	✗	1%
Inmarsat PLC	ISA.L / ISAT LN	20%	✓	0.3%
Innovaderma PLC	IDP.L / IDP LN	25%	✗	1%
Inspired Energy PLC	INSEI.L / INSE LN	25%	✗	1%
Instem PLC	INS.L / INS LN	25%	✗	1%
IntegraFin Holdings PLC	IHPI.L / IHP LN	20%	✓	1%
Integrated Diagnostics Holdings PLC	IDHC.L / IDHC LN	25%	✓	1%
InterContinental Hotels Group PLC	IHG.L / IHG LN	5%	✓	0.3%
Intermediate Capital Group PLC	ICP.L / ICP LN	10%	✓	0.7%
International Biotechnology Trust PLC	IBT.L / IBT LN	25%	✓	1%
International Consolidated Airlines Group SA	ICAG.L / IAG LN	5%	✓	0.3%
International Personal Finance PLC	IPF.L / IPF LN	25%	✓	0.7%
International Public Partnerships Limited	INPP.L / INPP LN	20%	✓	0.3%
InternetQ PLC	INTQ.L / INTQ LN	25%	✗	1%
Interserve PLC	IRV.L / IRV LN	25%	✓	0.7%
Intertek Group PLC	ITRK.L / ITRK LN	5%	✓	0.3%
Invesco Asia Trust PLC	IAT.L / IAT LN	25%	✓	0.3%
Invesco Income Growth Trust PLC	IVI.L / IVI LN	25%	✓	0.3%
Invesco Perpetual Enhanced Income Limited	IPEI.L / IPE LN	25%	✗	0.3%
Invesco Perpetual Select Trust plc - Balanced Risk Share Portfolio	IVPB.L / IVPB LN	25%	✗	1%
Invesco Perpetual Select Trust plc - Global Equity Income Share Portfolio	IVPG.L / IVPG LN	25%	✗	0.3%
Investec PLC	INVP.L / INVP LN	10%	✓	0.3%
Irish Continental Group PLC	ICG_u.L / ICGC LN	25%	✓	0.3%
Ironridge Resources Limited	IRR.L / IRR LN	25%	✗	1%
J D Wetherspoon PLC	JDW.L / JDW LN	20%	✓	0.3%
J Sainsbury PLC	SBRY.L / SBRY LN	5%	✓	0.3%
J. Smart & Co. (Contractors) PLC	SMJ.L / SMJ LN	25%	✗	1%
JD Sports Fashion PLC	JD.L / JD/ LN	15%	✓	0.7%
JKX Oil & Gas PLC	JKX.L / JKX LN	25%	✗	1%
JP Morgan Income & Growth Investment Trust PLC	JIGI_u.L / JIGU LN	25%	✗	0.3%
JP Morgan Income & Growth Investment Trust PLC	JIGI.L / JIGI LN	25%	✗	0.3%
JPMorgan American Investment Trust PLC	JAM.L / JAM LN	25%	✓	0.7%
JPMorgan Asian Investment Trust PLC	JAI.L / JAI LN	25%	✓	0.3%
JPMorgan Brazil Investment Trust PLC	JPB.L / JPB LN	25%	✗	1%
JPMorgan Chinese Investment Trust PLC	JMC.L / JMC LN	25%	✓	0.7%
JPMorgan Claverhouse Investment Trust PLC	JCH.L / JCH LN	25%	✓	0.7%
JPMorgan Elect plc - Managed Cash	JPEX.L / JPEC LN	25%	✗	0.3%
JPMorgan Elect plc - Managed Income	JPEI.L / JPEI LN	25%	✗	0.3%
JPMorgan Emerging Markets Investment Trust PLC	JMG.L / JMG LN	25%	✓	0.7%
JPMorgan European Investment Trust plc - Growth Sh AE	JETx.L / JETG LN	25%	☒	0.3%
JPMorgan European Investment Trust plc - Income Sh AE	JETi.L / JETI LN	25%	✓	0.3%
JPMorgan European Smaller Companies Trust PLC	JESC.L / JESC LN	25%	✓	0.7%
JPMorgan Global Convertibles Income Fund Limited	JGCI.L / JGCI LN	25%	☒	0.3%
JPMorgan Global Emerging Markets Income Trust PLC	JEMI.L / JEMI LN	25%	✓	0.3%
JPMorgan Global Growth & Income PLC	JPGI.L / JPGI LN	25%	✓	0.7%
JPMorgan Income & Capital Trust PLC	JPIPu.L / JPIU LN	25%	✗	1%

JPMorgan Income & Capital Trust PLC	JPIP.L / JPI LN	25%	✗	1%
JPMorgan Income & Capital Trust PLC	JPIPo.L / JPIZ LN	25%	✗	0.3%
JPMorgan Indian Investment Trust PLC	JII.L / JII LN	25%	✓	0.7%
JPMorgan Japan Smaller Companies Trust PLC	JPS.L / JPS LN	25%	✓	0.7%
JPMorgan Japanese Investment Trust PLC	JFJ.L / JFJ LN	25%	✓	0.7%
JPMorgan Mid Cap Investment Trust PLC	JMF.L / JMF LN	25%	✓	0.7%
JPMorgan Russian Securities PLC	JRS.L / JRS LN	25%	✓	0.7%
JPMorgan Smaller Companies Investment Trust PLC	JMI.L / JMI LN	25%	✓	0.3%
JPMorgan Smaller Companies Investment Trust PLC	JMIS.L / JMIS LN	25%	✗	1%
JPMorgan US Smaller Companies Investment Trust PLC	JUSC.L / JUSC LN	25%	✓	0.7%
JSC KazMunaiGas Exploration Production	KMGq.L / KMG LI	20%	✓	1%
JTC PLC	JTC.L / JTC LN	25%	✗	1%
JUST EAT PLC	JE.L / JE/ LN	10%	✓	0.3%
JZ Capital Partners Limited	JZCP.L / JZCP LN	25%	✗	1%
JZ Capital Partners Limited	JZCZo.L / JZCZ LN	25%	✗	0.3%
Jackpotjoy PLC	JPJ.L / JPJ LN	20%	✓	1%
James Cropper PLC	CRPR.L / CRPR LN	25%	✗	1%
James Fisher and Sons PLC	FSJ.L / FSJ LN	25%	✓	0.7%
James Halstead PLC	JHD.L / JHD LN	25%	✓	0.7%
James Latham PLC	LTHM.L / LTHM LN	25%	✗	1%
Janus Henderson Group PLC	HGGH.L / HGG LN	10%	☒	0.7%
Jardine Lloyd Thompson Group PLC	JLT.L / JLT LN	20%	✓	0.3%
Jardine Matheson Holdings Limited	JAR.L / JAR LN	10%	✓	0.3%
Jarvis Securities PLC	JIM.L / JIM LN	25%	✗	1%
Jaywing PLC	JWNG.L / JWNG LN	25%	✗	1%
Jersey Electricity PLC	JLEC.L / JEL LN	25%	✗	1%
Jersey Oil and Gas PLC	JOG.L / JOG LN	50%	✗	1%
Jimmy Choo PLC	CHOO.L / CHOO LN	25%	☒	0.3%
John Laing Environmental Assets Group Limited	JLEN.L / JLEN LN	25%	✓	0.3%
John Laing Group PLC	JLG.L / JLG LN	20%	✓	1%
John Laing Infrastructure Fund Limited	JLIF.L / JLIF LN	25%	✓	0.3%
John Menzies PLC	MNZS.L / MNZS LN	25%	✓	0.7%
John Wood Group PLC	WG.L / WG/ LN	10%	✓	0.7%
Johnson Matthey PLC	JMAT.L / JMAT LN	5%	✓	0.7%
Johnson Service Group PLC	JSG.L / JSG LN	25%	✓	1%
Joules Group PLC	JOUL.L / JOUL LN	25%	✗	1%
Journey Group PLC	JNYJ.L / JNY LN	25%	✗	1%
Jubilee Platinum PLC	JLP.L / JLP LN	25%	✗	1%
Judges Scientific PLC	JDG.L / JDG LN	25%	✗	1%
Jupiter Dividend & Growth Trust PLC	JDTx.L / JDTC LN	25%	✗	0.3%
Jupiter Dividend & Growth Trust PLC	JDToz.L / JDTZ LN	25%	✗	0.3%
Jupiter Emerging & Frontier Income Trust PLC	JEFI.L / JEFI LN	25%	✗	1%
Jupiter European Opportunities Trust PLC	JEO.L / JEO LN	25%	✓	0.3%
Jupiter Fund Management PLC	JUP.L / JUP LN	10%	✓	0.7%
Jupiter Green Investment Trust PLC	JGC.L / JGC LN	25%	✗	1%
Jupiter UK Growth Investment Trust PLC	JPG.L / JUKG LN	25%	✗	0.7%
Jupiter US Smaller Companies PLC	JUS.L / JUS LN	25%	✓	0.7%
Juridica Investments Limited	JIL.L / JIL LN	25%	✗	1%
Just Group PLC	JUSTJ.L / JUST LN	10%	✓	0.7%
K&C REIT PLC	KCR.L / KCR LN	25%	✗	1%
K3 Business Technology Group PLC	KBT.L / KBT LN	25%	✗	1%
K3 Capital Group PLC	K3C.L / K3C LN	25%	✗	1%
KAZ Minerals PLC	KAZ.L / KAZ LN	10%	✓	0.7%
KCOM Group PLC	KCOM.L / KCOM LN	25%	✓	0.7%

KEFI Minerals PLC	KEFI.L / KEFI LN	25%	✗	1%
KSK Power Ventur PLC	KSK.L / KSK LN	25%	✗	0.7%
Kainos Group PLC	KNOS.L / KNOS LN	25%	✓	1%
Kape Technologies PLC	KAPE.L / KAPE LN	25%	✗	1%
Kcell	KCELq.L / KCEL LI	25%	✗	1%
Keller Group PLC	KLR.L / KLR LN	25%	✓	0.7%
Kenmare Resources PLC	JEV.L / KMR LN	25%	✓	0.3%
Kennedy Wilson Europe Real Estate PLC	KWE.L / KWE LN	15%	✓	0.7%
Kerry Group PLC	KYGa.L / KYGA LN	10%	✓	0.3%
Keystone Investment Trust PLC	KIT.L / KIT LN	25%	✓	0.7%
Keystone Law Group PLC	KEYS.L / KEYS LN	25%	✗	1%
Keywords Studios PLC	KWS.L / KWS LN	15%	✗	1%
Kibo Mining PLC	KIBO.L / KIBO LN	25%	✗	1%
Kier Group PLC	KIE.L / KIE LN	15%	✓	0.7%
Kingfisher PLC	KGF.L / KGF LN	5%	✓	0.7%
Kings Arms Yard VCT PLC	KAY.L / KAY LN	25%	✗	0.3%
Kingspan Group PLC	KSP.L / KGP LN	10%	✓	0.3%
Kodal Minerals PLC	KOD.L / KOD LN	25%	✗	1%
Koovs PLC	KOOV.L / KOOV LN	25%	✗	1%
Kore Potash PLC	KP2.L / KP2 LN	25%	✗	1%
Kromek Group PLC	KMK.L / KMK LN	25%	✗	1%
LMS Capital PLC	LMS.L / LMS LN	25%	☒	1%
LPA Group PLC	LPA.L / LPA LN	25%	✗	1%
LSL Property Services PLC	LSL.L / LSL LN	25%	✓	0.7%
LXI REIT PLC	LXIL.L / LXI LN	25%	✗	0.7%
Ladbrokes Coral Group PLC	LCL.L / LCL LN	10%	✓	0.3%
Laird PLC	LRD.L / LRD LN	25%	✓	0.7%
Lakehouse PLC	LAKE.L / LAKE LN	25%	✓	1%
Lamprell PLC	LAM.L / LAM LN	25%	✓	0.7%
Lancashire Holdings Limited	LRE.L / LRE LN	15%	✓	0.7%
Land Securities Group PLC	LAND.L / LAND LN	5%	✓	0.3%
Landore Resources Limited	LND.L / LND LN	25%	✗	1%
Larsen & Toubro Limited	LARTq.L / LTOD LI	20%	✓	1%
Laura Ashley Holdings PLC	ALY.L / ALY LN	25%	✗	0.7%
Lavendon Group PLC	LVD.L / LVD LN	25%	✗	0.7%
Lazard World Trust Fund	WTR.L / WTR LN	10%	✗	0.3%
Learning Technologies Group PLC	LTGL.L / LTG LN	25%	✗	1%
Legal & General Group PLC	LGEN.L / LGEN LN	5%	✓	0.7%
Lekoil Limited	LEK.L / LEK LN	25%	✓	1%
Lenta Ltd	LNTAq.L / LNTA LI	50%	✗	1%
Lifeline Scientific Inc	LSIC.L / LSIC LN	25%	✗	1%
Lindsell Train Investment Trust PLC	LDIT.L / LTI LN	25%	✗	0.3%
Liontrust Asset Management PLC	LIO.L / LIO LN	25%	✓	1%
LivaNova PLC	LIVN.L / LIVN LN	25%	✓	0.3%
Lloyds Banking Group PLC	LLOY.L / LLOY LN	5%	✓	0.3%
Lok'nStore Group PLC	LOK.L / LOK LN	25%	✗	1%
Lombard Risk Management PLC	LRM.L / LRM LN	25%	✗	1%
London Stock Exchange Group PLC	LSE.L / LSE LN	5%	✓	0.3%
London and St. Lawrence Investment Company PLC	LSLI.L / LSLI LN	25%	✗	0.3%
LondonMetric Property PLC	LMPL.L / LMP LN	20%	✓	0.7%
Lonmin PLC	LMI.L / LMI LN	20%	✓	0.7%
Lookers PLC	LOOK.L / LOOK LN	25%	✓	0.7%
LoopUp Group PLC	LOOP.L / LOOP LN	25%	✗	1%
Low & Bonar PLC	LWB.L / LWB LN	25%	✓	0.7%

Lowland Investment Company PLC	LWI.L / LWI LN	25%	✓	0.7%
Luce Company PLC	LUCEL.L / LUCE LN	25%	✓	1%
Ludgate Environmental Fund Limited	LEF.L / LEF LN	25%	✗	1%
Lyxor Brazil (Ibovespa) UCITS ETF Acc	RIOL.L / RIOL LN	10%	✗	1%
Lyxor CSI 300 A-Share UCITS ETF C-USD	LYCSIL.L / CSIL LN	10%	✗	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF Acc	LYASIL.L / ASIL LN	10%	✗	0.3%
Lyxor China Enterprise (HSCEI) UCITS ETF C-EUR	ASIU.L / ASIU LN	10%	✗	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB TR UCITS ETF Acc	CRBL.L / CRBL LN	10%	✗	0.3%
Lyxor Commodities Thomson Reuters Corecommodity CRB ex-Energy TR UCITS ETF Acc	CRNL.L / CRNL LN	10%	✗	0.3%
Lyxor Core MSCI World (DR) UCITS ETF	LCWL.L / LCWL LN	25%	✗	1%
Lyxor DAX (DR) UCITS ETF	DAXX.L / DAXX LN	10%	✗	0.3%
Lyxor Daily Double Short 10Y US Treasury UCITS ETF C-USD	DSUS.L / DSUS LN	10%	✗	0.3%
Lyxor Daily Double Short 10Y US Treasury UCITS ETF C-USD	DSUG.L / DSUG LN	10%	✗	0.3%
Lyxor Dow Jones Industrial Average UCITS ETF D-EUR	DJEU.L / DJEU LN	10%	✗	0.3%
Lyxor EURO STOXX 300 (DR) - UCITS ETF Monthly Hedged C-GBP	MFEG.L / MFEG LN	10%	✗	1%
Lyxor EURO STOXX 50 (DR) - UCITS ETF C-EUR	MSED.L / MSED LN	10%	✗	0.3%
Lyxor Eastern Europe (CECE NTR EUR) UCITS ETF C-EUR	CECL.L / CECL LN	10%	✗	0.3%
Lyxor Emerging Markets Local Currency Bond (DR) - UCITS ETF D-USD	EMBL.L / EMBL LN	10%	✗	1%
Lyxor Euro Cash UCITS ETF	CSHD.L / CSHD LN	10%	✗	0.3%
Lyxor Euro Corporate Bond UCITS ETF C-EUR	CRPX.L / CRPX LN	10%	✗	0.3%
Lyxor Euro Stoxx 50 (DR) UCITS ETF Dailly Hedged C-GBP	MSEX.L / MSEX LN	10%	✗	1%
Lyxor Euro Stoxx 50 (DR) UCITS ETF Dailly Hedged C-USD	MSEU.L / MSEU LN	10%	✓	0.3%
Lyxor EuroMTS All-Maturity Investment Grade (DR) UCITS ETF	MTXX.L / MTXX LN	10%	✓	0.3%
Lyxor EuroMTS Inflation Linked Investment Grade (DR) UCITS ETF	MTIX.L / MTIX LN	10%	✗	0.3%
Lyxor FTSE 250 UCITS ETF	L250.L / L250 LN	25%	✗	1%
Lyxor FTSE Actuaries UK Gilts (DR) UCITS ETF - D-GBP	GILS.L / GILS LN	10%	✗	0.3%
Lyxor FTSE Actuaries UK Gilts 0-5Y (DR) UCITS ETF - D-GBP	GIL5.L / GIL5 LN	10%	✗	0.3%
Lyxor FTSE Actuaries UK Gilts Inflation-Linked (DR) UCITS ETF - D-GBP	GILI.L / GILI LN	10%	✗	0.3%
Lyxor FTSE MIB UCITS ETF	MIBX.L / MIBX LN	10%	✗	0.3%
Lyxor FTSE UK Quality Low Vol Dividend (DR) UCITS ETF - D-GBP	DOSH.L / DOSH LN	25%	✗	1%
Lyxor FTSE US Quality Low Vol Dividend (DR) UCITS ETF D-USD	DIVU.L / DIVU LN	25%	✗	1%
Lyxor FTSE USA Minimum Variance UCITS ETF - C-USD	MVUX.L / MVUX LN	10%	✗	0.3%
Lyxor FTSE100 UCITS ETF C-GBP	L100.L / L100 LN	10%	✓	0.3%
Lyxor Fed Funds US Dollar Cash UCITS ETF - C-USD	FEDG.L / FEDG LN	10%	✗	0.3%
Lyxor Fed Funds US Dollar Cash UCITS ETF - C-USD	FEDF.L / FEDF LN	10%	✗	0.3%
Lyxor Fortune SG MSCI China A (DR) UCITS ETF C-USD	CNAL.L / CNAL LN	10%	✗	0.3%
Lyxor J.P. Morgan Europe Low Beta Factor Index UCITS ETF - C-EUR	LYXL.L / LYXL LN	10%	✗	1%
Lyxor JPX-Nikkei 400 (DR) UCITS ETF - C-EUR	JPXG.L / JPXG LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 (DR) UCITS ETF - C-EUR	JPX4.L / JPX4 LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 (DR) UCITS ETF - Daily Hedged C-GBP	JPXX.L / JPXX LN	10%	✗	0.3%
Lyxor JPX-Nikkei 400 (DR) UCITS ETF - Daily Hedged C-USD	JPXU.L / JPXU LN	10%	✗	0.3%
Lyxor Kuwait (FTSE Coast Kuwait 40) UCITS ETF	LKUU.L / LKUU LN	25%	✗	1%
Lyxor Kuwait (FTSE Coast Kuwait 40) UCITS ETF	LKUW.L / LKUW LN	25%	✗	1%

Lyxor MSCI AC Asia-Pacific ex Japan UCITS ETF Acc	AEJL.L / AEJL LN	10%	✗	0.3%
Lyxor MSCI AC Asia-Pacific ex Japan UCITS ETF C-USD	LYAEJ.L / AEJ LN	10%	✗	0.3%
Lyxor MSCI EM Latin America UCITS ETF C-USD	LYLTML.L / LTML LN	10%	✗	1%
Lyxor MSCI Emerging Markets UCITS ETF C-USD	LYLEML.L / LEML LN	10%	✗	0.3%
Lyxor MSCI Emerging Markets UCITS ETF C-USD	LEMD.L / LEMD LN	10%	✗	0.3%
Lyxor MSCI Europe UCITS ETF D-EUR	MEUG.L / MEUG LN	10%	✗	0.3%
Lyxor MSCI India UCITS ETF C-USD	LYINRL.L / INRL LN	10%	✗	0.3%
Lyxor MSCI India UCITS ETF C-USD	INRU.L / INRU LN	10%	✗	0.3%
Lyxor MSCI Indonesia UCITS ETF C-USD	INDL.L / INDL LN	25%	✗	1%
Lyxor MSCI Malaysia UCITS ETF USD	LYMALL.L / MALL LN	10%	✗	1%
Lyxor MSCI Taiwan UCITS ETF Acc	LYTWNL.L / TWNL LN	25%	✗	1%
Lyxor MSCI USA UCITS ETF D-EUR	USAU.L / USAU LN	10%	✗	0.3%
Lyxor MSCI USA UCITS ETF D-USD	USAL.L / USAL LN	10%	✗	0.3%
Lyxor MSCI World Consumer Staples TR UCITS ETF - C-USD	STPW.L / STAW LN	10%	✗	1%
Lyxor MSCI World Health Care TR UCITS ETF - C-USD	HLTG.L / HLTG LN	10%	✗	0.3%
Lyxor MSCI World Industrials TR UCITS ETF - C-USD	INDW.L / INDW LN	10%	✗	1%
Lyxor MSCI World Information Technology TR UCITS ETF - C-USD	TNOW.L / TNOW LN	10%	✗	0.3%
Lyxor MSCI World UCITS ETF Dist	WLDL.L / WLDL LN	10%	✗	0.3%
Lyxor MSCI World UCITS ETF Dist	WLDD.L / WLDD LN	10%	✗	0.3%
Lyxor MSCI World UCITS ETF Monthly Hedged D-USD	WLDU.L / WLDU LN	10%	✗	0.3%
Lyxor MSCI World Utilities TR UCITS ETF - C-USD	UTLG.L / UTIG LN	25%	✗	1%
Lyxor Nasdaq-100 UCITS ETF D-USD	LYUSTL.L / USTL LN	10%	✗	1%
Lyxor Nasdaq-100 UCITS ETF D-USD	UST.L / UST LN	10%	✗	0.3%
Lyxor Russell 1000 Growth UCITS ETF C-USD	RSGL.L / RSGL LN	25%	✗	1%
Lyxor Russell 1000 Value UCITS ETF C-USD	RSVL.L / RSVL LN	10%	✗	1%
Lyxor Russia (Dow Jones Russia GDR) UCITS ETF Dist	RUSU.L / RUSU LN	10%	✗	0.3%
Lyxor Russia (Dow Jones Russia GDR) UCITS ETF Dist	RUSL.L / RUSL LN	10%	✗	0.3%
Lyxor S&P 500 UCITS ETF - D-USD	LSPU.L / LSPU LN	10%	✗	0.3%
Lyxor SG Global Quality Income NTR UCITS ETF - C-GBP	SGQL.L / SGQL LN	10%	✗	0.3%
Lyxor SG Global Quality Income NTR UCITS ETF - Monthly Hedged C-GBP	SGQX.L / SGQX LN	10%	✗	0.3%
Lyxor SG Global Value Beta UCITS ETF - C-USD	SGVL.L / SGVL LN	10%	✗	0.3%
Lyxor STOXX Europe 600 (DR) - UCITS ETF C-EUR	MEUD.L / MEUD LN	10%	✗	0.3%
Lyxor Smart Cash - UCITS ETF C-GBP	LYCSH2.L / CSH2 LN	10%	✗	0.3%
Lyxor Smart Cash - UCITS ETF C-USD	LYSMTC.L / SMTC LN	10%	✗	0.3%
Lyxor South Africa (FTSE JSE Top 40) UCITS ETF C-EUR	LYAFSL.L / AFSL LN	10%	✗	0.3%
Lyxor Thailand (SET50 Net TR) UCITS ETF C-USD	THAG.L / THAG LN	10%	✗	1%
Lyxor Turkey (DJ Turkey Titans 20) UCITS ETF	LYTURL.L / TURL LN	10%	✗	0.3%
Lyxor UK(GBP) 10Y Inflation Expectations UCITS ETF - C-GBP	UKBE.L / UKBE LN	10%	✗	0.3%
Lyxor US\$ 10Y Inflation Expectations UCITS ETF - C-USD	INFU.L / INFU LN	10%	✗	0.3%
Lyxor USD Liquid Investment Grade Corporate Bonds UCITS ETF - D-USD	USIG.L / USIG LN	10%	✗	0.3%
Lyxor iBoxx \$ Treasuries 1-3Y (DR) UCITS ETF - D-USD	U13G.L / U13G LN	10%	✗	0.3%
Lyxor iBoxx \$ Treasuries 5-7Y (DR) UCITS ETF - D-USD	US57.L / US57 LN	10%	✗	0.3%
M&C Saatchi PLC	SAA.L / SAA LN	25%	✓	1%
M.P. Evans Group PLC	MPE.L / MPE LN	25%	✓	0.7%
MC Mining Limited	MCOMM.L / MCM LN	25%	✓	0.7%
MD Medical Group Investments PLC	MDMGq.L / MDMG LI	50%	✗	1%
MHP SE	MHPCq.L / MHPC LI	25%	✓	1%
MJ Gleeson PLC	GLEG.L / GLE LN	25%	✓	1%
MS International PLC	MSTL.L / MSI LN	25%	✗	1%
MXC Capital Limited	MXCP.L / MXCP LN	25%	✗	1%
MacFarlane Group PLC	MACF.L / MACF LN	25%	✗	1%

Macau Property Opportunities Fund	MPO.L / MPO LN	25%	✓	0.7%
Maestrano Group PLC	MNO.L / MNO LN	25%	✗	1%
Mahindra & Mahindra Limited	MAHMq.L / MHID LI	25%	✓	1%
Mail.ru Group Limited	MAILRq.L / MAIL LI	25%	✗	1%
Maintel Holdings PLC	MAIH.L / MAI LN	25%	✗	1%
Majedie Investments PLC	MAJE.L / MAJE LN	25%	⚠	0.7%
Majestic Wine PLC	WINEW.L / WINE LN	25%	✓	0.7%
Man Group PLC	EMG.L / EMG LN	10%	✓	0.3%
Management Consulting Group PLC	NZX.L / MMC LN	25%	✗	0.7%
Management Resource Solutions PLC	MRS.L / MRS LN	25%	✗	1%
Manchester & London Investment Trust PLC	MNL.L / MNL LN	25%	✗	0.7%
Manx Telecom PLC	MANX.L / MANX LN	25%	✗	1%
Mariana Resources Limited	MRRE.L / MARL LN	25%	✗	1%
Marks and Spencer Group PLC	MKS.L / MKS LN	5%	✓	0.3%
Marlowe PLC	MRLM.L / MRL LN	25%	✗	1%
Marshall Motor Holdings PLC	MMHM.L / MMH LN	25%	✗	1%
Marshalls PLC	MSLH.L / MSLH LN	20%	✓	0.7%
Marston's PLC	MARS.L / MARS LN	20%	✓	0.7%
Martin Currie Asia Unconstrained Trust PLC	MACP.L / MCP LN	25%	✓	0.7%
Martin Currie Global Portfolio Trust PLC	MNP.L / MNP LN	25%	✓	0.3%
Marwyn Value Investors Limited	MRWN.L / MVI LN	25%	✗	1%
Masawara PLC	MASAM.L / MASA LN	25%	✗	1%
Matomy Media Group Limited	MTMY.L / MTMY LN	25%	✗	1%
Mattioli Woods PLC	MTWL.L / MTW LN	25%	✗	1%
Maven Income and Growth VCT 2 PLC	MIG2.L / MIG2 LN	25%	✗	0.3%
Maven Income and Growth VCT 3 PLC	MIG3.L / MIG3 LN	25%	✗	1%
Maven Income and Growth VCT 4 PLC	MAV4.L / MAV4 LN	25%	✗	1%
Maven Income and Growth VCT 5 PLC	MIG5.L / MIG5 LN	25%	✗	0.3%
Maven Income and Growth VCT 6 PLC	MIG6.L / MIG6 LN	25%	✗	1%
Maven Income and Growth VCT PLC	MIG1.L / MIG1 LN	25%	✗	0.3%
MaxCyte Inc	MXCT.L / MXCT LN	25%	✗	1%
MayAir Group PLC	MAYA.L / MAYA LN	25%	✗	1%
McBride PLC	MCB.L / MCB LN	25%	✓	0.7%
McCarthy & Stone PLC	MCS.L / MCS LN	20%	✓	1%
McColl's Retail Group PLC	MCLSM.L / MCLS LN	25%	✓	1%
McKay Securities PLC	MCKS.L / MCKS LN	25%	✓	0.7%
Mears Group PLC	MERG.L / MER LN	25%	✓	0.7%
MedicX Fund Limited	MXF.L / MXF LN	25%	✓	1%
Medica Group PLC	MGPM.L / MGP LN	25%	✓	1%
Mediclinic International PLC	MDCM.L / MDC LN	10%	✓	0.7%
Meggitt PLC	MGGT.L / MGGT LN	10%	✓	0.7%
Melrose Industries PLC	MRON.L / MRO LN	10%	✓	0.7%
Menhaden Capital PLC	MHN.L / MHN LN	25%	✗	1%
Mercia Technologies PLC	MERC.L / MERC LN	25%	✗	1%
Mereo BioPharma Group PLC	MPHM.L / MPH LN	25%	✗	1%
Merlin Entertainments PLC	MERL.L / MERL LN	10%	✓	0.3%
Metal Tiger PLC	MTRM.L / MTR LN	25%	✗	1%
Metals Exploration PLC	MTL.L / MTL LN	25%	✗	1%
Metro Bank PLC	MTRO.L / MTRO LN	15%	✓	0.3%
Michelmersh Brick Holdings PLC	MBH.L / MBH LN	25%	✗	1%
Micro Focus International PLC	MCRO.L / MCRO LN	20%	✓	0.7%
Microgen PLC	MCGN.L / MCGN LN	25%	✗	1%
Mid Wynd International Investment Trust PLC	MIDW.L / MWY LN	25%	✗	1%
Midatech Pharma PLC	MTPH.L / MTPH LN	25%	✗	1%

Middlefield Canadian Income PCC - Middlefield Canadian Income - GBP PC	MCT_p.L / MCT LN	25%	✓	0.3%
Midwich Group PLC	MIDWM.L / MIDW LN	25%	✓	1%
Millennium & Copthorne Hotels PLC	MLC.L / MLC LN	25%	✓	0.3%
Mincon Group PLC	MCON.L / MCON LN	25%	✗	1%
MirLand Development Corporation PLC	MLD.L / MLD LN	25%	✗	0.7%
Mirriad Advertising Limited	MIRI.L / MIRI LN	25%	✗	1%
Mitchells & Butlers PLC	MAB.L / MAB LN	20%	✓	0.3%
Mithras Investment Trust PLC	MIIT.L / MTH LN	25%	✗	1%
Mitie Group PLC	MTO.L / MTO LN	15%	✓	0.3%
Miton Global Opportunities PLC	MIGO.L / MIGO LN	25%	✗	1%
Miton Group PLC	MGRM.L / MGR LN	25%	✗	1%
Miton UK MicroCap Trust PLC	MINI.L / MINI LN	25%	✗	1%
Mobeus Income & Growth 2 VCT PLC	MIG.L / MIG LN	25%	✗	1%
Mobeus Income & Growth 4 VCT PLC	MIG4.L / MIG4 LN	25%	✗	1%
Mobeus Income & Growth VCT PLC	MIX.L / MIX LN	25%	✗	0.3%
Mondi PLC	MNDI.L / MNDI LN	5%	✓	0.3%
Moneysupermarket.com Group PLC	MONY.L / MONY LN	10%	✓	0.7%
Monitise PLC	MONI.L / MONI LN	25%	✗	0.7%
Montanaro European Smaller Companies Trust PLC	MONT.L / MTE LN	25%	✓	1%
Montanaro UK Smaller Companies Investment Trust PLC	MTU.L / MTU LN	25%	✓	0.7%
Morgan Advanced Materials PLC	MGAMM.L / MGAM LN	20%	✓	0.7%
Morgan Sindall Group PLC	MGNS.L / MGNS LN	25%	✓	0.7%
Morses Club PLC	MCLM.L / MCL LN	25%	✓	1%
Mortgage Advice Bureau (Holdings) PLC	MAB1.L / MAB1 LN	25%	✓	1%
Moss Bros Group PLC	MOSB.L / MOSB LN	25%	☐	1%
Mothercare PLC	MTC.L / MTC LN	25%	✓	0.7%
Motif Bio PLC	MTFB1.L / MTFB LN	25%	✓	0.3%
Motorpoint Group PLC	MOTR.L / MOTR LN	25%	✓	1%
Mountview Estates PLC	MTVW.L / MTVW LN	25%	✗	1%
Mpac Group PLC	MPAC.L / MPAC LN	25%	✗	1%
Mulberry Group PLC	MUL.L / MUL LN	25%	✗	0.7%
Murgitroyd Group PLC	MURG.L / MUR LN	25%	✗	1%
Murray Income Trust PLC	MUT.L / MUT LN	25%	✓	0.7%
Murray International Trust PLC	MYI.L / MYI LN	20%	✓	0.7%
MySale Group PLC	MYSL.L / MYSL LN	25%	✓	1%
Myanmar Investments International Limited	MILM.L / MIL LN	25%	✗	1%
Mytrah Energy Limited	MYT.L / MYT LN	25%	✓	0.7%
N Brown Group PLC	BWNG.L / BWNG LN	20%	✓	0.3%
N4 Pharma PLC	N4P.L / N4P LN	25%	✗	1%
NAHL Group PLC	NAH.L / NAH LN	25%	✓	1%
NB Distressed Debt Investment Fund Limited	NBDG.L / NBDG LN	25%	✗	0.3%
NB Global Floating Rate Income Fund Limited	NBLS.L / NBLS LN	25%	✓	0.3%
NB Global Floating Rate Income Fund Limited	NBLU.L / NBLU LN	25%	✓	0.3%
NB Private Equity Partners Limited	NBPE.L / NBPE LN	25%	✓	1%
NCC Group PLC	NCCG.L / NCC LN	20%	✓	0.7%
NEX Group PLC	NXGN.L / NXG LN	25%	✓	0.3%
NMC Health PLC	NMC.L / NMC LN	10%	✓	0.7%
NWF Group PLC	NWF.L / NWF LN	25%	✗	1%
Nanoco Group PLC	NANON.L / NANO LN	25%	✓	0.7%
Nasstar PLC	NASA.L / NASA LN	25%	✗	1%
National Express Group PLC	NEX.L / NEX LN	20%	✓	0.3%
National Grid PLC	NG.L / NG/ LN	5%	✓	0.3%
Ncondezi Energy Limited	NCCL.L / NCCL LN	25%	✗	1%

NetDimensions (Holdings) Limited	NETD.L / NETD LN	25%	✗	1%
NetPlay TV PLC	NPT.L / NPT LN	25%	✗	1%
NetScientific PLC	NSCI.L / NSCI LN	25%	✗	1%
Netcall PLC	NETC.L / NET LN	25%	✗	1%
New Star Investment Trust PLC	NESI.L / NSI LN	25%	✗	0.3%
New World Resources PLC	NWRR.L / NWR LN	25%	✗	0.7%
NewRiver REIT PLC	NRRT.L / NRR LN	25%	✗	1%
Next Fifteen Communications Group PLC	NFC.L / NFC LN	25%	✗	1%
Next PLC	NXT.L / NXT LN	5%	✓	0.3%
NextEnergy Solar Fund Limited	NESF.L / NESF LN	25%	✓	0.3%
Nexus Infrastructure PLC	NEXSN.L / NEXS LN	25%	✗	1%
Nichols PLC	NICL.L / NICL LN	25%	✗	0.7%
Nomura JPX-Nikkei 400 Net Total Return Daily EUR Hedged UCITS ETF	NJXE.L / NJXE LN	10%	✗	0.3%
Nomura Nikkei 225 USD-Hedged UCITS ETF	NXKU.L / NXKU LN	10%	✗	0.3%
Non-Standard Finance PLC	NSF.L / NSF LN	25%	✗	1%
Norcros PLC	NXR.L / NXR LN	25%	✓	0.7%
Nord Gold NV	NORDNq.L / NORD LI	25%	✗	1%
Norish PLC	NSH_u.L / NSH LN	25%	✗	1%
North Atlantic Smaller Companies Investment Trust PLC	NTAS.L / NAS LN	25%	✓	0.7%
Northbridge Industrial Services PLC	NBI.L / NBI LN	25%	✗	1%
Northern 2 VCT PLC	NTV.L / NTV LN	25%	✗	1%
Northern 3 VCT PLC	NTN.L / NTN LN	25%	✗	1%
Northern Venture Trust PLC	NTHV.L / NVT LN	25%	✗	1%
Northgate PLC	NTG.L / NTG LN	20%	✓	0.7%
Nostrum Oil and Gas PLC	NOGN.L / NOG LN	25%	✓	1%
Novacyt SA	NCYT.L / NCYT LN	25%	✗	1%
Novae Group PLC	NVA.L / NVA LN	25%	✓	0.7%
Novolipetsk Steel	NLMKq.L / NLMK LI	25%	✗	1%
Novorossiysk Commercial Sea Port PJSC	NCSPq.L / NCSP LI	50%	✗	1%
Nuformix PLC	NFXN.L / NFX LN	25%	✗	1%
Numis Corporation PLC	NUM.L / NUM LN	25%	✓	0.7%
OPG Power Ventures PLC	OPG.L / OPG LN	25%	✗	1%
Oakley Capital Investments Limited	OCIO.L / OCI LN	25%	✗	1%
Ocado Group PLC	OCDO.L / OCDO LN	10%	✓	0.7%
Ocean Wilsons Holdings Limited	OCN.L / OCN LN	25%	✗	1%
Ocelot Partners Limited	OLOT.L / OOUT LN	25%	✓	1%
Octopus AIM VCT 2 PLC	OSEC.L / OSEC LN	25%	✗	1%
Octopus AIM VCT PLC	OOA.L / OOA LN	25%	✗	1%
Octopus Apollo VCT PLC	OAP3.L / OAP3 LN	25%	✗	0.3%
Octopus Eclipse VCT PLC	OEC1.L / OEC1 LN	25%	✗	0.3%
Octopus Titan VCT PLC	OTV2.L / OTV2 LN	25%	✗	1%
Old Mutual Ltd	OMU.L / OMU LN	5%	✗	1%
Old Mutual PLC	OML.L / OML LN	5%	✓	0.7%
Omega Diagnostics Group PLC	ODX.L / ODX LN	25%	✗	1%
On the Beach Group PLC	OTB.L / OTB LN	20%	✓	1%
OnTheMarket PLC	OTMPO.L / OTMP LN	25%	✗	1%
OneSavings Bank PLC	OSBO.L / OSB LN	15%	✓	0.7%
OneView Group PLC	ONEV.L / ONEV LN	25%	✗	1%
Ophir Energy PLC	OPHR.L / OPHR LN	25%	✓	0.7%
OptiBiotix Health PLC	OPTIO.L / OPTI LN	25%	✗	1%
Origin Enterprises PLC	OGN.L / OGN LN	20%	✗	1%
Orosur Mining Inc	OMIN.L / OMI LN	25%	✗	1%
Oryx International Growth Fund Limited	OIG.L / OIG LN	25%	✗	1%

Oxford BioDynamics PLC	OBD.L / OBD LN	25%	✓	1%
Oxford BioMedica PLC	OXB.L / OXB LN	25%	✓	1%
Oxford Instruments PLC	OXIG.L / OXIG LN	25%	✓	0.7%
Oxford Metrics PLC	OMG1.L / OMG LN	25%	✗	1%
P2P Global Investments PLC	P2PG.L / P2P LN	25%	✓	0.7%
PAO NOV AE	NVTKq.L / NVTK LI	50%	✓	1%
PAO Sever SA	SVSTq.L / SVST LI	25%	✓	1%
PAO TMK	TRMKq.L / TMKS LI	50%	✗	1%
PCF Group PLC	PCF.L / PCF LN	25%	✗	1%
PIK Group Public	PKGPq.L / PIK LI	50%	✗	1%
PIMCO Emerging Markets Advantage Local Bond Index Source UCITS ETF Acc USD	EMLB.L / EMLB LN	10%	✓	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF GBP (Hedged) Inc	STHS.L / STHS LN	10%	✗	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF Inc	STHY.L / STHY LN	10%	✗	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF Inc	SSHY.L / SSHY LN	10%	✗	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF Inc EUR Hedged	STHE.L / STHE LN	10%	✓	0.3%
PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF USD Acc	STYC.L / STYC LN	10%	✓	0.3%
PJSC Gazprom Neft	SIBNq.L / GAZ LI	25%	✗	1%
PJSC LSR Group	LSRGq.L / LSRG LI	50%	✗	1%
PJSC LUKOIL	LKOHq.L / LKOD LI	25%	✓	1%
PJSC PhosAgro	PHORq.L / PHOR LI	50%	✗	1%
PJSC Tatneft	TATNq.L / ATAD LI	25%	✓	1%
PPHE Hotel Group Limited	PPH.L / PPH LN	25%	✗	1%
PROACTIS Holdings PLC	PHD.L / PHD LN	25%	✗	1%
PZ Cussons PLC	PZC.L / PZC LN	20%	✓	0.7%
Pacific Assets Trust PLC	PACA.L / PAC LN	25%	☐	0.7%
Pacific Horizon Investment Trust PLC	PHI.L / PHI LN	25%	✓	0.7%
Paddy Power Betfair PLC	PPB.L / PPB LN	10%	✓	0.3%
PageGroup PLC	MPI.L / PAGE LN	15%	✓	0.7%
Palace Capital PLC	PCA.L / PCA LN	25%	✗	1%
Pan African Resources PLC	PAFR.L / PAF LN	25%	✓	1%
Pantheon International PLC	PANI.L / PIN LN	25%	✓	0.7%
Pantheon International PLC	PINx.L / PINR LN	25%	✗	0.3%
Pantheon Resources PLC	PANR.L / PANR LN	25%	✓	0.3%
Panther Securities PLC	PNS.L / PNS LN	25%	✗	1%
Park Group PLC	PRKG.L / PKG LN	25%	✓	1%
Patisserie Holdings PLC	CAKEP.L / CAKE LN	25%	✓	0.7%
PayPoint PLC	PAYP.L / PAY LN	25%	✓	0.7%
Paysafe Group PLC	PAYS.L / PAYS LN	10%	✓	0.7%
Pearson PLC	PSON.L / PSON LN	5%	✓	0.3%
Pembroke VCT PLC	PEMB.L / PEMB LN	25%	✗	1%
Pembroke VCT PLC	PEMV.L / PEMV LN	25%	✗	1%
Pendragon PLC	PDG.L / PDG LN	25%	✓	0.7%
Penna Consulting PLC	PECO.L / PNA LN	25%	✗	1%
Pennant International Group PLC	PNIG.L / PEN LN	25%	✗	1%
Pennon Group PLC	PNN.L / PNN LN	10%	✓	0.3%
Pennpetro Energy PLC	PPPP.L / PPP LN	25%	✗	1%
Permanent TSB Group Holdings PLC	ILOA.L / ILOA LN	20%	✗	1%
Perpetual Income and Growth Investment Trust PLC	PLI.L / PLI LN	25%	✓	0.3%
Persimmon PLC	PSN.L / PSN LN	5%	✓	0.3%
Personal Assets Trust PLC	PNL.L / PNL LN	25%	☐	0.7%

Personal Group Holdings PLC	PGH.L / PGH LN	25%	✗	1%
Petra Diamonds Limited	PDL.L / PDL LN	20%	✓	0.7%
Petrofac Limited	PFC.L / PFC LN	10%	✓	0.7%
Petropavlovsk PLC	POG.L / POG LN	25%	✓	0.7%
Pets at Home Group PLC	PETSP.L / PETS LN	15%	✓	0.3%
Pharmstandard OJSC	PHSTq.L / PHST LI	25%	✗	1%
Phaunos Timber Fund Limited	PTOP.L / PTF LN	25%	✗	1%
Phoenix Global Resources PLC	PGRP.L / PGR LN	25%	✗	0.7%
Phoenix Group Holdings	PHNX.L / PHNX LN	10%	✓	0.7%
Phoenix Spree Deutschland Limited	PSDL.L / PSDL LN	25%	✗	1%
Photo-Me International PLC	PHTM.L / PHTM LN	25%	✓	1%
Picton Property Income Limited	PCTN.L / PCTN LN	25%	✓	0.7%
Picton ZDP Limited	PCTZ.L / PCTZ LN	25%	✗	1%
Plant Health Care PLC	PHC.L / PHC LN	25%	✓	1%
Plastics Capital PLC	PLA.L / PLA LN	25%	✗	1%
Playtech PLC	PTEC.L / PTEC LN	15%	✓	0.7%
Plexus Holdings PLC	PLEX.L / POS LN	25%	✓	0.7%
Plus500 Limited	PLUSP.L / PLUS LN	25%	✓	1%
Polar Capital Global Financials Trust PLC	PCFT.L / PCFT LN	25%	✓	0.3%
Polar Capital Global Healthcare Trust PLC	PCGH.L / PCGH LN	25%	✗	0.3%
Polar Capital Holdings PLC	POLR.L / POLR LN	25%	✓	0.7%
Polar Capital Technology Trust PLC	PCT.L / PCT LN	20%	✓	0.3%
Polarean Imaging PLC	POLX.L / POLX LN	25%	✗	1%
Polo Resources Limited	POLO.L / POL LN	25%	✗	0.7%
Polymetal International PLC	POLYP.L / POLY LN	25%	✓	0.7%
Polypipe Group PLC	PLP.L / PLP LN	20%	✓	1%
Polyus PJSC	PLZLq.L / PLZL LI	25%	✓	1%
Portmeirion Group PLC	PMGR.L / PMP LN	25%	✗	1%
Porvair PLC	PORV.L / PRV LN	25%	✓	1%
PowerShares Dynamic US Market UCITS ETF	PSWCG.L / PSWC LN	10%	✗	0.3%
PowerShares EQQQ Nasdaq-100 UCITS ETF	EQQQ.L / EQQQ LN	10%	✓	0.3%
PowerShares FTSE RAFI Emerging Markets UCITS ETF	PSRM.L / PSRM LN	25%	✗	1%
PowerShares FTSE RAFI Europe Mid-Small UCITS ETF	PSEG.L / PSES LN	10%	✗	0.3%
PowerShares FTSE RAFI Europe UCITS ETF	PSREG.L / PSRE LN	25%	✗	1%
PowerShares FTSE RAFI US 1000 UCITS ETF	PSRFG.L / PSRF LN	10%	✗	0.3%
PowerShares Global Agriculture UCITS ETF	PSGA.L / PSGA LN	25%	✗	1%
PowerShares Global Clean Energy UCITS ETF	PSBWG.L / PSBW LN	25%	✗	1%
PowerShares Global Listed Private Equity UCITS ETF	PSSPG.L / PSSP LN	25%	✗	1%
PowerShares Global Water UCITS ETF	PSHOG.L / PSHO LN	25%	✗	1%
PowerShares S&P 500 High Dividend Low Volatility UCITS ETF	HDLG.L / HDLG LN	10%	✗	0.7%
PowerShares S&P 500 High Dividend Low Volatility UCITS ETF	HDLV.L / HDLV LN	10%	✓	0.3%
PowerShares S&P 500 VEQTOR UCITS ETF	SPVG.L / SPVG LN	10%	✗	1%
Powerflute Oyj	POWR.L / POWR LN	25%	✗	1%
Prairie Mining Limited	PDZP.L / PDZ LN	25%	✗	1%
Premaitha Health PLC	NIPT.L / NIPT LN	25%	✗	1%
Premier African Minerals Limited	PREM.L / PREM LN	25%	✗	1%
Premier Asset Management Group PLC	PAM.L / PAM LN	25%	✗	1%
Premier Farnell PLC	PFL.L / PFL LN	15%	✗	0.7%
Premier Foods PLC	PF.D.L / PFD LN	25%	✓	1%
Premier Global Infrastructure Trust PLC	PGIT.L / PGIT LN	25%	✗	1%
Premier Oil PLC	PMO.L / PMO LN	15%	✓	0.7%
Premier Technical Services Group PLC	PTSG.L / PTSG LN	25%	✗	1%
President Energy PLC	PPTC.L / PPC LN	25%	✓	1%

Pressure Technologies PLC	PRES.L / PRES LN	25%	✗	1%
Primary Health Properties PLC	PHP.L / PHP LN	25%	✗	0.7%
Princess Private Equity Holding Limited	PEY.L / PEY LN	25%	✗	0.3%
Private Equity Investor PLC	PEQ.L / PEQ LN	25%	✗	1%
ProPhotonix Limited	PPIRx.L / PPIX LN	25%	✗	1%
ProVen Growth & Income VCT PLC	PGOO.L / PGOO LN	25%	✗	1%
ProVen VCT PLC	PVN.L / PVN LN	25%	✗	1%
Produce Investments PLC	PIL.L / PIL LN	25%	✗	1%
Progility PLC	PGY.L / PGY LN	25%	✗	1%
Provident Financial PLC	PFG.L / PFG LN	10%	✓	0.7%
Prudential PLC	PRU.L / PRU LN	5%	✓	0.7%
Public Gazprom	GAZPq.L / OGZD LI	25%	✓	1%
Public HALS-Development	HALSq.L / HALS LI	50%	✗	1%
Public Long-Distance and International Telecommunications Rostelecom	ROSyq.L / RKMD LI	50%	✗	1%
Public Magnit	MGNTq.L / MGNT LI	25%	✓	1%
Public Magnitogorsk Iron & Steel Works	MAGNq.L / MMK LI	25%	✗	1%
Public MegaFon	MFONq.L / MFON LI	50%	✗	1%
Public Mining and Metallurgical Company Norilsk Nickel	NKELyq.L / MNOD LI	25%	✓	1%
Public Rosneft Oil Company	ROSNq.L / ROSN LI	25%	✓	1%
Public Uralkali	URKAq.L / URKA LI	10%	✗	1%
Puma VCT 10 PLC	PUMX.L / PUMX LN	25%	✗	0.3%
Puma VCT 11 PLC	PU11.L / PU11 LN	25%	✗	0.3%
Puma VCT 9 PLC	PUM9.L / PUM9 LN	25%	✗	0.3%
Punch Taverns PLC	PUB.L / PUB LN	25%	✗	0.7%
Pure Wafer PLC	PUR.L / PUR LN	25%	✗	1%
PureCircle Limited	PURE.L / PURE LN	25%	☐	1%
PureTech Health PLC	PRTC.L / PRTC LN	25%	✓	1%
Purplebricks Group PLC	PURP.L / PURP LN	15%	✗	0.3%
Qannas Investments Limited	QIL.L / QIL LN	25%	✗	0.3%
QinetiQ Group PLC	QQ.L / QQ/ LN	15%	✓	0.7%
Quadrise Fuels International PLC	QFI.L / QFI LN	25%	✓	1%
Quantum Pharma PLC	QP.L / QP/ LN	25%	✓	0.3%
Quartix Holdings PLC	QTX.L / QTX LN	25%	✗	1%
Quilter PLC	QLT.L / QLT LN	5%	✗	1%
Quixant PLC	QXT.L / QXT LN	25%	✗	1%
R.E.A. Holdings PLC	REAH.L / RE/ LN	25%	☐	0.7%
RDI REIT PLC	RDI.L / RDI LN	25%	✓	0.7%
RELX PLC	REL.L / REL LN	5%	✓	0.3%
RHI Magnesita NV	RHIM.L / RHIM LN	15%	✓	0.7%
RIT Capital Partners PLC	RCP.L / RCP LN	20%	✓	0.7%
RM PLC	RM.L / RM/ LN	25%	✓	0.7%
RM Secured Direct Lending PLC	RMDL.L / RMDL LN	25%	✗	1%
ROBO Global(r) Robotics and Automation GO UCITS ETF	ROBG.L / ROBG LN	10%	✓	0.3%
ROBO Global(r) Robotics and Automation GO UCITS ETF	ROBO.L / ROBO LN	10%	✓	0.3%
ROSSETI PJSC	RSTIq.L / RSTI LI	50%	✗	1%
RPC Group PLC	RPC.L / RPC LN	10%	✓	0.7%
RPS Group PLC	RPS.L / RPS LN	25%	✓	0.7%
RSA Insurance Group PLC	RSA.L / RSA LN	10%	✓	0.3%
RWS Holdings PLC	RWS.L / RWS LN	25%	✓	0.7%
Rainbow Rare Earths Limited	RBWR.L / RBW LN	25%	✗	1%
Rambler Metals and Mining PLC	RMM.L / RMM LN	25%	✗	1%
Ramsdens Holdings PLC	RFXR.L / RFX LN	25%	✗	1%
Randall & Quilter Investment Holdings Limited	RQIH.L / RQIH LN	25%	✗	1%

Randgold Resources Limited	RRS.L / RRS LN	5%	✓	0.7%
Range Resources Limited	RRLR.L / RRL LN	25%	✓	1%
Ranger Direct Lending Fund PLC	RDL.L / RDL LN	25%	✓	1%
Ranger Direct Lending Fund PLC	RDLo.L / RDLZ LN	25%	✗	1%
Rangers International Football Club PLC	RFC.L / RFC LN	25%	✗	1%
Rasmala PLC	RMA.L / RMA LN	25%	✗	1%
Rathbone Brothers PLC	RAT.L / RAT LN	20%	✓	0.7%
Raven Property Group Ltd	RAV.L / RAV LN	25%	✓	0.7%
ReNeuron Group PLC	RQE.L / RENE LN	25%	✗	1%
Reabold Resources PLC	RBDR.L / RBD LN	25%	✗	1%
Reach PLC	RCH.L / RCH LN	25%	✓	1%
Real Estate Credit Investments Limited	RECIV.L / RECI LN	25%	⊠	1%
Real Estate Investors PLC	RLE.L / RLE LN	25%	✗	1%
Realm Therapeutics PLC	RLM.L / RLM LN	25%	✗	1%
Reckitt Benckiser Group PLC	RB.L / RB/ LN	5%	✓	0.3%
Record PLC	RECL.L / REC LN	25%	⊠	0.7%
RedT Energy PLC	RED.L / RED LN	25%	✗	1%
Redde PLC	REDD.L / REDD LN	25%	✓	1%
Redrow PLC	RDW.L / RDW LN	10%	✓	0.7%
RedstoneConnect PLC	AMAN.L / REDS LN	25%	✗	1%
Regional REIT Limited	RGLR.L / RGL LN	25%	✓	1%
Regional REIT ZDP PLC	CIC_p.L / RGLZ LN	25%	✗	1%
Regus PLC	RGU.L / RGU LN	15%	✗	0.7%
Reliance Industries Limited	RELIq.L / RIGD LI	15%	✓	1%
Renew Holdings PLC	RNWH.L / RNWH LN	25%	✓	1%
Renewi PLC	RWI.L / RWI LN	25%	✓	0.7%
Renishaw PLC	RSW.L / RSW LN	15%	✓	0.7%
Renold PLC	RNO.L / RNO LN	25%	✓	1%
Rentokil Initial PLC	RTO.L / RTO LN	10%	✓	0.3%
Restore PLC	RSTP.L / RST LN	25%	✗	1%
Revolution Bars Group PLC	RBG.L / RBG LN	25%	✓	1%
RhythmOne PLC	RTHM.L / RTHM LN	25%	✓	0.7%
Ricardo PLC	RCDO.L / RCDO LN	25%	✓	0.7%
Rightmove PLC	RMV.L / RMV LN	10%	✓	0.7%
Rights and Issues Investment Trust PLC	RIICi.L / RIIL LN	25%	✗	0.3%
Rio Tinto PLC	RIO.L / RIO LN	5%	✓	0.3%
River and Mercantile Group PLC	RIV.L / RIV LN	25%	⊠	1%
River and Mercantile UK Micro Cap Investment Company Limited	RMMC.L / RMMC LN	25%	✗	0.3%
Riverstone Energy Limited	RSER.L / RSE LN	25%	✓	0.3%
Robert Walters PLC	RWA.L / RWA LN	25%	✓	0.7%
RockRose Energy PLC	RRE.L / RRE LN	25%	✗	1%
Rockhopper Exploration PLC	RKH.L / RKH LN	25%	✓	0.7%
Rolls-Royce Holdings PLC	RR.L / RR/ LN	5%	✓	0.3%
Ros Agro PLC	AGRORq.L / AGRO LI	50%	✗	1%
Rose Petroleum PLC	ROSER.L / ROSE LN	25%	✗	1%
Rosenblatt Group PLC	RBGP.L / RBGP LN	25%	✗	1%
Rotala PLC	ROTL.L / ROL LN	25%	✗	1%
Rotork PLC	ROR.L / ROR LN	10%	✓	0.3%
Royal Dutch Shell PLC	RDSa.L / RDSA LN	5%	✓	0.3%
Royal Dutch Shell PLC	RDSb.L / RDSB LN	5%	✓	0.3%
Royal Mail PLC	RMG.L / RMG LN	10%	✓	0.3%
Ruffer Investment Company Limited	RICA_p.L / RICA LN	25%	✓	0.3%
RusPetro PLC	RPO.L / RPO LN	25%	✗	0.7%

Ryanair Holdings PLC	RYA.L / RYA LN	10%	✓	0.3%
S&U PLC	SUS.L / SUS LN	25%	✓	1%
SDL PLC	SDL.L / SDL LN	25%	✓	0.3%
SDX Energy Inc	SDX.L / SDX LN	25%	✗	1%
SEGRO PLC	SGRO.L / SGRO LN	10%	✓	0.3%
SIG PLC	SHI.L / SHI LN	15%	✓	0.7%
SIMEC Atlantis Energy Limited	SAE.L / SAE LN	25%	✗	1%
SL Private Equity	SLPE.L / SLPE LN	25%	✓	0.7%
SOCO International PLC	SIA.L / SIA LN	25%	✓	0.7%
SPDR MSCI World Financials UCITS ETF USD Acc	WFIN.L / WFIN LN	10%	✗	0.3%
SPDR MSCI World Technology UCITS ETF USD Acc	SPWTEC.L / WTEC LN	10%	✗	0.3%
SPDR(r) Barclays 0-3 Year Euro Corporate Bond UCITS ETF	SEUC.L / SEUC LN	10%	✗	0.3%
SPDR(r) Barclays 0-3 Year US Corporate Bond UCITS ETF	SUSD.L / SUSD LN	10%	✗	0.3%
SPDR(r) Barclays 0-5 Year Sterling Corporate Bond UCITS ETF	SUKC.L / SUKC LN	10%	✓	0.3%
SPDR(r) Barclays 0-5 Year US High Yield Bond UCITS ETF	SJNK.L / SJNK LN	10%	✓	0.3%
SPDR(r) Barclays 0-5 Year US High Yield Bond UCITS ETF	JNKS.L / JNKS LN	10%	✗	0.3%
SPDR(r) Barclays 1-3 Year Euro Government Bond UCITS ETF	EU13.L / EU13 LN	10%	✗	0.3%
SPDR(r) Barclays 1-3 Year US Treasury Bond UCITS ETF	TRS3.L / TRS3 LN	10%	✗	0.3%
SPDR(r) Barclays 1-5 Year Gilt UCITS ETF	GLTS.L / GLTS LN	10%	✓	0.3%
SPDR(r) Barclays 10+ Year U.S. Corporate Bond UCITS ETF	LCRP.L / LCRP LN	10%	✗	0.3%
SPDR(r) Barclays EM Inflation Linked Local Bond UCITS ETF	EMIN.L / EMIN LN	10%	✓	0.3%
SPDR(r) Barclays Emerging Markets Local Bond UCITS ETF	EMDL.L / EMDL LN	10%	✓	0.3%
SPDR(r) Barclays Emerging Markets Local Bond UCITS ETF	EMDD.L / EMDD LN	10%	✓	0.3%
SPDR(r) Barclays Euro Aggregate Bond UCITS ETF	EUAG.L / EUAG LN	10%	✗	0.3%
SPDR(r) Barclays Euro Corporate Bond UCITS ETF	EUCO.L / EUCO LN	10%	✓	0.3%
SPDR(r) Barclays Euro High Yield Bond UCITS ETF	JNKE.L / JNKE LN	10%	✗	0.3%
SPDR(r) Barclays Sterling Corporate Bond UCITS ETF	UKCO.L / UKCO LN	10%	✓	0.3%
SPDR(r) Barclays U.S. TIPS UCITS ETF	SPTIPS.L / TIPS LN	10%	✓	1%
SPDR(r) Barclays UK Gilt UCITS ETF	GLTY.L / GLTY LN	10%	✗	0.3%
SPDR(r) Barclays US Aggregate Bond UCITS ETF	USAG.L / USAG LN	10%	✗	0.3%
SPDR(r) Barclays US Treasury Bond UCITS ETF	TRSY.L / TRSY LN	10%	✗	0.7%
SPDR(r) Barclays US Treasury Bond UCITS ETF	USTY.L / USTY LN	10%	✗	0.3%
SPDR(r) Dow Jones Global Real Estate UCITS ETF	GLRE.L / GLRE LN	10%	✓	0.3%
SPDR(r) FTSE EPRA Europe ex UK Real Estate UCITS ETF	EURE.L / EURE LN	10%	✗	0.7%
SPDR(r) FTSE EPRA Europe ex UK Real Estate UCITS ETF	EURL.L / EURL LN	10%	✗	0.3%
SPDR(r) FTSE UK All Share UCITS ETF	FTAL.L / FTAL LN	10%	✓	0.3%
SPDR(r) MSCI ACWI UCITS ETF	ACWD.L / ACWD LN	10%	✓	0.3%
SPDR(r) MSCI ACWI UCITS ETF	ACWI.L / ACWI LN	10%	✗	0.3%
SPDR(r) MSCI EM Asia UCITS ETF	EASD.L / EMAD LN	10%	✗	0.3%
SPDR(r) MSCI EM Asia UCITS ETF	EASI.L / EMAS LN	10%	✗	0.3%
SPDR(r) MSCI EM Europe UCITS ETF	EMEOL.L / EMEO LN	25%	✗	1%
SPDR(r) MSCI EMU UCITS ETF	EURO.L / EURO LN	10%	✓	0.3%
SPDR(r) MSCI Emerging Markets UCITS ETF	EMRG.L / EMRG LN	10%	✓	0.3%
SPDR(r) MSCI Emerging Markets UCITS ETF	EMRD.L / EMRD LN	10%	✓	0.3%
SPDR(r) MSCI Europe Small Cap Value Weighted UCITS ETF EUR Acc	EUSV.L / EUSV LN	10%	✗	0.3%
SPDR(r) MSCI USA Small Cap Value Weighted UCITS ETF USD Acc	USSC.L / USSC LN	10%	✗	0.3%
SPDR(r) MSCI USA Value Weighted UCITS ETF USD Acc	UVAL.L / UVAL LN	10%	✗	0.3%
SPDR(r) MSCI World Small Cap UCITS ETF	WDSC.L / WDSC LN	10%	✓	0.3%

SPDR(r) MSCI World Small Cap UCITS ETF	WOSC.L / WOSC LN	10%	✓	0.3%
SPDR(r) MSCI World Telecommunications UCITS ETF USD Acc	WTEL.L / WTEL LN	25%	✗	1%
SPDR(r) Morningstar Multi-Asset Global Infrastructure UCITS ETF	GIN.L / GIN LN	10%	✓	0.3%
SPDR(r) Russell 2000 US Small Cap UCITS ETF	R2US.L / R2US LN	10%	✓	0.3%
SPDR(r) S&P 400 US Mid Cap UCITS ETF	SPY4.L / SPY4 LN	10%	✓	0.3%
SPDR(r) S&P 500 UCITS ETF	SPY5.L / SPY5 LN	10%	✓	0.3%
SPDR(r) S&P 500 UCITS ETF	SPX5.L / SPX5 LN	10%	✓	0.3%
SPDR(r) S&P Emerging Markets Dividend UCITS ETF	EDVD.L / EDVD LN	10%	✓	0.3%
SPDR(r) S&P Emerging Markets Dividend UCITS ETF	EMDV.L / EMDV LN	10%	✗	0.3%
SPDR(r) S&P Euro Dividend Aristocrats UCITS ETF	EUDV.L / EUDV LN	10%	✓	0.3%
SPDR(r) S&P Euro Dividend Aristocrats UCITS ETF	EUDI.L / EUDI LN	10%	✗	0.3%
SPDR(r) S&P Global Dividend Aristocrats UCITS ETF	GBDV.L / GBDV LN	10%	✗	0.3%
SPDR(r) S&P Global Dividend Aristocrats UCITS ETF	GLDV.L / GLDV LN	10%	✗	0.3%
SPDR(r) S&P Pan Asia Dividend Aristocrats UCITS ETF	PADV.L / PADV LN	10%	✗	0.3%
SPDR(r) S&P UK Dividend Aristocrats UCITS ETF	UKDV.L / UKDV LN	10%	✗	0.3%
SPDR(r) S&P US Dividend Aristocrats UCITS ETF	USDV.L / USDV LN	10%	✗	0.7%
SPDR(r) S&P US Dividend Aristocrats UCITS ETF	UDVD.L / UDVD LN	10%	✓	0.3%
SPDR(r) S&P(r) U.S. Energy Select Sector UCITS ETF	SXLE.L / SXLE LN	10%	✓	0.3%
SPDR(r) S&P(r) U.S. Industrials Select Sector UCITS ETF	SXLI.L / SXLI LN	25%	✓	1%
SPDR(r) S&P(r) U.S. Technology Select Sector UCITS ETF	SXLK.L / SXLK LN	10%	✗	0.3%
SPDR(r) Thomson Reuters Global Convertible Bond UCITS ETF	GCVB.L / GCVB LN	10%	✓	0.3%
SQN Asset Finance Income Fund Limited	SQNS.L / SQN LN	25%	✓	0.3%
SQN Asset Finance Income Fund Limited	SQNSc.L / SQNX LN	25%	✓	1%
SQN Secured Income Fund PLC	SSIF.L / SSIF LN	25%	✗	0.3%
SQS Software Quality System SA	SQS.L / SQS LN	25%	✗	1%
SRT Marine Systems PLC	SORA.L / SRT LN	25%	✗	1%
SSE PLC	SSE.L / SSE LN	5%	✓	0.3%
SSP Group PLC	SSPG.L / SSPG LN	10%	✓	0.7%
STM Group PLC	STMG.L / STM LN	25%	✗	1%
STV Group PLC	STVG.L / STVG LN	25%	✓	1%
SThree PLC	STHR.L / STHR LN	25%	✓	0.7%
SVG Capital PLC	SVI.L / SVI LN	20%	✓	0.7%
SacOil Holdings Limited	SACS.L / SAC LN	25%	✗	1%
Sacoven PLC	SCNS.L / SCN LN	25%	✗	1%
SafeCharge International Group Limited	SCHS.L / SCH LN	25%	✗	1%
Safestay PLC	SSTY.L / SSTY LN	25%	✗	1%
Safestore Holdings PLC	SAFE.L / SAFE LN	20%	✓	0.7%
Safestyle Uk PLC	SFES.L / SFE LN	25%	✗	0.7%
Saga PLC	SAGAG.L / SAGA LN	15%	✓	0.3%
Sagicor Financial Corporation	SAFI.L / SFI LN	25%	✗	1%
Salt Lake Potash Limited	SO4.L / SO4 LN	25%	✗	1%
Samsung Electronics Company Limited	0593xq.L / SMSN LI	25%	✓	1%
Sanderson Group PLC	SND.L / SND LN	25%	✗	1%
Sanditon Investment Trust PLC	SIT.L / SIT LN	25%	✗	0.3%
Sandstorm Gold Limited	OVGM.L / OVGM LN	20%	✗	0.7%
Sanne Group PLC	SNNS.L / SNN LN	20%	✓	0.7%
Sareum Holdings PLC	SAR.L / SAR LN	25%	✗	1%
Savannah Petroleum PLC	SAVP.L / SAVP LN	25%	✗	1%
Savannah Resources PLC	SAVS.L / SAV LN	25%	✗	1%
Savills PLC	SVS.L / SVS LN	20%	✓	0.7%
Sberbank of Russia	SBNCyq.L / SBER LI	25%	✓	1%
ScS Group PLC	SCSS.L / SCS LN	25%	✓	1%

Scancell Holdings PLC	SCLP.L / SCLP LN	25%	✗	1%
Scapa Group PLC	SCPA.L / SCPA LN	20%	✓	1%
Schroder European Real Estate Investment Trust PLC	SERE.L / SERE LN	25%	✗	1%
Schroder Income Growth Fund PLC	SCF.L / SCF LN	25%	✓	0.7%
Schroder Investment Trust - Schroder AsiaPacific Fund PLC	SDP.L / SDP LN	25%	✓	0.3%
Schroder Japan Growth Fund PLC	SJG.L / SJG LN	25%	✓	0.7%
Schroder Oriental Income Fund Limited	SOI.L / SOI LN	25%	✓	0.3%
Schroder Real Estate Investment Trust Limited	SREI.L / SREI LN	25%	☒	0.7%
Schroder UK Growth Fund PLC	SDU.L / SDU LN	25%	✓	0.3%
Schroder UK Mid Cap Fund PLC	SCP.L / SCP LN	25%	✓	0.7%
Schroders Investment Trusts - Schroder Asian Total Return Investment Company PLC	ATRAS.L / ATR LN	25%	✓	0.3%
Schroders PLC	SDR.L / SDR LN	10%	✓	0.7%
Schroders PLC	SDRt.L / SDRc LN	20%	✓	0.7%
SciSys PLC	SSY.L / SSY LN	25%	✗	1%
Science Group PLC	SAGS.L / SAG LN	25%	✗	1%
Science in Sport PLC	SISS.L / SIS LN	25%	✗	1%
Scientific Digital Imaging PLC	SDIS.L / SDI LN	25%	✗	1%
Scottish Mortgage Investment Trust PLC	SMT.L / SMT LN	10%	✓	0.7%
Sealand Capital Galaxy Limited	SCGL.L / SCGL LN	25%	✗	1%
Secure Income REIT PLC	SIRE.L / SIR LN	25%	✗	1%
Secure Property Development & Investment PLC	SPDI.L / SPDI LN	25%	✗	1%
Secure Trust Bank PLC	STBS.L / STB LN	25%	✓	1%
Securities Trust of Scotland PLC	SESTS.L / STS LN	25%	☒	0.3%
Seeing Machines Limited	MZZ.L / SEE LN	25%	✗	1%
Seneca Global Income & Growth Trust PLC	SIGT.L / SIGT LN	25%	✗	1%
Senior PLC	SNR.L / SNR LN	15%	✓	0.3%
Seplat Petroleum Development Company PLC	SEPL.L / SEPL LN	25%	✗	1%
Sepura PLC	SEPU.L / SEPU LN	25%	☒	0.7%
Sequoia Economic Infrastructure Income Fund Limited	SEQI.L / SEQI LN	25%	☒	0.3%
Serabi Gold PLC	SRB.L / SRB LN	25%	✗	1%
Serco Group PLC	SRP.L / SRP LN	15%	✓	0.3%
Serica Energy PLC	SQZ.L / SQZ LN	25%	✓	1%
Servelec Group PLC	SERV.L / SERV LN	25%	✓	1%
ServicePower Technologies PLC	SVRP.L / SVR LN	25%	✗	1%
Severfield PLC	SFR.L / SFR LN	25%	✓	0.7%
Severn Trent PLC	SVT.L / SVT LN	5%	✓	0.3%
Shaftesbury PLC	SHB.L / SHB LN	15%	✓	0.3%
Shanta Gold Limited	SHAN.L / SHG LN	25%	✗	1%
Share PLC	SHRE.L / SHRE LN	25%	✗	1%
Shawbrook Group PLC	SHAW.L / SHAW LN	20%	✓	1%
Sherborne Investors (Guernsey) B Limited	SIGB.L / SIGB LN	25%	✗	1%
Shire PLC	SHP.L / SHP LN	20%	✓	0.3%
Shires Income PLC	SHRS.L / SHRS LN	25%	✗	0.7%
Shoe Zone PLC	SHOE.L / SHOE LN	25%	✗	1%
Shore Capital Group Limited	SGRS.L / SGR LN	25%	✗	1%
Sierra Rutile Limited	SRXR.L / SRX LN	25%	✗	1%
Sigma Capital Group PLC	SISGM.L / SGM LN	25%	✗	1%
SigmaRoc PLC	SRC.L / SRC LN	25%	✗	1%
Sinclair Pharma PLC	SPH.L / SPH LN	25%	✓	0.7%
Sirius Minerals PLC	SXX.L / SXX LN	25%	✓	0.7%
Sirius Petroleum PLC	SRSP.L / SRSP LN	25%	✗	1%
Sirius Real Estate Limited	SRET.L / SRE LN	25%	✗	1%
Sistema Public Joint Stock Financial Corporation	SSAq.L / SSA LI	25%	✓	1%

SkinBioTherapeutics PLC	SBTX.L / SBTX LN	25%	✗	1%
Sky PLC	SKYB.L / SKY LN	10%	✓	1%
Skyepharma PLC	SKP.L / SKP LN	25%	✗	1%
Smart Metering Systems PLC	SMSS.L / SMS LN	20%	✓	0.7%
Smith & Nephew PLC	SN.L / SN/ LN	5%	✓	0.3%
Smiths Group PLC	SMIN.L / SMIN LN	5%	✓	0.3%
Smurfit Kappa Group PLC	SKG.L / SKG LN	20%	✓	0.3%
Softcat PLC	SCTS.L / SCT LN	20%	✓	1%
SolGold PLC	SOLG.L / SOLG LN	25%	✗	1%
Solid State PLC	SOLI.L / SOLI LN	25%	✗	1%
Solo Oil PLC	SOLO.L / SOLO LN	25%	✗	1%
Somero Enterprises Inc	SOM.L / SOM LN	25%	✗	1%
Sopheon PLC	SPHN.L / SPE LN	25%	✗	1%
Sophos Group PLC	SOPH.L / SOPH LN	10%	✓	1%
Sosandar PLC	SOSS.L / SOS LN	25%	✗	1%
Sound Energy PLC	SOU.L / SOU LN	25%	✗	1%
Source Bloomberg Commodity UCITS ETF	CMOD.L / CMOD LN	10%	✓	0.3%
Source Consumer Staples S&P US Select Sector UCITS ETF	XLPP.L / XLPP LN	10%	✗	0.3%
Source Consumer Staples S&P US Select Sector UCITS ETF	XLPS.L / XLPS LN	10%	✗	0.3%
Source EURO STOXX 50 UCITS ETF	SX5S.L / SX5S LN	10%	✗	0.3%
Source EURO STOXX Optimised Banks UCITS ETF	S7XP.L / S7XP LN	10%	✗	0.3%
Source Energy S&P US Select Sector UCITS ETF	XLEP.L / XLEP LN	10%	✗	0.3%
Source FTSE 100 UCITS ETF	S100.L / S100 LN	10%	✗	0.3%
Source Financials S&P US Select Sector UCITS ETF	XLFS.L / XLFS LN	10%	✓	0.3%
Source Goldman Sachs Equity Factor Index Europe UCITS ETF	EFIE.L / EFIE LN	10%	✗	0.3%
Source Goldman Sachs Equity Factor Index World UCITS ETF	EFIS.L / EFIS LN	10%	✗	0.3%
Source Goldman Sachs Equity Factor Index World UCITS ETF	EFIW.L / EFIW LN	10%	✗	0.3%
Source Health Care S&P US Select Sector UCITS ETF	XLVS.L / XLVS LN	10%	✗	0.3%
Source Industrials S&P US Select Sector UCITS ETF	XLIP.L / XLIP LN	10%	✗	0.3%
Source JPX-Nikkei 400 UCITS ETF	S400.L / S400 LN	10%	✗	0.7%
Source JPX-Nikkei 400 UCITS ETF	N400.L / N400 LN	10%	✗	0.3%
Source JPX-Nikkei 400 UCITS ETF USD Hedged	N4US.L / N4US LN	10%	✗	0.3%
Source KBW NASDAQ Fintech UCITS ETF	SOFTEK.L / FTEK LN	25%	✗	1%
Source LGIM Commodity Composite UCITS ETF	LGCU.L / LGCU LN	10%	✗	0.3%
Source LGIM Commodity Composite UCITS ETF	LGCF.L / LGCF LN	10%	✗	0.3%
Source MSCI Europe UCITS ETF	MXEU.L / MXEU LN	10%	✓	0.3%
Source MSCI USA UCITS ETF	MXUS.L / MXUS LN	10%	✗	0.3%
Source MSCI World UCITS ETF	MXWS.L / MXWS LN	10%	✗	0.3%
Source MSCI World UCITS ETF	MXWO.L / MXWO LN	10%	✗	0.3%
Source Materials S&P US Select Sector UCITS ETF	XLBP.L / XLBP LN	10%	✗	1%
Source Morningstar US Energy Infrastructure MLP UCITS ETF	MLPQ.L / MLPQ LN	10%	✗	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF	MLPS.L / MLPS LN	10%	✗	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF B	MLPP.L / MLPP LN	10%	✓	0.3%
Source Morningstar US Energy Infrastructure MLP UCITS ETF B	MLPD.L / MLPD LN	10%	✓	0.3%
Source NASDAQ Biotech UCITS ETF	SBIO.L / SBIO LN	10%	✓	0.3%
Source Physical Gold P-ETC	SGLP.L / SGLP LN	10%	✓	0.3%
Source Physical Gold P-ETC	SGLD.L / SGLD LN	10%	✓	0.3%
Source Physical Palladium ETC (P-ETC)	SPAP.L / SPAP LN	10%	✗	0.3%

Source Physical Platinum ETC (P-ETC)	SPPP.L / SPPP LN	10%	✗	0.3%
Source RBIS Equal Risk Equity Europe UCITS ETF	REQR.L / REQR LN	10%	✗	0.3%
Source RDX UCITS ETF	RDXS.L / RDXS LN	25%	✗	1%
Source Real Estate S&P US Select Sector UCITS ETF	XRES.L / XRES LN	10%	✗	0.3%
Source Russell 2000 UCITS ETF	RTYS.L / RTYS LN	10%	✗	0.3%
Source S&P 500 UCITS ETF	SPXP.L / SPXP LN	10%	✓	0.3%
Source S&P 500 UCITS ETF	SPXS.L / SPXS LN	10%	✓	0.3%
Source STOXX Europe 600 Optimised Banks UCITS ETF	X7PS.L / X7PS LN	10%	✗	0.3%
Source STOXX Europe 600 Optimised Banks UCITS ETF	X7PP.L / X7PP LN	10%	✗	0.3%
Source STOXX Europe 600 UCITS ETF	S600.L / S600 LN	10%	✗	0.3%
Source STOXX Eurozone Exporters UCITS ETF USD Hedged	EZXU.L / EZXU LN	10%	✗	1%
Source STOXX Japan Exporters UCITS ETF A USD	JPEX.L / JPEX LN	10%	✗	1%
Source Technology S&P US Select Sector UCITS ETF	XLKQ.L / XLKQ LN	10%	✗	0.3%
Source Utilities S&P US Select Sector UCITS ETF	XLUP.L / XLUP LN	10%	✗	1%
South32 Limited	S32.L / S32 LN	5%	✓	1%
Spectris PLC	SXS.L / SXS LN	10%	✓	0.7%
Speedy Hire PLC	SDY.L / SDY LN	25%	✓	0.7%
Spirax-Sarco Engineering PLC	SPX.L / SPX LN	10%	✓	0.3%
Spire Healthcare Group PLC	SPI.L / SPI LN	15%	✓	0.7%
Spirent Communications PLC	SPT.L / SPT LN	25%	✓	0.7%
Sportech PLC	ROD.L / SPO LN	25%	⊖	0.7%
Sports Direct International PLC	SPD.L / SPD LN	10%	✓	0.3%
Springfield Properties PLC	SPRSP.L / SPR LN	25%	✗	1%
Sprue Aegis PLC	SPRP.L / SPRP LN	25%	✗	1%
St Ives PLC	SIV.L / SIV LN	25%	✓	0.7%
St. James's Place PLC	SJP.L / STJ LN	10%	✓	0.7%
St. Modwen Properties PLC	SMP.L / SMP LN	20%	✓	0.7%
Stadium Group PLC	SDMS.L / SDM LN	25%	✗	1%
Staffline Group PLC	STAF.L / STAF LN	25%	✓	1%
Stagecoach Group PLC	SGC.L / SGC LN	20%	✓	0.3%
Standard Chartered PLC	STAN.L / STAN LN	5%	✓	0.3%
Standard Life Aberdeen PLC	SLA.L / SLA LN	5%	✓	0.3%
Standard Life Equity Income Trust PLC	SLET.L / SLET LN	25%	⊖	0.7%
Standard Life Equity Income Trust PLC	SLETx.L / SLES LN	25%	✗	0.3%
Standard Life Investments Property Income Trust Limited	SLI.L / SLI LN	25%	✓	0.7%
Standard Life UK Smaller Companies Trust PLC	SLS.L / SLS LN	25%	✓	0.7%
Starwood European Real Estate Finance Limited	SWEF.L / SWEF LN	25%	✓	0.3%
StatPro Group PLC	SOG.L / SOG LN	25%	✗	1%
State Bank of India	SBIq.L / SBID LI	20%	✓	1%
Steppe Cement Limited	STCM.L / STCM LN	25%	✗	1%
Sterling Energy PLC	SEY.L / SEY LN	25%	✗	1%
Stobart Group Limited	STOB.L / STOB LN	25%	✓	0.7%
Stock Spirits Group PLC	STCK.L / STCK LN	25%	✓	0.7%
Strategic Equity Capital PLC	SEC.L / SEC LN	25%	✓	0.3%
Strategic Minerals PLC	SMLP.L / SML LN	25%	✗	1%
Strix Group PLC	KETL.L / KETL LN	25%	✓	1%
Styles&Wood Group PLC	STY.L / STY LN	25%	✗	1%
Summit Germany Limited	SMTG.L / SMTG LN	25%	✗	1%
Summit Therapeutics PLC	SUMM.L / SUMM LN	25%	✗	1%
Sumo Group PLC	SUMO.L / SUMO LN	25%	✓	1%
Superdry PLC	SDRY.L / SDRY LN	15%	✓	0.7%
Supermarket Income Reit PLC	SUPR.L / SUPR LN	25%	✗	1%
Surface Transforms PLC	SCEU.L / SCE LN	25%	✗	1%

Surgical Innovations Group PLC	SUNU.L / SUN LN	25%	✗	1%
Surgutneftegas	SNGSyq.L / SGGD LI	25%	✓	1%
Swallowfield PLC	SWL.L / SWL LN	25%	✗	1%
Sylvania Platinum Limited	SLPL.L / SLP LN	25%	✗	1%
Symphony Environmental Technologies PLC	SYMU.L / SYM LN	25%	✗	1%
Symphony International Holdings Limited	SIHL.L / SIHL LN	25%	✗	0.7%
Syncona Limited	SYNCS.L / SYNC LN	25%	✗	0.7%
Synectics PLC	SNXS.L / SNX LN	25%	✗	1%
Synthomer PLC	SYNTS.L / SYNT LN	20%	✓	0.7%
System1 Group PLC	SYS1.L / SYS1 LN	25%	✗	1%
TClarke PLC	CTO.L / CTO LN	25%	✓	1%
TERN PLC	TERNT.L / TERN LN	25%	✗	1%
TI Fluid Systems Ltd	TIFS.L / TIFS LN	10%	✓	1%
TLA Worldwide PLC	TLAT.L / TLA LN	25%	✗	1%
TP Group PLC	tpg.l / TPG LN	25%	✗	1%
TR European Growth Trust PLC	TRG.L / TRG LN	25%	✓	0.3%
TR Property Investment Trust PLC	TRY.L / TRY LN	20%	✓	0.3%
TT Electronics PLC	TTG.L / TTG LN	25%	✓	0.7%
TUI AG	TUIT.L / TUI LN	10%	✓	0.7%
Taliesin Property Fund Limited	TPF.L / TPF LN	25%	✗	1%
TalkTalk Telecom Group PLC	TALK.L / TALK LN	15%	✓	0.7%
Tanfield Group PLC	TAN.L / TAN LN	25%	✗	1%
Taptica International Limited	TAP.L / TAP LN	25%	✗	1%
Tarak Clothing Company Limited	QUIZ.L / QUIZ LN	25%	✓	1%
Target Healthcare REIT Limited	THRLT.L / THRL LN	25%	✗	1%
Tarsus Group PLC	TRS.L / TRS LN	25%	✓	0.7%
Tata Steel Limited	TISCq.L / TTST LI	25%	✓	1%
Tate & Lyle PLC	TATE.L / TATE LN	10%	✓	0.3%
Tatton Asset Management PLC	TAMT.L / TAM LN	25%	✓	1%
Tax Systems PLC	TAX.L / TAX LN	25%	✗	1%
Taylor Wimpey PLC	TW.L / TW/ LN	5%	✓	0.7%
Team17 Group PLC	TM17.L / TM17 LN	25%	✗	1%
Ted Baker PLC	TED.L / TED LN	20%	✓	0.3%
Tekmar Group PLC	TGPT.L / TGP LN	25%	✗	1%
Telecom Plus PLC	TEP.L / TEP LN	25%	✓	0.7%
Telefonica SA	TDET.L / TDE LN	5%	✗	1%
Telford Homes PLC	TELF.L / TEF LN	25%	✓	1%
Telit Communications PLC	TELT.L / TCM LN	20%	✗	1%
Temple Bar Investment Trust PLC	TMPL.L / TMPL LN	25%	✓	0.7%
Templeton Emerging Markets Investment Trust PLC	TEM.L / TEM LN	20%	✓	0.7%
Ten Entertainment Group PLC	TEG.L / TEG LN	25%	✓	1%
Ten Lifestyle Group PLC	TENG.L / TENG LN	25%	✗	1%
Terra Capital PLC	TCA.L / TCA LN	25%	✗	1%
Tesco PLC	TSCO.L / TSCO LN	5%	✓	0.3%
Tetragon Financial Group Limited	TFG.L / TFG LN	25%	✗	1%
Tetragon Financial Group Limited	TFGS.L / TFGS LN	25%	✗	1%
Thalassa Holdings Limited	THAL.L / THAL LN	25%	✗	1%
Tharisa PLC	THST.L / THS LN	25%	✗	1%
The Alumasc Group PLC	ALUG.L / ALU LN	25%	✗	1%
The Baillie Gifford Japan Trust PLC	BGFD.L / BGFD LN	25%	✓	0.7%
The Bankers Investment Trust PLC	BNKR.L / BNKR LN	25%	✓	0.7%
The Berkeley Group Holdings PLC	BKGH.L / BKG LN	10%	✓	0.7%
The Biotech Growth Trust PLC	BIOGW.L / BIOG LN	25%	✓	0.7%
The Brighton Pier Group PLC	BARE.L / PIER LN	25%	✗	1%

The British Land Company PLC	BLND.L / BLND LN	5%	✓	0.3%
The Brunner Investment Trust PLC	BUT.L / BUT LN	25%	✓	0.7%
The Character Group PLC	CCT.L / CCT LN	25%	✗	1%
The City Pub Group PLC	CPC.L / CPC LN	25%	✗	1%
The City of London Investment Trust PLC	CTY.L / CTY LN	20%	✓	0.3%
The Conygar Investment Company PLC	CIC.L / CIC LN	25%	✗	1%
The Diverse Income Trust PLC	DIVI.L / DIVI LN	25%	✓	1%
The Edinburgh Investment Trust PLC	EDIN.L / EDIN LN	20%	✓	0.3%
The Establishment Investment Trust PLC	ET.L / ET/ LN	25%	✗	0.3%
The European Investment Trust PLC	EUTP.L / EUT LN	25%	✓	0.7%
The Fulham Shore PLC	FULH.L / FUL LN	25%	✗	1%
The Go-Ahead Group PLC	GOG.L / GOG LN	20%	✓	0.7%
The Gym Group PLC	GYM.L / GYM LN	25%	✓	1%
The Henderson Smaller Companies Investment Trust PLC	HSL.L / HSL LN	25%	✓	0.3%
The Income & Growth VCT PLC	IGVI.L / IGV LN	25%	✗	0.3%
The Independent Investment Trust PLC	IIT.L / IIT LN	25%	✗	1%
The Investment Company PLC	INVM.L / INV LN	25%	✗	0.3%
The Law Debenture Corporation PLC	LWDB.L / LWDB LN	25%	✓	0.7%
The Local Shopping REIT PLC	LSR.L / LSR LN	25%	✗	1%
The Mercantile Investment Trust PLC	MRCM.L / MRC LN	20%	✓	0.3%
The Merchants Trust PLC	MRCH.L / MRCH LN	25%	✓	0.7%
The Mission Marketing Group PLC	TMMG.L / TMMG LN	25%	✗	1%
The Monks Investment Trust PLC	MNKS.L / MNKS LN	20%	✓	0.7%
The North American Income Trust PLC	NAIT.L / NAIT LN	25%	⚠	0.3%
The PRS REIT PLC	PRSR.L / PRSR LN	25%	✗	1%
The Paragon Group of Companies PLC	PARA.L / PAG LN	15%	✓	0.7%
The Parkmead Group PLC	PMG.L / PMG LN	25%	✓	1%
The Property Franchise Group PLC	TPFG.L / TPG LN	25%	✗	1%
The Prospect Japan Fund Limited	PJF.L / PJF LN	25%	✗	0.3%
The Quarto Group Inc	QRT.L / QRT LN	25%	✗	1%
The Rank Group PLC	RNK.L / RNK LN	25%	✓	0.3%
The Renewables Infrastructure Group Limited	TRIG.L / TRIG LN	25%	✓	1%
The Restaurant Group PLC	RTN.L / RTN LN	20%	✓	0.3%
The Royal Bank of Scotland Group PLC	RBS.L / RBS LN	5%	✓	0.3%
The Sage Group PLC	SGE.L / SGE LN	5%	✓	0.3%
The Scottish American Investment Company PLC	SCAM.L / SCAM LN	25%	✓	0.3%
The Scottish Investment Trust PLC	SCIN.L / SCIN LN	25%	✓	0.3%
The Scottish Oriental Smaller Companies Trust PLC	SOST.L / SST LN	25%	✓	0.7%
The SimplyBiz Group PLC	SBIZ.L / SBIZ LN	25%	✓	1%
The Unite Group PLC	UTG.L / UTG LN	15%	✓	0.7%
The Vitec Group PLC	VTC.L / VTC LN	25%	✓	0.7%
The Weir Group PLC	WEIR.L / WEIR LN	10%	✓	0.3%
ThinkSmart Limited	TSLT.L / TSL LN	25%	✗	1%
Third Point Offshore Investors Limited	TPOGu.L / TPOU LN	25%	✗	1%
Third Point Offshore Investors Limited	TPOG.L / TPOG LN	25%	✗	1%
Thomas Cook Group PLC	TCG.L / TCG LN	15%	✓	1%
Threadneedle UK Select Trust Limited	UKT.L / UKT LN	25%	✗	0.3%
Thruvision Group PLC	THRU.L / THRU LN	25%	✗	1%
Time Out Group PLC	TMOT.L / TMO LN	25%	✗	1%
Tiso Blackstar Group SE	TBGR.L / TBGR LN	25%	✗	1%
Tissue Regenix Group PLC	TRX.L / TRX LN	25%	✗	1%
Titon Holdings PLC	TITN.L / TON LN	25%	✗	1%
Tiziana Life Sciences PLC	TILST.L / TILS LN	25%	✗	1%

Thou Energy Limited	TOU.AX / TLOU LN	25%	✗	1%
Topps Tiles PLC	TPT.L / TPT LN	25%	✓	0.7%
Toro Limited	TORO.L / TORO LN	25%	✗	0.3%
Totally PLC	TLY.L / TLY LN	25%	✗	1%
Touchstone Exploration Inc	TXP.L / TXP LN	25%	✗	1%
Touchstone Innovations PLC	IVO.L / IVO LN	25%	✗	0.7%
Town Centre Securities PLC	TOWNT.L / TOWN LN	25%	☐	0.7%
Tracsis PLC	TRCS.L / TRCS LN	25%	✗	1%
Trakm8 Holdings PLC	TKM8.L / TRAK LN	25%	✗	1%
Travis Perkins PLC	TPK.L / TPK LN	10%	✓	0.3%
Treatt PLC	TET.L / TET LN	25%	✗	1%
Tribal Group PLC	TRBG.L / TRB LN	25%	✓	0.7%
Trifast PLC	TRFT.L / TRI LN	25%	✓	0.7%
Trinity Exploration & Production PLC	TRIN.L / TRIN LN	25%	✓	0.7%
Triple Point Income VCT PLC	TPV1.L / TPV1 LN	25%	✗	1%
Triple Point Social Housing Reit PLC	SOHO.L / SOHO LN	25%	✓	0.7%
Tristel PLC	TSTL.L / TSTL LN	25%	✗	1%
Tritax Big Box REIT PLC	BBOX.L / BBOX LN	15%	✓	1%
Troy Income & Growth Trust PLC	TIGT.L / TIGT LN	25%	☐	0.7%
TruFin PLC	TRU.L / TRU LN	25%	✗	1%
Tullett Prebon PLC	TCAP.L / TCAP LN	20%	✓	0.7%
Tullow Oil PLC	TLW.L / TLW LN	10%	✓	0.3%
Tungsten Corporation PLC	TUNG.L / TUNG LN	25%	✓	1%
TwentyFour Income Fund Limited	TFIF.L / TFIF LN	25%	✓	0.7%
TwentyFour Select Monthly Income Fund Limited	SMIF.L / SMIF LN	25%	✗	0.3%
Tyman PLC	TYMN.L / TYMN LN	25%	✓	0.7%
U and I Group PLC	UAI.L / UAI LN	25%	✓	0.7%
UBM PLC	UBM.L / UBM LN	10%	✓	0.3%
UBS (Irl) ETF plc - DJ Global Select Dividend UCITS ETF (USD) A-dis	UC75.L / UC75 LN	10%	✗	0.3%
UBS (Irl) ETF plc - Factor MSCI USA Quality UCITS ETF (USD) A-dis	UC99.L / UC99 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI USA Value UCITS ETF (USD) A-dis	UC07.L / UC07 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI USA hedged to GBP UCITS ETF (GBP) A-acc	UC74.L / UC74 LN	10%	✗	0.3%
UBS (Irl) ETF plc - MSCI United Kingdom IMI Socially Responsible UCITS ETF (GBP) A-dis	UKSR.L / UKSR LN	10%	✗	0.3%
UBS (Irl) ETF plc - Solactive Global Oil Equities UCITS ETF (USD) A-dis	UC51.L / UC51 LN	10%	✗	1%
UBS (Irl) ETF plc - Solactive Global Pure Gold Miners UCITS ETF (USD) A-dis	UC53.L / UC53 LN	10%	✗	0.3%
UBS ETF - Bloomberg Barclays TIPS 1-10 UCITS ETF (hedged to GBP) A-acc	UBTP.L / UBTP LN	10%	✗	0.3%
UBS ETF - Bloomberg Barclays USD Emerging Markets Sovereign UCITS ETF (hedged to GBP) A-dis	SBEG.L / SBEG LN	10%	✗	0.3%
UBS ETF - EURO STOXX 50 UCITS ETF (EUR) A-dis	UB01.L / UB01 LN	10%	✗	0.3%
UBS ETF - FTSE 100 UCITS ETF (GBP) A-dis	UB03.L / UB03 LN	10%	✗	0.3%
UBS ETF - MSCI Europe UCITS ETF (EUR) A-dis	UB12.L / UB12 LN	10%	✗	0.3%
UBS ETF - MSCI Japan UCITS ETF (JPY) A-dis	UB02.L / UB02 LN	10%	✗	0.3%
UBS ETF - MSCI Japan UCITS ETF (hedged to GBP) A-acc	UB0C.L / UB0C LN	10%	✗	0.3%
UBS ETF - MSCI Japan hedged to GBP UCITS ETF (GBP) A-acc	UC62.L / UC62 LN	10%	✗	0.3%
UBS ETF - MSCI World Socially Responsible UCITS ETF (USD) A-dis	UC44.L / UC44 LN	10%	✗	0.3%
UBS ETFs plc - CMCI Composite SF UCITS ETF (USD) A-acc	UC15.L / UC15 LN	10%	✗	0.3%
UBS ETFs plc - CMCI Composite SF UCITS ETF (USD) A-acc	UC14.L / UC14 LN	10%	✗	0.3%

UBS ETFs plc - MSCI Canada SF UCITS ETF (CAD) A-acc	UC24.L / UC24 LN	10%	✗	0.3%
UBS ETFs plc - MSCI EMU SF UCITS ETF (EUR) A-acc	UC30.L / UC30 LN	10%	✗	0.3%
UBS ETFs plc - MSCI Japan SF UCITS ETF (JPY) A-acc	UC32.L / UC32 LN	10%	✗	0.3%
UBS ETFs plc - MSCI USA SF UCITS ETF (USD) A-acc	UC38.L / UC38 LN	10%	✗	0.3%
UDG Healthcare PLC	UDG.L / UDG LN	10%	✓	0.7%
UIL Limited	UTL.L / UTL LN	25%	✗	1%
UK Commercial Property Trust Limited	UKCM.L / UKCM LN	25%	✓	0.7%
UK Mail Group PLC	UKM.L / UKM LN	25%	✗	0.7%
UK Mortgages Limited	UKML.L / UKML LN	25%	✓	0.3%
UK Oil & Gas Investments PLC	UKOGa.L / UKOG LN	25%	✗	1%
ULS Technology PLC	ULS.L / ULS LN	25%	✓	1%
UP Global Sourcing Holdings PLC	UPGS.L / UPGS LN	25%	✓	1%
Ubisense Group PLC	UBIS.L / UBI LN	25%	✗	1%
Ultra Electronics Holdings PLC	ULE.L / ULE LN	15%	✓	0.3%
Unicorn AIM VCT PLC	UAVP.L / UAV LN	25%	✗	1%
Unilever PLC	ULVR.L / ULVR LN	5%	✓	1%
United Utilities Group PLC	UU.L / UU/ LN	5%	✓	0.3%
Upland Resources Limited	UPL.L / UPL LN	25%	✗	1%
Urals Energy Public Company Limited	UEN.L / UEN LN	25%	✗	1%
Urban Exposure Real Estate PLC	UEXU.L / UEX LN	25%	✗	1%
Urban Logistics REIT PLC	SHED.L / SHED LN	25%	✗	1%
Urban&Civic PLC	UANC.L / UANC LN	25%	✓	1%
Utilico Emerging Markets Limited	UEM.L / UEM LN	25%	✓	0.3%
Utilitywise PLC	UTW.L / UTW LN	25%	✗	1%
VPC Specialty Lending Investments PLC	VSLV.L / VSL LN	25%	✓	1%
VTB Bank (public joint-stock company)	VTBRq.L / VTBR LI	25%	✗	1%
ValiRx PLC	VALX.L / VAL LN	25%	✗	1%
Value & Income Trust PLC	VIN.L / VIN LN	25%	☐	0.7%
Van Elle Holdings PLC	VANL.L / VANL LN	25%	✗	1%
VanEck Vectors Morningstar US Wide Moat UCITS ETF	MOAT.L / MOAT LN	25%	✗	1%
Vanguard EUR Corporate Bond UCITS ETF	VECP.L / VECP LN	10%	✗	0.3%
Vanguard EUR Eurozone Government Bond UCITS ETF	VETY.L / VETY LN	25%	✗	1%
Vanguard FTSE 100 UCITS ETF	VUKE.L / VUKE LN	10%	✓	0.7%
Vanguard FTSE All-World High Dividend Yield UCITS ETF	VHYL.L / VHYL LN	10%	✗	0.3%
Vanguard FTSE All-World UCITS ETF	VWRL.L / VWRL LN	10%	✓	0.3%
Vanguard FTSE All-World UCITS ETF	VWRD.L / VWRD LN	10%	✓	0.3%
Vanguard FTSE Developed Asia Pacific ex Japan UCITS ETF	VDPX.L / VDPX LN	10%	✓	0.3%
Vanguard FTSE Developed Asia Pacific ex Japan UCITS ETF	VAPX.L / VAPX LN	10%	✗	0.3%
Vanguard FTSE Developed Europe UCITS ETF	VEUR.L / VEUR LN	10%	✗	0.3%
Vanguard FTSE Developed World UCITS ETF	VEVE.L / VEVE LN	10%	✗	0.3%
Vanguard FTSE Emerging Markets UCITS ETF	VFEM.L / VFEM LN	10%	✗	0.3%
Vanguard FTSE Emerging Markets UCITS ETF	VDEM.L / VDEM LN	10%	✓	0.3%
Vanguard FTSE Japan UCITS ETF	VJPN.L / VJPN LN	10%	✗	0.3%
Vanguard FTSE Japan UCITS ETF	VDJP.L / VDJP LN	10%	✗	0.3%
Vanguard FTSE North America UCITS ETF	VDNR.L / VDNR LN	10%	✗	0.3%
Vanguard FTSE North America UCITS ETF	VNRT.L / VNRT LN	10%	✗	0.3%
Vanguard S&P 500 UCITS ETF	VUSD.L / VUSD LN	10%	✓	0.3%
Vanguard S&P 500 UCITS ETF	VUSA.L / VUSA LN	10%	✓	0.3%
Vanguard U.K. Gilt UCITS ETF	VGOV.L / VGOV LN	10%	✗	0.3%
Vanguard USD Corporate Bond UCITS ETF	VUCP.L / VUCP LN	10%	✗	0.3%
Vanguard USD Emerging Markets Government Bond UCITS ETF	VEMT.L / VEMT LN	10%	✓	0.3%
Vanguard USD Treasury Bond UCITS ETF	VUTY.L / VUTY LN	25%	✗	1%

Vectura Group PLC	VEC.L / VEC LN	20%	✓	0.7%
Vedanta Resources PLC	VED.L / VED LN	10%	✓	0.7%
Velocity Composites PLC	VELV.L / VEL LN	25%	✗	1%
Velocys PLC	VLSV.L / VLS LN	25%	✗	1%
Veltyco Group PLC	VLTY.L / VLTY LN	25%	✗	1%
Venture Life Group PLC	VLG.L / VLG LN	25%	✗	1%
Ventus 2 VCT PLC	VEN2.L / VEN2 LN	25%	✗	1%
Ventus 2 VCT PLC	VEN2c.L / VNC LN	25%	✗	1%
Ventus VCT PLC	VEN.L / VEN LN	25%	✗	1%
Ventus VCT PLC	VENC.L / VENC LN	25%	✗	1%
Verditek plc	VDTK.L / VDTK LN	25%	✗	1%
Vernalis PLC	VER.L / VER LN	25%	✗	1%
Versarien PLC	VRS.L / VRS LN	25%	✗	1%
Verseon Corporation	VSN.L / VSN LN	25%	✗	1%
Vertu Motors PLC	VTU.L / VTU LN	25%	✓	1%
Vesuvius PLC	VSVS.L / VSVS LN	15%	✓	0.7%
Vianet Group PLC	VNET.L / VNET LN	25%	✗	1%
Victoria Oil & Gas PLC	VOG.L / VOG LN	25%	✓	1%
Victoria PLC	VCP.L / VCP LN	25%	✗	1%
Victrex PLC	VCTX.L / VCT LN	10%	✓	0.3%
Vietnam Enterprise Investments Limited	VEILV.L / VEIL LN	10%	☒	0.3%
Vipera PLC	VIPE.L / VIP LN	25%	✗	1%
Virgin Money Holdings (UK) PLC	VM.L / VM/ LN	25%	✓	0.3%
Vivo Energy PLC	VVO.L / VVO LN	25%	✓	1%
Vodafone Group PLC	VOD.L / VOD LN	5%	✓	0.3%
Volga Gas PLC	VGAS.L / VGAS LN	25%	✗	1%
Volta Finance Limited	VTAV.L / VTA LN	25%	✗	0.3%
Volution Group PLC	FAN.L / FAN LN	25%	✓	1%
Volvere PLC	VLE.L / VLE LN	25%	✗	1%
Vp PLC	VP.L / VP/ LN	25%	✓	0.7%
Vr Education Holdings PLC	VRE.L / VRE LN	25%	✗	1%
W Resources PLC	WRESW.L / WRES LN	25%	✗	1%
WANdis Company PLC	WAND.L / WAND LN	25%	✗	1%
WH Smith PLC	SMWH.L / SMWH LN	10%	✓	0.3%
WPP PLC	WPP.L / WPP LN	5%	✓	0.3%
WS Atkins PLC	ATKW.L / ATK LN	25%	✓	0.7%
WYG PLC	WYG.L / WYG LN	25%	✗	1%
Walker Crips Group PLC	WCW.L / WCW LN	25%	✗	1%
Walker Greenbank PLC	WGB.L / WGB LN	25%	✗	1%
Warpaint London PLC	W7L.L / W7L LN	25%	✗	1%
Water Intelligence PLC	WATRI.L / WATR LN	25%	✗	1%
Watkin Jones PLC	WJG.L / WJG LN	25%	✗	1%
Weiss Korea Opportunity Fund Limited	WKOF.L / WKOF LN	25%	✗	0.3%
Wentworth Resources Limited	WRLW.L / WRL LN	25%	✗	1%
Westminster Group PLC	WSG.L / WSG LN	25%	✗	1%
Wey Education PLC	WEY.L / WEY LN	25%	✗	1%
Whitbread PLC	WTB.L / WTB LN	5%	✓	0.3%
William Hill PLC	WMH.L / WMH LN	10%	✓	0.3%
Wilmington PLC	WIL.L / WIL LN	25%	✓	0.7%
Wincanton PLC	WIN.L / WIN LN	25%	✓	0.7%
Wireless Group PLC	WLG.L / WLG LN	25%	✗	0.7%
WisdomTree Emerging Asia Equity Income UCITS ETF	DEMP.L / DEMP LN	10%	✗	1%
WisdomTree Emerging Markets Equity Income UCITS ETF	WTDEM.L / DEM LN	10%	✗	1%

WisdomTree Emerging Markets SmallCap Dividend UCITS ETF	WTDGSE.L / DGSE LN	25%	✗	1%
WisdomTree Emerging Markets SmallCap Dividend UCITS ETF	DGSD.L / DGSD LN	25%	✗	1%
WisdomTree Enhanced Commodity UCITS ETF - USD Acc	WCOB.L / WCOB LN	20%	✗	0.7%
WisdomTree Europe Equity Income UCITS ETF	WTEEI.L / EEI LN	10%	✗	0.3%
WisdomTree Europe Equity UCITS ETF USD Hedged	HEDJ.L / HEDJ LN	10%	✗	0.3%
WisdomTree Europe SmallCap Dividend UCITS ETF	DFE.L / DFE LN	10%	✗	0.3%
WisdomTree Germany Equity UCITS ETF GBP Hedged	DXGP.L / DXGP LN	10%	✓	0.3%
WisdomTree ISEQ 20(r) UCITS ETF	ISEQ.L / ISEQ LN	10%	✗	1%
WisdomTree India Quality UCITS ETF - USD Acc	EPIE.L / EPIE LN	25%	✗	1%
WisdomTree India Quality UCITS ETF USD Inc	EPIQ.L / EPIQ LN	25%	✗	1%
WisdomTree Japan Equity UCITS ETF GBP Hedged	DXJP.L / DXJP LN	10%	✗	1%
WisdomTree UK Equity Income UCITS ETF	WUKD.L / WUKD LN	10%	✗	1%
WisdomTree US Equity Income UCITS ETF	DHS.L / DHS LN	10%	✗	1%
WisdomTree US SmallCap Dividend UCITS ETF	DESE.L / DESE LN	10%	✗	1%
Witan Investment Trust PLC	WTAN.L / WTAN LN	20%	✓	0.3%
Witan Pacific Investment Trust PLC	WIPA.L / WPC LN	25%	✓	0.3%
Wizz Air Holdings PLC	WIZZ.L / WIZZ LN	10%	✓	1%
Wm Morrison Supermarkets PLC	MRW.L / MRW LN	5%	✓	0.3%
Woodford Patient Capital Trust PLC	WPCT.L / WPCT LN	25%	✓	1%
Work Service SA	WSE.L / WSE LN	25%	✗	1%
Workspace Group PLC	WKP.L / WKP LN	20%	✓	0.7%
Worldpay Group PLC	WPG.L / WPG LN	15%	✓	0.7%
Worldpay Inc	WPYa.L / WPY LN	10%	✓	0.3%
Worldwide Healthcare Trust PLC	WWH.L / WWH LN	20%	✓	0.3%
Wynnstay Group PLC	WYWYN.L / WYN LN	25%	✗	1%
X5 Retail Group NV	PJPq.L / FIVE LI	25%	✓	1%
XLMedia PLC	XLM.L / XLM LN	25%	✗	1%
XP Power Limited	XPP.L / XPP LN	25%	✓	0.7%
XPS Pensions PLC	XPS.L / XPS LN	25%	✓	1%
Xaar PLC	XAR.L / XAR LN	25%	✓	0.3%
Xeros Technology Group PLC	XSG.L / XSG LN	25%	✗	1%
Xpediator PLC	XPd.L / XPD LN	25%	✗	1%
Xtrackers FTSE All-Share UCITS ETF 1D	XASX.L / XASX LN	10%	✗	0.3%
Xtrackers MSCI Russia Capped Swap UCITS ETF 1C	XMRC.L / XMRC LN	10%	✗	0.3%
Xtrackers Stoxx Europe 600 Health Care Swap UCITS ETF 1C	XSDR.L / XSDR LN	10%	✗	0.3%
YouGov PLC	YOU.L / YOU LN	25%	✗	1%
Young & Co.'s Brewery PLC	YNGa.L / YNGA LN	25%	☐	0.7%
Yu Group PLC	YU.L / YU/ LN	25%	✗	1%
ZOO Digital Group PLC	ZOO.L / ZOO LN	25%	✗	1%
ZPG PLC	ZPG.L / ZPG LN	20%	✓	0.3%
Zambeef Products PLC	ZAMB.L / ZAM LN	25%	✗	1%
Zegona Communications PLC	ZEG.L / ZEG LN	25%	✗	1%
Zenith Energy Limited	ZENZ.L / ZEN LN	25%	✗	1%
Zotefoams PLC	ZTF.L / ZTF LN	25%	✓	1%
Zytronic PLC	ZYT.L / ZYT LN	25%	✗	1%
accesso Technology Group PLC	ACSO.L / ACSO LN	25%	✗	1%
boohoo.com PLC	BOOH.L / BOO LN	10%	✓	0.3%
db Physical Gold ETC	XGLD.L / XGLD LN	10%	✓	0.3%
db Physical Platinum ETC	XPLA.L / XPLA LN	10%	✗	0.3%
db Physical Silver ETC	XSIL.L / XSIL LN	10%	✗	0.3%
db WTI Crude Oil Booster ETC	XCT9.L / XCT9 LN	10%	✓	0.3%

db x-trackers DAX(r) UCITS ETF (DR) - Income 1D	XDDX.L / XDDX LN	10%	✗	0.3%
db x-trackers Euro Stoxx 50(r) UCITS ETF (DR) 1C	XESC.L / XESC LN	10%	✓	0.3%
db x-trackers FTSE 100 Equal Weight UCITS ETF (DR) 1D	XFEW.L / XFEW LN	10%	✗	0.3%
db x-trackers FTSE 100 Short Daily UCITS ETF 1C	XUKS.L / XUKS LN	10%	✗	0.3%
db x-trackers FTSE All-World ex UK UCITS ETF (DR) 1C	XDEX.L / XDEX LN	10%	✗	0.3%
db x-trackers FTSE EPRA NAREIT Developed Europe Real Estate UCITS ETF (DR) 1C	XDER.L / XDER LN	10%	✓	0.3%
db x-trackers FTSE Vietnam UCITS ETF 1C	XFVT.L / XFVT LN	15%	✓	0.7%
db x-trackers Harvest CSI300 Index UCITS ETF (DR) 1D	ASHR.L / ASHR LN	10%	✗	0.3%
db x-trackers Harvest CSI300 Index UCITS ETF (DR) 1D	RQFI.L / RQFI LN	10%	✓	0.3%
db x-trackers II Global Government Bond UCITS ETF (DR) 2D	XGSG.L / XGSG LN	10%	✗	0.3%
db x-trackers II Sterling Cash UCITS ETF 1D	XSTR.L / XSTR LN	10%	✗	0.3%
db x-trackers JPX-Nikkei 400 UCITS ETF (DR) 1D	XDNS.L / XDNS LN	10%	✓	0.7%
db x-trackers JPX-Nikkei 400 UCITS ETF (DR) 2D	XDNG.L / XDNG LN	10%	✗	0.3%
db x-trackers MSCI AC World Index UCITS ETF (DR) 1C	XMAW.L / XMAW LN	10%	✗	0.3%
db x-trackers MSCI Africa TOP 50 Index UCITS ETF 1C	XMAF.L / XMAF LN	10%	✗	0.3%
db x-trackers MSCI EM Asia Index UCITS ETF 1C	XMAS.L / XMAS LN	10%	✓	0.3%
db x-trackers MSCI EM Short Daily Index UCITS ETF 1C	XESG.L / XESG LN	10%	✗	0.3%
db x-trackers MSCI Emerging Markets Index UCITS ETF 1C	XMEM.L / XMEM LN	10%	✓	0.3%
db x-trackers MSCI Europe Mid Cap Index UCITS ETF (DR) 1C	XEUM.L / XEUM LN	10%	✗	0.3%
db x-trackers MSCI Europe Small Cap Index UCITS ETF (DR) 1C	XXSC.L / XXSC LN	10%	✗	0.3%
db x-trackers MSCI India Index UCITS ETF 1C	XCX5.L / XCX5 LN	10%	✗	0.3%
db x-trackers MSCI Nordic Index UCITS ETF (DR) 1D	XDN0.L / XDN0 LN	10%	✗	0.3%
db x-trackers MSCI North America High Dividend Yield Index UCITS ETF (DR) 1C	XDND.L / XDND LN	10%	✗	0.3%
db x-trackers MSCI Philippines IM Index UCITS ETF (DR) 1C	XPHG.L / XPHG LN	10%	✗	0.3%
db x-trackers MSCI Taiwan Index UCITS ETF (DR) 1C	XMTW.L / XMTW LN	10%	✓	0.3%
db x-trackers MSCI USA Index UCITS ETF (DR) 1C	XD9U.L / XD9U LN	10%	✗	0.3%
db x-trackers MSCI USA Index UCITS ETF (DR) 1C	XDUS.L / XDUS LN	10%	✗	0.3%
db x-trackers MSCI World Health Care Index UCITS ETF (DR) 1C	XDWH.L / XDWH LN	10%	✗	0.3%
db x-trackers MSCI World Index UCITS ETF (DR) 1C	XDWD.L / XDWD LN	10%	✗	0.3%
db x-trackers MSCI World Information Technology Index UCITS ETF (DR) 1C	XDWT.L / XDWT LN	10%	✗	0.3%
db x-trackers MSCI World Quality Factor UCITS ETF (DR) 1C	XDEQ.L / XDEQ LN	10%	✗	0.3%
db x-trackers MSCI World Value Factor UCITS ETF (DR) 1C	XDEV.L / XDEV LN	10%	✗	0.3%
db x-trackers Mittelstand & MidCap Germany UCITS ETF (DR) 1D	XDGM.L / XDGM LN	10%	✗	0.3%
db x-trackers Nifty 50 UCITS ETF 1C	XNIF.L / XNIF LN	10%	✓	0.3%
db x-trackers Nikkei 225 UCITS ETF (DR) 1D	XDJP.L / XDJP LN	10%	✗	0.3%
db x-trackers Russell 2000 UCITS ETF (DR) 1C	XRSG.L / XRSG LN	10%	✗	0.3%
db x-trackers Russell 2000 UCITS ETF (DR) 1C	XRSU.L / XRSU LN	10%	✗	0.3%
db x-trackers Russell Midcap UCITS ETF (DR) 1C	XRSS.L / XRSS LN	10%	✗	0.3%
db x-trackers Russell Midcap UCITS ETF (DR) 1C	XRMU.L / XRMU LN	10%	✗	0.3%
db x-trackers S&P 500 2x Inverse Daily UCITS ETF 1C	XT2D.L / XT2D LN	25%	✗	1%
db x-trackers S&P 500 2x Leveraged Daily UCITS ETF 1C	XSL2.L / XSL2 LN	10%	✗	0.3%
db x-trackers S&P 500 2x Leveraged Daily UCITS ETF 1C	XS2D.L / XS2D LN	10%	✗	0.3%
db x-trackers S&P 500 Inverse Daily UCITS ETF 1C	XSPS.L / XSPS LN	25%	✗	1%
db x-trackers S&P 500 UCITS ETF (DR) 2C	XDPGD.L / XDPG LN	10%	✓	0.3%
db x-trackers S&P 500 UCITS ETF 1C	XSPX.L / XSPX LN	10%	✗	0.3%
db x-trackers S&P 500(r) Equal Weight UCITS ETF (DR)				

1C	XDWE.L / XDWE LN	10%	✗	0.3%
db x-trackers S&P 500(r) Equal Weight UCITS ETF (DR) 1C	XDEW.L / XDEW LN	10%	✗	0.3%
db x-trackers S&P Global Infrastructure UCITS ETF 1C	XSGI.L / XSGI LN	10%	✓	0.3%
db x-trackers S&P Select Frontier UCITS ETF 1C	XSFR.L / XSFR LN	10%	✓	0.3%
db x-trackers Stoxx(r) Europe 600 Basic Resources UCITS ETF 1C	XSPR.L / XSPR LN	10%	✗	0.3%
db x-trackers Stoxx(r) Europe 600 Telecommunications UCITS ETF 1C	XSKR.L / XSKR LN	10%	✗	0.3%
db x-trackers Stoxx(r) Europe 600 UCITS ETF (DR) 1C	XSX6.L / XSX6 LN	10%	✓	0.3%
db x-trackers Stoxx(r) Europe 600 Utilities UCITS ETF 1C	XS6R.L / XS6R LN	10%	✗	0.3%
db x-trackers US Dollar Cash UCITS ETF 1C	XUSD.L / XUSD LN	10%	✗	0.3%
db x-trackers USD Corporate Bond UCITS ETF (DR) 1D USD	XDGU.L / XDGU LN	10%	✓	0.3%
discoverIE Group PLC	DSCV.L / DSCV LN	25%	✓	0.7%
dotDigital Group PLC	DOTD.L / DOTD LN	25%	✗	1%
e2v Technologies PLC	E2V.L / E2V LN	25%	✗	0.7%
eServGlobal Limited	ESGq.L / ESG LN	25%	✗	1%
easyHotel PLC	EZH.L / EZH LN	25%	✗	1%
easyJet PLC	EZJ.L / EZJ LN	10%	✓	0.3%
eg solutions PLC	EGS.L / EGS LN	25%	✗	1%
esure Group PLC	ESUR.L / ESUR LN	20%	✓	1%
hVIVO PLC	HVO.L / HVO LN	25%	✗	1%
i3 Energy PLC	I3E.L / I3E LN	25%	✗	1%
iEnergizer Limited	IBPO.L / IBPO LN	25%	✗	1%
iShares \$ Corp Bond Interest Rate Hedged UCITS ETF USD (Dist)	LQDH.L / LQDH LN	10%	✗	0.7%
iShares \$ Corp Bond Interest Rate Hedged UCITS ETF USD (Dist)	LQDG.L / LQDG LN	10%	✗	0.3%
iShares \$ Corp Bond UCITS ETF USD (Dist)	LQDE.L / LQDE LN	10%	✓	0.3%
iShares \$ High Yield Corp Bond UCITS ETF USD (Dist)	SHYU.L / SHYU LN	10%	✓	0.3%
iShares \$ High Yield Corp Bond UCITS ETF USD (Dist)	IHYU.L / IHYU LN	10%	✓	0.3%
iShares \$ Short Duration Corp Bond UCITS ETF USD (Dist)	SDIG.L / SDIG LN	10%	✓	0.3%
iShares \$ Short Duration Corp Bond UCITS ETF USD (Dist)	IGSD.L / IGSD LN	10%	✗	0.3%
iShares \$ Short Duration High Yield Corp Bond UCITS ETF USD (Dist)	SDHY.L / SDHY LN	10%	✓	0.3%
iShares \$ Short Duration High Yield Corp Bond UCITS ETF USD (Dist)	SDHG.L / SDHG LN	10%	✗	0.3%
iShares \$ TIPS 0-5 UCITS ETF GBP Hedged (Dist)	TI5G.L / TI5G LN	25%	✗	1%
iShares \$ TIPS 0-5 UCITS ETF USD (Dist)	TP05.L / TP05 LN	10%	✗	0.3%
iShares \$ TIPS UCITS ETF	IPTS.L / IPTS LN	10%	✓	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF GBP Hedged (Dist)	IBTG.L / IBTG LN	25%	✗	1%
iShares \$ Treasury Bond 1-3yr UCITS ETF USD (Acc) B	CBU3.L / CBU3 LN	10%	✗	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF USD (Acc) B	CU31.L / CU31 LN	10%	✗	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF USD (Dist)	IDBT.L / IDBT LN	10%	✓	0.3%
iShares \$ Treasury Bond 1-3yr UCITS ETF USD (Dist)	IBTS.L / IBTS LN	10%	✓	0.3%
iShares \$ Treasury Bond 20+yr UCITS ETF USD (Dist)	IDTL.L / IDTL LN	10%	✗	0.3%
iShares \$ Treasury Bond 20+yr UCITS ETF USD (Dist)	IBTL.L / IBTL LN	10%	✗	0.3%
iShares \$ Treasury Bond 3-7yr UCITS ETF USD (Acc)	CBU7.L / CBU7 LN	10%	✗	0.3%
iShares \$ Treasury Bond 3-7yr UCITS ETF USD (Acc)	CU71.L / CU71 LN	10%	✗	0.3%
iShares \$ Treasury Bond 7-10yr UCITS ETF	ISTB.L / IBTM LN	10%	✓	0.3%
iShares \$ Treasury Bond 7-10yr UCITS ETF USD (Acc)	CU01.L / CU01 LN	10%	✗	0.3%
iShares \$ Treasury Bond 7-10yr UCITS ETF USD (Acc)	CBU0.L / CBU0 LN	10%	✗	0.3%
iShares \$ Ultrashort Bond UCITS ETF USD (Dist)	ERND.L / ERND LN	10%	✓	0.3%
iShares \$ Ultrashort Bond UCITS ETF USD (Dist)	ERNU.L / ERNU LN	10%	✓	0.3%

iShares (EUR) Aggregate Bond UCITS ETF EUR (Dist)	IEAG.L / IEAG LN	10%	✗	0.3%
iShares (EUR) Aggregate Bond UCITS ETF EUR (Dist)	SEAG.L / SEAG LN	10%	✓	0.3%
iShares (EUR) Corp Bond 1-5yr UCITS ETF EUR (Dist)	SE15.L / SE15 LN	10%	✗	0.3%
iShares (EUR) Corp Bond 1-5yr UCITS ETF EUR (Dist)	IE15.L / IE15 LN	10%	✓	0.3%
iShares (EUR) Corp Bond BB-B UCITS ETF EUR (Dist)	BBEB.L / BBEB LN	25%	✗	1%
iShares (EUR) Corp Bond BB-B UCITS ETF EUR (Dist)	BBSB.L / BBSB LN	25%	✗	1%
iShares (EUR) Corp Bond BBB-BB UCITS ETF EUR (Dist)	ISBB.L / ISBB LN	10%	✗	0.7%
iShares (EUR) Corp Bond BBB-BB UCITS ETF EUR (Dist)	IEBB.L / IEBB LN	10%	✓	0.3%
iShares (EUR) Corp Bond Financials UCITS ETF EUR (Dist)	EUCF.L / EUCF LN	10%	✗	0.3%
iShares (EUR) Corp Bond Financials UCITS ETF EUR (Dist)	IECF.L / IECF LN	10%	✗	0.3%
iShares (EUR) Corp Bond Interest Rate Hedged UCITS ETF EUR (Dist)	IRCP.L / IRCP LN	10%	✓	0.3%
iShares (EUR) Corp Bond Interest Rate Hedged UCITS ETF EUR (Dist)	IRHC.L / IRHC LN	10%	✓	0.3%
iShares (EUR) Corp Bond Large Cap UCITS ETF EUR (Dist)	IBCX.L / IBCX LN	10%	✓	0.3%
iShares (EUR) Corp Bond SRI 0-3yr UCITS ETF EUR (Dist)	SUSE.L / SUSE LN	10%	✗	0.3%
iShares (EUR) Corp Bond SRI 0-3yr UCITS ETF EUR (Dist)	SUSS.L / SUSS LN	10%	✗	0.3%
iShares (EUR) Corp Bond ex-Financials 1-5yr UCITS ETF EUR (Dist)	IEX5.L / IEX5 LN	10%	✓	0.3%
iShares (EUR) Corp Bond ex-Financials 1-5yr UCITS ETF EUR (Dist)	EEX5.L / EEX5 LN	10%	✓	0.3%
iShares (EUR) Corp Bond ex-Financials UCITS ETF EUR (Dist)	IEXF.L / IEXF LN	10%	✗	0.3%
iShares (EUR) Corp Bond ex-Financials UCITS ETF EUR (Dist)	EEXF.L / EEXF LN	10%	✓	0.3%
iShares (EUR) Covered Bond UCITS ETF EUR (Dist)	ICOV.L / ICOV LN	10%	✓	0.3%
iShares (EUR) Covered Bond UCITS ETF EUR (Dist)	SCOV.L / SCOV LN	10%	✓	0.3%
iShares (EUR) Govt Bond 0-1yr UCITS ETF EUR (Dist)	IEGE.L / IEGE LN	10%	✓	0.3%
iShares (EUR) Govt Bond 0-1yr UCITS ETF EUR (Dist)	IBGE.L / IBGE LN	10%	✗	0.3%
iShares (EUR) Govt Bond 1-3yr UCITS ETF EUR (Acc)	CBE3.L / CBE3 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 1-3yr UCITS ETF EUR (Acc)	CE31.L / CE31 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 1-3yr UCITS ETF EUR (Dist)	IEGS.L / IEGS LN	10%	✓	0.3%
iShares (EUR) Govt Bond 1-3yr UCITS ETF EUR (Dist)	IBGS.L / IBGS LN	10%	✓	0.3%
iShares (EUR) Govt Bond 10-15yr UCITS ETF EUR (Dist)	IBGZ.L / IBGZ LN	10%	✗	0.3%
iShares (EUR) Govt Bond 10-15yr UCITS ETF EUR (Dist)	IEGZ.L / IEGZ LN	10%	✗	0.3%
iShares (EUR) Govt Bond 20yr Target Duration UCITS ETF EUR (Dist)	E20Y.L / E20Y LN	25%	✗	1%
iShares (EUR) Govt Bond 20yr Target Duration UCITS ETF EUR (Dist)	EG20.L / EG20 LN	25%	✗	1%
iShares (EUR) Govt Bond 3-5yr UCITS ETF EUR (Dist)	IBGX.L / IBGX LN	10%	✓	0.3%
iShares (EUR) Govt Bond 3-5yr UCITS ETF EUR (Dist)	IEGX.L / IEGX LN	10%	✗	0.3%
iShares (EUR) Govt Bond 3-7yr UCITS ETF EUR (Acc)	CE71.L / CE71 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 3-7yr UCITS ETF EUR (Acc)	CBE7.L / CBE7 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 5-7yr UCITS ETF EUR (Dist)	IBGY.L / IBGY LN	10%	✗	0.3%
iShares (EUR) Govt Bond 5-7yr UCITS ETF EUR (Dist)	IEGY.L / IEGY LN	10%	✗	0.3%
iShares (EUR) Govt Bond 7-10yr UCITS ETF EUR (Acc)	CBE0.L / CBE0 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 7-10yr UCITS ETF EUR (Acc)	CE01.L / CE01 LN	10%	✗	0.3%
iShares (EUR) Govt Bond 7-10yr UCITS ETF EUR (Dist)	IBGM.L / IBGM LN	10%	✗	0.3%
iShares (EUR) High Yield Corp Bond UCITS ETF EUR (Dist)	IHYG.L / IHYG LN	10%	✓	0.3%
iShares (EUR) High Yield Corp Bond UCITS ETF EUR (Dist)	SHYG.L / SHYG LN	10%	✗	0.3%
iShares (EUR) Inflation Linked Govt Bond UCITS ETF EUR (Acc)	ISIBC1.L / IBC1 LN	10%	✓	0.3%
iShares (EUR) Inflation Linked Govt Bond UCITS ETF EUR (Acc)	IBCI.L / IBCI LN	10%	✓	0.3%
iShares (EUR) Ultrashort Bond UCITS ETF EUR (Dist)	ERNE.L / ERNE LN	10%	✓	0.3%
iShares (EUR) Ultrashort Bond UCITS ETF EUR (Dist)	ERN1.L / ERN1 LN	10%	✗	0.3%

iShares (GBP) Corp Bond 0-5yr UCITS ETF GBP (Dist)	IS15.L / IS15 LN	10%	✗	0.3%
iShares (GBP) Corp Bond 0-5yr UCITS ETF GBP (Dist)	ES15.L / ES15 LN	10%	✗	0.3%
iShares (GBP) Corp Bond ex-Financials UCITS ETF GBP (Dist)	ISXF.L / ISXF LN	10%	✗	0.3%
iShares (GBP) Corp Bond ex-Financials UCITS ETF GBP (Dist)	SSXF.L / SSXF LN	10%	✗	0.3%
iShares (GBP) Index-Linked Gilts UCITS ETF GBP (Dist)	INXG.L / INXG LN	10%	☒	0.3%
iShares (GBP) Ultrashort Bond UCITS ETF GBP (Dist)	ERNS.L / ERNS LN	10%	✓	0.3%
iShares AEX UCITS ETF EUR (Dist)	IAEX.L / IAEX LN	10%	☒	0.3%
iShares Ageing Population UCITS ETF USD (Acc)	AGED.L / AGED LN	25%	✗	1%
iShares Ageing Population UCITS ETF USD (Acc)	ISAGES.L / AGES LN	25%	✗	1%
iShares Agribusiness UCITS ETF USD (Acc)	SPAGA.L / SPAG LN	10%	✗	0.3%
iShares Asia Pacific Dividend UCITS ETF	IAPD.L / IAPD LN	10%	✓	0.3%
iShares Asia Pacific Dividend UCITS ETF	IDAP.L / IDAP LN	10%	✗	0.3%
iShares Asia Property Yield UCITS ETF	IASP.L / IASP LN	10%	✓	0.3%
iShares Automation & Robotics UCITS ETF USD (Acc)	RBOT.L / RBOT LN	25%	✓	1%
iShares Automation & Robotics UCITS ETF USD (Acc)	RBTX.L / RBTX LN	25%	✗	1%
iShares BRIC 50 UCITS ETF USD (Dist)	BRIC.L / BRIC LN	10%	✗	0.7%
iShares China Large Cap UCITS ETF USD (Dist)	FXC.L / FXC LN	10%	✗	0.7%
iShares Core (EUR) Corp Bond UCITS ETF EUR (Dist)	IEAC.L / IEAC LN	10%	✓	0.3%
iShares Core (EUR) Corp Bond UCITS ETF EUR (Dist)	IEBC.L / IEBC LN	10%	✗	0.3%
iShares Core (EUR) Govt Bond UCITS ETF EUR (Dist)	IEGA.L / IEGA LN	10%	✗	0.3%
iShares Core (EUR) Govt Bond UCITS ETF EUR (Dist)	SEGA.L / SEGA LN	10%	✗	0.3%
iShares Core (GBP) Corp Bond UCITS ETF GBP (Dist)	SLXX.L / SLXX LN	10%	✓	0.3%
iShares Core EURO STOXX 50 UCITS ETF EUR (Acc)	CSX5.L / CSX5 LN	10%	✗	0.3%
iShares Core EURO STOXX 50 UCITS ETF EUR (Acc)	CS51.L / CS51 LN	10%	✗	0.3%
iShares Core FTSE 100 UCITS ETF GBP (Dist)	ISF.L / ISF LN	5%	✓	0.3%
iShares Core MSCI EM IMI UCITS ETF USD (Acc)	EMIM.L / EMIM LN	10%	✓	0.3%
iShares Core MSCI EM IMI UCITS ETF USD (Acc)	EIMI.L / EIMI LN	10%	✓	0.3%
iShares Core MSCI Japan IMI UCITS ETF USD (Acc)	SJPA.L / SJPA LN	10%	✓	0.3%
iShares Core MSCI Japan IMI UCITS ETF USD (Acc)	ISIJPA.L / IJPA LN	10%	✓	0.3%
iShares Core MSCI Pacific ex-Japan UCITS ETF USD (Acc)	CPXJ.L / CPXJ LN	10%	✓	0.3%
iShares Core MSCI Pacific ex-Japan UCITS ETF USD (Acc)	CPJ1.L / CPJ1 LN	10%	✓	0.3%
iShares Core MSCI World UCITS ETF GBP Hedged (Dist)	IWDG.L / IWDG LN	10%	✗	0.3%
iShares Core MSCI World UCITS ETF USD (Acc)	SWDA.L / SWDA LN	5%	✓	0.3%
iShares Core MSCI World UCITS ETF USD (Acc)	IWDA.L / IWDA LN	5%	✗	0.3%
iShares Core S&P 500 UCITS ETF USD (Acc)	CSPX.L / CSPX LN	10%	✓	0.3%
iShares Core S&P 500 UCITS ETF USD (Acc)	CSP1.L / CSP1 LN	10%	✓	0.3%
iShares Core UK Gilts UCITS ETF GBP (Dist)	IGLT.L / IGLT LN	10%	✓	0.3%
iShares Developed Markets Property Yield UCITS ETF USD (Dist)	IWDP.L / IWDP LN	10%	✓	0.3%
iShares Developed Markets Property Yield UCITS ETF USD (Dist)	IDWP.L / IDWP LN	10%	✗	0.3%
iShares Digitalisation UCITS ETF USD (Acc)	DGTL.L / DGTL LN	25%	✗	1%
iShares Digitalisation UCITS ETF USD (Acc)	DGIT.L / DGIT LN	25%	✗	1%
iShares Diversified Commodity Swap UCITS ETF USD (Acc)	COMM.L / COMM LN	10%	✓	0.3%
iShares Dow Jones Global Sustainability Screened UCITS ETF USD (Acc)	IGSU.L / IGSU LN	10%	✗	0.3%
iShares Dow Jones Global Sustainability Screened UCITS ETF USD (Acc)	IGSG.L / IGSG LN	10%	✗	0.3%
iShares Dow Jones Industrial Average UCITS ETF USD (Acc)	CIND.L / CIND LN	10%	✗	0.3%
iShares Dow Jones Industrial Average UCITS ETF USD (Acc)	CID1.L / CID1 LN	10%	✗	0.3%
iShares EM Dividend UCITS ETF USD (Dist)	SEDY.L / SEDY LN	25%	☒	0.7%
iShares EURO STOXX 50 UCITS ETF EUR (Dist)	EUE.L / EUE LN	10%	☒	0.3%

iShares EURO STOXX 50 ex-Financials UCITS ETF EUR (Acc)	EXFN.L / EXFN LN	25%	✗	1%
iShares EURO STOXX 50 ex-Financials UCITS ETF EUR (Acc)	SXFN.L / SXFN LN	25%	✗	1%
iShares EURO STOXX Mid UCITS ETF EUR (Dist)	DJMC.L / DJMC LN	10%	✓	0.7%
iShares EURO STOXX Small UCITS ETF EUR (Dist)	DJSC.L / DJSC LN	10%	✓	0.7%
iShares Edge MSCI EM Minimum Volatility UCITS ETF USD (Acc)	ISEMV.L / EMV LN	10%	✗	0.3%
iShares Edge MSCI EM Minimum Volatility UCITS ETF USD (Acc)	ISEMMV.L / EMMV LN	10%	✓	0.3%
iShares Edge MSCI Europe Minimum Volatility UCITS ETF EUR (Acc)	MVEU.L / MVEU LN	10%	✓	0.3%
iShares Edge MSCI Europe Minimum Volatility UCITS ETF EUR (Acc)	IMV.L / IMV LN	10%	✓	0.3%
iShares Edge MSCI Europe Momentum Factor UCITS ETF EUR (Acc)	IEFM.L / IEFM LN	10%	✗	0.3%
iShares Edge MSCI Europe Momentum Factor UCITS ETF EUR (Acc)	IEMO.L / IEMO LN	10%	✗	0.3%
iShares Edge MSCI Europe Multifactor UCITS ETF EUR (Acc)	IFSE.L / IFSE LN	10%	✗	0.3%
iShares Edge MSCI Europe Multifactor UCITS ETF EUR (Acc)	FSEU.L / FSEU LN	10%	✗	0.3%
iShares Edge MSCI Europe Quality Factor UCITS ETF EUR (Acc)	IEQU.L / IEQU LN	25%	✗	1%
iShares Edge MSCI Europe Quality Factor UCITS ETF EUR (Acc)	IEFQ.L / IEFQ LN	25%	✗	1%
iShares Edge MSCI Europe Size Factor UCITS ETF EUR (Acc)	IESZ.L / IESZ LN	25%	✗	1%
iShares Edge MSCI Europe Size Factor UCITS ETF EUR (Acc)	IEFS.L / IEFS LN	25%	✗	1%
iShares Edge MSCI Europe Value Factor UCITS ETF EUR (Acc)	IEVL.L / IEVL LN	25%	✓	1%
iShares Edge MSCI Europe Value Factor UCITS ETF EUR (Acc)	IEFV.L / IEFV LN	25%	✗	1%
iShares Edge MSCI USA Momentum Factor UCITS ETF	IUMO.L / IUMO LN	25%	✗	1%
iShares Edge MSCI USA Multifactor UCITS ETF USD (Acc)	IFSU.L / IFSU LN	25%	✓	1%
iShares Edge MSCI USA Multifactor UCITS ETF USD (Acc)	FSUS.L / FSUS LN	25%	✗	1%
iShares Edge MSCI USA Quality Factor UCITS ETF	IUQF.L / IUQF LN	25%	✗	1%
iShares Edge MSCI USA Size Factor UCITS ETF	IUSZ.L / IUSZ LN	25%	✗	1%
iShares Edge MSCI USA Value Factor UCITS ETF	IUVF.L / IUVF LN	25%	✓	1%
iShares Edge MSCI World Minimum Volatility UCITS ETF USD (Acc)	MVOL.L / MVOL LN	10%	✓	0.3%
iShares Edge MSCI World Minimum Volatility UCITS ETF USD (Acc)	ISMINV.L / MINV LN	10%	✓	0.3%
iShares Edge MSCI World Momentum Factor UCITS ETF USD (Acc)	IWMO.L / IWMO LN	10%	✓	0.3%
iShares Edge MSCI World Momentum Factor UCITS ETF USD (Acc)	IWFM.L / IWFM LN	10%	✗	0.3%
iShares Edge MSCI World Multifactor UCITS ETF USD (Acc)	IFSW.L / IFSW LN	25%	✗	1%
iShares Edge MSCI World Multifactor UCITS ETF USD (Acc)	FSWD.L / FSWD LN	25%	✗	1%
iShares Edge MSCI World Size Factor UCITS ETF USD (Acc)	IWSZ.L / IWSZ LN	25%	✓	1%
iShares Edge MSCI World Size Factor UCITS ETF USD (Acc)	IWFS.L / IWFS LN	25%	✗	1%
iShares Edge MSCI World Value Factor UCITS ETF USD (Acc)	IWVL.L / IWVL LN	10%	✓	0.3%
iShares Edge S&P 500 Minimum Volatility UCITS ETF USD (Acc)	ISMVUS.L / MVUS LN	10%	✓	0.3%
iShares Edge S&P 500 Minimum Volatility UCITS ETF USD (Acc)	ISSPMV.L / SPMV LN	10%	✓	0.3%
iShares Emerging Asia Local Govt Bond UCITS ETF USD (Dist)	IGEA.L / IGEA LN	10%	✗	0.3%

iShares Emerging Asia Local Govt Bond UCITS ETF USD (Dist)	SGEA.L / SGEA LN	10%	✗	0.3%
iShares Emerging Market Infrastructure UCITS ETF	DEIN.L / DEIN LN	10%	✗	0.3%
iShares Emerging Market Infrastructure UCITS ETF	IEMI.L / IEMI LN	10%	✗	0.3%
iShares Euro Dividend UCITS ETF EUR (Dist)	IDVY.L / IDVY LN	10%	☐	0.7%
iShares Euro Total Market Growth Large UCITS ETF EUR (Dist)	IDJG.L / IDJG LN	10%	✗	0.3%
iShares Euro Total Market Value Large UCITS ETF EUR (Dist)	IDJV.L / IDJV LN	10%	✗	0.7%
iShares European Property Yield UCITS ETF EUR (Dist)	IPRP.L / IPRP LN	10%	✓	0.3%
iShares FTSE 100 UCITS ETF GBP (Acc)	CUKX.L / CUKX LN	10%	✓	0.3%
iShares FTSE 250 UCITS ETF GBP (Dist)	ISMIDD.L / MIDD LN	10%	✓	0.3%
iShares FTSE MIB UCITS ETF EUR (Acc)	CMIB.L / CMIB LN	10%	✗	0.3%
iShares FTSE MIB UCITS ETF EUR (Acc)	CMB1.L / CMB1 LN	10%	✗	0.3%
iShares FTSEurofirst 100 UCITS ETF EUR (Dist)	IEUT.L / IEUT LN	10%	✗	0.3%
iShares FTSEurofirst 80 UCITS ETF EUR (Dist)	IEUR.L / IEUR LN	10%	✗	0.3%
iShares Fallen Angels High Yield Corp Bond UCITS ETF USD (Dist)	RISE.L / RISE LN	10%	✗	0.3%
iShares France Govt Bond UCITS ETF EUR (Dist)	IFRB.L / IFRB LN	10%	✗	0.3%
iShares France Govt Bond UCITS ETF EUR (Dist)	SFRB.L / SFRB LN	10%	✗	0.3%
iShares Germany Govt Bond UCITS ETF EUR (Dist)	IDEU.L / IDEU LN	10%	✗	0.3%
iShares Germany Govt Bond UCITS ETF EUR (Dist)	SDEU.L / SDEU LN	10%	✗	0.3%
iShares Global Clean Energy UCITS ETF	INRG.L / INRG LN	10%	✗	0.7%
iShares Global Corp Bond EUR Hedged UCITS ETF (Dist)	CRPH.L / CRPH LN	10%	✓	0.3%
iShares Global Corp Bond UCITS ETF USD (Dist)	CORP.L / CORP LN	10%	✓	0.3%
iShares Global Corp Bond UCITS ETF USD (Dist)	CRPS.L / CRPS LN	10%	✓	0.3%
iShares Global Govt Bond UCITS ETF USD (Dist)	SGLO.L / SGLO LN	10%	✓	0.3%
iShares Global Govt Bond UCITS ETF USD (Dist)	IGLO.L / IGLO LN	10%	✓	0.3%
iShares Global High Yield Corp Bond GBP Hedged UCITS ETF (Dist)	GHYS.L / GHYS LN	10%	✗	0.3%
iShares Global High Yield Corp Bond UCITS ETF USD (Dist)	HYLD.L / HYLD LN	10%	✓	0.3%
iShares Global High Yield Corp Bond UCITS ETF USD (Dist)	IGHY.L / IGHY LN	10%	✗	0.3%
iShares Global Inflation Linked Govt Bond UCITS ETF USD (Acc)	IGIL.L / IGIL LN	10%	✓	0.3%
iShares Global Inflation Linked Govt Bond UCITS ETF USD (Acc)	SGIL.L / SGIL LN	10%	✓	0.3%
iShares Global Infrastructure UCITS ETF	INFR.L / INFR LN	10%	✓	0.3%
iShares Global Infrastructure UCITS ETF	IDIN.L / IDIN LN	10%	✓	0.3%
iShares Global Timber & Forestry UCITS ETF USD (Dist)	WOODT.L / WOOD LN	10%	✗	0.3%
iShares Global Water UCITS ETF USD (Dist)	DH2O.L / DH2O LN	10%	✗	0.3%
iShares Gold Producers UCITS ETF USD (Acc)	SPGPG.L / SPGP LN	10%	✗	0.3%
iShares Healthcare Innovation UCITS ETF USD (Acc)	HEAL.L / HEAL LN	25%	✓	1%
iShares Healthcare Innovation UCITS ETF USD (Acc)	DRDR.L / DRDR LN	25%	✗	1%
iShares Italy Govt Bond UCITS ETF EUR (Dist)	IITB.L / IITB LN	10%	✗	0.3%
iShares Italy Govt Bond UCITS ETF EUR (Dist)	SITB.L / SITB LN	10%	✗	0.3%
iShares J.P. Morgan \$ EM Bond EUR Hedged UCITS ETF (Dist)	EMBE.L / EMBE LN	10%	✓	0.3%
iShares J.P. Morgan \$ EM Bond UCITS ETF GBP Hedged (Dist)	EMHG.L / EMHG LN	25%	✗	1%
iShares J.P. Morgan \$ EM Bond UCITS ETF USD (Dist)	SEMB.L / SEMB LN	10%	✓	1%
iShares J.P. Morgan \$ EM Corp Bond UCITS ETF USD (Dist)	ISEMCR.L / EMCR LN	10%	✗	0.3%
iShares J.P. Morgan \$ EM Corp Bond UCITS ETF USD (Dist)	EMCP.L / EMCP LN	10%	✓	0.3%
iShares J.P. Morgan EM Local Govt Bond UCITS ETF USD (Dist)	IEML.L / IEML LN	10%	✓	0.3%
iShares J.P. Morgan EM Local Govt Bond UCITS ETF USD				

(Dist)	SEML.L / SEML LN	10%	✗	0.3%
iShares Listed Private Equity UCITS ETF USD (Dist)	IPRV.L / IPRV LN	10%	✓	0.3%
iShares Listed Private Equity UCITS ETF USD (Dist)	IDPE.L / IDPE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan Small Cap UCITS ETF USD (Dist)	ISFE.L / ISFE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan Small Cap UCITS ETF USD (Dist)	IDFE.L / IDFE LN	10%	✗	0.3%
iShares MSCI AC Far East ex-Japan UCITS ETF USD (Dist)	IFFF.L / IFFF LN	10%	✓	0.3%
iShares MSCI ACWI UCITS ETF USD (Acc)	SSAC.L / SSAC LN	10%	✗	0.3%
iShares MSCI ACWI UCITS ETF USD (Acc)	ISACI.L / ISAC LN	10%	✓	0.3%
iShares MSCI Australia UCITS ETF USD (Acc)	SAUS.L / SAUS LN	10%	✗	0.3%
iShares MSCI Australia UCITS ETF USD (Acc)	IAUS.L / IAUS LN	10%	✓	0.3%
iShares MSCI Brazil UCITS ETF USD (Acc)	CSBR.L / CSBR LN	10%	✗	0.3%
iShares MSCI Brazil UCITS ETF USD (Acc)	CBR1.L / CBR1 LN	10%	✗	0.3%
iShares MSCI Brazil UCITS ETF USD (Dist)	IBZL.L / IBZL LN	10%	✗	0.7%
iShares MSCI Canada UCITS ETF USD (Acc)	CCAU.L / CCAU LN	10%	✗	0.3%
iShares MSCI Canada UCITS ETF USD (Acc)	CSCA.L / CSCA LN	10%	✗	0.3%
iShares MSCI China A UCITS ETF USD (Acc)	CNYA.L / CNYA LN	25%	✗	1%
iShares MSCI China A UCITS ETF USD (Acc)	IASH.L / IASH LN	25%	✗	1%
iShares MSCI EM Asia UCITS ETF USD (Acc)	CEMA.L / CEMA LN	10%	✓	0.3%
iShares MSCI EM Asia UCITS ETF USD (Acc)	CEA1.L / CEA1 LN	10%	✓	0.3%
iShares MSCI EM Consumer Growth UCITS ETF USD (Acc)	IEMG.L / IEMG LN	25%	✗	1%
iShares MSCI EM Consumer Growth UCITS ETF USD (Acc)	CEMG.L / CEMG LN	25%	✗	1%
iShares MSCI EM Latin America UCITS ETF USD (Dist)	DLTM.L / DLTM LN	10%	✗	0.3%
iShares MSCI EM Latin America UCITS ETF USD (Dist)	LTAM.L / LTAM LN	10%	✓	0.3%
iShares MSCI EM SRI UCITS ETF USD (Acc)	SUES.L / SUES LN	25%	✗	1%
iShares MSCI EM Small Cap UCITS ETF USD (Dist)	IEMS.L / IEMS LN	10%	✓	0.7%
iShares MSCI EM Small Cap UCITS ETF USD (Dist)	SEMS.L / SEMS LN	10%	✓	0.3%
iShares MSCI EM UCITS ETF USD (Acc)	IEAM.L / IEAM LN	10%	✓	0.3%
iShares MSCI EM UCITS ETF USD (Acc)	SEMA.L / SEMA LN	10%	✓	0.3%
iShares MSCI EM UCITS ETF USD (Dist)	IDEM.L / IDEM LN	10%	✓	0.7%
iShares MSCI EM UCITS ETF USD (Dist)	IEEM.L / IEEM LN	10%	✓	0.3%
iShares MSCI EMU Large Cap UCITS ETF EUR (Acc)	EMUL.L / EMUL LN	10%	✗	0.3%
iShares MSCI EMU Large Cap UCITS ETF EUR (Acc)	EULC.L / EULC LN	10%	✗	0.3%
iShares MSCI EMU Mid Cap UCITS ETF EUR (Acc)	EMUM.L / EMUM LN	10%	✗	0.3%
iShares MSCI EMU Mid Cap UCITS ETF EUR (Acc)	EUMC.L / EUMC LN	10%	✗	0.3%
iShares MSCI EMU Small Cap UCITS ETF EUR (Acc)	CEUS.L / CEUS LN	10%	✗	0.3%
iShares MSCI EMU Small Cap UCITS ETF EUR (Acc)	CES1.L / CES1 LN	10%	✗	0.3%
iShares MSCI EMU UCITS ETF EUR (Acc)	CEU1.L / CEU1 LN	10%	✗	0.3%
iShares MSCI EMU UCITS ETF EUR (Acc)	CEU0.L / CEU LN	10%	✗	0.3%
iShares MSCI EMU USD Hedged UCITS ETF (Acc)	EMUU.L / EMUU LN	25%	✗	1%
iShares MSCI Eastern Europe Capped UCITS ETF USD (Dist)	IDEE.L / IDEE LN	10%	✗	0.3%
iShares MSCI Eastern Europe Capped UCITS ETF USD (Dist)	IEER.L / IEER LN	10%	✗	0.3%
iShares MSCI Emerging Markets Islamic UCITS ETF	ISDE.L / ISDE LN	10%	✓	0.3%
iShares MSCI Emerging Markets Islamic UCITS ETF	ISEM.L / ISEM LN	10%	✓	0.3%
iShares MSCI Europe SRI UCITS ETF EUR (Acc)	IESG.L / IESG LN	10%	✗	0.3%
iShares MSCI Europe SRI UCITS ETF EUR (Acc)	IESE.L / IESE LN	10%	✗	0.3%
iShares MSCI Europe UCITS ETF EUR (Acc)	SMEA.L / SMEA LN	10%	✗	0.3%
iShares MSCI Europe UCITS ETF EUR (Acc)	IMAE.L / IMEA LN	10%	✓	0.3%
iShares MSCI Europe UCITS ETF EUR (Dist)	IMEU.L / IMEU LN	10%	✓	0.3%
iShares MSCI Europe ex-UK GBP Hedged UCITS ETF (Dist)	EUXS.L / EUXS LN	25%	✗	1%

iShares MSCI Europe ex-UK UCITS ETF EUR (Dist)	IEUX.L / IEUX LN	10%	✓	0.3%
iShares MSCI France UCITS ETF EUR (Acc)	IFRE.L / IFRE LN	25%	✗	1%
iShares MSCI France UCITS ETF EUR (Acc)	ISFR.L / ISFR LN	25%	✗	1%
iShares MSCI GCC ex-Saudi Arabia UCITS ETF	IGCC.L / IGCC LN	25%	✗	1%
iShares MSCI GCC ex-Saudi Arabia UCITS ETF	SGCC.L / SGCC LN	25%	✗	1%
iShares MSCI Japan EUR Hedged UCITS ETF (Acc)	IJPE.L / IJPE LN	10%	✓	0.3%
iShares MSCI Japan GBP Hedged UCITS ETF (Acc)	IJPH.L / IJPH LN	10%	✓	0.3%
iShares MSCI Japan SRI EUR Hedged UCITS ETF (Acc)	SUSJ.L / SUSJ LN	25%	✓	1%
iShares MSCI Japan SRI EUR Hedged UCITS ETF (Acc)	SUJS.L / SUJS LN	25%	✗	1%
iShares MSCI Japan SRI UCITS ETF USD (Acc) Share Class	SUJP.L / SUJP LN	25%	✗	1%
iShares MSCI Japan Small Cap UCITS ETF USD (Dist)	ISJP.L / ISJP LN	10%	✓	0.3%
iShares MSCI Japan UCITS ETF USD (Acc)	CSJP.L / CSJP LN	10%	✗	0.3%
iShares MSCI Japan UCITS ETF USD (Dist)	IJPN.L / IJPN LN	10%	✓	0.3%
iShares MSCI Japan USD Hedged UCITS ETF (Acc)	IJPD.L / IJPD LN	10%	✓	0.3%
iShares MSCI Korea UCITS ETF (Dist)	IKOR.L / IKOR LN	25%	✓	0.7%
iShares MSCI Korea UCITS ETF USD (Acc)	CSKR.L / CSKR LN	25%	✗	0.3%
iShares MSCI Korea UCITS ETF USD (Acc)	CKR1.L / CKR1 LN	25%	✗	0.3%
iShares MSCI Mexico Capped UCITS ETF USD (Acc)	CMX1.L / CMX1 LN	10%	✓	0.3%
iShares MSCI North America UCITS ETF USD (Dist)	INAA.L / INAA LN	10%	✗	0.3%
iShares MSCI North America UCITS ETF USD (Dist)	IDNA.L / IDNA LN	10%	✗	0.3%
iShares MSCI Pacific ex-Japan UCITS ETF USD (Dist)	SPXJ.L / SPXJ LN	10%	✗	0.3%
iShares MSCI Pacific ex-Japan UCITS ETF USD (Dist)	IPXJ.L / IPXJ LN	10%	✓	0.3%
iShares MSCI Poland UCITS ETF USD (Acc)	SPOL.L / SPOL LN	10%	⚠	0.3%
iShares MSCI Poland UCITS ETF USD (Acc)	IPLD.L / IPOL LN	10%	✗	0.3%
iShares MSCI Russia ADR GDR UCITS ETF USD (Acc)	CSRU.L / CSRU LN	10%	✗	0.3%
iShares MSCI Russia ADR GDR UCITS ETF USD (Acc)	CRU1.L / CRU1 LN	10%	✗	0.3%
iShares MSCI South Africa UCITS ETF USD (Acc)	SRSA.L / SRSA LN	10%	✓	0.3%
iShares MSCI South Africa UCITS ETF USD (Acc)	IRSA.L / IRSA LN	10%	✗	0.3%
iShares MSCI Taiwan UCITS ETF USD (Dist)	ITWN.L / ITWN LN	10%	✓	0.3%
iShares MSCI Target UK Real Estate UCITS ETF GBP (Dist)	UKRE.L / UKRE LN	10%	✗	1%
iShares MSCI Target UK Real Estate UCITS ETF GBP (Dist)	UKPR.L / UKPR LN	10%	✗	0.3%
iShares MSCI Target US Real Estate UCITS ETF USD (Dist)	USPR.L / USPR LN	10%	✗	1%
iShares MSCI Target US Real Estate UCITS ETF USD (Dist)	USRE.L / USRE LN	10%	✗	0.3%
iShares MSCI Turkey UCITS ETF USD (Dist)	ITKY.L / ITKY LN	10%	✓	0.7%
iShares MSCI UK Small Cap UCITS ETF GBP (Acc)	CUKS.L / CUKS LN	10%	✓	0.3%
iShares MSCI UK UCITS ETF GBP (Acc)	CSUK.L / CSUK LN	10%	✗	0.3%
iShares MSCI USA Islamic UCITS ETF	ISDU.L / ISDU LN	10%	✗	0.3%
iShares MSCI USA Islamic UCITS ETF	ISUS.L / ISUS LN	10%	✗	0.3%
iShares MSCI USA Quality Dividend UCITS ETF USD (Dist)	QDIV.L / QDIV LN	10%	✗	0.3%
iShares MSCI USA Quality Dividend UCITS ETF USD (Dist)	HDIQ.L / HDIQ LN	10%	✗	0.3%
iShares MSCI USA SRI UCITS ETF USD (Acc)	SUUS.L / SUUS LN	25%	✗	1%
iShares MSCI USA SRI UCITS ETF USD (Acc)	SUAS.L / SUAS LN	25%	✗	1%
iShares MSCI USA Small Cap UCITS ETF USD (Acc)	CUS1.L / CUS1 LN	10%	✗	0.3%
iShares MSCI USA Small Cap UCITS ETF USD (Acc)	CUSS.L / CUSS LN	10%	✗	0.3%
iShares MSCI USA UCITS ETF USD (Acc)	CSUS.L / CSUS LN	10%	✗	0.3%
iShares MSCI USA UCITS ETF USD (Acc)	CSU1.L / CU1 LN	10%	✗	0.3%
iShares MSCI World EUR Hedged UCITS ETF (Acc)	IWDE.L / IWDE LN	10%	✓	0.3%
iShares MSCI World GBP Hedged UCITS ETF (Acc)	IGWD.L / IGWD LN	10%	✗	0.3%
iShares MSCI World Islamic UCITS ETF	ISDW.L / ISDW LN	10%	✗	0.3%
iShares MSCI World Islamic UCITS ETF	ISWD.L / ISWD LN	10%	✓	0.3%
iShares MSCI World UCITS ETF USD (Dist)	IWRD.L / IWRD LN	5%	✓	0.3%

iShares NASDAQ 100 UCITS ETF USD (Acc)	CNX1.L / CNX1 LN	10%	✗	0.3%
iShares Nasdaq US Biotechnology UCITS ETF USD (Acc)	BTEK.L / BTEK LN	25%	✗	1%
iShares Nikkei 225 UCITS ETF JPY (Acc)	CNKY.L / CNKY LN	10%	✗	0.3%
iShares OMX Stockholm Capped UCITS ETF	OMXS.L / OMXS LN	10%	✗	0.3%
iShares Oil & Gas Exploration & Production UCITS ETF USD (Acc)	SPOGO.L / SPOG LN	10%	✗	0.3%
iShares Physical Gold ETC	SGLN.L / SGLN LN	10%	✗	0.3%
iShares Physical Gold ETC	IGLN.L / IGLN LN	10%	✓	0.3%
iShares Physical Gold ETC	EGLN.L / EGLN LN	10%	✗	0.3%
iShares Physical Palladium ETC	IPDM.L / IPDM LN	25%	✗	1%
iShares Physical Palladium ETC	SPDM.L / SPDM LN	25%	✗	1%
iShares Physical Platinum ETC	SPLTI.L / SPLT LN	25%	✗	1%
iShares Physical Platinum ETC	IPLTI.L / IPLT LN	25%	✗	1%
iShares Physical Silver ETC	SSLN.L / SSLN LN	10%	✗	0.3%
iShares S&P 500 Consumer Discretionary Sector UCITS ETF USD (Acc)	IUCD.L / IUCD LN	25%	✗	1%
iShares S&P 500 Consumer Discretionary Sector UCITS ETF USD (Acc)	ICDU.L / ICDU LN	25%	✗	1%
iShares S&P 500 EUR Hedged UCITS ETF (Acc)	IUSEA.L / IUSE LN	10%	✓	0.3%
iShares S&P 500 Energy Sector UCITS ETF USD (Acc)	IESU.L / IESU LN	25%	✗	1%
iShares S&P 500 Financials Sector UCITS ETF USD (Acc)	IUFS.L / IUFS LN	25%	✓	1%
iShares S&P 500 Financials Sector UCITS ETF USD (Acc)	UIFS.L / UIFS LN	25%	✓	1%
iShares S&P 500 GBP Hedged UCITS ETF (Acc)	IGUS.L / IGUS LN	10%	✗	0.3%
iShares S&P 500 Health Care Sector UCITS ETF USD (Acc)	IUHC.L / IUHC LN	25%	✓	1%
iShares S&P 500 Health Care Sector UCITS ETF USD (Acc)	IHCU.L / IHCU LN	25%	✓	1%
iShares S&P 500 Information Technology Sector UCITS ETF USD (Acc)	IUIT.L / IUIT LN	25%	✗	1%
iShares S&P 500 Information Technology Sector UCITS ETF USD (Acc)	IITU.L / IITU LN	25%	✗	1%
iShares S&P 500 UCITS ETF USD (Dist)	IUSA.L / IUSA LN	10%	✓	0.3%
iShares S&P Small Cap 600 UCITS ETF USD (Dist)	ISP6.L / ISP6 LN	10%	✓	0.3%
iShares S&P Small Cap 600 UCITS ETF USD (Dist)	IDP6.L / IDP6 LN	10%	✗	0.3%
iShares STOXX Europe 50 UCITS ETF EUR (Dist)	EUN.L / EUN LN	10%	✓	0.3%
iShares Spain Govt Bond UCITS ETF EUR (Dist)	IESP.L / IESP LN	10%	✗	0.3%
iShares Spain Govt Bond UCITS ETF EUR (Dist)	ISSESP.L / SESP LN	10%	✗	0.3%
iShares TA-25 Israel UCITS ETF USD (Acc)	TASE.L / TASE LN	25%	✗	1%
iShares UK Dividend UCITS ETF GBP (Dist)	IUKD.L / IUKD LN	10%	✓	0.3%
iShares UK Gilts 0-5yr UCITS ETF GBP (Dist)	IGLS.L / IGLS LN	10%	✓	0.3%
iShares UK Property UCITS ETF GBP (Dist)	IUKP.L / IUKP LN	10%	✓	0.7%
iShares US Aggregate Bond UCITS ETF USD (Dist)	SUAG.L / SUAG LN	10%	✗	0.3%
iShares US Equity Buyback Achievers UCITS ETF USD (Acc)	BACS.L / BACS LN	25%	✗	1%
iShares US Mortgage Backed Securities UCITS ETF USD (Dist)	IMBS.L / IMBS LN	10%	✓	0.3%
iShares US Mortgage Backed Securities UCITS ETF USD (Dist)	SMBS.L / SMBS LN	10%	✓	0.3%
iShares US Property Yield UCITS ETF USD (Dist)	IUSP.L / IUSP LN	10%	✓	0.3%
iShares US Property Yield UCITS ETF USD (Dist)	IDUP.L / IDUP LN	10%	✗	0.3%
iShares V Public Limited Company - iShares Austria Government Bond UCITS ETF	IAUT.L / IAUT LN	25%	✗	1%
iShares V Public Limited Company - iShares Austria Government Bond UCITS ETF	SAUT.L / SAUT LN	25%	✗	1%
iShares V Public Limited Company - iShares Belgium Government Bond UCITS ETF	IBEL.L / IBEL LN	10%	✗	0.3%
iShares V Public Limited Company - iShares Belgium Government Bond UCITS ETF	ISSBEL.L / SBEL LN	10%	✗	0.3%
iShares V Public Limited Company - iShares Finland				

Government Bond UCITS ETF	SFIN.L / SFIN LN	25%	✗	1%
iShares V Public Limited Company - iShares Finland Government Bond UCITS ETF	IFIN.L / IFIN LN	25%	✗	1%
iShares V Public Limited Company - iShares Netherlands Government Bond UCITS ETF	INLD.L / INLD LN	25%	✗	1%
iShares V Public Limited Company - iShares Netherlands Government Bond UCITS ETF	SNLD.L / SNLD LN	25%	✗	1%
iShares VII Public Limited Company - iShares MSCI UK Large Cap UCITS ETF	CUKL.L / CUKL LN	25%	✗	1%
iShares iBonds Sep 2018 \$ Corp UCITS ETF USD (Dist)	UI18.L / UI18 LN	10%	✗	0.3%
iShares iBonds Sep 2018 \$ Corp UCITS ETF USD (Dist)	GI18.L / GI18 LN	10%	✗	0.3%
intu properties PLC	INTUP.L / INTU LN	10%	✓	0.7%
iomart Group PLC	IOMG.L / IOM LN	25%	✓	1%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader

UNITED STATES

Stock	Ticker	1.Margin Rate	2.Can go short?*	3.Limited Risk Premium
1st Source Corporation	SRCE.O / SRCE US	20%	✓	0.3%
21Vianet Group Inc	VNET.O / VNET US	15%	✓	0.3%
22nd Century Group Inc	XXII.A / XXII US	20%	✗	0.3%
2U Inc	TWOU.O / TWOU US	10%	✓	0.3%
3D Systems Corporation	DDD.N / DDD US	10%	✗	0.3%
3M Company	MMM.N / MMM US	5%	✓	0.3%
500.com Limited	WBAI.N / WBAI US	20%	✗	0.3%
51job Inc	JOBS.O / JOBS US	10%	✓	0.3%
58.com Inc	WUBA.N / WUBA US	10%	✓	0.3%
8point3 Energy Partners LP	CAFD.O / CAFD US	15%	✗	0.3%
8x8 Inc	EGHT.K / EGHT US	10%	✓	0.3%
A. O. Smith Corporation	AOS.N / AOS US	10%	✓	0.3%
A. Schulman Inc	SHLM.O / SHLM US	10%	✗	0.3%
A10 Networks Inc	ATEN.K / ATEN US	15%	✗	0.3%
AAON Inc	AAON.O / AAON US	15%	✗	0.3%
AAR Corporation	AIR.N / AIR US	10%	✓	0.3%
ABB Limited	ABB.N / ABB US	10%	✓	0.3%
ABIOMED Inc	ABMD.O / ABMD US	10%	✓	0.3%
ABM Industries Inc	ABM / ABM US	10%	✓	0.3%
AC Immune SA	ACIU.O / ACIU US	25%	✗	0.3%
ACADIA Pharmaceuticals Inc	ACAD.O / ACAD US	10%	✓	0.3%
ACCO Brands Corporation	ACCO.K / ACCO US	10%	✓	0.3%
ACI Worldwide Inc	ACIW.O / ACIW US	10%	✓	0.3%
ADT Inc	ADT / ADT US	10%	✓	0.3%
ADTRAN Inc	ADTN.O / ADTN US	10%	✓	0.3%
AECOM	ACM.N / ACM US	10%	✓	0.3%
AEP Industries Inc	AEPI.O / AEPI US	20%	✓	0.3%
AG Mortgage Investment Trust Inc	MITT.K / MITT US	20%	✗	0.3%

AGCO Corporation	AGCO.K / AGCO US	10%	✓	0.3%
AGNC Investment Corporation	AGNC.O / AGNC US	10%	✓	0.3%
AI Powered Equity ETF	AIEQ.P / AIEQ US	25%	✗	0.3%
AK Steel Holding Corporation	AKS.N / AKS US	10%	✓	0.3%
ALLETE Inc	ALE.N / ALE US	10%	✓	0.3%
ALPS Medical Breakthroughs ETF	SBIO.P / SBIO US	25%	✗	0.3%
AMAG Pharmaceuticals Inc	AMAG.O / AMAG US	15%	✓	0.3%
AMC Entertainment Holdings Inc	AMC / AMC US	10%	✗	0.3%
AMC Networks Inc	AMCX.O / AMCX US	10%	✓	0.3%
AMER Company	UHAL.O / UHAL US	10%	✓	0.3%
AMETEK Inc	AME.N / AME US	5%	✓	0.3%
AMN Healthcare Services Inc	AMN / AMN US	10%	✓	0.3%
ANI Pharmaceuticals Inc	ANIP.O / ANIP US	10%	✗	0.3%
ARIAD Pharmaceuticals Inc	ARIA.O / ARIA US	25%	✓	0.3%
ARK Genomic Revolution Multi-Sector ETF	ARKG.P / ARKG US	25%	✗	0.3%
ARK Industrial Innovation ETF	ARKQ.P / ARKQ US	25%	✗	0.3%
ARK Innovation ETF	ARKK.P / ARKK US	25%	✗	0.3%
ARK Web x.0 ETF	ARKW.P / ARKW US	25%	✗	0.3%
ARMO BioSciences Inc	ARMO.O / ARMO US	25%	✗	0.3%
ARMOUR Residential REIT Inc	ARR / ARR US	10%	✓	0.3%
ARRIS International PLC	ARRS.O / ARRS US	10%	✓	0.3%
ASA Gold and Precious Metals Limited	ASA.N / ASA US	25%	✓	0.3%
ASML Holding NV	ASML.O / ASML US	10%	✓	0.3%
AT&T Inc	T.N / T US	5%	✓	0.3%
ATN International Inc	ATNI.O / ATNI US	15%	✓	0.3%
AV Homes Inc	AVHI.O / AVHI US	20%	✓	0.3%
AVEO Pharmaceuticals Inc	AVEO.O / AVEO US	25%	✓	0.3%
AVX Corporation	AVX.N / AVX US	20%	✓	0.3%
AXIS Capital Holdings Limited	AXS.N / AXS US	10%	✓	0.3%
AXT Inc	AXTI.O / AXTI US	20%	✗	0.3%
AZZ Inc	AZZ / AZZ US	15%	✗	0.3%
Aaron's Inc	AAN.N / AAN US	10%	✓	0.3%
Abaxis Inc	ABAX.O / ABAX US	15%	✗	0.3%
AbbVie Inc	ABBV.N / ABBV US	5%	✓	0.3%
Abbott Laboratories	ABT.N / ABT US	5%	✓	0.3%
Abeona Therapeutics Inc	ABEO.O / ABEO US	25%	✗	0.3%
Abercrombie & Fitch Company	ANF.N / ANF US	10%	✓	0.3%
Aberdeen Emerging Markets Equity Income Fund Inc	AEF.A / AEF US	10%	✗	0.3%
Abraxas Petroleum Corporation	AXAS.O / AXAS US	20%	✗	0.3%
Acacia Communications Inc	ACIA.O / ACIA US	10%	✗	0.3%
Acacia Research Corporation	ACTG.O / ACTG US	20%	✗	0.3%
Acadia Healthcare Company Inc	ACHC.O / ACHC US	10%	✓	0.3%
Acadia Realty Trust	AKR / AKR US	10%	✗	0.3%
Accelerate Diagnostics Inc	AXDX.O / AXDX US	25%	✗	0.3%
Accelaron Pharma Inc	XLRN.O / XLRN US	25%	✗	0.3%
Accenture PLC	ACN.N / ACN US	5%	✓	0.3%
Access National Corporation	ANCX.O / ANCX US	25%	✓	0.3%
Accuray Inc	ARAY.O / ARAY US	25%	✗	0.3%
Achaogen Inc	AKAO.O / AKAO US	25%	✗	0.3%
Achillion Pharmaceuticals Inc	ACHN.O / ACHN US	25%	✓	0.3%
Aclaris Therapeutics Inc	ACRS.O / ACRS US	25%	✗	0.3%
Acorda Therapeutics Inc	ACOR.O / ACOR US	20%	✓	0.3%
Activision Blizzard Inc	ATVI.O / ATVI US	5%	✓	0.3%
Actuant Corporation	ATU.N / ATU US	10%	✓	0.3%

Acuity Brands Inc	AYI.N / AYI US	5%	✓	0.3%
Acxiom Corporation	ACXM.O / ACXM US	10%	✓	0.3%
Adamas Pharmaceuticals Inc	ADMS.O / ADMS US	25%	✓	0.3%
Adams Diversified Equity Fund Inc	ADX.N / ADX US	20%	✓	0.3%
Adams Natural Resources Fund Inc	PEO.N / PEO US	20%	✓	0.3%
Addus HomeCare Corporation	ADUS.O / ADUS US	25%	✗	0.3%
Adecoagro SA	AGRO.K / AGRO US	10%	✓	0.3%
Adient PLC	ADNT.N / ADNT US	10%	✓	0.3%
Adobe Systems Inc	ADBE.O / ADBE US	5%	✓	0.3%
Adtalem Global Education Inc	ATGE.N / ATGE US	10%	✓	0.3%
Aduro BioTech Inc	ADRO.O / ADRO US	25%	✗	0.3%
AdvanSix Inc	ASIX.K / ASIX US	10%	✗	0.3%
Advance Auto Parts Inc	AAP.N / AAP US	5%	✓	0.3%
AdvancePierre Foods Holdings Inc	APFH.N / APFH US	15%	✓	0.3%
Advanced Drainage Systems Inc	WMS / WMS US	10%	✗	0.3%
Advanced Emissions Solutions Inc	ADES.O / ADES US	25%	✓	0.3%
Advanced Energy Industries Inc	AEIS.O / AEIS US	10%	✓	0.3%
Advanced Micro Devices Inc	AMD.O / AMD US	10%	✓	0.3%
Advantage Oil & Gas Limited	AAV / AAV US	25%	✗	0.3%
AdvisorShares Athena High Dividend ETF	DIVI.P / DIVI US	25%	✓	0.3%
AdvisorShares Gartman Gold Yen ETF	GYEN.P / GYEN US	25%	✗	0.3%
AdvisorShares Peritus High Yield ETF	HYLD.P / HYLD US	10%	✗	0.3%
AdvisorShares Ranger Equity Bear ETF	HDGE.P / HDGE US	25%	⚠	0.3%
AdvisorShares Wilshire Buyback ETF	TTFS.K / TTFS US	10%	✗	0.3%
Advisorshares Trust - Advisorshares New Tech and Media ETF	FNG.P / FNG US	25%	✗	0.3%
Aegion Corporation	AEGN.O / AEGN US	15%	✓	0.3%
AerCap Holdings NV	AER.N / AER US	10%	✓	0.3%
Aerie Pharmaceuticals Inc	AERI.O / AERI US	25%	✓	0.3%
AeroVironment Inc	AVAV.O / AVAV US	10%	✓	0.3%
Aerohive Networks Inc	HIVE.K / HIVE US	20%	✗	0.3%
Aerojet Rocketdyne Holdings Inc	AJRD.N / AJRD US	10%	✓	0.3%
Aetna Inc	AET.N / AET US	10%	✓	0.3%
Affiliated Managers Group Inc	AMG.N / AMG US	5%	✓	0.3%
Aflac Inc	AFL.N / AFL US	5%	✓	0.3%
Agenus Inc	AGEN.O / AGEN US	25%	✗	0.3%
Agilent Technologies Inc	A.N / A US	10%	✓	0.3%
Agios Pharmaceuticals Inc	AGIO.O / AGIO US	10%	✓	0.3%
Agnico Eagle Mines Limited	AEM.N / AEM US	5%	✓	0.3%
Agree Realty Corporation	ADC / ADC US	10%	✗	0.3%
Agrium Inc	AGU.N / AGU US	5%	✓	0.3%
AgroFresh Solutions Inc	AGFS.O / AGFS US	25%	✓	0.3%
Aimmune Therapeutics Inc	AIMT.O / AIMT US	25%	✓	0.3%
Air Lease Corporation	AL.N / AL US	10%	✓	0.3%
Air Methods Corporation	AIRM.O / AIRM US	10%	✓	0.3%
Air Products and Chemicals Inc	APD.N / APD US	10%	✓	0.3%
Air Transport Services Group Inc	ATSG.O / ATSG US	10%	✓	0.3%
Aircastle Limited	AYR.N / AYR US	15%	✓	0.3%
Akamai Technologies Inc	AKAM.O / AKAM US	5%	✓	0.3%
Akebia Therapeutics Inc	AKBA.O / AKBA US	10%	✗	0.3%
Akorn Inc	AKRX.O / AKRX US	10%	✓	0.3%
Alamo Group Inc	ALG / ALG US	15%	✓	0.3%
Alamos Gold Inc	AGI / AGI US	15%	✗	0.3%
Alarm.Com Holdings Inc	ALRM.O / ALRM US	10%	✗	0.3%

Alaska Air Group Inc	ALK.N / ALK US	5%	✓	0.3%
Albany International Corporation	AIN.N / AIN US	10%	✓	0.3%
Albany Molecular Research Inc	AMRI.O / AMRI US	15%	✓	0.3%
Albemarle Corporation	ALB.N / ALB US	5%	✓	0.3%
Albireo Pharma Inc	ALBO.O / ALBO US	25%	✗	0.3%
Alcoa Corporation	AA.N / AA US	5%	✓	0.3%
Alder Biopharmaceuticals Inc	ALDR.O / ALDR US	25%	✗	0.3%
Alere Inc	ALR.N / ALR US	15%	✓	0.3%
Alerian MLP ETF	AML.P / AMLP US	10%	✗	0.3%
Alexander & Baldwin Inc	ALEX.K / ALEX US	10%	✓	0.3%
Alexander's Inc	ALX.N / ALX US	20%	✓	0.3%
Alexandria Real Estate Equities Inc	ARE / ARE US	10%	✓	0.3%
Alexion Pharmaceuticals Inc	ALXN.O / ALXN US	5%	✓	0.3%
Alibaba Group Holding Limited	BABA.K / BABA US	10%	✓	0.3%
Alico Inc	ALCO.O / ALCO US	25%	✓	0.3%
Align Technology Inc	ALGN.O / ALGN US	10%	✓	0.3%
Alio Gold Inc	ALO.A / ALO US	20%	✓	0.3%
Alkermes PLC	ALKS.O / ALKS US	10%	✓	0.3%
Alleghany Corporation	Y / Y US	10%	✓	0.3%
Allegheny Technologies Inc	ATI.N / ATI US	25%	✓	0.3%
Allegiance Bancshares Inc	ABTX.O / ABTX US	25%	✗	0.3%
Allegiant Travel Company	ALGT.O / ALGT US	10%	✓	0.3%
Allegion PLC	ALLE.N / ALLE US	5%	✓	0.3%
Allergan PLC	AGN.N / AGN US	5%	✓	0.3%
Alliance Data Systems Corporation	ADS.N / ADS US	5%	✓	0.3%
Alliance Holdings GP LP	AHGP.O / AHGP US	20%	✗	0.3%
Alliance Resource Partners LP	ARLP.O / ARLP US	15%	✓	0.3%
AllianceBernstein Holding LP	AB.N / AB US	10%	✓	0.3%
Alliant Energy Corporation	LNT.N / LNT US	5%	✓	0.3%
AllianzGI Convertible & Income Fund	NCV.N / NCV US	20%	✓	0.3%
AllianzGI Convertible & Income Fund II	NCZ / NCZ US	10%	✗	0.3%
AllianzGI NFJ Dividend Interest & Premium Strategy Fund	NFJ.N / NFJ US	20%	✓	0.3%
Allied Motion Technologies Inc	AMOT.O / AMOT US	25%	✗	0.3%
Allied World Assurance Company Holding SA	AWH / AWHHF US	10%	✓	0.3%
Allison Transmission Holdings Inc	ALSN.N / ALSN US	10%	✓	0.3%
Allscripts Healthcare Solutions Inc	MDRX.O / MDRX US	10%	✓	0.3%
Ally Financial Inc	ALLY.N / ALLY US	10%	✓	0.3%
Almost Family Inc	AFAM.O / AFAM US	15%	✓	0.3%
Alnylam Pharmaceuticals Inc	ALNY.O / ALNY US	10%	✓	0.3%
Alon USA Energy Inc	ALJ.N / ALJ US	15%	✓	0.3%
Alon USA Partners LP	ALDW.K / ALDW US	20%	✗	0.3%
Alpha and Omega Semiconductor Limited	AOSL.O / AOSL US	20%	✓	0.3%
AlphaClone Alternative Alpha ETF	ALFA.P / ALFA US	25%	✓	0.3%
Alphabet Inc	GOOGL.O / GOOGL US	5%	✓	0.3%
Alphabet Inc	GOOG.O / GOOG US	5%	✓	0.3%
Altaba Inc	AABA.O / AABA US	5%	✓	0.3%
Altair Engineering Inc	ALTR.O / ALTR US	20%	✓	0.3%
Altice USA Inc	ATUS.K / ATUS US	10%	✗	0.3%
Altisource Portfolio Solutions SA	ASPS.O / ASPS US	15%	✓	0.3%
Altisource Residential Corporation	RESI.K / RESI US	15%	✓	0.3%
Altra Industrial Motion Corporation	AIMC.O / AIMC US	10%	✓	0.3%
Altria Group Inc	MO.N / MO US	5%	✓	0.3%
AmSurg Corporation	AMSG.O / AMSG US	10%	✓	0.3%

AmTrust Financial Services Inc	AFSI.O / AFSI US	10%	✓	0.3%
Amarin Corporation PLC	AMRN.O / AMRN US	25%	✓	0.3%
Amazon.com Inc	AMZN.O / AMZN US	5%	✓	0.3%
Ambac Financial Group Inc	AMBC.O / AMBC US	15%	✓	0.3%
Ambarella Inc	AMBA.O / AMBA US	10%	✓	0.3%
Amber Road Inc	AMBR.K / AMBR US	25%	✓	0.3%
Amdocs Limited	DOX.O / DOX US	10%	✓	0.3%
Amedisys Inc	AMED.O / AMED US	10%	✓	0.3%
Ameren Corporation	AEE.N / AEE US	5%	✓	0.3%
AmeriCold Realty Trust	COLD.K / COLD US	10%	✓	0.3%
AmeriGas Partners LP	APU / APU US	10%	✓	0.3%
America's Car-Mart Inc	CRMT.O / CRMT US	20%	✓	0.3%
American Airlines Group Inc	AAL.O / AAL US	5%	✓	0.3%
American Assets Trust Inc	AAT / AAT US	10%	✓	0.3%
American Axle & Manufacturing Holdings Inc	AXL.N / AXL US	10%	✓	0.3%
American Campus Communities Inc	ACC.N / ACC US	10%	✓	0.3%
American Capital Limited	ACAS.O / ACAS US	10%	✓	0.3%
American Eagle Outfitters Inc	AEO.N / AEO US	10%	✓	0.3%
American Electric Power Company Inc	AEP.N / AEP US	5%	✓	0.3%
American Equity Investment Life Holding Company	AEL.N / AEL US	10%	✓	0.3%
American Express Company	AXP.N / AXP US	5%	✓	0.3%
American Financial Group Inc	AFG.N / AFG US	10%	✓	0.3%
American Homes 4 Rent	AMH / AMH US	10%	✓	0.3%
American International Group Inc	AIG.N / AIG US	5%	✓	0.3%
American Midstream Partners LP	AMID.K / AMID US	20%	✗	0.3%
American National Bankshares Inc	AMNB.O / AMNB US	25%	✓	0.3%
American National Insurance Company	ANAT.O / ANAT US	20%	✓	0.3%
American Outdoor Brands Corporation	AOBC.O / AOBC US	10%	✓	0.3%
American Public Education Inc	APEI.O / APEI US	20%	✓	0.3%
American Railcar Industries Inc	ARII.O / ARII US	15%	✗	0.3%
American Software Inc	AMSWA.O / AMSWA US	25%	✗	0.3%
American States Water Company	AWR.N / AWR US	10%	✓	0.3%
American Tower Corporation	AMT.N / AMT US	5%	✓	0.3%
American Vanguard Corporation	AVD / AVD US	20%	✓	0.3%
American Water Works Company Inc	AWK.N / AWK US	5%	✓	0.3%
American Woodmark Corporation	AMWD.O / AMWD US	10%	✓	0.3%
Ameriprise Financial Inc	AMP.N / AMP US	5%	✓	0.3%
Ameris Ban Corporation	ABCB.O / ABCB US	10%	✓	0.3%
Amerisafe Inc	AMSF.O / AMSF US	15%	✗	0.3%
AmerisourceBergen Corporation	ABC.N / ABC US	10%	✓	0.3%
Ames National Corporation	ATLO.O / ATLO US	25%	✓	0.3%
Amgen Inc	AMGN.O / AMGN US	5%	✓	0.3%
Amicus Therapeutics Inc	FOLD.O / FOLD US	25%	✗	0.3%
Amkor Technology Inc	AMKR.O / AMKR US	10%	✓	0.3%
Amneal Pharmaceuticals Inc.	AMRX.N / AMRX US	10%	✓	0.3%
Amphastar Pharmaceuticals Inc	AMPH.O / AMPH US	15%	✗	0.3%
Amphenol Corporation	APH.N / APH US	5%	✓	0.3%
Ampio Pharmaceuticals Inc	AMPE.A / AMPE US	25%	☐	0.3%
Amplify ETF Trust - Amplify EASI Tactical Growth ETF	EASI.P / EASI US	25%	✗	0.3%
Amplify Online Retail ETF	IBUY.O / IBUY US	10%	☐	0.3%
Amplify Snack Brands Inc	BETR.K / BETR US	20%	☐	0.3%
Amplify Transformational Data Sharing ETF	BLOK.P / BLOK US	25%	✗	0.3%
Anadarko Petroleum Corporation	APC.N / APC US	10%	✓	0.3%
Analog Devices Inc	ADI.O / ADI US	5%	✓	0.3%

Analogic Corporation	ALOG.O / ALOG US	10%	✓	0.3%
AnaptysBio Inc	ANAB.O / ANAB US	25%	✓	0.3%
Andeavor	ANDV.K / ANDV US	5%	✓	0.3%
Angi Homeservices Inc - A	ANGI.O / ANGI US	15%	✓	0.3%
AngioDynamics Inc	ANGO.O / ANGO US	15%	✗	0.3%
Anheuser-Busch InBev SA NV	BUD.N / BUD US	10%	✓	0.3%
Anika Therapeutics Inc	ANIK.O / ANIK US	15%	✓	0.3%
Anixter International Inc	AXE.N / AXE US	10%	✓	0.3%
Annaly Capital Management Inc	NLY.N / NLY US	10%	✓	0.3%
Ansys Inc	ANSS.O / ANSS US	10%	✓	0.3%
Antares Pharma Inc	ATRS.O / ATRS US	25%	✓	0.3%
Antero Midstream Partners LP	AM / AM US	10%	✗	0.3%
Antero Resources Corporation	AR.N / AR US	10%	✓	0.3%
Anthem Inc	ANTM.K / ANTM US	5%	✓	0.3%
Anworth Mortgage Asset Corporation	ANH.N / ANH US	20%	✓	0.3%
Aon PLC	AON.N / AON US	5%	✓	0.3%
Apache Corporation	APA.N / APA US	5%	✓	0.3%
Apartment Investment and Management Company	AIV.N / AIV US	5%	✓	0.3%
Apergy Corporation	APY / APY US	25%	✗	0.3%
Apigee Corporation	APIC.O / APIC US	20%	✓	0.3%
Apogee Enterprises Inc	APOG.O / APOG US	10%	✓	0.3%
Apollo Commercial Real Estate Finance Inc	ARI / ARI US	10%	✗	0.3%
Apollo Education Group Inc	APOL.O / APOL US	10%	✓	0.3%
Apollo Investment Corporation	AINV.O / AINV US	15%	⊠	0.3%
AppFolio Inc	APPF.O / APPF US	15%	✓	0.3%
Appian Corporation	APPN.O / APPN US	15%	✗	0.3%
Apple Hospitality REIT Inc	APLE.K / APLE US	10%	✗	0.3%
Apple Inc	AAPL.O / AAPL US	5%	✓	0.3%
Applied Industrial Technologies Inc	AIT.N / AIT US	10%	✓	0.3%
Applied Materials Inc	AMAT.O / AMAT US	5%	✓	0.3%
Applied Micro Circuits Corporation	AMCC.O / AMCC US	15%	✓	0.3%
Applied Optoelectronics Inc	AAOI.O / AAOI US	10%	✗	0.3%
Apptio Inc	APTI.O / APTI US	10%	✓	0.3%
AptarGroup Inc	ATR / ATR US	10%	✗	0.3%
Aptiv PLC	APTV.K / APTV US	5%	✓	0.3%
Aqua America Inc	WTR.N / WTR US	10%	✓	0.3%
AquaVenture Holdings Limited	WAAS.K / WAAS US	20%	✓	0.3%
Aquantia Corporation	AQ / AQ US	25%	✗	0.3%
Aramark	ARMK.K / ARMK US	10%	✓	0.3%
Aratana Therapeutics Inc	PETX.O / PETX US	25%	✗	0.3%
Arbor Realty Trust Inc	ABR / ABR US	25%	✓	0.3%
Arbutus Biopharma Corporation	ABUS.O / ABUS US	25%	✓	0.3%
Arc Logistics Partners LP	ARCX.N / ARCX US	20%	✗	0.3%
ArcBest Corporation	ARCB.O / ARCB US	10%	✗	0.3%
Arcadia Biosciences Inc	RKDA.O / RKDA US	25%	✗	0.3%
ArcelorMittal	MT.N / MT US	10%	✗	0.3%
Arch Capital Group Limited	ACGL.O / ACGL US	10%	✓	0.3%
Arch Coal Inc	ARCH.N / ARCH US	25%	✗	0.3%
Archer-Daniels-Midland Company	ADM.N / ADM US	5%	✓	0.3%
Archrock Inc	AROC.K / AROC US	15%	✓	0.3%
Archrock Partners LP	APLP.O / APLP US	20%	✓	0.3%
Arconic Inc	ARNC.N / ARNC US	10%	✓	0.3%
Arcos Dorados Holdings Inc	ARCO.K / ARCO US	15%	✓	0.3%
Arctic Cat Inc	ACAT.O / ACAT US	20%	✗	0.3%

Ardelyx Inc	ARDX.O / ARDX US	25%	✗	0.3%
Ardmore Shipping Corporation	ASC / ASC US	20%	✓	0.3%
Arena Pharmaceuticals Inc	ARNA.O / ARNA US	25%	✓	0.3%
Ares Capital Corporation	ARCC.O / ARCC US	10%	✓	0.3%
Ares Commercial Real Estate Corporation	ACRE.K / ACRE US	20%	✗	0.3%
Ares Dynamic Credit Allocation Fund Inc	ARDC.K / ARDC US	25%	✗	0.3%
Ares Management LP	ARES.N / ARES US	20%	✓	0.3%
Argan Inc	AGX / AGX US	10%	✓	0.3%
Argo Group International Holdings Limited	ARGO.N / ARGO US	10%	✓	0.3%
Arista Networks Inc	ANET.N / ANET US	10%	✓	0.3%
Arlington Asset Investment Corporation	AI / AI US	20%	✗	0.3%
Armada Hoffler Properties Inc	AHH / AHH US	15%	✓	0.3%
Armstrong Flooring Inc	AFI / AFI US	20%	✗	0.3%
Armstrong World Industries Inc	AWI / AWI US	10%	✓	0.3%
Array BioPharma Inc	ARRY.O / ARRY US	25%	✓	0.3%
Arrow Electronics Inc	ARW.N / ARW US	10%	✓	0.3%
Arrow Financial Corporation	AROW.O / AROW US	25%	✓	0.3%
Arrowhead Pharmaceuticals Inc	ARWR.O / ARWR US	25%	✓	0.3%
Artesian Resources Corporation	ARTNA.O / ARTNA US	25%	✓	0.3%
Arthur J. Gallagher & Company	AJG.N / AJG US	5%	✓	0.3%
Artisan Partners Asset Management Inc	APAM.K / APAM US	10%	✓	0.3%
Asanko Gold Inc	AKG.A / AKG US	25%	✗	0.3%
Asbury Automotive Group Inc	ABG.N / ABG US	10%	✓	0.3%
Ascena Retail Group Inc	ASNA.O / ASNA US	15%	✓	0.3%
Ascendis Pharma AS	ASND.O / ASND US	15%	✓	0.3%
Ashford Hospitality Prime Inc	BHR / BHR US	20%	✓	0.3%
Ashford Hospitality Trust Inc	AHT.N / AHT US	15%	✓	0.3%
Ashland Global Holdings Inc	ASH.N / ASH US	10%	✓	0.3%
Aspen Insurance Holdings Limited	AHL / AHL US	10%	✓	0.3%
Aspen Technology Inc	AZPN.O / AZPN US	10%	✓	0.3%
Assembly Biosciences Inc	ASMB.O / ASMB US	25%	✓	0.3%
Associated Banc- Corporation	ASB.N / ASB US	10%	✓	0.3%
Assurant Inc	AIZ.N / AIZ US	10%	✓	0.3%
Assured Guaranty Limited	AGO.N / AGO US	10%	✓	0.3%
Astec Industries Inc	ASTE.O / ASTE US	10%	✓	0.3%
Astoria Financial Corporation	AF.N / AF US	10%	✓	0.3%
AstraZeneca PLC	AZN.N / AZN US	10%	✓	0.3%
Astronics Corporation	ATRO.O / ATRO US	15%	✓	0.3%
At Home Group Inc	HOME.K / HOME US	15%	✓	0.3%
Atara Biotherapeutics Inc	ATRA.O / ATRA US	25%	✗	0.3%
Athene Holding Limited	ATH / ATH US	10%	✗	0.3%
Athenex Inc	ATNX.O / ATNX US	25%	✗	0.3%
Athersys Inc	ATHX.O / ATHX US	25%	✗	0.3%
Atkore International Group Inc	ATKR.K / ATKR US	10%	✗	0.3%
Atlantic Capital Bancshares Inc	ACBI.O / ACBI US	25%	✓	0.3%
Atlantica Yield PLC	AY.O / AY US	25%	✗	0.3%
Atlas Air Worldwide Holdings Inc	AAWW.O / AAWW US	10%	✓	0.3%
Atlassian Corporation PLC	TEAM.O / TEAM US	10%	✗	0.3%
Atmos Energy Corporation	ATO.N / ATO US	10%	✓	0.3%
AtriCure Inc	ATRC.O / ATRC US	25%	✗	0.3%
Atrion Corporation	ATRI.O / ATRI US	15%	✗	0.3%
Atwood Oceanics Inc	ATW.N / ATW US	15%	✓	0.3%
Audentes Therapeutics Inc	BOLD.O / BOLD US	25%	✓	0.3%
Aurinia Pharmaceuticals Inc	AUPH.O / AUPH US	25%	⚠	0.3%

AutoNation Inc	AN.N / AN US	10%	✓	0.3%
AutoZone Inc	AZO.N / AZO US	5%	✓	0.3%
Autodesk Inc	ADSK.O / ADSK US	5%	✓	0.3%
Autohome Inc	ATHM.N / ATHM US	10%	✓	0.3%
Autoliv Inc	ALV.N / ALV US	10%	✓	0.3%
Automatic Data Processing Inc	ADP.O / ADP US	5%	✓	0.3%
Avalara Inc	AVLR.K / AVLR US	20%	✓	0.3%
AvalonBay Communities Inc	AVB.N / AVB US	5%	✓	0.3%
Avangrid Inc	AGR / AGR US	10%	✓	0.3%
AveXis Inc	AVXS.O / AVXS US	40%	✗	0.3%
Avery Dennison Corporation	AVY.N / AVY US	5%	✓	0.3%
Avis Budget Group Inc	CAR.O / CAR US	10%	✓	0.3%
Avista Corporation	AVA.N / AVA US	10%	✓	0.3%
Avnet Inc	AVT.O / AVT US	10%	✓	0.3%
Avon Products Inc	AVP.N / AVP US	10%	✓	0.3%
Axalta Coating Systems Limited	AXTA.K / AXTA US	10%	✗	0.3%
Axcelis Technologies Inc	ACLS.O / ACLS US	10%	✓	0.3%
AxelaTrader 3x Long Brent Crude Oil ETN	UBRT.P / UBRT US	25%	✗	0.3%
AxoGen Inc	AXGN.O / AXGN US	25%	✗	0.3%
Axon Enterprise Inc	AAXN.O / AAXN US	10%	✓	0.3%
B Communications Limited	BCOM.O / BCOM US	25%	✗	0.3%
B E Aerospace Inc	BEAV.O / BEAV US	10%	✓	0.3%
B&G Foods Inc	BGS.N / BGS US	10%	✗	0.3%
B. Riley Financial Inc	RILY.O / RILY US	20%	✗	0.3%
B2Gold Corporation	BTG.A / BTG US	10%	✓	0.3%
BATS Global Markets Inc	BATS.N / BATS US	15%	✗	0.3%
BB&T Corporation	BBT / BBT US	5%	✓	0.3%
BCE Inc	BCE.N / BCE US	5%	✓	0.3%
BEST Inc	BSTI.K / BSTI US	25%	✓	0.3%
BGC Partners Inc	BGCP.O / BGCP US	10%	✓	0.3%
BHP Billiton Limited	BHP.N / BHP US	10%	✓	0.3%
BHP Billiton PLC	BBL.N / BBL US	10%	✓	0.3%
BJ's Restaurants Inc	BJRI.O / BJRI US	10%	✗	0.3%
BMC Stock Holdings Inc	BMCH.O / BMCH US	10%	✗	0.3%
BNC Ban Corporation	BNCN.O / BNCN US	15%	✗	0.3%
BOK Financial Corporation	BOKF.O / BOKF US	10%	✓	0.3%
BP Midstream Partners LP	BPMP.K / BPMP US	10%	✓	0.3%
BP PLC	BP.N / BP US	10%	✓	0.3%
BP Prudhoe Bay Royalty Trust	BPT.N / BPT US	15%	☐	0.3%
BRF SA	BRFS.K / BRFS US	10%	✓	0.3%
BSB Bancorp Inc	BLMT.O / BLMT US	25%	✓	0.3%
BT Group PLC	BT.N / BT US	10%	✓	0.3%
BUZZ US Sentiment Leaders ETF	BUZ.P / BUZ US	25%	✓	0.3%
BWX Technologies Inc	BWXT.K / BWXT US	10%	✗	0.3%
Badger Meter Inc	BMI / BMI US	15%	✗	0.3%
Baidu Inc	BIDU.O / BIDU US	5%	✓	0.3%
Baker Hughes a GE Company	BHGE.N / BHGE US	5%	✓	0.3%
Balchem Corporation	BCPC.O / BCPC US	10%	✓	0.3%
Baldwin & Lyons Inc	BWINB.O / BWINB US	25%	✓	0.3%
Ball Corporation	BLL.N / BLL US	5%	✓	0.3%
Ballard Power Systems Inc	BLDP.O / BLDP US	10%	☐	0.3%
Banc of California Inc	BANC.K / BANC US	10%	✗	0.3%
BancFirst Corporation	BANF.O / BANF US	20%	✓	0.3%
Banco Latinoamericano de Comercio Exterior S.A	BLX.N / BLX US	15%	✓	0.3%

Banco Macro SA	BMA.N / BMA US	10%	✓	0.3%
Banco de Chile	BCH.N / BCH US	15%	✓	0.3%
Bancolumbia SA	CIB.N / CIB US	10%	✓	0.3%
BancorpSouth Inc	BXS.N / BXS US	10%	✓	0.3%
Bandwidth Inc	BAND.O / BAND US	15%	✓	0.3%
Bank Mutual Corporation	BKMU.O / BKMU US	20%	✗	0.3%
Bank of America Corporation	BAC.N / BAC US	5%	✓	0.3%
Bank of Hawaii Corporation	BOH.N / BOH US	10%	✓	0.3%
Bank of Marin Ban Corporation	BMRC.O / BMRC US	20%	✓	0.3%
Bank of Montreal	BMO.N / BMO US	5%	✓	0.3%
Bank of the Ozarks	OZRK.O / OZRK US	10%	✓	0.3%
BankFinancial Corporation	BFIN.O / BFIN US	25%	✗	0.3%
BankUnited Inc	BKU / BKU US	10%	✗	0.3%
Bankrate Inc	RATE.N / RATE US	10%	✓	0.3%
Banner Corporation	BANR.O / BANR US	10%	✓	0.3%
Baozun Inc	BZUN.O / BZUN US	10%	✓	0.3%
Bar Harbor Banksh AE	BHB.A / BHB US	25%	✓	0.3%
Barnes & Noble Education Inc	BNED.N / BNED US	20%	✓	0.3%
Barnes & Noble Inc	BKS.N / BKS US	15%	✓	0.3%
Barnes Group Inc	B.N / B US	10%	✓	0.3%
Barracuda Networks Inc	CUDA.K / CUDA US	10%	✓	0.3%
Barrett Business Services Inc	BBSI.O / BBSI US	20%	✗	0.3%
Barrick Gold Corporation	ABX.N / ABX US	5%	✓	0.3%
Basic Energy Services Inc	BAS.N / BAS US	25%	✓	0.3%
Bassett Furniture Industries Inc	BSET.O / BSET US	25%	✗	0.3%
Baxter International Inc	BAX.N / BAX US	5%	✓	0.3%
Baytex Energy Corporation	BTE / BTE US	10%	✓	0.3%
Bazaarvoice Inc	BV.O / BV US	20%	✗	0.3%
Beacon Roofing Supply Inc	BECN.O / BECN US	10%	✓	0.3%
Bear State Financial Inc	BSF.O / BSF US	25%	✓	0.3%
Beazer Homes USA Inc	BZH.N / BZH US	10%	✓	0.3%
Becton Dickinson and Company	BDX.N / BDX US	5%	✓	0.3%
Bed Bath & Beyond Inc	BBBY.O / BBBY US	10%	✓	0.3%
BeiGene Limited	BGNE.O / BGNE US	10%	✓	0.3%
Bel Fuse Inc	BELFB.O / BELFB US	25%	✗	0.3%
Belden Inc	BDC.N / BDC US	10%	✓	0.3%
Bellatrix Exploration Limited	BXE.N / BXE US	25%	⚡	0.3%
Bellicum Pharmaceuticals Inc	BLCM.O / BLCM US	25%	✓	0.3%
Belmond Limited	BEL.N / BEL US	15%	✓	0.3%
Bemis Company Inc	BMS.N / BMS US	10%	✓	0.3%
Benchmark Electronics Inc	BHE.N / BHE US	10%	✓	0.3%
Beneficial Bancorp Inc	BNCL.O / BNCL US	20%	✓	0.3%
Benefitfocus Inc	BNFT.O / BNFT US	15%	✗	0.3%
Berkshire Hathaway Inc	BRKb / BRK/B US	5%	✓	0.3%
Berkshire Hathaway Inc	BRKa.K / BRK/A US	10%	✓	0.3%
Berkshire Hills Bancorp Inc	BHLB.K / BHLB US	10%	✓	0.3%
Berry Global Group Inc	BERY.K / BERY US	10%	✓	0.3%
Best Buy Co. Inc	BBY.N / BBY US	5%	✓	0.3%
Big Lots Inc	BIG.N / BIG US	10%	✓	0.3%
Biglari Holdings Inc	BH / BH US	20%	✗	0.3%
Bilibili Inc	BILI.O / BILI US	25%	✗	0.3%
Bill Barrett Corporation	BBG / BBG US	15%	✓	0.3%
Bio-Rad Laboratories Inc	BIO / BIO US	10%	✓	0.3%
Bio-Techne Corporation	TECH.O / TECH US	10%	✓	0.3%

BioCryst Pharmaceuticals Inc	BCRX.O / BCRX US	25%	✓	0.3%
BioMarin Pharmaceutical Inc	BMRN.O / BMRN US	20%	✓	0.3%
BioScrip Inc	BIOS.O / BIOS US	25%	✓	0.3%
BioShares(TM) Biotechnology Clinical Trials Fund	BBC.O / BBC US	25%	✓	0.3%
BioSpecifics Technologies Corporation	BSTC.O / BSTC US	25%	✗	0.3%
BioTelemetry Inc	BEAT.O / BEAT US	10%	✗	0.3%
BioTime Inc	BTX.A / BTX US	25%	✓	0.3%
Biogen Inc	BIIB.O / BIIB US	5%	✓	0.3%
Biohaven Pharmaceutical Holding Company Limited	BHVN.K / BHVN US	25%	✗	0.3%
Bioverativ Inc	BIVV.O / BIVV US	50%	✓	0.3%
Bitauto Holdings Limited	BITA.K / BITA US	10%	✓	0.3%
Black Hills Corporation	BKH / BKH US	10%	✗	0.3%
Black Knight Financial Services Inc	BKFS.K / BKFS US	15%	✓	0.3%
Black Stone Minerals LP	BSM / BSM US	20%	✓	0.3%
BlackBerry Ltd	BB / BB US	10%	✓	☐
BlackLine Inc	BL.O / BL US	10%	✓	0.3%
BlackRock Capital Investment Corporation	BKCC.O / BKCC US	20%	✗	0.3%
BlackRock Corporate High Yield Fund Inc	HYT / HYT US	15%	✓	0.3%
BlackRock Enhanced Equity Dividend Trust	BDJ / BDJ US	15%	✓	0.3%
BlackRock Enhanced Global Dividend Trust	BOE / BOE US	10%	✓	0.3%
BlackRock Enhanced International Dividend Trust	BGY.N / BGY US	20%	✓	0.3%
BlackRock Inc	BLK.N / BLK US	5%	✓	0.3%
BlackRock Limited Duration Income Trust	BLW.N / BLW US	20%	✓	0.3%
BlackRock MuniAssets Fund Inc	MUA.N / MUA US	25%	✗	0.3%
BlackRock Taxable Municipal Bond Trust	BBN.N / BBN US	25%	☐	0.3%
Blackbaud Inc	BLKB.O / BLKB US	10%	✓	0.3%
Blackhawk Network Holdings Inc	HAWK.O / HAWK US	20%	✓	0.3%
Blackstone Mortgage Trust Inc	BXMT.N / BXMT US	10%	✓	0.3%
Bloomin' Brands Inc	BLMN.O / BLMN US	10%	✓	0.3%
Blucora Inc	BCOR.O / BCOR US	10%	✓	0.3%
Blue Apron Holdings Inc	APRN.K / APRN US	15%	☐	0.3%
Blue Bird Corporation	BLBD.O / BLBD US	25%	✗	0.3%
Blue Buffalo Pet Products Inc	BUFF.O / BUFF US	10%	✓	0.3%
Blue Hills Bancorp Inc	BHBK.O / BHBK US	25%	✓	0.3%
Blue Nile Inc	NILE.O / NILE US	15%	✓	0.3%
BlueStar TA-BIGITech(TM) Israel Technology ETF	ITEQ.P / ITEQ US	25%	✗	0.3%
Blueknight Energy Partners LP	BKEP.O / BKEP US	25%	✗	0.3%
Bluelinx Holdings Inc	BXC / BXC US	15%	✗	0.3%
Blueprint Medicines Corporation	BPMC.O / BPMC US	10%	✓	0.3%
Bluerock Residential Growth REIT Inc	BRG / BRG US	20%	✗	0.3%
Boardwalk Pipeline Partners LP	BWP / BWP US	10%	✓	0.3%
Bob Evans Farms Inc	BOBE.O / BOBE US	10%	✗	0.3%
Bofl Holding Inc	BOFI.O / BOFI US	10%	✓	0.3%
Boingo Wireless Inc	WIFI.O / WIFI US	10%	✓	0.3%
Boise Cascade Company	BCC / BCC US	10%	✓	0.3%
Bojangles' Inc	BOJA.O / BOJA US	15%	✗	0.3%
Bonanza Creek Energy Inc	BCEI.K / BCEI US	15%	☐	0.3%
Booking Holdings Inc	BKNG.O / BKNG US	5%	✓	0.3%
Boot Barn Holdings Inc	BOOT.K / BOOT US	15%	✗	0.3%
Booz Allen Hamilton Holding Corporation	BAH.N / BAH US	10%	✓	0.3%
BorgWarner Inc	BWA.N / BWA US	5%	✓	0.3%
Boston Private Financial Holdings Inc	BPFH.O / BPFH US	15%	✗	0.3%
Boston Properties Inc	BXP.N / BXP US	5%	✓	0.3%
Boston Scientific Corporation	BSX.N / BSX US	5%	✓	0.3%

Bottomline Technologies (de) Inc	EPAY.O / EPAY US	10%	✓	0.3%
Boulder Growth & Income Fund Inc	BIF / BIF US	20%	✗	0.3%
Box Inc	BOX / BOX US	10%	✗	0.3%
Boyd Gaming Corporation	BYD.N / BYD US	10%	✓	0.3%
Brady Corporation	BRC.N / BRC US	10%	✓	0.3%
Brandywine Realty Trust	BDN.N / BDN US	10%	✓	0.3%
Bridge Bancorp Inc	BDGE.O / BDGE US	20%	✓	0.3%
Briggs & Stratton Corporation	BGG.N / BGG US	15%	✓	0.3%
Bright Horizons Family Solutions Inc	BFAM.N / BFAM US	10%	✓	0.3%
Bright Scholar Education Holdings Limited	BEDU.K / BEDU US	15%	✗	0.3%
BrightSphere Investment Group PLC	BSIG.N / BSIG US	10%	✗	0.3%
Brightcove Inc	BCOV.O / BCOV US	20%	✓	0.3%
Brighthouse Financial Inc	BHF.O / BHF US	10%	✓	0.3%
Brinker International Inc	EAT.N / EAT US	10%	✓	0.3%
Bristol-Myers Squibb Company	BMY.N / BMY US	5%	✓	0.3%
Bristow Group Inc	BRS.N / BRS US	20%	✓	0.3%
British American Tobac Company PLC	BTI.N / BTI US	10%	☐	0.3%
Brixmor Property Group Inc	BRX / BRX US	10%	✗	0.3%
BroadSoft Inc	BSFT.O / BSFT US	10%	✓	0.3%
Broadcom Limited	AVGO.O / AVGO US	5%	✓	0.3%
Broadridge Financial Solutions Inc	BR.N / BR US	10%	✓	0.3%
Brocade Communications Systems Inc	BRCD.O / BRCD US	10%	✓	0.3%
Brookdale Senior Living Inc	BKD.N / BKD US	10%	✓	0.3%
Brookfield Asset Management Inc	BAM.N / BAM US	5%	✓	0.3%
Brookfield Business Partners LP	BBU.N / BBU US	20%	☐	0.3%
Brookfield Infrastructure Partners LP	BIP.N / BIP US	10%	☐	0.3%
Brookfield Property Partners LP	BPY.O / BPY US	20%	☐	0.3%
Brookfield Real Assets Income Fund Inc	RA US / RA US	25%	✗	0.3%
Brookfield Renewable Partners LP	BEP / BEP US	20%	✗	0.3%
Brookfield Total Return Fund Inc	HTR.N / HTR US	20%	☐	0.3%
Brookline Bancorp Inc	BRKL.O / BRKL US	15%	✓	0.3%
Brooks Automation Inc	BRKS.O / BRKS US	10%	✓	0.3%
Brown & Brown Inc	BRO.N / BRO US	10%	✓	0.3%
Brown-Forman Corporation	BFb.N / BF/B US	5%	✓	0.3%
Brown-Forman Corporation	BFa / BF/A US	20%	✗	0.3%
Bruker Corporation	BRKR.O / BRKR US	10%	✓	0.3%
Brunswick Corporation	BC.N / BC US	10%	✓	0.3%
Bryn Mawr Bank Corporation	BMTC.O / BMTC US	20%	✓	0.3%
Buckeye Partners LP	BPL.N / BPL US	10%	✓	0.3%
Buffalo Wild Wings Inc	BWLD.O / BWLD US	20%	✓	0.3%
Builders FirstSource Inc	BLDR.O / BLDR US	10%	✗	0.3%
Bunge Limited	BG.N / BG US	10%	✓	0.3%
Burlington Stores Inc	BURL.N / BURL US	10%	✓	0.3%
Byline Bancorp Inc	BY / BY US	25%	✗	0.3%
C. R. Bard Inc	BCR.N / BCR US	5%	✓	0.3%
C.H. Robinson Worldwide Inc	CHRW.O / CHRW US	5%	✓	0.3%
CA Inc	CA.O / CA US	5%	✓	0.3%
CACI International Inc	CACI.K / CACI US	10%	✓	0.3%
CAI International Inc	CAI / CAI US	15%	✓	0.3%
CARBO Ceramics Inc	CRR.N / CRR US	25%	☐	0.3%
CBIZ Inc	CBZ / CBZ US	20%	✗	0.3%
CBL & Associates Properties Inc	CBL.N / CBL US	10%	✓	0.3%
CBOE Holdings Inc	CBOE.O / CBOE US	10%	✓	0.3%
CBRE Group Inc	CBRE.N / CBRE US	5%	✓	0.3%

CBS Corporation	CBSa / CBS/A US	25%	✓	0.3%
CBS Corporation	CBS.N / CBS US	5%	✓	0.3%
CDK Global Inc	CDK.O / CDK US	10%	✓	0.3%
CDW Corporation	CDW.O / CDW US	10%	✓	0.3%
CEVA Inc	CEVA.O / CEVA US	10%	✓	0.3%
CF Industries Holdings Inc	CF.N / CF US	5%	✓	0.3%
CGI Group Inc	GIB.N / GIB US	5%	✓	0.3%
CIRCOR International Inc	CIR.N / CIR US	15%	✓	0.3%
CIT Group Inc	CIT.N / CIT US	10%	✓	0.3%
CLARCOR Inc	CLC.N / CLC US	10%	✓	0.3%
CME Group Inc	CME.O / CME US	5%	✓	0.3%
CMS Energy Corporation	CMS.N / CMS US	5%	✓	0.3%
CNA Financial Corporation	CNA.N / CNA US	10%	✓	0.3%
CNB Financial Corporation	CCNE.O / CCNE US	25%	✓	0.3%
CNH Industrial NV	CNHI.K / CNHI US	10%	✓	0.3%
CNO Financial Group Inc	CNO.N / CNO US	10%	✓	0.3%
CNOOC Limited	CEO.N / CEO US	10%	✓	0.3%
CNX Midstream Partners LP	CNXM.N / CNXM US	20%	✓	0.3%
CNX Resources Corporation	CNX.N / CNX US	10%	✓	0.3%
CONMED Corporation	CNMD.O / CNMD US	15%	✗	0.3%
CONSOL Energy Inc	CEIX.K / CEIX US	20%	✗	0.3%
CRA International Inc	CRAI.O / CRAI US	20%	✓	0.3%
CRH PLC	CRH.N / CRH US	10%	✓	0.3%
CRISPR Therapeutic SA	CRSP.O / CRSP US	25%	✓	0.3%
CSG Systems International Inc	CSGS.O / CSGS US	10%	✓	0.3%
CSOP FTSE China A50 ETF	AFTY.P / AFTY US	25%	✗	0.3%
CSRA Inc	CSRA.K / CSRA US	10%	✗	0.3%
CSS Industries Inc	CSS / CSS US	25%	✓	0.3%
CST Brands Inc	CST.N / CST US	10%	✓	0.3%
CSW Industrials Inc	CSWI.O / CSWI US	20%	✓	0.3%
CSX Corporation	CSX.O / CSX US	5%	✓	0.3%
CTS Corporation	CTS / CTS US	20%	✓	0.3%
CU Ban Corporation	CUNB.O / CUNB US	25%	✓	0.3%
CVB Financial Corporation	CVBF.O / CVBF US	10%	✓	0.3%
CVR Energy Inc	CVI.N / CVI US	10%	✓	0.3%
CVR Partners LP	UAN / UAN US	25%	✓	0.3%
CVR Refining LP	CVRR.K / CVRR US	15%	✓	0.3%
CVS Health Corporation	CVS.N / CVS US	5%	✓	0.3%
CYS Investments Inc	CYS.N / CYS US	10%	✓	0.3%
Cabela's Inc	CAB.N / CAB US	10%	✓	0.3%
Cable ONE Inc	CABO.K / CABO US	10%	✓	0.3%
Cabot Corporation	CBT.N / CBT US	10%	✓	0.3%
Cabot Microelectronics Corporation	CCMP.O / CCMP US	10%	✓	0.3%
Cabot Oil & Gas Corporation	COG.N / COG US	5%	✓	0.3%
Cactus Inc	WHD / WHD US	10%	✗	0.3%
Cadence Ban Corporation	CADE.K / CADE US	10%	✓	0.3%
Cadence Design Systems Inc	CDNS.O / CDNS US	10%	✓	0.3%
Cadiz Inc	CDZI.O / CDZI US	20%	✓	0.3%
Caesars Acquisition Company	CACQ.O / CACQ US	25%	✓	0.3%
Caesars Entertainment Corporation	CZR.O / CZR US	10%	✗	0.3%
Caesarstone Limited	CSTE.O / CSTE US	20%	✓	0.3%
Cal-Maine Foods Inc	CALM.O / CALM US	10%	✓	0.3%
CalAmp Corporation	CAMP.O / CAMP US	10%	✓	0.3%
CalAtlantic Group Inc	CAA.N / CAA US	10%	✓	0.3%

Caladrius Biosciences Inc	CLBS.O / CLBS US	25%	✗	0.3%
Calavo Growers Inc	CVWG.O / CVGW US	10%	✗	0.3%
Caleres Inc	CAL.N / CAL US	10%	✓	0.3%
Calgon Carbon Corporation	CCC.N / CCC US	15%	✓	0.3%
California Resources Corporation	CRC.N / CRC US	15%	✗	0.3%
California Water Service Group	CWT.N / CWT US	15%	✓	0.3%
Calix Inc	CALX.K / CALX US	20%	✓	0.3%
Callaway Golf Company	ELY.N / ELY US	10%	✓	0.3%
Callidus Software Inc	CALD.O / CALD US	10%	✗	0.3%
Callon Petroleum Company	CPE.N / CPE US	10%	✓	0.3%
Calpine Corporation	CPN.N / CPN US	10%	✓	0.3%
Calumet Specialty Products Partners LP	CLMT.O / CLMT US	15%	✓	0.3%
Cambrex Corporation	CBM / CBM US	10%	✓	0.3%
Cambria Emerging Shareholder Yield ETF	EYLD.Z / EYLD US	25%	✗	0.3%
Cambria Foreign Shareholder Yield ETF	FYLD.Z / FYLD US	25%	✗	0.3%
Cambria Global Momentum ETF	GMOM.P / GMOM US	25%	✗	0.3%
Cambria Global Value ETF	GVAL.P / GVAL US	25%	✗	0.3%
Cambria Shareholder Yield ETF	SYLD.P / SYLD US	25%	✗	0.3%
Cambria Sovereign Bond ETF	SOVB.Z / SOVB US	25%	✗	0.3%
Cambria Value and Momentum ETF	VAMO.Z / VAMO US	25%	✗	0.3%
Camden National Corporation	CAC.O / CAC US	20%	✓	0.3%
Camden Property Trust	CPT.N / CPT US	10%	✓	0.3%
Cameco Corporation	CCJ.N / CCJ US	10%	✓	0.3%
Campbell Soup Company	CPB.N / CPB US	10%	✓	0.3%
Camping World Holdings Inc	CWH / CWH US	10%	✗	0.3%
Canada Goose Holdings Inc	GOOS.N / GOOS US	10%	✓	0.3%
Canadian National Railway Company	CNI.N / CNI US	5%	✓	0.3%
Canadian Natural Resources Limited	CNQ.N / CNQ US	5%	✓	0.3%
Canadian Pacific Railway Limited	CP.N / CP US	5%	✓	0.3%
Canadian Solar Inc	CSIQ.O / CSIQ US	10%	✓	0.3%
Cannae Holdings Inc	CNNE.K / CNNE US	10%	✓	0.3%
Canon Inc	CAJ.N / CAJ US	10%	✓	0.3%
Canopy Growth Corporation	CGC / CGC US	10%	✗	0.3%
Cantel Medical Corporation	CMD / CMD US	10%	✗	0.3%
Capella Education Company	CPLA.O / CPLA US	15%	✓	0.3%
Capital Bank Financial Corporation	CBF.O / CBF US	10%	✓	0.3%
Capital City Bank Group Inc	CCBG.O / CCBG US	25%	✓	0.3%
Capital One Financial Corporation	COF.N / COF US	5%	✓	0.3%
Capital Product Partners LP	CPLP.O / CPLP US	20%	✗	0.3%
Capital Senior Living Corporation	CSU / CSU US	15%	✓	0.3%
Capital Southwest Corporation	CSWC.O / CSWC US	25%	✗	0.3%
Capitol Federal Financial Inc	CFFN.O / CFFN US	15%	✓	0.3%
Capstead Mortgage Corporation	CMO.N / CMO US	15%	✓	0.3%
CarGurus Inc	CARG.O / CARG US	25%	✓	0.3%
CarMax Inc	KMX.N / KMX US	5%	✓	0.3%
Cara Therapeutics Inc	CARA.O / CARA US	25%	✗	0.3%
Carbon Black Inc	CBLK.O / CBLK US	25%	✗	0.3%
Carbonite Inc	CARB.O / CARB US	15%	✓	0.3%
Cardinal Financial Corporation	CFNL.O / CFNL US	15%	✗	0.3%
Cardinal Health Inc	CAH.N / CAH US	10%	✓	0.3%
Cardiovascular Systems Inc	CSII.O / CSII US	15%	✗	0.3%
Cardtronics PLC	CATM.O / CATM US	10%	✓	0.3%
Care Capital Properties Inc	CCP / CCP US	10%	✗	0.3%
Care.com Inc	CRCM.K / CRCM US	15%	✓	0.3%

CareTrust REIT Inc	CTRE.O / CTRE US	10%	✗	0.3%
Career Education Corporation	CECO.O / CECO US	15%	✓	0.3%
Carlisle Companies Inc	CSL.N / CSL US	10%	✓	0.3%
Carmike Cinemas Inc	CKEC.O / CKEC US	10%	✗	0.3%
Carnival Corporation	CCL.N / CCL US	5%	✓	0.3%
Carolina Financial Corporation	CARO.O / CARO US	20%	✗	0.3%
Carpenter Technology Corporation	CRS.N / CRS US	10%	✓	0.3%
Carriage Services Inc	CSV.N / CSV US	20%	✓	0.3%
Carrizo Oil & Gas Inc	CRZO.O / CRZO US	10%	✓	0.3%
Carrols Restaurant Group Inc	TAST.O / TAST US	20%	✓	0.3%
Cars.com Inc	CARS.K / CARS US	10%	✗	0.3%
Carter's Inc	CRI.N / CRI US	10%	✓	0.3%
Carvana Company	CVNA.K / CVNA US	15%	✗	0.3%
Casa Systems Inc	CASA.O / CASA US	25%	✓	0.3%
Cascade Ban Corporation	CACB.O / CACB US	25%	✓	0.3%
Cascadian Therapeutics Inc	ONTY.O / CASC US	25%	✓	0.3%
Casella Waste Systems Inc	CWST.O / CWST US	15%	✓	0.3%
Casey's General Stores Inc	CASY.O / CASY US	10%	✓	0.3%
Cass Information Systems Inc	CASS.O / CASS US	20%	✓	0.3%
Catalent Inc	CTLT.N / CTLT US	10%	✓	0.3%
Catalyst Pharmaceuticals Inc	CPRX.O / CPRX US	25%	✓	0.3%
CatchMark Timber Trust Inc	CTT / CTT US	20%	✓	0.3%
Caterpillar Inc	CAT.N / CAT US	5%	✓	0.3%
Cathay General Ban Corporation	CATY.O / CATY US	10%	✓	0.3%
Cavco Industries Inc	CVCO.O / CVCO US	15%	✓	0.3%
Cavium Inc	CAVM.O / CAVM US	10%	✓	0.3%
Cedar Fair LP	FUN / FUN US	10%	✗	0.3%
Cedar Realty Trust Inc	CDR / CDR US	15%	✓	0.3%
Celanese Corporation	CE.N / CE US	10%	✓	0.3%
Celestica Inc	CLS.N / CLS US	15%	✓	0.3%
Celgene Corporation	CELG.O / CELG US	10%	✓	0.3%
Cellcom Israel Limited	CEL.N / CEL US	25%	✓	0.3%
Cellular Biomedicine Group Inc	CBMG.O / CBMG US	25%	✓	0.3%
Genovus Energy Inc	CVE.N / CVE US	5%	✓	0.3%
Centene Corporation	CNC.N / CNC US	5%	✓	0.3%
Centennial Resource Development Inc	CDEV.O / CDEV US	10%	✗	0.3%
CenterPoint Energy Inc	CNP.N / CNP US	5%	✓	0.3%
CenterState Banks Inc	CSFL.O / CSFL US	10%	✗	0.3%
Central Fund of Canada Limited	CEF.A / CEF US	10%	✓	0.3%
Central Garden & Pet Company	CENT.O / CENT US	15%	✓	0.3%
Central Garden & Pet Company	CENTA.O / CENTA US	10%	✓	0.3%
Central Pacific Financial Corporation	CPF / CPF US	15%	✗	0.3%
Century Aluminum Company	CENX.O / CENX US	10%	✓	0.3%
Century Communities Inc	CCS.N / CCS US	15%	✗	0.3%
CenturyLink Inc	CTL.N / CTL US	10%	✓	0.3%
Cepheid	CPHD.O / CPHD US	25%	✓	0.3%
Ceridian HCM Holding Inc	CDAY.K / CDAY US	20%	✗	0.3%
Cerner Corporation	CERN.O / CERN US	5%	✓	0.3%
Cerus Corporation	CERS.O / CERS US	25%	✓	0.3%
Changyou.com Limited	CYOU.O / CYOU US	10%	✓	0.3%
ChannelAdvisor Corporation	ECOM.K / ECOM US	25%	✓	0.3%
Charles River Laboratories International Inc	CRL.N / CRL US	10%	✓	0.3%
Chart Industries Inc	GTLS.O / GTLS US	10%	✓	0.3%
Charter Communications Inc	CHTR.O / CHTR US	10%	✓	0.3%

Chase Corporation	CCF / CCF US	20%	✗	0.3%
Chatham Lodging Trust	CLDT.K / CLDT US	10%	✓	0.3%
Check Point Software Technologies Limited	CHKP.O / CHKP US	10%	✓	0.3%
Cheetah Mobile Inc	CMCM.K / CMCM US	15%	✗	0.3%
Chegg Inc	CHGG.N / CHGG US	10%	✓	0.3%
Chemed Corporation	CHE.N / CHE US	10%	✓	0.3%
Chemical Financial Corporation	CHFC.O / CHFC US	10%	✗	0.3%
ChemoCentryx Inc	CCXI.O / CCXI US	25%	✗	0.3%
Chemtura Corporation	CHMT.K / CHMT US	10%	✓	0.3%
Cheniere Energy Inc	LNG.A / LNG US	10%	✓	0.3%
Cheniere Energy Partners LP Holdings LLC	CQH.A / CQH US	25%	✗	0.3%
Chesapeake Energy Corporation	CHK.N / CHK US	10%	✓	0.3%
Chesapeake Lodging Trust	CHSP.K / CHSP US	10%	✗	0.3%
Chesapeake Utilities Corporation	CPK / CPK US	15%	✓	0.3%
Chevron Corporation	CVX.N / CVX US	5%	✓	0.3%
Chicago Bridge & Iron Company NV	CBI.N / CBI US	10%	✓	0.3%
Chico's FAS Inc	CHS.N / CHS US	10%	✓	0.3%
Chimera Investment Corporation	CIM.N / CIM US	10%	✓	0.3%
Chimerix Inc	CMRX.O / CMRX US	25%	✓	0.3%
China Biologic Products Inc	CBPO.O / CBPO US	10%	✓	0.3%
China Cord Blood Corporation	CO / CO US	15%	✗	0.3%
China Eastern Airlines Corporation Limited	CEA / CEA US	25%	✗	0.3%
China Life Insurance Company Limited	LFC.N / LFC US	10%	✓	0.3%
China Lodging Group Limited	HTHT.O / HTHT US	10%	✓	0.3%
China Mobile Limited	CHL.N / CHL US	10%	✓	0.3%
China Online Education Group	COE / COE US	25%	✗	0.3%
China Petroleum & Chemical Corporation	SNP.N / SNP US	10%	✓	0.3%
China Rapid Finance Limited	XRF / XRF US	20%	✗	0.3%
China Southern Airlines Company Limited	ZNH.N / ZNH US	25%	✗	0.3%
China Yuchai International Limited	CYD / CYD US	20%	✗	0.3%
ChipMOS TECHNOLOGIES Inc	IMOS.O / IMOS US	20%	✓	0.3%
Chipotle Mexican Grill Inc	CMG.N / CMG US	5%	✓	0.3%
Choice Hotels International Inc	CHH.N / CHH US	10%	✓	0.3%
Chubb Limited	CB.N / CB US	5%	✓	0.3%
Church & Dwight Co. Inc	CHD.N / CHD US	5%	✓	0.3%
Churchill Downs Inc	CHDN.O / CHDN US	10%	✓	0.3%
Chuy's Holdings Inc	CHUY.O / CHUY US	20%	✗	0.3%
Ciena Corporation	CIEN.N / CIEN US	10%	✓	0.3%
Cigna Corporation	CI.N / CI US	5%	✓	0.3%
Cimarex Energy Company	XEC.N / XEC US	5%	✓	0.3%
Cincinnati Bell Inc	CBB.N / CBB US	10%	✓	0.3%
Cincinnati Financial Corporation	CINF.O / CINF US	5%	✓	0.3%
Cinemark Holdings Inc	CNK / CNK US	10%	✗	0.3%
Cintas Corporation	CTAS.O / CTAS US	5%	✓	0.3%
Cirrus Logic Inc	CRUS.O / CRUS US	10%	✓	0.3%
Cisco Systems Inc	CSCO.O / CSCO US	5%	✓	0.3%
Cision Limited	CISN.K / CISN US	15%	✓	0.3%
Citi Trends Inc	CTRN.O / CTRN US	20%	✗	0.3%
Citigroup Inc	C.N / C US	5%	✓	0.3%
Citizens & Northern Corporation	CZNC.O / CZNC US	25%	✓	0.3%
Citizens Financial Group Inc	CFG.N / CFG US	5%	✓	0.3%
Citizens Inc	CIA / CIA US	25%	✓	0.3%
Citrix Systems Inc	CTXS.O / CTXS US	5%	✓	0.3%
City Holding Company	CHCO.O / CHCO US	15%	✓	0.3%

City Office REIT Inc	CIO / CIO US	20%	X	0.3%
Civeo Corporation	CVEO.N / CVEO US	20%	✓	0.3%
Civitas Solutions Inc	CIVI.N / CIVI US	25%	X	0.3%
Clayton Williams Energy Inc	CWEI.K / CWEI US	20%	✓	0.3%
Clean Energy Fuels Corporation	CLNE.O / CLNE US	20%	✓	0.3%
Clean Harbors Inc	CLH / CLH US	10%	✓	0.3%
ClearBridge Energy MLP Total Return Fund Inc	CTR.N / CTR US	25%	X	0.3%
Clearside BioMedical Inc	CLSD.O / CLSD US	25%	✓	0.3%
Clearwater Paper Corporation	CLW / CLW US	15%	X	0.3%
Cleveland-Cliffs Inc	CLF.N / CLF US	10%	✓	0.3%
Clifton Bancorp Inc	CSBK.O / CSBK US	25%	✓	0.3%
Cloud Peak Energy Inc	CLD.N / CLD US	20%	✓	0.3%
Cloudera Inc	CLDR.N / CLDR US	10%	X	0.3%
Clough Global Opportunities Fund	GLO.A / GLO US	20%	☐	0.3%
Clovis Oncology Inc	CLVS.O / CLVS US	10%	✓	0.3%
ClubCorp Holdings Inc	MYCC.K / MYCC US	10%	✓	0.3%
CoBiz Financial Inc	COBZ.O / COBZ US	20%	✓	0.3%
CoLucid Pharmaceuticals Inc	CLCD.O / CLCD US	25%	X	0.3%
CoStar Group Inc	CSGP.O / CSGP US	10%	✓	0.3%
Coca-Cola Bottling Co. Consolid AE	COKE.O / COKE US	10%	✓	0.3%
Coca-Cola European Partners PLC	CCE.N / CCE US	5%	✓	0.3%
Coca-Cola FEMSA S.A.B. de CV	KOF.N / KOF US	15%	✓	0.3%
Codexis Inc	CDXS.O / CDXS US	25%	X	0.3%
Coeur Mining Inc	CDE.N / CDE US	10%	✓	0.3%
Cogent Communications Holdings Inc	CCOI.O / CCOI US	10%	✓	0.3%
Cognex Corporation	CGNX.O / CGNX US	10%	✓	0.3%
Cognizant Technology Solutions Corporation	CTSH.O / CTSH US	5%	✓	0.3%
Cohen & Steers Inc	CNS / CNS US	15%	X	0.3%
Cohen & Steers Limited Duration Preferred and Income Fund Inc	LDP.N / LDP US	25%	☐	0.3%
Coherent Inc	COHR.O / COHR US	10%	✓	0.3%
Coherus Biosciences Inc	CHRS.O / CHRS US	25%	X	0.3%
Cohu Inc	COHU.O / COHU US	10%	✓	0.3%
Colfax Corporation	CFX.N / CFX US	10%	✓	0.3%
Colgate-Palmolive Company	CL.N / CL US	5%	✓	0.3%
Collegium Pharmaceutical Inc	COLL.O / COLL US	25%	✓	0.3%
Colliers International Group Inc	CIGI.O / CIGI US	20%	✓	0.3%
Colony NorthStar Inc	CLNY.N / CLNY US	10%	✓	0.3%
Columbia Banking System Inc	COLB.O / COLB US	10%	✓	0.3%
Columbia Funds Trust XII - Liberty All Star Equity Fund	USA.N / USA US	15%	✓	0.3%
Columbia Pipeline Partners LP	CPPL.N / CPPL US	15%	X	0.3%
Columbia Property Trust Inc	CXP / CXP US	10%	X	0.3%
Columbia Sportswear Company	COLM.O / COLM US	10%	✓	0.3%
Columbus McKinnon Corporation	CMCO.O / CMCO US	15%	X	0.3%
Comcast Corporation	CMCSA.O / CMCSA US	5%	✓	0.3%
Comerica Inc	CMA.N / CMA US	5%	✓	0.3%
Comfort Systems USA Inc	FIX.N / FIX US	10%	✓	0.3%
CommScope Holding Company Inc	COMM.O / COMM US	10%	✓	0.3%
Commerce Bancshares Inc	CBSH.O / CBSH US	10%	✓	0.3%
CommerceHub Inc	CHUBA.O / CHUBA US	20%	X	0.3%
Commercial Metals Company	CMC.N / CMC US	10%	✓	0.3%
Commercial Vehicle Group Inc	CVGI.O / CVGI US	20%	✓	0.3%
Communication Services Select Sector SPDR(r) Fund	XLC.A / XLC US	25%	X	0.3%
Community Bank System Inc	CBU / CBU US	10%	X	0.3%

Community Health Systems Inc	CYH.N / CYH US	15%	✓	0.3%
Community Trust Bancorp Inc	CTBI.O / CTBI US	20%	✓	0.3%
Commvault Systems Inc	CVLT.O / CVLT US	10%	✓	0.3%
Companhia Brasileira de Distribuicao	CBD.N / CBD US	10%	✓	0.3%
Compania Cervecerias Unidas SA	CCU.N / CCU US	15%	✓	0.3%
Compania de Minas Buenaventur ASA	BVN.N / BVN US	10%	✓	0.3%
Compass Diversified Holdings LLC	CODI.K / CODI US	25%	✓	0.3%
Compass Minerals International Inc	CMP.N / CMP US	10%	✓	0.3%
Computer Programs and Systems Inc	CPSI.O / CPSI US	20%	✓	0.3%
Computer Sciences Corporation	CSC.N / CSC US	10%	✓	0.3%
Comtech Telecommunications Corporation	CMTL.O / CMTL US	15%	✓	0.3%
Conagra Brands Inc	CAG.N / CAG US	5%	✓	0.3%
Concert Pharmaceuticals Inc	CNCE.O / CNCE US	25%	✗	0.3%
Concho Resources Inc	CXO.N / CXO US	5%	✓	0.3%
Conduent Inc	CNDT.N / CNDT US	10%	✓	0.3%
Conn's Inc	CONN.O / CONN US	10%	✓	0.3%
ConnectOne Bancorp Inc	CNOB.O / CNOB US	15%	✓	0.3%
Connecticut Water Service Inc	CTWS.O / CTWS US	20%	✗	0.3%
ConocoPhil LP	COP.N / COP US	5%	✓	0.3%
Consolidated Communications Holdings Inc	CNSL.O / CNSL US	10%	✓	0.3%
Consolidated Edison Inc	ED.N / ED US	5%	✓	0.3%
Consolidated Water Company Limited	CWCO.O / CWCO US	25%	✗	0.3%
Consolidated-Tomoka Land Company	CTO / CTO US	25%	✓	0.3%
Constellation Brands Inc	STZ.N / STZ US	5%	✓	0.3%
Constellium N.V	CSTM.K / CSTM US	10%	✗	0.3%
Consumer Discretionary Select Sector SPDR(r) Fund	XLY.P / XLY US	10%	✓	0.3%
Consumer Staples Select Sector SPDR(r) Fund	XLP.P / XLP US	10%	✓	0.3%
Continental Building Products Inc	CBPX.K / CBPX US	10%	✗	0.3%
Continental Resources Inc	CLR.N / CLR US	10%	✓	0.3%
Control4 Corporation	CTRL.O / CTRL US	10%	✓	0.3%
Convergys Corporation	CVG.N / CVG US	10%	✓	0.3%
Cooper Tire & Rubber Company	CTB.N / CTB US	10%	✓	0.3%
Cooper-Standard Holdings Inc	CPS.N / CPS US	10%	✓	0.3%
Copa Holdings SA	CPA.N / CPA US	10%	✓	0.3%
Copart Inc	CPRT.O / CPRT US	10%	✓	0.3%
CorEnergy Infrastructure Trust Inc	CORR.K / CORR US	20%	✓	0.3%
CorVel Corporation	CRVL.O / CRVL US	20%	✓	0.3%
Corbus Pharmaceuticals Holdings Inc	CRBP.O / CRBP US	25%	✗	0.3%
Corcept Therapeutics Inc	CORT.O / CORT US	10%	✗	0.3%
Core Laboratories NV	CLB.N / CLB US	10%	✓	0.3%
Core-Mark Holding Company Inc	CORE.O / CORE US	10%	✓	0.3%
CoreCivic Inc	CXW.N / CXW US	10%	✓	0.3%
CoreLogic Inc	CLGX.K / CLGX US	10%	✓	0.3%
CorePoint Lodging Inc	CPLG.K / CPLG US	20%	✓	0.3%
CoreSite Realty Corporation	COR / COR US	10%	✓	0.3%
Corium International Inc	CORI.O / CORI US	20%	✓	0.3%
Cornerstone OnDemand Inc	CSOD.O / CSOD US	10%	✓	0.3%
Cornerstone Strategic Value Fund Inc	CLM.P / CLM US	15%	✗	0.3%
Cornerstone Total Return Fund Inc	CRF.A / CRF US	10%	✗	0.3%
Corning Inc	GLW.N / GLW US	5%	✓	0.3%
Corporate Office Properties Trust	OFC.N / OFC US	10%	✓	0.3%
Cosan Limited	CZZ.N / CZZ US	10%	✓	0.3%
Costco Wholesale Corporation	COST.O / COST US	5%	✓	0.3%
Cotiviti Holdings Inc	COTV.N / COTV US	10%	✓	0.3%

Cott Corporation	COT.N / COT US	10%	✓	0.3%
Coty Inc	COTY.N / COTY US	10%	✓	0.3%
Coupa Software Inc	COUP.O / COUP US	10%	✗	0.3%
Cousins Properties Inc	CUZ.N / CUZ US	10%	✓	0.3%
Covanta Holding Corporation	CVA.N / CVA US	10%	✓	0.3%
Covenant Transportation Group Inc	CVTI.O / CVTI US	20%	✗	0.3%
Covia Holdings Corporation	CVIA.K / CVIA US	15%	✗	0.3%
Cowen Inc	COWN.O / COWN US	15%	✗	0.3%
Cracker Barrel Old Country Store Inc	CBRL.O / CBRL US	10%	✓	0.3%
Crane Company	CR.N / CR US	10%	✓	0.3%
Crawford & Company	CRDb.N / CRD/B US	25%	✓	0.3%
Cray Inc	CRAY.O / CRAY US	15%	✗	0.3%
Credi Corporation Limited	BAP.N / BAP US	10%	✓	0.3%
Credit Acceptance Corporation	CACC.O / CACC US	10%	✓	0.3%
Credit Suisse Group AG	CS.N / CS US	10%	✓	0.3%
Credit Suisse High Yield Bond Fund Inc	DHY.A / DHY US	25%	✗	0.3%
Cree Inc	CREE.O / CREE US	10%	✓	0.3%
Crestwood Equity Partners LP	CEQP.K / CEQP US	15%	✓	0.3%
Criteo SA	CRTO.O / CRTO US	10%	✓	0.3%
Crocs Inc	CROX.O / CROX US	10%	✓	0.3%
Cronos Group Inc	CRON.O / CRON US	25%	☐	0.3%
Cross Country Healthcare Inc	CCRN.O / CCRN US	20%	✗	0.3%
CrossAmerica Partners LP	CAPL.K / CAPL US	25%	✗	0.3%
Crown Castle International Corporation	CCI.N / CCI US	5%	✓	0.3%
Crown Holdings Inc	CCK.N / CCK US	10%	✓	0.3%
CryoLife Inc	CRY / CRY US	20%	✓	0.3%
Cryoport Inc	CYRX.O / CYRX US	25%	✗	0.3%
Ctrip.com International Limited	CTRP.O / CTRP US	5%	✓	0.3%
CubeSmart	CUBE.K / CUBE US	10%	✓	0.3%
Cubic Corporation	CUB.N / CUB US	10%	✓	0.3%
Cullen Frost Bankers Inc	CFR.N / CFR US	10%	✓	0.3%
Culp Inc	CFI / CULP US	25%	✗	0.3%
Cummins Inc	CMI.N / CMI US	5%	✓	0.3%
CurrencyShares(r) Australian Dollar Trust	FXA.P / FXA US	15%	✓	0.3%
CurrencyShares(r) British Pound Sterling Trust	FXB.P / FXB US	10%	✓	0.3%
CurrencyShares(r) Euro Trust	FXE / FXE US	10%	✓	0.3%
CurrencyShares(r) Japanese Yen Trust	FXY / FXY US	10%	✓	0.3%
CurrencyShares(r) Swiss Franc Trust	FXF.P / FXF US	25%	✗	0.3%
Curtiss-Wright Corporation	CW.N / CW US	10%	✓	0.3%
Cushing MLP Total Return Fund	SRV.N / SRV US	25%	☐	0.3%
Customers Bancorp Inc	CUBI.K / CUBI US	15%	✓	0.3%
Cutera Inc	CUTR.O / CUTR US	15%	✗	0.3%
Cvent Inc	CVT.N / CVT US	10%	✓	0.3%
CyberArk Software Limited	CYBR.O / CYBR US	15%	✓	0.3%
Cymabay Therapeutics Inc	CBAY.O / CBAY US	25%	✗	0.3%
Cynosure Inc	CYNO.O / CYNO US	15%	✓	0.3%
Cypress Semiconductor Corporation	CY.O / CY US	10%	✓	0.3%
CyrusOne Inc	CONE.O / CONE US	10%	✓	0.3%
Cytokinetics Inc	CYTK.O / CYTK US	15%	✓	0.3%
CytomX Therapeutics Inc	CTMX.O / CTMX US	25%	✗	0.3%
D.R. Horton Inc	DHI.N / DHI US	5%	✓	0.3%
DCP Midstream LP	DCP.N / DCP US	10%	✓	0.3%
DCT Industrial Trust Inc	DCT.N / DCT US	10%	✓	0.3%
DDR Corporation	DDR.N / DDR US	10%	✓	0.3%

DENTSPLY SIRONA Inc	XRAY.O / XRAY US	5%	✓	0.3%
DHT Holdings Inc	DHT / DHT US	15%	✓	0.3%
DISH Network Corporation	DISH.O / DISH US	5%	✓	0.3%
DMC Global Inc	BOOM.O / BOOM US	25%	✗	0.3%
DNP Select Income Fund Inc	DNP / DNP US	20%	✗	0.3%
DSP Group Inc	DSPG.O / DSPG US	25%	✓	0.3%
DST Systems Inc	DST.N / DST US	10%	✓	0.3%
DSW Inc	DSW.N / DSW US	10%	✓	0.3%
DTE Energy Company	DTE.N / DTE US	5%	✓	0.3%
DTS Inc	DTSI.O / DTSI US	20%	✓	0.3%
DURECT Corporation	DRRX.O / DRRX US	25%	✓	0.3%
DXC Technology Company	DXC / DXC US	10%	✓	0.3%
DXP Enterprises Inc	DXPE.O / DXPE US	20%	✓	0.3%
DaVita Inc	DVA.N / DVA US	5%	✓	0.3%
Daily Journal Corporation	DJCO.O / DJCO US	25%	✓	0.3%
Daktronics Inc	DAKT.O / DAKT US	20%	✓	0.3%
Dana Inc	DAN.N / DAN US	10%	✓	0.3%
Danaher Corporation	DHR.N / DHR US	5%	✓	0.3%
Daqo New Energy Corporation	DQ / DQ US	15%	✗	0.3%
Darden Restaurants Inc	DRI.N / DRI US	5%	✓	0.3%
Darling Ingredients Inc	DAR.N / DAR US	10%	✓	0.3%
Daseke Inc	DSKE.O / DSKE US	20%	✗	0.3%
Datalink Corporation	DTLK.O / DTLK US	25%	✓	0.3%
Dave & Buster's Entertainment Inc	PLAY.O / PLAY US	10%	✓	0.3%
Dean Foods Company	DF.N / DF US	10%	✓	0.3%
Deckers Outdoor Corporation	DECK.K / DECK US	10%	✓	0.3%
Deep Value ETF	DVP.P / DVP US	25%	✗	0.3%
Deere & Company	DE.N / DE US	5%	✓	0.3%
Del Frisco's Restaurant Group Inc	DFRG.O / DFRG US	20%	✓	0.3%
Del Taco Restaurants Inc	TACO.O / TACO US	15%	✗	0.3%
Delek Logistics Partners LP	DKL / DKL US	25%	✗	1%
Delek US Holdings Inc	DK.N / DK US	10%	✓	0.3%
Delphi Technologies PLC	DLPH.N / DLPH US	25%	✓	0.3%
Delta Air Lines Inc	DAL.N / DAL US	5%	✓	0.3%
Deltic Timber Corporation	DEL.N / DEL US	10%	✓	0.3%
Deluxe Corporation	DLX.N / DLX US	10%	✓	0.3%
Denali Therapeutics Inc	DNLI.O / DNLI US	20%	✓	0.3%
Denbury Resources Inc	DNR.N / DNR US	10%	✓	0.3%
Denison Mines Corporation	DNN.A / DNN US	25%	☐	0.3%
Denny's Corporation	DENN.O / DENN US	15%	✓	0.3%
Depomed Inc	DEPO.O / DEPO US	20%	✓	0.3%
Dermira Inc	DERM.O / DERM US	25%	✓	0.3%
Despegar.com Corporation	DESP.K / DESP US	15%	✗	0.3%
Deutsche Bank Aktiengesellschaft	DB.N / DB US	5%	✓	0.3%
Deutsche X-trackers CSI 300 China A-Shares Hedged Equity ETF	ASHX.P / ASHX US	25%	✓	0.3%
Deutsche X-trackers Harvest CSI 300 China A-Shares ETF	ASHR.P / ASHR US	10%	☐	0.3%
Deutsche X-trackers Harvest CSI 500 China-A Shares Small Cap ETF	ASHS.P / ASHS US	25%	☐	0.3%
Deutsche X-trackers MSCI All World ex US High Dividend Yield Hedged Equity ETF	HDAW.P / HDAW US	25%	✓	0.3%
Deutsche X-trackers MSCI Brazil Hedged Equity ETF	DBBR.P / DBBR US	25%	✗	0.3%
Deutsche X-trackers MSCI Germany Hedged Equity ETF	DBG.R.P / DBG.R US	25%	✗	0.3%
Deutsche X-trackers MSCI Japan Hedged Equity ETF	DBJP.P / DBJP US	20%	✓	0.3%
Deutsche X-trackers MSCI United Kingdom Hedged				

Equity ETF	DBUK.P / DBUK US	25%	✗	0.3%
Devon Energy Corporation	DVN / DVN US	5%	✓	0.3%
DexCom Inc	DXCM.O / DXCM US	10%	✓	0.3%
Diageo PLC	DEO.N / DEO US	10%	✓	0.3%
Diamond Hill Investment Group Inc	DHIL.O / DHIL US	20%	✓	0.3%
Diamond Offshore Drilling Inc	DO.N / DO US	10%	☐	0.3%
DiamondRock Hospitality Company	DRH.N / DRH US	10%	✓	0.3%
Diamondback Energy Inc	FANG.O / FANG US	10%	✓	0.3%
Diana Shipping Inc	DSX.N / DSX US	15%	✓	0.3%
Dick's Sporting Goods Inc	DKS.N / DKS US	10%	✓	0.3%
Diebold Nixdorf Inc	DBD.N / DBD US	10%	✓	0.3%
Digi International Inc	DGII.O / DGII US	25%	✗	0.3%
Digimarc Corporation	DMRC.O / DMRC US	20%	✓	0.3%
Digital Realty Trust Inc	DLR.N / DLR US	5%	✓	0.3%
DigitalGlobe Inc	DGI.N / DGI US	10%	✓	0.3%
Dillard's Inc	DDS.N / DDS US	10%	✓	0.3%
Dime Community Bancshares Inc	DCOM.O / DCOM US	20%	✓	0.3%
DineEquity Inc	DIN.N / DIN US	10%	✓	0.3%
Diodes Inc	DIOD.O / DIOD US	10%	✓	0.3%
Diplomat Pharmacy Inc	DPLO.K / DPLO US	10%	✗	0.3%
Direxion All Cap Insider Sentiment Sh AE	KNOW.P / KNOW US	25%	✓	0.3%
Direxion Daily 20+ Year Treasury Bear 3X Sh AE	TMV.P / TMV US	10%	✓	0.3%
Direxion Daily 20+ Year Treasury Bull 3X Sh AE	TMF.P / TMF US	25%	✗	0.3%
Direxion Daily 7-10 Year Treasury Bear 3X Sh AE	TYO.P / TYO US	25%	✗	0.3%
Direxion Daily Aerospace & Defense Bull 3X Shares	DFEN.P / DFEN US	25%	✗	0.3%
Direxion Daily Aero SAE				
Direxion Daily CSI 300 China A Share Bear 1X Sh AE	CHAD.P / CHAD US	25%	✗	0.3%
Direxion Daily CSI 300 China A Share Bull 2X Sh AE	CHAU.P / CHAU US	25%	✗	0.3%
Direxion Daily CSI China Internet Bull 2X Sh AE	CWEB.P / CWEB US	25%	✓	0.3%
Direxion Daily Energy Bear 3X Sh AE	ERY / ERY US	25%	☐	0.3%
Direxion Daily Energy Bull 3X Sh AE	ERX / ERX US	25%	✓	0.3%
Direxion Daily FTSE China Bear 3X Sh AE	YANG.P / YANG US	25%	☐	0.3%
Direxion Daily FTSE China Bull 3X Sh AE	YINN.P / YINN US	25%	✗	0.3%
Direxion Daily FTSE Europe Bull 3X Sh AE	EURL.K / EURL US	25%	☐	0.3%
Direxion Daily Financial Bear 3X Sh AE	FAZ.P / FAZ US	25%	☐	0.3%
Direxion Daily Financial Bull 3X Sh AE	FAS.P / FAS US	20%	✓	0.3%
Direxion Daily Gold Miners Index Bear 1X Sh AE	MELT.P / MELT US	25%	✗	0.3%
Direxion Daily Gold Miners Index Bear 3X Sh AE	DUST.P / DUST US	15%	☐	0.3%
Direxion Daily Gold Miners Index Bull 3X Sh AE	NUGT.P / NUGT US	15%	✓	0.3%
Direxion Daily Healthcare Bull 3X Sh AE	CURE.P / CURE US	10%	✓	0.3%
Direxion Daily Homebuilders & Supplies Bull 3X Sh AE	NAIL.P / NAIL US	25%	☐	0.3%
Direxion Daily Japan Bull 3X Sh AE	JPNL.P / JPNL US	25%	✗	0.3%
Direxion Daily Junior Gold Miners Index Bear 3X Sh AE	JDST / JDST US	15%	☐	0.3%
Direxion Daily Junior Gold Miners Index Bull 3X Sh AE	JNUG / JNUG US	15%	✓	0.3%
Direxion Daily MSCI Brazil Bull 3X Sh AE	BRZU.P / BRZU US	15%	✓	0.3%
Direxion Daily MSCI Developed Markets Bull 3X Sh AE	DZK / DZK US	20%	✓	0.3%
Direxion Daily MSCI Emerging Markets Bear 3X Sh AE	EDZ.P / EDZ US	25%	☐	0.3%
Direxion Daily MSCI Emerging Markets Bull 3X Sh AE	EDC / EDC US	15%	☐	0.3%
Direxion Daily MSCI India Bull 3x Sh AE	INDL.P / INDL US	25%	✗	0.3%
Direxion Daily MSCI Real Estate Bear 3X Sh AE	DRV / DRV US	20%	☐	0.3%
Direxion Daily MSCI Real Estate Bull 3X Sh AE	DRN / DRN US	20%	✓	0.3%
Direxion Daily Natural Gas Related Bull 3X Sh AE	GASL.P / GASL US	25%	☐	0.3%
Direxion Daily Regional Banks Bull 3X Sh AE	DPST.P / DPST US	25%	✓	0.3%
Direxion Daily Retail Bull 3X Sh AE	RETL.P / RETL US	25%	✗	0.3%

Direxion Daily Robotics Artificial Intelligence & Automation Index Bull 3X Sh AE	UBOT.P / UBOT US	25%	X	0.3%
Direxion Daily Russia Bear 3X Sh AE	RUSS.P / RUSS US	25%	☐	0.3%
Direxion Daily Russia Bull 3X Sh AE	RUSL.P / RUSL US	25%	☐	0.3%
Direxion Daily S&P 500(r) Bear 3X Sh AE	SPXS.P / SPXS US	25%	☐	0.3%
Direxion Daily S&P Biotech Bear 3X Sh AE	LABD.P / LABD US	25%	☐	0.3%
Direxion Daily S&P Biotech Bull 3X Sh AE	LABU.P / LABU US	25%	X	0.3%
Direxion Daily S&P Oil & Gas Exp. & Prod. Bear 3X Sh AE	DRIP.P / DRIP US	25%	X	0.3%
Direxion Daily S&P Oil & Gas Exp. & Prod. Bull 3X Sh AE	GUSH.P / GUSH US	25%	X	0.3%
Direxion Daily S&P500(r) Bull 3X Sh AE	SPXL.K / SPXL US	20%	✓	0.3%
Direxion Daily Semiconductor Bear 3X Sh AE	SOXS.P / SOXS US	25%	X	0.3%
Direxion Daily Semiconductor Bull 3X Sh AE	SOXL.P / SOXL US	25%	X	0.3%
Direxion Daily Silver Miners Bear 2X Sh AE	DULL.P / DULL US	25%	✓	0.3%
Direxion Daily Silver Miners Bull 2X Sh AE	SHNY.P / SHNY US	25%	☐	0.3%
Direxion Daily Small Cap Bear 3X Sh AE	TZA.P / TZA US	15%	☐	0.3%
Direxion Daily Small Cap Bull 3X Sh AE	TNA / TNA US	10%	X	0.3%
Direxion Daily Technology Bear 3X Sh AE	TECS.K / TECS US	15%	X	0.3%
Direxion Daily Technology Bull 3X Sh AE	TECL.P / TECL US	15%	✓	0.3%
Direxion NASDAQ-100(r) Equal Weighted Index Sh AE	QQQE.P / QQQE US	25%	☐	0.3%
Direxion iBillionaire Index ETF	IBLN.P / IBLN US	25%	X	0.3%
Discover Financial Services	DFS.N / DFS US	5%	✓	0.3%
Discovery Communications Inc	DISCK.O / DISCK US	5%	✓	0.3%
Discovery Communications Inc	DISCA.O / DISCA US	5%	✓	0.3%
DocuSign Inc	DOCU.O / DOCU US	25%	✓	0.3%
Dolby Laboratories Inc	DLB.N / DLB US	10%	✓	0.3%
Dollar General Corporation	DG.N / DG US	5%	✓	0.3%
Dollar Tree Inc	DLTR.O / DLTR US	5%	✓	0.3%
Dominion Diamond Corporation	DDC.N / DDC US	20%	✓	0.3%
Dominion Energy Inc	D.N / D US	5%	✓	0.3%
Dominion Energy Midstream Partners LP	DM / DM US	15%	✓	0.3%
Domino's Pizza Inc	DPZ.N / DPZ US	10%	✓	0.3%
Domtar Corporation	UFS / UFS US	10%	X	0.3%
Donaldson Company Inc	DCI.N / DCI US	10%	✓	0.3%
Donnelley Financial Solutions Inc	DFIN.K / DFIN US	15%	✓	0.3%
Dorchester Minerals LP	DMLP.O / DMLP US	25%	X	0.3%
Dorman Products Inc	DORM.O / DORM US	10%	✓	0.3%
DoubleLine Income Solutions Fund	DSL.N / DSL US	25%	✓	0.3%
Douglas Dynamics Inc	PLOW.K / PLOW US	15%	✓	0.3%
Douglas Emmett Inc	DEI.N / DEI US	10%	✓	0.3%
Dova Pharmaceuticals Inc	DOVA.O / DOVA US	25%	✓	0.3%
Dover Corporation	DOV.N / DOV US	5%	✓	0.3%
DowDuPont Inc	DWDP.N / DWDP US	10%	✓	0.3%
Dr Pepper Snapple Group Inc	DPS.N / DPS US	25%	✓	0.3%
Dr. Reddy's Laboratories Limited	RDY.N / RDY US	10%	✓	0.3%
Dril-Quip Inc	DRQ.N / DRQ US	20%	✓	0.3%
Drive Shack Inc	DS.N / DS US	20%	X	0.3%
Dropbox Inc	DBX.O / DBX US	15%	☐	0.3%
DuPont Fabros Technology Inc	DFT.N / DFT US	10%	✓	0.3%
Ducommun Inc	DCO / DCO US	20%	✓	0.3%
Duff & Phelps Global Utility Income Fund Inc	DPG / DPG US	10%	X	0.3%
Duff & Phelps Utility and Corporate Bond Trust Inc	DUC / DUC US	25%	✓	0.3%
Duke Energy Corporation	DUK.N / DUK US	5%	✓	0.3%
Duke Realty Corporation	DRE.N / DRE US	10%	✓	0.3%
Duluth Holdings Inc	DLTH.O / DLTH US	15%	X	0.3%

Dunkin' Brands Group Inc	DNKN.O / DNKN US	10%	✓	0.3%
Dycom Industries Inc	DY.N / DY US	10%	✓	0.3%
Dynagas LNG Partners LP	DLNG.N / DLNG US	20%	☒	0.3%
Dynavax Technologies Corporation	DVAX.O / DVAX US	25%	✓	0.3%
Dynegy Inc	DYN.N / DYN US	10%	✓	0.3%
Dynex Capital Inc	DX / DX US	20%	✓	0.3%
E*TRADE Financial Corporation	ETFC.O / ETFC US	5%	✓	0.3%
E. I. du Pont de Nemours and Company	DD.N / DD US	5%	✓	0.3%
ELEMENTS Linked to the Rogers International Commodity Index - Agriculture Total Return	RJA.A / RJA US	25%	✗	0.3%
EMC Insurance Group Inc	EMCI.O / EMCI US	25%	✓	0.3%
EMCOR Group Inc	EME.N / EME US	10%	✓	0.3%
EMQQ The Emerging Markets Internet & Ecommerce ETF	EMQQ.P / EMQQ US	25%	✗	0.3%
EOG Resources Inc	EOG.N / EOG US	5%	✓	0.3%
EPAM Systems Inc	EPAM.K / EPAM US	10%	✓	0.3%
EPR Properties	EPR.N / EPR US	10%	✓	0.3%
EQT Corporation	EQT.N / EQT US	5%	✓	0.3%
EQT GP Holdings LP	EQGP.K / EQGP US	20%	✗	0.3%
EQT Midstream Partners LP	EQM.N / EQM US	10%	✓	0.3%
ESCO Technologies Inc	ESE.N / ESE US	15%	✓	0.3%
ETF Managers Group Commodity Trust I - Breakwave Dry Bulk Shipping ETF	BDRY.P / BDRY US	25%	✗	0.3%
ETFMG Alternative Harvest ETF	MJ.P / MJ US	10%	☒	0.3%
ETFS Physical Palladium Sh AE	PALL.P / PALL US	25%	✗	0.3%
ETFS Physical Platinum Sh AE	PPLT.P / PPLT US	15%	✓	0.3%
ETFS Physical Precious Metals Basket Sh AE	GLTR.P / GLTR US	10%	✗	0.3%
ETFS Physical Swiss Gold Sh AE	SGOL.P / SGOL US	10%	✗	0.3%
ETFS Zacks Earnings Large-Cap U.S. Index Fund	ZLRG.P / ZLRG US	25%	✗	0.3%
EVERTEC Inc	EVTC.K / EVTC US	10%	✓	0.3%
EVO Payments Inc	EVOP.O / EVOP US	20%	✗	0.3%
EZCORP Inc	EZPW.O / EZPW US	15%	✓	0.3%
Eagle Bancorp Inc	EGBN.O / EGBN US	10%	✗	0.3%
Eagle Bulk Shipping Inc	EGLE.O / EGLE US	25%	✓	0.3%
Eagle Materials Inc	EXP.N / EXP US	10%	✓	0.3%
Eagle Pharmaceuticals Inc	EGRX.O / EGRX US	10%	✗	0.3%
Eagle Point Credit Company Inc	ECC / ECC US	25%	☒	0.3%
EarthLink Holdings Corporation	ELNK.O / ELNK US	15%	✓	0.3%
Earthstone Energy Inc	ESTE.N / ESTE US	25%	✓	0.3%
East West Bancorp Inc	EWBC.O / EWBC US	10%	✓	0.3%
EastGroup Properties Inc	EGP / EGP US	10%	✗	0.3%
Easterly Government Properties Inc	DEA / DEA US	15%	✓	0.3%
Eastman Chemical Company	EMN.N / EMN US	5%	✓	0.3%
Eaton Corporation PLC	ETN.N / ETN US	5%	✓	0.3%
Eaton Vance Corporation	EV.N / EV US	10%	✓	0.3%
Eaton Vance Tax-Advantaged Dividend Income Fund	EVT.N / EVT US	20%	☒	0.3%
Eaton Vance Tax-Advantaged Global Dividend Income Fund	ETG.N / ETG US	20%	☒	0.3%
Eaton Vance Tax-Managed Global Buy-Write Opportunities Fund	ETW.N / ETW US	20%	☒	0.3%
Eaton Vance Tax-Managed Global Diversified Equity Income Fund	EXG.N / EXG US	25%	☒	0.3%
Ebix Inc	EBIX.O / EBIX US	10%	✓	0.3%
Echo Global Logistics Inc	ECHO.O / ECHO US	10%	✗	0.3%
EchoStar Corporation	SATS.O / SATS US	10%	✓	0.3%
Ecolab Inc	ECL.N / ECL US	5%	✓	0.3%

EdR	EDR / EDR US	10%	✓	0.3%
Edgewell Personal Care Company	EPC / EPC US	10%	✓	0.3%
Edison International	EIX.N / EIX US	5%	✓	0.3%
Editas Medicine Inc	EDIT.O / EDIT US	25%	✗	0.3%
Edwards Lifesciences Corporation	EW.N / EW US	5%	✓	0.3%
El Paso Electric Company	EE.N / EE US	10%	✓	0.3%
El Pollo Loco Holdings Inc	LOCO.O / LOCO US	20%	✓	0.3%
Elbit Systems Limited	ESLT.O / ESLT US	15%	✓	0.3%
Eldorado Gold Corporation	EGO.N / EGO US	10%	✓	0.3%
Eldorado Resorts Inc	ERI.O / ERI US	10%	✗	0.3%
Electro Scientific Industries Inc	ESIO.O / ESIO US	10%	✗	0.3%
Electronic Arts Inc	EA.O / EA US	5%	✓	0.3%
Electronics for Imaging Inc	EFII.O / EFII US	15%	✓	0.3%
Eli Lilly and Company	LLY.N / LLY US	5%	✓	0.3%
Ellie Mae Inc	ELLI.K / ELLI US	10%	✓	0.3%
Ellington Financial LLC	EFC / EFC US	25%	✗	0.3%
Embotelladora Andina SA	AKOb.N / AKO/B US	25%	✗	0.3%
Embraer SA	ERJ.N / ERJ US	10%	✓	0.3%
Emergent BioSolutions Inc	EBS / EBS US	10%	✓	0.3%
Emerson Electric Company	EMR.N / EMR US	5%	✓	0.3%
Empire State Realty Trust Inc	ESRT.N / ESRT US	10%	✓	0.3%
Employers Holdings Inc	EIG / EIG US	15%	✗	0.3%
EnLink Midstream LLC	ENLC.K / ENLC US	15%	✓	0.3%
EnLink Midstream Partners LP	ENLK.N / ENLK US	10%	✓	0.3%
EnPro Industries Inc	NPO.N / NPO US	10%	✓	0.3%
Enable Midstream Partners LP	ENBL.N / ENBL US	20%	✓	0.3%
Enanta Pharmaceuticals Inc	ENTA.O / ENTA US	10%	✗	0.3%
Enbridge Energy Management L.L.C.	EEQ.N / EEQ US	15%	✓	0.3%
Enbridge Energy Partners LP	EEP / EEP US	10%	✗	0.3%
Enbridge Inc	ENB.N / ENB US	5%	✓	0.3%
Encana Corporation	ECA.N / ECA US	5%	✓	0.3%
Encompass Health Corporation	EHC.N / EHC US	10%	✓	0.3%
Encore Capital Group Inc	ECPG.O / ECPG US	10%	✗	0.3%
Encore Wire Corporation	WIRE.O / WIRE US	15%	✓	0.3%
Endeavour Silver Corporation	EXK / EXK US	25%	✓	0.3%
Endo International PLC	ENDP.O / ENDP US	20%	✓	0.3%
Endocyte Inc	ECYT.O / ECYT US	25%	✓	0.3%
Endologix Inc	ELGX.O / ELGX US	25%	✗	0.3%
Endurance International Group Holdings Inc	EIGI.O / EIGI US	15%	✗	0.3%
Endurance Specialty Holdings Limited	ENH / ENH US	10%	✗	0.3%
EnerSys	ENS.N / ENS US	10%	✓	0.3%
Energen Corporation	EGN.N / EGN US	10%	✓	0.3%
Energizer Holdings Inc	ENR.N / ENR US	10%	✓	0.3%
Energous Corporation	WATT.O / WATT US	20%	✗	0.3%
Energy Recovery Inc	ERII.O / ERII US	15%	✓	0.3%
Energy Select Sector SPDR(r) Fund	XLE.P / XLE US	10%	✓	0.3%
Energy Transfer Equity LP	ETE.N / ETE US	10%	✓	0.3%
Energy Transfer Partners LP	ETP.N / ETP US	10%	✓	0.3%
Enerplus Corporation	ERF.N / ERF US	10%	✓	0.3%
Engility Holdings Inc	EGL.N / EGL US	15%	✓	0.3%
Ennis Inc	EBF / EBF US	20%	✓	0.3%
Enova International Inc	ENVA.N / ENVA US	15%	✗	0.3%
Enphase Energy Inc	ENPH.O / ENPH US	20%	✗	0.3%
Ens Company PLC	ESV.N / ESV US	10%	✓	0.3%

Enstar Group Limited	ESGR.O / ESGR US	15%	✓	0.3%
Entegris Inc	ENTG.O / ENTG US	10%	✓	0.3%
Entellus Medical Inc	ENTL.O / ENTL US	25%	✓	0.3%
Entercom Communications Corporation	ETM / ETM US	10%	✗	0.3%
Entergy Corporation	ETR.N / ETR US	5%	✓	0.3%
Enterprise Bancorp Inc	EBTC.O / EBTC US	25%	✓	0.3%
Enterprise Financial Services Corporation	EFSC.O / EFSC US	15%	✓	0.3%
Enterprise Products Partners LP	EPD.N / EPD US	10%	✓	0.3%
Entravision Communications Corporation	EVC / EVC US	20%	✓	0.3%
Envestnet Inc	ENV.N / ENV US	10%	✓	0.3%
Envision Healthcare Corporation	EVHC.N / EVHC US	15%	✓	0.3%
Enviva Partners LP	EVA / EVA US	20%	⊠	0.3%
Enzo Biochem Inc	ENZ / ENZ US	25%	✗	0.3%
Epizyme Inc	EPZM.O / EPZM US	25%	✗	0.3%
Equifax Inc	EFX.N / EFX US	15%	✓	0.3%
Equinix Inc	EQIX.O / EQIX US	5%	✓	0.3%
Equity Bancshares Inc	EQBK.O / EQBK US	25%	✗	0.3%
Equity Commonwealth	EQC / EQC US	10%	✓	0.3%
Equity LifeStyle Properties Inc	ELS.N / ELS US	10%	✓	0.3%
Equity One Inc	EQY.N / EQY US	10%	✓	0.3%
Equity Residential	EQR.N / EQR US	5%	✓	0.3%
Era Group Inc	ERA / ERA US	25%	✓	0.3%
Erie Indemnity Company	ERIE.O / ERIE US	15%	✓	0.3%
Erin Energy Corporation	ERINQ.PK / ERINQ US	25%	⊠	0.3%
Eros International PLC	EROS.K / EROS US	10%	⊠	0.3%
Esperion Therapeutics Inc	ESPR.O / ESPR US	25%	✗	0.3%
Essendant Inc	ESND.O / ESND US	20%	✓	0.3%
Essent Group Limited	ESNT.K / ESNT US	10%	✓	0.3%
Essex Property Trust Inc	ESS.N / ESS US	5%	✓	0.3%
Esterline Technologies Corporation	ESL.N / ESL US	10%	✓	0.3%
Ethan Allen Interiors Inc	ETH.N / ETH US	10%	✓	0.3%
Etsy Inc	ETSY.O / ETSY US	10%	✓	0.3%
Euronav NV	EURN.K / EURN US	20%	✓	0.3%
Euronet Worldwide Inc	EEFT.O / EEFT US	10%	✓	0.3%
EverBank Financial Corporation	EVER.K / EVER US	10%	✓	0.3%
Everbridge Inc	EVBG.O / EVBG US	10%	✓	0.3%
Evercore Partners Inc	EVR.N / EVR US	10%	✓	0.3%
Everest Re Group Limited	RE.N / RE US	10%	✓	0.3%
Evergy Inc	EVRG.K / EVRG US	10%	✗	0.3%
Everi Holdings Inc	EVRI.N / EVRI US	15%	✗	0.3%
Eversource Energy	ES.N / ES US	5%	✓	0.3%
Everyday Health Inc	EVDY.K / EVDY US	20%	✓	0.3%
Evolent Health Inc	EVH / EVH US	25%	✗	0.3%
Evolution Petroleum Corporation	EPM.A / EPM US	25%	✓	0.3%
Exact Sciences Corporation	EXAS.O / EXAS US	10%	✓	0.3%
Exactech Inc	EXAC.O / EXAC US	20%	✗	0.3%
Exar Corporation	EXAR.K / EXAR US	20%	✓	0.3%
Exelixis Inc	EXEL.O / EXEL US	10%	✓	0.3%
Exelon Corporation	EXC.N / EXC US	5%	✓	0.3%
ExlService Holdings Inc	EXLS.O / EXLS US	10%	✗	0.3%
Expedia Inc	EXPE.O / EXPE US	10%	✓	0.3%
Expeditors International of Washington Inc	EXPD.O / EXPD US	5%	✓	0.3%
Exponent Inc	EXPO.O / EXPO US	10%	✗	0.3%
Express Inc	EXPR.K / EXPR US	15%	✗	0.3%

Express Scripts Holding Company	ESRX.O / ESRX US	5%	✓	0.3%
Extended Stay America Inc	STAY.N / STAY US	10%	✓	0.3%
Exterran Corporation	EXTN.K / EXTN US	10%	✗	0.3%
Extra Space Storage Inc	EXR.N / EXR US	5%	✓	0.3%
Extreme Networks Inc	EXTR.O / EXTR US	10%	✓	0.3%
Exxon Mobil Corporation	XOM.N / XOM US	5%	✓	0.3%
F.N.B. Corporation	FNB.N / FNB US	10%	✓	0.3%
F5 Networks Inc	FFIV.O / FFIV US	10%	✓	0.3%
FARO Technologies Inc	FARO.O / FARO US	15%	✗	0.3%
FB Financial Corporation	FBK / FBK US	20%	✓	0.3%
FBL Financial Group Inc	FFG / FFG US	20%	✗	0.3%
FCB Financial Holdings Inc	FCB / FCB US	10%	✗	0.3%
FLIR Systems Inc	FLIR.O / FLIR US	5%	✓	0.3%
FMC Corporation	FMC.N / FMC US	10%	✓	0.3%
FRP Holdings Inc	FRPH.O / FRPH US	25%	✓	0.3%
FS Investment Corporation	FSIC.N / FSIC US	10%	✗	0.3%
FTI Consulting Inc	FCN.N / FCN US	10%	✓	0.3%
Fabrinet	FN / FN US	10%	✗	0.3%
Facebook Inc	FB.O / FB US	5%	✓	0.3%
FactSet Research Systems Inc	FDS.N / FDS US	10%	✓	0.3%
Fair Isaac Corporation	FICO.K / FICO US	10%	✓	0.3%
Fairmount Santrol Holdings Inc	FMSA.K / FMSA US	25%	⚠	0.3%
Fairpoint Communications Inc	FRP.O / FRP US	20%	✗	0.3%
Fang Holdings Limited	SFUN.K / SFUN US	10%	✓	0.3%
Fanhua Inc	FANH.O / FANH US	10%	✗	0.3%
Far Point Acquisition Corp	FPAC_u.N / FPAC/U US	20%	✗	0.3%
Farmer Bros. Company	FARM.O / FARM US	20%	✗	0.3%
Farmers Capital Bank Corporation	FFKT.O / FFKT US	25%	✗	0.3%
Farmland Partners Inc	FPI / FPI US	25%	✗	0.3%
Fastenal Company	FAST.O / FAST US	5%	✓	0.3%
Fate Therapeutics Inc	FATE.O / FATE US	25%	✗	0.3%
FedEx Corporation	FDX.N / FDX US	5%	✓	0.3%
Federal Agricultural Mortgage Corporation	AGM / AGM US	15%	✓	0.3%
Federal Realty Investment Trust	FRT.N / FRT US	5%	✓	0.3%
Federal Signal Corporation	FSS / FSS US	15%	✓	0.3%
Federal-Mogul Holdings Corporation	FDML.O / FDML US	20%	✓	0.3%
Federated Investors Inc	FII.N / FII US	10%	✓	0.3%
FelCor Lodging Trust Inc	FCH.N / FCH US	15%	✓	0.3%
Ferrari NV	RACE.K / RACE US	10%	✗	0.3%
Ferrellgas Partners LP	FGP / FGP US	20%	✗	0.3%
Ferro Corporation	FOE / FOE US	10%	✓	0.3%
Fiat Chrysler Automobiles NV	FCAU.K / FCAU US	5%	✓	0.3%
FibroGen Inc	FGEN.O / FGEN US	10%	✓	0.3%
Fidelity & Guaranty Life	FGL / FGL US	10%	✗	0.3%
Fidelity National Financial Inc	FNF.N / FNF US	10%	✓	0.3%
Fidelity National Financial Ventures	FNFV.K / FNFV US	15%	✓	0.3%
Fidelity National Information Services Inc	FIS.N / FIS US	5%	✓	0.3%
Fidelity Southern Corporation	LION.O / LION US	20%	✗	0.3%
Fidelity(r) MSCI Consumer Discretionary Index ETF	FDIS.P / FDIS US	25%	✗	0.3%
Fidelity(r) MSCI Consumer Staples Index ETF	FSTA.P / FSTA US	25%	✗	0.3%
Fidelity(r) MSCI Financials Index ETF	FNCL.A / FNCL US	10%	✗	0.3%
Fidelity(r) MSCI Health Care Index ETF	FHLC.N / FHLC US	10%	✗	0.3%
Fidelity(r) MSCI Industrials Index ETF	FIDU.P / FIDU US	10%	✗	0.3%
Fiduciary Claymore MLP Opportunity Fund	FMO / FMO US	10%	✗	0.3%

Fiesta Restaurant Group Inc	FRGI.O / FRGI US	15%	✓	0.3%
Fifth Third Ban Corporation	FITB.O / FITB US	5%	✓	0.3%
Financial Engines Inc	FNGN.O / FNGN US	10%	✓	0.3%
Financial Institutions Inc	FISI.O / FISI US	20%	✗	0.3%
Financial Select Sector SPDR(r) Fund	XLFP / XLFP US	10%	✓	0.3%
Finisar Corporation	FNSR.O / FNSR US	10%	✓	0.3%
FireEye Inc	FEYE.O / FEYE US	10%	✓	0.3%
First American Financial Corporation	FAFN / FAFN US	10%	✓	0.3%
First Ban Corporation	FBP / FBP US	10%	✓	0.3%
First Ban Corporation	FBNC.O / FBNC US	20%	✗	0.3%
First Busey Corporation	BUSE.O / BUSE US	15%	✓	0.3%
First Business Financial Services Inc	FBIZ.O / FBIZ US	25%	✗	0.3%
First Citizens BancShares Inc	FCNCA.O / FCNCA US	10%	✗	0.3%
First Commonwealth Financial Corporation	FCF / FCF US	15%	✗	0.3%
First Community Bancshares Inc	FCBC.O / FCBC US	25%	✓	0.3%
First Connecticut Bancorp Inc	FBNK.O / FBNK US	25%	✗	0.3%
First Data Corporation	FDC / FDC US	10%	✓	0.3%
First Defiance Financial Corporation	FDEF.O / FDEF US	20%	✗	0.3%
First Financial Ban Corporation	FFBC.O / FFBC US	10%	✗	0.3%
First Financial Bankshares Inc	FFIN.O / FFIN US	10%	✓	0.3%
First Financial Corporation	THFF.O / THFF US	25%	✗	0.3%
First Foundation Inc	FFWM.O / FFWM US	20%	✗	0.3%
First Horizon National Corporation	FHN.N / FHN US	10%	✓	0.3%
First Industrial Realty Trust Inc	FR.N / FR US	10%	✓	0.3%
First Internet Ban Corporation	INBK.O / INBK US	25%	✓	0.3%
First Interstate BancSystem Inc	FIBK.O / FIBK US	10%	✗	0.3%
First Majestic Silver Corporation	AG / AG US	10%	✓	0.3%
First Merchants Corporation	FRME.O / FRME US	10%	✓	0.3%
First Midwest Bancorp Inc	FMBI.O / FMBI US	10%	✗	0.3%
First Potomac Realty Trust	FPO / FPO US	20%	✗	0.3%
First Republic Bank	FRC / FRC US	10%	✓	0.3%
First Solar Inc	FSLR.O / FSLR US	5%	✓	0.3%
First Trust Aberdeen Global Opportunity Income Fund	FAM.N / FAM US	25%	✗	0.3%
First Trust Brazil AlphaDEX(r) Fund	FBZ.O / FBZ US	25%	✗	0.3%
First Trust Canada AlphaDEX(r) Fund	FCAN.O / FCAN US	25%	✗	0.3%
First Trust China AlphaDEX(r) Fund	FCA.O / FCA US	25%	✗	0.3%
First Trust Cloud Computing ETF	SKYY.O / SKYY US	10%	☐	0.3%
First Trust Dorsey Wright Focus 5 ETF	FV.O / FV US	10%	✓	0.3%
First Trust Dow Jones Global Select Dividend Index Fund	FGD.P / FGD US	10%	✓	0.3%
First Trust Dow Jones Internet Index Fund	FDN.P / FDN US	10%	✗	0.3%
First Trust Dow Jones Select MicroCap Index Fund	FDM.P / FDM US	25%	✓	0.3%
First Trust Emerging Markets Small Cap AlphaDEX(r) Fund	FEMS.O / FEMS US	10%	✓	0.3%
First Trust Europe AlphaDEX(r) Fund	FEP.O / FEP US	10%	✗	0.3%
First Trust Financials AlphaDEX(r) Fund	FXO.P / FXO US	10%	✗	0.3%
First Trust Germany AlphaDEX(r) Fund	FGM.O / FGM US	10%	✗	0.3%
First Trust Global Engineering and Construction ETF	FLM.P / FLM US	25%	✗	0.3%
First Trust High Income ETF	FTHI.P / FTHI US	25%	✓	0.3%
First Trust Hong Kong AlphaDEX(r) Fund	FHK.O / FHK US	25%	✗	0.3%
First Trust International IPO ETF	FPXI.P / FPXI US	25%	✓	0.3%
First Trust Japan AlphaDEX(r) Fund	FJP.O / FJP US	25%	✗	0.3%
First Trust Materials AlphaDEX(r) Fund	FXZ.P / FXZ US	25%	✗	0.3%
First Trust Multi-Asset Diversified Income Index Fund	MDIV.O / MDIV US	10%	✗	0.3%
First Trust NASDAQ Cybersecurity ETF	CIBR.O / CIBR US	10%	☐	0.3%

First Trust NASDAQ Global Auto Index Fund	CARZ.O / CARZ US	25%	☐	0.3%
First Trust NASDAQ Technology Dividend Index Fund	TDIV.O / TDIV US	10%	✗	0.3%
First Trust NASDAQ(r) ABA Community Bank Index Fund	QABA.O / QABA US	10%	☐	0.3%
First Trust NASDAQ-100-Technology Sector Index Fund	QTEC.O / QTEC US	10%	✓	0.3%
First Trust NYSE Arca Biotechnology Index Fund	FBT.P / FBT US	10%	✓	0.3%
First Trust Natural Gas ETF	FCG.P / FCG US	25%	✗	0.3%
First Trust STOXX(r) European Select Dividend Index Fund	FDD.P / FDD US	10%	☐	0.3%
First Trust Small Cap Growth AlphaDEX(r) Fund	FYC.O / FYC US	10%	☐	0.3%
First Trust Strategic High Income Fund II	FHY.N / FHY US	25%	✗	0.3%
First Trust Switzerland AlphaDEX(r) Fund	FSZ.O / FSZ US	10%	✗	0.3%
First Trust US Equity Opportunities ETF	FPX.P / FPX US	25%	✓	0.3%
First Trust Water ETF	FIW.P / FIW US	10%	✗	0.3%
FirstCash Inc	FCFS.K / FCFS US	10%	✓	0.3%
FirstEnergy Corporation	FE.N / FE US	5%	✓	0.3%
Fiserv Inc	FISV.O / FISV US	5%	✓	0.3%
Fitbit Inc	FIT.N / FIT US	10%	✓	0.3%
Five Below Inc	FIVE.O / FIVE US	10%	✓	0.3%
Five Point Holdings LLC	FPH / FPH US	20%	✗	0.3%
Five Prime Therapeutics Inc	FPRX.O / FPRX US	25%	✗	0.3%
Five9 Inc	FIVN.O / FIVN US	10%	✗	0.3%
Flagstar Bancorp Inc	FBC.N / FBC US	15%	✓	0.3%
Flaherty & Crumrine Preferred Income Fund Inc	PFD.N / PFD US	25%	✗	0.3%
Flaherty & Crumrine Preferred Securities Income Fund Inc	FFC / FFC US	10%	✓	0.3%
FleetCor Technologies Inc	FLT / FLT US	10%	✓	0.3%
Fleetmatics Group PLC	FLT.X.K / FLT.X US	15%	✓	0.3%
Flex Limited	FLEX.O / FLEX US	10%	✓	0.3%
Flexion Therapeutics Inc	FLXN.O / FLXN US	25%	✗	0.3%
Flexsteel Industries Inc	FLXS.O / FLXS US	25%	✓	0.3%
Floor & Decor Holdings Inc	FND.N / FND US	10%	✗	0.3%
Flotek Industries Inc	FTK / FTK US	20%	✓	0.3%
Flowers Foods Inc	FLO.N / FLO US	10%	✓	0.3%
Flowserve Corporation	FLS.N / FLS US	10%	✓	0.3%
Fluor Corporation	FLR.N / FLR US	5%	✓	0.3%
Flushing Financial Corporation	FFIC.O / FFIC US	20%	✓	0.3%
Fly Leasing Limited	FLY / FLY US	20%	✓	0.3%
Fomento Economico Mexicano S.A.B. de CV	FMX.N / FMX US	10%	✓	0.3%
Foot Locker Inc	FL.N / FL US	10%	✓	0.3%
Ford Motor Company	F.N / F US	5%	✓	0.3%
ForeScout Technologies Inc	FSCT.O / FSCT US	10%	✓	0.3%
Forest City Enterprises Inc	FCEa.N / FCE/A US	10%	✓	0.3%
Forestar Group Inc	FOR / FOR US	10%	✗	0.3%
FormFactor Inc	FORM.O / FORM US	10%	✓	0.3%
Formula One Group	FWONA.O / FWONA US	10%	✓	0.3%
Formula One Group	FWONK.O / FWONK US	5%	✗	0.3%
Formula Systems (1985) Limited	FORTY.O / FORTY US	25%	✗	0.3%
Forrester Research Inc	FORR.O / FORR US	20%	✗	0.3%
Fortinet Inc	FTNT.O / FTNT US	10%	✓	0.3%
Fortis Inc	FTS / FTS US	5%	✗	0.3%
Fortive Corporation	FTV.N / FTV US	5%	✓	0.3%
Fortress Investment Grou PLC	FIG.N / FIG US	10%	✓	0.3%
Fortuna Silver Mines Inc	FSM / FSM US	20%	✗	0.3%
Fortune Brands Home & Security Inc	FBHS.K / FBHS US	5%	✓	0.3%
Forum Energy Technologies Inc	FET / FET US	10%	✓	0.3%

Forward Air Corporation	FWRD.O / FWRD US	10%	✓	0.3%
Fossil Group Inc	FOSL.O / FOSL US	15%	✓	0.3%
Foundation Medicine Inc	FMI.O / FMI US	25%	✓	0.3%
Four Corners Property Trust Inc	FCPT.K / FCPT US	10%	✗	0.3%
Fox Factory Holding Corporation	FOXF.O / FOXF US	10%	✗	0.3%
Francesca's Holdings Corporation	FRAN.O / FRAN US	20%	✓	0.3%
Franco-Nevada Corporation	FNV.N / FNV US	5%	✓	0.3%
Frank's International NV	FI / FI US	25%	✓	0.3%
Franklin Covey Company	FC / FC US	25%	✗	0.3%
Franklin Electric Co. Inc	FELE.O / FELE US	10%	✓	0.3%
Franklin Financial Network Inc	FSB / FSB US	20%	✗	0.3%
Franklin Resources Inc	BEN.N / BEN US	5%	✓	0.3%
Franklin Street Properties Corporation	FSP.A / FSP US	15%	✓	0.3%
Freeport-McMoRan Inc	FCX.N / FCX US	5%	✓	0.3%
FreightCar America Inc	RAIL.O / RAIL US	20%	✓	0.3%
Fresh Del Monte Produce Inc	FDP.N / FDP US	10%	✓	0.3%
Freshpet Inc	FRPT.O / FRPT US	15%	✗	0.3%
Frontier Communications Corporation	FTR.O / FTR US	10%	⚠	0.3%
Frontier Communications Corporation	FTRPR.O / EP048836@EXCH PFD	25%	✓	1%
Frontline Limited	FRO.N / FRO US	20%	⚠	0.3%
Fulton Financial Corporation	FULT.O / FULT US	10%	✓	0.3%
Funko Inc	FNKO.P / FNKO US	20%	✗	0.3%
FutureFuel Corporation	FF / FF US	25%	✓	0.3%
G&K Services Inc	GK.O / GK US	10%	✗	0.3%
G-III Apparel Group Limited	GIII.O / GIII US	10%	✓	0.3%
G1 Therapeutics Inc	GTHX.O / GTHX US	25%	✗	0.3%
GAIN Capital Holdings Inc	GCAP.K / GCAP US	20%	✗	0.3%
GAMCO Global Gold Natural Resources & Income Trust	GGN.A / GGN US	20%	✗	0.3%
GAMCO Investors Inc	GBL.N / GBL US	25%	✗	0.3%
GATX Corporation	GMT.N / GATX US	10%	✓	0.3%
GCI Liberty Inc	GLIBA.O / GLIBA US	25%	✓	0.3%
GCP Applied Technologies Inc	GCP / GCP US	10%	✗	0.3%
GDS Holdings Limited	GDS.O / GDS US	10%	✗	0.3%
GGP Inc	GGP.N / GGP US	5%	✓	0.3%
GMS Inc	GMS / GMS US	10%	✗	0.3%
GNC Holdings Inc	GNC.N / GNC US	20%	✓	0.3%
GP Strategies Corporation	GPX / GPX US	25%	✗	0.3%
GTT Communications Inc	GTT.N / GTT US	10%	✗	0.3%
GW Pharmaceuticals PLC	GWPH.O / GWPH US	20%	✓	0.3%
Galapagos NV	GLPG.O / GLPG US	10%	✓	0.3%
GameStop Corporation	GME.N / GME US	10%	⚠	0.3%
Gaming and Leisure Properties Inc	GLPI.O / GLPI US	10%	✓	0.3%
Gannett Co. Inc	GCI.N / GCI US	10%	✓	0.3%
Gardner Denver Holdings Inc	GDI / GDI US	10%	✗	0.3%
Garmin Limited	GRMN.O / GRMN US	5%	✓	0.3%
Gartner Inc	IT.N / IT US	10%	✓	0.3%
GasLog Limited	GLOG.K / GLOG US	10%	✗	0.3%
GasLog Partners LP	GLOP.K / GLOP US	15%	✗	0.3%
Gazit Globe Limited	GZT / GZT US	25%	✓	0.3%
GenMark Diagnostics Inc	GNMK.O / GNMK US	25%	✓	0.3%
Gener8 Maritime Inc	GNRT.N / GNRT US	20%	✓	0.3%
Generac Holdings Inc	GNRC.K / GNRC US	10%	✓	0.3%
General American Investors Company Inc	GAM / GAM US	25%	✓	0.3%

General Cable Corporation	BGC.N / BGC US	10%	✓	0.3%
General Communication Inc	GNCMA.O / GNCMA US	40%	✗	0.3%
General Dynamics Corporation	GD.N / GD US	5%	✓	0.3%
General Electric Company	GE.N / GE US	5%	✓	0.3%
General Mills Inc	GIS.N / GIS US	5%	✓	0.3%
General Motors Company	GM.N / GM US	5%	✓	0.3%
Genesco Inc	GCO.N / GCO US	15%	✓	0.3%
Genesee & Wyoming Inc	GWR.N / GWR US	10%	✓	0.3%
Genesis Energy LP	GEL / GEL US	10%	✓	0.3%
Genomic Health Inc	GHDX.O / GHDX US	15%	✗	0.3%
Genpact Limited	G.N / G US	10%	✓	0.3%
Gentex Corporation	GNTX.O / GNTX US	10%	✓	0.3%
Gentherm Inc	THRM.O / THRM US	10%	✓	0.3%
Genuine Parts Company	GPC.N / GPC US	5%	✓	0.3%
Genworth Financial Inc	GNW.N / GNW US	10%	✓	0.3%
GeoPark Limited	GPRK.K / GPRK US	20%	✓	0.3%
German American Bancorp Inc	GABC.O / GABC US	20%	✗	0.3%
Geron Corporation	GERN.O / GERN US	25%	☐	0.3%
Getty Realty Corporation	GTY.N / GTY US	15%	✓	0.3%
Gibraltar Industries Inc	ROCK.O / ROCK US	10%	✓	0.3%
GigPeak Inc	GIG / GIG US	25%	✗	0.3%
Gigamon Inc	GIMO.K / GIMO US	10%	✓	0.3%
Gilat Satellite Networks Limited	GILT.O / GILT US	25%	✓	0.3%
Gildan Activewear Inc	GIL.N / GIL US	5%	✓	0.3%
Gilead Sciences Inc	GILD.O / GILD US	5%	✓	0.3%
Glacier Bancorp Inc	GBCI.O / GBCI US	10%	✗	0.3%
Gladstone Capital Corporation	GLAD.O / GLAD US	25%	✓	0.3%
Gladstone Commercial Corporation	GOOD.O / GOOD US	15%	✓	0.3%
Gladstone Investment Corporation	GAIN.O / GAIN US	20%	✗	0.3%
Glaukos Corporation	GKOS.K / GKOS US	10%	✗	0.3%
GlaxoSmithKline PLC	GSK.N / GSK US	10%	✓	0.3%
Global Blood Therapeutics Inc	GBT.O / GBT US	25%	✗	0.3%
Global Brass and Copper Holdings Inc	BRSS.K / BRSS US	15%	✓	0.3%
Global Partners LP	GLP / GLP US	25%	✗	0.3%
Global Payments Inc	GPN.N / GPN US	5%	✓	0.3%
Global X China Consumer ETF	CHIQ.P / CHIQ US	25%	✗	0.3%
Global X China Financials ETF	CHIX.P / CHIX US	25%	✗	0.3%
Global X Copper Miners ETF	COPX.P / COPX US	25%	✗	0.3%
Global X FinTech ETF	FINX.O / FINX US	25%	✓	0.3%
Global X Gold Explorers ETF	GOEX.P / GOEX US	10%	✓	0.3%
Global X Lithium & Battery Tech ETF	LIT.P / LIT US	25%	✗	0.3%
Global X Longevity Thematic ETF	LNGR.O / LNGR US	25%	✓	0.3%
Global X MSCI Nigeria ETF	NGE.P / NGE US	25%	☐	0.3%
Global X MSCI Pakistan ETF	PAK / PAK US	25%	✗	0.3%
Global X MSCI Portugal ETF	PGAL.P / PGAL US	25%	✗	0.3%
Global X MSCI SuperDividend(r) EAFE ETF	EFAS.O / EFAS US	25%	✗	0.3%
Global X MSCI SuperDividend(r) Emerging Markets ETF	SDEM.P / SDEM US	25%	✓	0.3%
Global X NASDAQ China Technology ETF	QQQC.O / QQQC US	25%	☐	0.3%
Global X Robotics & Artificial Intelligence ETF	BOTZ.O / BOTZ US	25%	✓	0.3%
Global X Silver Miners ETF	SIL / SIL US	10%	✓	0.3%
Global X Social Media ETF	SOCL.O / SOCL US	10%	☐	0.3%
Global X SuperDividend(TM) ETF	SDIV.P / SDIV US	10%	☐	0.3%
Global X SuperDividend(TM) U.S. ETF	DIV.P / DIV US	10%	✗	0.3%
Global X SuperDividend(r) Alternatives ETF	ALTY.O / ALTY US	25%	✗	0.3%

Global X SuperIncome(TM) Preferred ETF	SPFF.P / SPFF US	25%	✗	0.3%
Global X Uranium ETF	URA / URA US	20%	✓	0.3%
Globalstar Inc	GSAT.A / GSAT US	10%	✗	0.3%
Globant SA	GLOB.K / GLOB US	10%	✗	0.3%
Globe Specialty Metals Inc	GSM.O / GSM US	15%	✓	0.3%
Globus Medical Inc	GMED.K / GMED US	10%	✗	0.3%
Glu Mobile Inc	GLUU.O / GLUU US	15%	✓	0.3%
GoDaddy Inc	GDDY.K / GDDY US	10%	✓	0.3%
GoPro Inc	GPRO.O / GPRO US	10%	✓	0.3%
Gogo Inc	GOGO.O / GOGO US	10%	✗	0.3%
Golar LNG Limited	GLNG.O / GLNG US	25%	✓	0.3%
Golar LNG Partners LP	GMLP.O / GMLP US	15%	✗	0.3%
Gold Resource Corporation	GORO.A / GORO US	20%	✓	0.3%
Gold Standard Ventures Corporation	GSV.A / GSV US	25%	✗	0.3%
Goldcorp Inc	GG.N / GG US	5%	✓	0.3%
Golden Entertainment Inc	GDEN.O / GDEN US	25%	✗	0.3%
Golden Ocean Group Limited	GOGL.O / GOGL US	20%	✗	0.3%
Golden Star Resources Limited	GSS.A / GSS US	20%	✓	0.3%
Goldman Sachs ActiveBeta(r) U.S. Large Cap Equity ETF	GSLC.P / GSLC US	25%	✗	0.3%
Goldman Sachs BDC Inc	GSBD.N / GSBD US	20%	✗	0.3%
Golub Capital BDC Inc	GBDC.O / GBDC US	15%	✗	0.3%
Goosehead Insurance Inc	GSHD.O / GSHD US	20%	✓	0.3%
Government Properties Income Trust	GOV.N / GOV US	10%	✓	0.3%
Graco Inc	GGG.N / GGG US	10%	✓	0.3%
GrafTech International Limited	EAF / EAF US	25%	✓	0.3%
Graham Corporation	GHM.A / GHM US	25%	✓	0.3%
Graham Holdings Company	GHC.N / GHC US	10%	✓	0.3%
Gramercy Property Trust Inc	GPT / GPT US	10%	✗	0.3%
Gran Tierra Energy Inc	GTE.A / GTE US	25%	✓	0.3%
Grana y Montero SAA	GRAM.K / GRAMONC1PE	25%	✗	0.3%
Grand Canyon Education Inc	LOPE.O / LOPE US	10%	✓	0.3%
Granite Construction Inc	GVA.N / GVA US	10%	✓	0.3%
Granite Point Mortgage Trust Inc	GPMT.K / GPMT US	10%	✓	0.3%
Graphic Packaging Holding Company	GPK / GPK US	10%	✓	0.3%
Gray Television Inc	GTN / GTN US	10%	✗	0.3%
Great Ajax Corporation	AJX / AJX US	25%	✓	0.3%
Great Lakes Dredge & Dock Corporation	GLDD.O / GLDD US	25%	✗	0.3%
Great Panther Silver Limited	GPL.A / GPL US	25%	✗	0.3%
Great Plains Energy Inc	GXP.N / GXP US	10%	✓	0.3%
Great Southern Bancorp Inc	GSBC.O / GSBC US	25%	✓	0.3%
Great Western Bancorp Inc	GWB / GWB US	10%	✗	0.3%
Green Bancorp Inc	GNBC.O / GNBC US	15%	✗	0.3%
Green Dot Corporation	GDOT.K / GDOT US	10%	✓	0.3%
Green Plains Inc	GPRE.O / GPRE US	10%	✓	0.3%
GreenSky Inc	GSKY.O / GSKY US	10%	✗	0.3%
Greenhill & Co. Inc	GHL.N / GHL US	15%	✓	0.3%
Greenlight Capital Re Limited	GLRE.O / GLRE US	20%	✓	0.3%
Greif Inc	GEF.N / GEF US	10%	✓	0.3%
Griffon Corporation	GFF / GFF US	20%	✗	0.3%
Grifols SA	GRFS.O / GRFS US	10%	✓	0.3%
Group 1 Automotive Inc	GPI / GPI US	10%	✗	0.3%
Groupon Inc	GRPN.O / GRPN US	10%	✓	0.3%
GrubHub Inc	GRUB.N / GRUB US	10%	✓	0.3%
Grupo Aeroportuario del Centro Norte S. A. B. de C. V.	OMAB.O / OMAB US	15%	✗	0.3%

Grupo Aeroportuario del Pacifico S.A.B. de CV	PAC / PAC US	15%	✗	0.3%
Grupo Aeroportuario del Sureste S. A. B. de C. V.	ASR.N / ASR US	10%	✓	0.3%
Grupo Financiero Galicia SA	GGAL.O / GGAL US	10%	✗	0.3%
Grupo Simec S.A.B. de CV	SIM / SIM US	25%	✓	0.3%
Grupo Televisa S.A.B.	TV.N / TV US	10%	✓	0.3%
Guaranty Ban Corporation	GBNK.O / GBNK US	15%	✗	0.3%
Guess' Inc	GES.N / GES US	10%	✓	0.3%
Guggenheim BRIC ETF	EEB / EEB US	10%	✓	0.3%
Guggenheim BulletShares 2018 Corporate Bond ETF	BSCI.P / BSCI US	10%	✗	0.3%
Guggenheim BulletShares 2019 Corporate Bond ETF	BSCJ.P / BSCJ US	10%	✗	0.3%
Guggenheim BulletShares 2022 Corporate Bond ETF	BSCM.P / BSCM US	25%	✗	0.3%
Guggenheim China Real Estate ETF	TAO.P / TAO US	25%	☐	0.3%
Guggenheim China Small Cap ETF	HAO.P / HAO US	10%	☐	0.3%
Guggenheim Enhanced Equity Income Fund	GPM / GPM US	20%	✗	0.3%
Guggenheim Mid-Cap Core ETF	CZA.P / CZA US	25%	✗	0.3%
Guggenheim S&P 500(r) Equal Weight Consumer Staples ETF	RHS.P / RHS US	10%	✓	0.3%
Guggenheim S&P 500(r) Equal Weight ETF	RSP.P / RSP US	10%	✗	0.3%
Guggenheim S&P 500(r) Equal Weight Energy ETF	RYE.P / RYE US	25%	✗	0.3%
Guggenheim S&P 500(r) Equal Weight Financial ETF	RYF.P / RYF US	25%	☐	0.3%
Guggenheim S&P 500(r) Top 50 ETF	XLG.P / XLG US	10%	✗	0.3%
Guggenheim S&P Global Dividend Opportunities Index ETF	LVL.P / LVL US	25%	✓	0.3%
Guggenheim S&P Global Water Index ETF	CGW.P / CGW US	10%	☐	0.3%
Guggenheim S&P SmallCap 600(r) Equal Weight ETF	EWSC.K / EWSC US	25%	✗	0.3%
Guggenheim S&P SmallCap 600(r) Pure Growth ETF	RZG.P / RZG US	25%	✓	0.3%
Guggenheim S&P Spin-Off ETF	CSD.P / CSD US	10%	✓	0.3%
Guggenheim Shipping ETF	SEA.P / SEA US	25%	✗	0.3%
Guggenheim Strategic Opportunities Fund	GOF / GOF US	25%	✗	0.3%
Guggenheim Taxable Municipal Managed Duration Trust	GBAB.K / GBAB US	25%	✗	0.3%
Guggenheim Ultra Short Duration ETF	GSY.A / GSY US	10%	☐	0.3%
Guidewire Software Inc	GWRE.K / GWRE US	10%	✓	0.3%
Gulfport Energy Corporation	GPOR.O / GPOR US	10%	✗	0.3%
H&E Equipment Services Inc	HEES.O / HEES US	10%	✗	0.3%
H&R Block Inc	HRB.N / HRB US	15%	✓	0.3%
H.B. Fuller Company	FUL.N / FUL US	10%	✓	0.3%
HC2 Holdings Inc	HCHC.N / HCHC US	25%	✗	0.3%
HCA Healthcare Inc	HCA.N / HCA US	5%	✓	0.3%
HCI Group Inc	HCI / HCI US	20%	✗	0.3%
HCP Inc	HCP.N / HCP US	5%	✓	0.3%
HD Supply Holdings Inc	HDS.O / HDS US	10%	✓	0.3%
HDFC Bank Limited	HDB.N / HDB US	10%	✓	0.3%
HEICO Corporation	HEI.N / HEI US	10%	✓	0.3%
HEICO Corporation	HEIa.N / HEI/A US	10%	✗	0.3%
HFF Inc	HF / HF US	10%	✗	0.3%
HMS Holdings Corporation	HMSY.O / HMSY US	10%	✓	0.3%
HNI Corporation	HNI.N / HNI US	10%	✓	0.3%
HP Inc	HPQ.N / HPQ US	5%	✓	0.3%
HRG Group Inc	HRG / HRG US	10%	✓	0.3%
HSBC Holdings PLC	HSBC.K / HSBC US	10%	✓	0.3%
HSN Inc	HSNI.O / HSNI US	10%	✓	0.3%
HUYA Inc	HUYA.K / HUYA US	25%	☐	0.3%
Haemonetics Corporation	HAE.N / HAE US	10%	✓	0.3%
Hailiang Education Group Inc	HLG.O / HLG US	15%	✓	0.3%

Halcon Resources Corporation	HK.N / HK US	15%	✓	0.3%
Halliburton Company	HAL.N / HAL US	5%	✓	0.3%
Halozyme Therapeutics Inc	HALO.O / HALO US	25%	✓	0.3%
Halyard Health Inc	HYH / HYH US	10%	✓	0.3%
Hamilton Lane Inc	HLNE.O / HLNE US	15%	✓	0.3%
Hancock Holding Company	HBHC.O / HWC US	10%	✓	0.3%
Hanesbrands Inc	HBI.N / HBI US	5%	✓	0.3%
Hanger Inc	HGR / HNGR US	25%	✓	0.3%
Hanmi Financial Corporation	HAFC.O / HAFC US	15%	✗	0.3%
Hannon Armstrong Sustainable Infrastructure Capital Inc	HASI.K / HASI US	10%	✗	0.3%
Harley-Davidson Inc	HOG.N / HOG US	5%	✓	0.3%
Harman International Industries Inc	HAR.N / HAR US	20%	✓	0.3%
Harmonic Inc	HLIT.O / HLIT US	20%	✓	0.3%
Harris Corporation	HRS.N / HRS US	5%	✓	0.3%
Harsco Corporation	HSC.N / HSC US	10%	✓	0.3%
Hasbro Inc	HAS.O / HAS US	5%	✓	0.3%
Haverty Furniture Companies Inc	HVT / HVT US	20%	✗	0.3%
Hawaiian Electric Industries Inc	HE.N / HE US	10%	✓	0.3%
Hawaiian Holdings Inc	HA.O / HA US	10%	✓	0.3%
Hawaiian Telcom Holdco Inc	HCOM.O / HCOM US	25%	✗	0.3%
Hawkins Inc	HWKN.O / HWKN US	25%	✗	0.3%
Haynes International Inc	HAYN.O / HAYN US	20%	✗	0.3%
Headwaters Inc	HW.N / HW US	10%	✓	0.3%
Health Care Select Sector SPDR(r) Fund	XLV.P / XLV US	10%	✓	0.3%
Health Insurance Innovations Inc	HIIQ.O / HIIQ US	20%	✗	0.3%
HealthEquity Inc	HQY.O / HQY US	10%	✓	0.3%
HealthStream Inc	HSTM.O / HSTM US	15%	✗	0.3%
Healthcare Realty Trust Inc	HR.N / HR US	10%	✓	0.3%
Healthcare Services Group Inc	HCSG.O / HCSG US	10%	✓	0.3%
Healthcare Trust of America Inc	HTA / HTA US	10%	✗	0.3%
Heartland Express Inc	HTLD.O / HTLD US	10%	✗	0.3%
Heartland Financial USA Inc	HTLF.O / HTLF US	15%	✗	0.3%
Hecla Mining Company	HL.N / HL US	10%	✓	0.3%
Heidrick & Struggles International Inc	HSII.O / HSII US	20%	✗	0.3%
Helen of Troy Limited	HELE.O / HELE US	10%	✓	0.3%
Helix Energy Solutions Group Inc	HLX.N / HLX US	10%	✓	0.3%
Helmerich & Payne Inc	HP.N / HP US	5%	✓	0.3%
Henry Schein Inc	HSIC.O / HSIC US	5%	✓	0.3%
Herbalife Limited	HLF.N / HLF US	10%	✗	0.3%
Herc Holdings Inc	HRI.N / HRI US	10%	✓	0.3%
Hercules Capital Inc	HTGC.K / HTGC US	15%	✗	0.3%
Heritage Commerce Corporation	HTBK.O / HTBK US	20%	✓	0.3%
Heritage Financial Corporation	HFWA.O / HFWA US	15%	✓	0.3%
Heritage Insurance Holdings Inc	HRTG.K / HRTG US	15%	✗	0.3%
Heritage Oaks Ban Corporation	HEOP.O / HEOP US	25%	✗	0.3%
Heritage-Crystal Clean Inc	HCCI.O / HCCI US	20%	✗	0.3%
Herman Miller Inc	MLHR.O / MLHR US	10%	✓	0.3%
Heron Therapeutics Inc	HRTX.O / HRTX US	25%	✗	0.3%
Hersha Hospitality Trust	HT / HT US	10%	✗	0.3%
Hertz Global Holdings Inc	HTZ.N / HTZ US	10%	✓	0.3%
Heska Corporation	HSKA.O / HSKA US	15%	✓	0.3%
Hess Corporation	HES.N / HES US	5%	✓	0.3%
Hess Midstream Partners LP	HESM.K / HESM US	15%	✓	0.3%

Hewlett Packard Enterprise Company	HPE / HPE US	5%	✓	0.3%
Hexcel Corporation	HXL.N / HXL US	10%	✓	0.3%
Hi-Crush Partners LP	HCLP.K / HCLP US	25%	✓	0.3%
Hibbett Sports Inc	HIBB.O / HIBB US	20%	✓	0.3%
Highwoods Properties Inc	HIW.N / HIW US	10%	✓	0.3%
Hill-Rom Holdings Inc	HRC.N / HRC US	10%	✓	0.3%
Hillenbrand Inc	HI.N / HI US	10%	✓	0.3%
Hilltop Holdings Inc	HTH / HTH US	10%	✗	0.3%
Hilton Grand Vacations Inc	HGV / HGV US	10%	✗	0.3%
Hilton Worldwide Holdings Inc	HLT.N / HLT US	10%	✓	0.3%
Himax Technologies Inc	HIMX.O / HIMX US	10%	⚠	0.3%
Hingham Institution for Savings	HIFS.O / HIFS US	25%	✗	0.3%
Hoegh LNG Partners LP	HMLP.K / HMLP US	25%	✗	0.3%
Holly Energy Partners LP	HEP / HEP US	15%	✗	0.3%
HollyFrontier Corporation	HFC.N / HFC US	10%	✓	0.3%
HollySys Automation Technologies Limited	HOLI.O / HOLI US	10%	✓	0.3%
Hologic Inc	HOLX.O / HOLX US	10%	✓	0.3%
Home Bancorp Inc	HBCP.O / HBCP US	25%	✗	0.3%
Home Bancshares Inc. (Conway AR)	HOMB.O / HOMB US	10%	✗	0.3%
HomeStreet Inc	HMST.O / HMST US	15%	✗	0.3%
HomeTrust Bancshares Inc	HTBI.O / HTBI US	25%	✗	0.3%
Honda Motor Company Limited	HMC.N / HMC US	10%	✓	0.3%
Honeywell International Inc	HON.N / HON US	5%	✓	0.3%
Hooker Furniture Corporation	HOFT.O / HOFT US	20%	✗	0.3%
Hope Bancorp Inc	HOPE.O / HOPE US	10%	✓	0.3%
Horace Mann Educators Corporation	HMN / HMN US	10%	✗	0.3%
Horizon Ban Corporation	HBNC.O / HBNC US	20%	✗	0.3%
Horizon Global Corporation	HZN / HZN US	20%	✗	0.3%
Horizon Pharma PLC	HZNP.O / HZNP US	10%	✗	0.3%
Hormel Foods Corporation	HRL.N / HRL US	5%	✓	0.3%
Hortonworks Inc	HDP.O / HDP US	10%	✓	0.3%
Hospitality Properties Trust	HPT.O / HPT US	10%	✓	0.3%
Host Hotels & Resorts Inc	HST.N / HST US	5%	✓	0.3%
Houghton Mifflin Harcourt Company	HMHC.O / HMHC US	10%	✓	0.3%
Houlihan Lokey Inc	HLI / HLI US	10%	✗	0.3%
Hovnanian Enterprises Inc	HOV.N / HOV US	20%	✓	0.3%
Huami Corporation	HMI / HMI US	25%	✓	0.3%
Huaneng Power International Inc	HNP.N / HNP US	20%	⚠	0.3%
Hub Group Inc	HUBG.O / HUBG US	10%	✗	0.3%
HubSpot Inc	HUBS.K / HUBS US	10%	✗	0.3%
Hubbell Inc	HUBB.K / HUBB US	10%	✓	0.3%
Hudbay Minerals Inc	HBM / HBM US	10%	✓	0.3%
Hudson Pacific Properties Inc	HPP / HPP US	10%	✓	0.3%
Hudson Technologies Inc	HDSN.O / HDSN US	20%	✗	0.3%
Humana Inc	HUM.N / HUM US	5%	✓	0.3%
Huntington Bancshares Inc	HBAN.O / HBAN US	5%	✓	0.3%
Huntington Ingalls Industries Inc	HII.N / HII US	10%	✓	0.3%
Huntsman Corporation	HUN.N / HUN US	10%	✓	0.3%
Hurco Companies Inc	HURC.O / HURC US	25%	✗	0.3%
Huron Consulting Group Inc	HURN.O / HURN US	15%	✓	0.3%
Hyatt Hotels Corporation	H / H US	10%	✓	0.3%
Hyster-Yale Materials Handling Inc	HY / HY US	15%	✗	0.3%
I3 Verticals Inc	IIIV.O / IIIV US	25%	✗	0.3%
IAC InterActive Corporation	IAC.O / IAC US	10%	✓	0.3%

IAMGOLD Corporation	IAG.N / IAG US	10%	✓	0.3%
IBERIABANK Corporation	IBKC.O / IBKC US	10%	✗	0.3%
ICF International Inc	ICFI.O / ICFI US	15%	✗	0.3%
ICON PLC	ICLR.O / ICLR US	10%	✓	0.3%
ICU Medical Inc	ICUI.O / ICUI US	10%	✓	0.3%
IDEX Corporation	IEX.N / IEX US	10%	✓	0.3%
IDEXX Laboratories Inc	IDXX.O / IDXX US	10%	✓	0.3%
IHS Markit Limited	MRKT.O / INFO US	10%	✓	0.3%
II-VI Inc	IIVI.O / IIVI US	10%	✓	0.3%
ILG Inc	ILG.O / ILG US	10%	✓	0.3%
IMAX Corporation	IMAX.K / IMAX US	10%	✓	0.3%
INSYS Therapeutics Inc	INSY.O / INSY US	20%	✗	0.3%
INTL FCStone Inc	INTL.O / INTL US	20%	✗	0.3%
IPG Photonics Corporation	IPGP.O / IPGP US	10%	✓	0.3%
IQVIA Holdings Inc	IQV.N / IQV US	10%	✓	0.3%
ITC Holdings Corporation	ITC.N / ITC US	10%	✓	0.3%
ITT Inc	ITT.N / ITT US	10%	✓	0.3%
IXYS Corporation	IXYS.O / IXYS US	10%	✓	0.3%
Icahn Enterprises LP	IEP.O / IEP US	15%	⚠	0.3%
Ichor Holdings Limited	ICHR.O / ICHR US	10%	✓	0.3%
IdaCorp Inc	IDA / IDA US	10%	✗	0.3%
Idera Pharmaceuticals Inc	IDRA.O / IDRA US	25%	✓	0.3%
Ignyta Inc	RXDX.O / RXDX US	25%	✗	0.3%
Illinois Tool Works Inc	ITW.N / ITW US	5%	✓	0.3%
Illumina Inc	ILMN.O / ILMN US	10%	✓	0.3%
Immersion Corporation	IMMR.O / IMMR US	25%	✗	0.3%
ImmunoGen Inc	IMGN.O / IMGN US	25%	✓	0.3%
Immunomedics Inc	IMMU.O / IMMU US	25%	✓	0.3%
Imperial Oil Limited	IMO.A / IMO US	5%	✓	0.3%
Imperva Inc	IMPV.O / IMPV US	10%	✓	0.3%
Impinj Inc	PI.O / PI US	15%	⚠	0.3%
InVivo Therapeutics Holdings Corporation	NVIV.O / NVIV US	25%	✗	0.3%
Incyte Corporation	INCY.O / INCY US	10%	✓	0.3%
Independence Realty Trust Inc	IRT.N / IRT US	10%	✗	0.3%
Independent Bank Corporation	INDB.O / INDB US	15%	✓	0.3%
Independent Bank Corporation	IBCP.O / IBCP US	20%	✓	0.3%
Independent Bank Group Inc	IBTX.O / IBTX US	15%	✗	0.3%
Industrial Logistics Properties Trust	ILPT.O / ILPT US	25%	✓	0.3%
Industrial Select Sector SPDR(r) Fund	XLI.P / XLI US	10%	✓	0.3%
Industrias Bachoco S.A.B. de CV	IBA / IBA US	25%	✗	0.3%
Infinera Corporation	INFN.O / INFN US	10%	✓	0.3%
Infinity Property and Casualty Corporation	IPCC.O / IPCC US	15%	✗	0.3%
Infoblox Inc	BLOX.K / BLOX US	10%	✗	0.3%
Infosys Limited	INFY.K / INFY US	10%	✓	0.3%
InfraCap MLP ETF	AMZA.P / AMZA US	25%	✗	0.3%
InfraREIT Inc	HIFR.K / HIFR US	15%	✗	1%
Ingersoll-Rand PLC	IR.N / IR US	5%	✓	0.3%
Ingevity Corporation	NGVT.N / NGVT US	10%	✓	0.3%
Ingles Markets Inc	IMKTA.O / IMKTA US	20%	✗	0.3%
Ingram Micro Inc	IM.N / IM US	5%	✓	0.3%
Ingredion Inc	INGR.K / INGR US	10%	✓	0.3%
InnerWorkings Inc	INWK.O / INWK US	20%	✗	0.3%
Innophos Holdings Inc	IPHS.O / IPHS US	15%	✗	0.3%
Innospec Inc	IOSP.O / IOSP US	15%	✗	0.3%

Innovator IBD(r) 50 Fund	FFTY.P / FFTY US	25%	✗	0.3%
Innovator IBD(r) ETF Leaders ETF	LDRS.P / LDRS US	25%	✗	0.3%
Innoviva Inc	INVA.O / INVA US	10%	✓	0.3%
Inogen Inc	INGN.O / INGN US	10%	✓	0.3%
Inovalon Holdings Inc	INOV.O / INOV US	10%	✓	0.3%
Inovio Pharmaceuticals Inc	INO.O / INO US	25%	✓	0.3%
Inphi Corporation	IPHI.K / IPHI US	10%	✗	0.3%
Insight Enterprises Inc	NSIT.O / NSIT US	10%	✗	0.3%
Insmid Inc	INSM.O / INSM US	25%	✓	0.3%
Insperty Inc	NSP.N / NSP US	10%	✓	0.3%
Installed Building Products Inc	IBP / IBP US	10%	✗	0.3%
Insteel Industries Inc	IIIN.O / IIIN US	15%	✗	0.3%
Instructure Inc	INST.K / INST US	10%	✗	0.3%
Insulet Corporation	PODD.O / PODD US	10%	✓	0.3%
Integra LifeSciences Holdings Corporation	IART.O / IART US	10%	✓	0.3%
Integrated Device Technology Inc	IDTI.O / IDTI US	10%	✓	0.3%
Intel Corporation	INTC.O / INTC US	5%	✓	0.3%
Inteliquent Inc	IQNT.O / IQNT US	15%	✗	0.3%
Intellia Therapeutics Inc	NTLA.O / NTLA US	10%	✗	0.3%
Intelsat SA	I / I US	20%	✗	0.3%
Inter Parfums Inc	IPAR.O / IPAR US	15%	✗	0.3%
InterContinental Hotels Group PLC	IHG.N / IHG US	15%	✓	0.3%
InterDigital Inc	IDCC.O / IDCC US	10%	✓	0.3%
InterOil Corporation	IOC / IOC US	25%	✓	0.3%
InterXion Holding NV	INXN.K / INXN US	10%	✗	0.3%
Interactive Brokers Group Inc	IBKR.O / IBKR US	10%	✓	0.3%
Interactive Intelligence Group Inc	ININ.O / ININ US	10%	✗	0.3%
Intercept Pharmaceuticals Inc	ICPT.O / ICPT US	25%	✓	0.3%
Intercontinental Exchange Inc	ICE.N / ICE US	5%	✓	0.3%
Interface Inc	TILE.O / TILE US	10%	✓	0.3%
Internap Corporation	INAP.O / INAP US	25%	✗	0.3%
International Bancshares Corporation	IBOC.O / IBOC US	10%	✓	0.3%
International Business Machines Corporation	IBM.N / IBM US	5%	✓	0.3%
International Flavors & Fragrances Inc	IFF.N / IFF US	5%	✓	0.3%
International Game Technology PLC	IGT.N / IGT US	10%	✓	0.3%
International Paper Company	IP.N / IP US	5%	✓	0.3%
International Seaways Inc	INSW.K / INSW US	20%	✗	0.3%
International Speedway Corporation	ISCA.O / ISCA US	15%	✓	0.3%
Internet Initiative Japan Inc	IIJI.O / IIJI US	25%	✓	0.3%
Intersect ENT Inc	XENT.O / XENT US	25%	✗	0.3%
Intersil Corporation	ISIL.O / ISIL US	10%	✓	0.3%
Intevac Inc	IVAC.O / IVAC US	25%	✓	0.3%
Intra-Cellular Therapies Inc	ITCI.O / ITCI US	25%	✗	0.3%
IntraLinks Holdings Inc	IL / IL US	20%	✗	0.3%
Intrawest Resorts Holdings Inc	SNOW.K / SNOW US	20%	✓	0.3%
Intrexon Corporation	XON / XON US	25%	✗	0.3%
Intuit Inc	INTU.O / INTU US	5%	✓	0.3%
Intuitive Surgical Inc	ISRG.O / ISRG US	25%	✓	0.3%
Invacare Corporation	IVC.N / IVC US	25%	✓	0.3%
InvenSense Inc	INVN.K / INVN US	10%	✓	0.3%
Inves Company Limited	IVZ.N / IVZ US	5%	✓	0.3%
Invesco China Technology ETF	CQQQ.P / CQQQ US	25%	✗	0.3%
Invesco Exchange-Traded Fund Trust Ii - Solar ETF	TAN / TAN US	10%	☐	0.3%
Invesco Mortgage Capital Inc	IVR / IVR US	10%	✓	0.3%

Invesco Russell 2000 Pure Growth ETF	PXSG.P / PXSG US	25%	☐	0.3%
Invesco S&P SmallCap 600(r) Pure Value ETF	RZV.P / RZV US	25%	✗	0.3%
Invesco Senior Income Trust	VVR.N / VVR US	20%	☐	0.3%
Invesco Value Municipal Income Trust	IIM / IIM US	10%	✓	0.3%
Investment Technology Group Inc	ITG.N / ITG US	15%	✓	0.3%
Investors Bancorp Inc	ISBC.O / ISBC US	10%	✓	0.3%
Investors Real Estate Trust	IRET.K / IRET US	20%	✗	0.3%
Investors Title Company	ITIC.O / ITIC US	25%	✗	0.3%
Invitae Corporation	NVTA.K / NVTA US	25%	✗	0.3%
Invitation Homes Inc	INVH.K / INVH US	10%	✗	0.3%
Ionis Pharmaceuticals Inc	IONS.O / IONS US	10%	✓	0.3%
Iovance Biotherapeutics Inc	IOVA.O / IOVA US	25%	✓	0.3%
Iridium Communications Inc	IRDM.O / IRDM US	10%	✓	0.3%
Iron Mountain Inc	IRM.N / IRM US	5%	✓	0.3%
Ironwood Pharmaceuticals Inc	IRWD.O / IRWD US	25%	✓	0.3%
Isle of Capri Casinos Inc	ISLE.O / ISLE US	15%	✓	0.3%
Israel Chemicals Limited	ICL / ICL US	25%	☐	0.3%
Itron Inc	ITRI.O / ITRI US	10%	✓	0.3%
Ituran Location and Control Limited	ITRN.O / ITRN US	20%	✗	0.3%
Ixia	XXIA.O / XXIA US	15%	✓	0.3%
J&J Snack Foods Corporation	JJSF.O / JJSF US	10%	✓	0.3%
J. C. Penney Company Inc	JCP.N / JCP US	10%	✓	0.3%
J.B. Hunt Transport Services Inc	JBHT.O / JBHT US	5%	✓	0.3%
JA Solar Holdings Company Limited	JASO.O / JASO US	20%	✓	0.3%
JBG Smith Properties	JBGS.K / JBGS US	10%	✓	0.3%
JD.com Inc	JD.O / JD US	5%	✓	0.3%
JELD-WEN Holding Inc	JELD.K / JELD US	10%	✗	0.3%
JPMorgan Chase & Company	JPM.N / JPM US	5%	✓	0.3%
Jabil Inc	JBL.N / JBL US	10%	✓	0.3%
Jack Henry & Associates Inc	JKHY.O / JKHY US	10%	✓	0.3%
Jack in the Box Inc	JACK.O / JACK US	10%	✓	0.3%
Jacobs Engineering Group Inc	JEC.N / JEC US	5%	✓	0.3%
Jagged Peak Energy Inc	JAG / JAG US	10%	✗	0.3%
Janus Capital Group Inc	JNS.N / JNS US	10%	✓	0.3%
Janus Henderson Group PLC	JHG / JHG US	10%	☐	0.3%
Jazz Pharmaceuticals PLC	JAZZ.O / JAZZ US	10%	✓	0.3%
Jefferies Financial Group Inc	JEF.N / JEF US	5%	✓	0.3%
JetBlue Airways Corporation	JBLU.O / JBLU US	10%	✓	0.3%
JinkoSolar Holding Company Limited	JKS.N / JKS US	10%	✓	0.3%
Jive Software Inc	JIVE.O / JIVE US	25%	✓	0.3%
John B. Sanfilippo & Son Inc	JBSS.O / JBSS US	15%	✗	0.3%
John Bean Technologies Corporation	JBT / JBT US	10%	✗	0.3%
John Hancock Preferred Income Fund	HPI / HPI US	25%	✗	0.3%
John Hancock Tax-Advantaged Dividend Income Fund	HTD / HTD US	10%	☐	0.3%
John Wiley & Sons Inc	JWa.N / JW/A US	10%	✓	0.3%
Johnson & Johnson	JNJ.N / JNJ US	5%	✓	0.3%
Johnson Controls International PLC	JCI.N / JCI US	5%	✓	0.3%
Johnson Outdoors Inc	JOUT.O / JOUT US	20%	✓	0.3%
Jones Lang LaSalle Inc	JLL.N / JLL US	10%	✓	0.3%
Jounce Therapeutics Inc	JNCE.O / JNCE US	20%	✗	0.3%
Joy Global Inc	JOY.N / JOY US	10%	✓	0.3%
Jumei International Holding Limited	JMEI.N / JMEI US	15%	✓	0.3%
Juniper Networks Inc	JNPR.N / JNPR US	5%	✓	0.3%
Juno Therapeutics Inc	JUNO.O / JUNO US	10%	✓	0.3%

Jupai Holdings Limited	JP / JP US	15%	✗	0.3%
Just Energy Group Inc	JE.N / JE US	25%	✓	0.3%
K12 Inc	LRN.N / LRN US	20%	✓	0.3%
K2M Group Holdings Inc	KTWO.O / KTWO US	25%	✗	0.3%
KAR Auction Services Inc	KAR.N / KAR US	10%	✓	0.3%
KB Financial Group Inc	KB.N / KB US	15%	✓	0.3%
KB Home	KBH.N / KBH US	10%	✓	0.3%
KBR Inc	KBR.N / KBR US	10%	✓	0.3%
KCG Holdings Inc	KCG.N / KCG US	15%	✓	0.3%
KEMET Corporation	KEM / KEM US	15%	✗	0.3%
KKR & Co. LP	KKR.N / KKR US	10%	✓	0.3%
KLA-Tencor Corporation	KLAC.O / KLAC US	5%	✓	0.3%
KLX Inc	KLXI.O / KLXI US	10%	✓	0.3%
KMG Chemicals Inc	KMG / KMG US	15%	✗	0.3%
Kadant Inc	KAI / KAI US	15%	✗	0.3%
Kaiser Aluminum Corporation	KALU.O / KALU US	10%	✓	0.3%
Kamada Limited	KMDA.O / KMDA US	25%	✗	0.3%
Kaman Corporation	KAMN.K / KAMN US	10%	✓	0.3%
Kandi Technologies Group Inc	KNDI.O / KNDI US	20%	⚠	0.3%
Kansas City Southern	KSU.N / KSU US	5%	✓	0.3%
KapStone Paper and Packaging Corporation	KS.N / KS US	10%	✓	0.3%
Karyopharm Therapeutics Inc	KPTI.O / KPTI US	25%	✗	0.3%
Kate Spade & Company	KATE.K / KATE US	10%	✓	0.3%
Kayne Anderson Acquisition Corporation	KAACU.O / KAACU US	25%	✗	0.3%
Kayne Anderson Energy Development Company	KED.N / KED US	25%	✗	0.3%
Kayne Anderson Energy Total Return Fund Inc	KYE.N / KYE US	20%	✗	0.3%
Kayne Anderson MLP Investment Company	KYN.N / KYN US	10%	✗	0.3%
Kearny Financial Corporation	KRNY.O / KRNY US	15%	✓	0.3%
Kellogg Company	K.N / K US	5%	✓	0.3%
Kelly Services Inc	KELYA.O / KELYA US	15%	✗	0.3%
Kemper Corporation	KMPR.K / KMPR US	10%	✗	0.3%
Kennametal Inc	KMT.N / KMT US	10%	✓	0.3%
Kennedy-Wilson Holdings Inc	KW / KW US	10%	✗	0.3%
Keryx Biopharmaceuticals Inc	KERX.O / KERX US	25%	✗	0.3%
Key Corporation	KEY.N / KEY US	5%	✓	0.3%
Keysight Technologies Inc	KEYS.K / KEYS US	10%	✓	0.3%
Kforce Inc	KFRC.O / KFRC US	20%	✗	0.3%
Kilroy Realty Corporation	KRC.N / KRC US	10%	✓	0.3%
Kimball Electronics Inc	KE.O / KE US	20%	✗	0.3%
Kimball International Inc	KBAL.O / KBAL US	20%	✓	0.3%
Kimberly-Clark Corporation	KMB.N / KMB US	5%	✓	0.3%
Kimco Realty Corporation	KIM.N / KIM US	5%	✓	0.3%
Kinder Morgan Inc	KMI.N / KMI US	5%	✓	0.3%
Kindred Biosciences Inc	KIN.O / KIN US	25%	✗	0.3%
Kindred Healthcare Inc	KND.N / KND US	20%	✓	0.3%
Kingstone Companies Inc	KINS.O / KINS US	25%	✗	0.3%
Kinross Gold Corporation	KGC.N / KGC US	10%	✓	0.3%
Kinsale Capital Group Inc	KNSL.O / KNSL US	15%	✓	0.3%
Kirby Corporation	KEX.N / KEX US	10%	✓	0.3%
Kirkland Lake Gold Limited	KL / KL US	10%	✗	0.3%
Kite Pharma Inc	KITE.O / KITE US	25%	✓	0.3%
Kite Realty Group Trust	KRG / KRG US	10%	✗	0.3%
Klondex Mines Limited	KLDX.K / KLDX US	15%	✓	0.3%
Knight-Swift Transportation Holdings Inc	KNX / KNX US	10%	✗	0.3%

Knoll Inc	KNL / KNL US	10%	✗	0.3%
Knowles Corporation	KN.N / KN US	10%	✓	0.3%
Kohl's Corporation	KSS.N / KSS US	5%	✓	0.3%
Koninklijke Philips NV	PHG.N / PHG US	10%	✓	0.3%
Kopin Corporation	KOPN.O / KOPN US	20%	✗	0.3%
Koppers Holdings Inc	KOP / KOP US	15%	✗	0.3%
Korn Ferry International	KFY.N / KFY US	10%	✓	0.3%
Kornit Digital Limited	KRNT.O / KRNT US	20%	✓	0.3%
Kosmos Energy Limited	KOS / KOS US	10%	✗	0.3%
KraneShares Bosera MSCI China A ETF	KBA.P / KBA US	25%	✗	0.3%
KraneShares CSI China Internet ETF	KWEB.P / KWEB US	25%	✗	0.3%
KraneShares E Fund China Commercial Paper ETF	KCNY.P / KCNY US	25%	✗	0.3%
KraneShares Electric Vehicles and Future Mobility Index ETF	KARS.P / KARS US	25%	✓	0.3%
KraneShares FTSE Emerging Markets Consumer Technology Index ETF	KEMQ.P / KEMQ US	25%	✓	0.3%
KraneShares Zacks New China ETF	KFYP.P / KFYP US	25%	✗	0.3%
Kraton Corporation	KRA / KRA US	10%	✗	0.3%
Kratos Defense & Security Solutions Inc	KTOS.O / KTOS US	10%	✗	0.3%
Kronos Worldwide Inc	KRO.N / KRO US	10%	✗	0.3%
Kulicke and Soffa Industries Inc	KLIC.O / KLIC US	10%	✓	0.3%
Kura Oncology Inc	KURA.O / KURA US	25%	✓	0.3%
Kyocera Corporation	KYO.N / KYO US	25%	✓	0.3%
L Brands Inc	LB.N / LB US	10%	✓	0.3%
L.B. Foster Company	FSTR.O / FSTR US	20%	✗	0.3%
L3 Technologies Inc	LLL.N / LLL US	5%	✓	0.3%
LCI Industries	LCII.K / LCII US	10%	✗	0.3%
LDR Holding Corporation	LDRH.O / LDRH US	10%	✗	0.3%
LGI Homes Inc	LGIH.O / LGIH US	10%	✓	0.3%
LHC Group Inc	LHCG.O / LHCG US	10%	✓	0.3%
LINE Corporation	LN.N / LN US	10%	✗	0.3%
LKQ Corporation	LKQ.O / LKQ US	5%	✓	0.3%
LMP Capital and Income Fund Inc	SCD.N / SCD US	25%	✓	0.3%
LPL Financial Holdings Inc	LPLA.O / LPLA US	10%	✗	0.3%
LSB Industries Inc	LXU.N / LXU US	25%	✓	0.3%
LSC Communications Inc	LKSD.K / LKSD US	15%	✓	0.3%
LSI Industries Inc	LYTS.O / LYTS US	25%	✗	0.3%
LTC Properties Inc	LTC / LTC US	10%	✗	0.3%
La Jolla Pharmaceutical Company	LJPC.O / LJPC US	25%	✗	0.3%
La Quinta Holdings Inc	LQ.N / LQ US	10%	✓	0.3%
La-Z-Boy Inc	LZB.N / LZB US	10%	✓	0.3%
LaSalle Hotel Properties	LHO.N / LHO US	10%	✓	0.3%
Laboratory Corporation of America Holdings	LH.N / LH US	5%	✓	0.3%
Ladder Capital Corporation	LADR.K / LADR US	10%	✗	0.3%
Ladenburg Thalmann Financial Services Inc	LTS.A / LTS US	25%	✗	0.3%
Lakeland Bancorp Inc	LBAI.O / LBAI US	20%	✗	0.3%
Lakeland Financial Corporation	LKFN.O / LKFN US	15%	✗	0.3%
Lam Research Corporation	LRCX.O / LRCX US	5%	✓	0.3%
Lamar Advertising Company	LAMR.O / LAMR US	10%	✓	0.3%
Lamb Weston Holdings Inc	LW.N / LW US	10%	✓	0.3%
Lancaster Colony Corporation	LANC.O / LANC US	10%	✓	0.3%
Landauer Inc	LDR / LDR US	20%	✗	0.3%
Landec Corporation	LNDC.O / LNDC US	25%	✗	0.3%
Landmark Infrastructure Partners LP	LMRK.O / LMRK US	25%	☒	0.3%
Landstar System Inc	LSTR.O / LSTR US	10%	✓	0.3%

Lannett Company Inc	LCI.N / LCI US	10%	✓	0.3%
Lantheus Holdings Inc	LNTH.O / LNTH US	10%	✗	0.3%
Laredo Petroleum Inc	LPI.N / LPI US	10%	✓	0.3%
Las Vegas Sands Corporation	LVS.N / LVS US	10%	✓	0.3%
Lattice Semiconductor Corporation	LSCC.O / LSCC US	15%	✓	0.3%
Laureate Education Inc	LAUR.O / LAUR US	15%	✓	0.3%
Layne Christensen Company	LAYN.O / LAYN US	20%	✓	0.3%
Lazard Limited	LAZ.N / LAZ US	10%	✓	0.3%
LeMaitre Vascular Inc	LMAT.O / LMAT US	15%	✓	0.3%
Lear Corporation	LEA.N / LEA US	10%	✓	0.3%
Legacy Reserves LP	LGCY.O / LGCY US	25%	☒	0.3%
LegacyTexas Financial Group Inc	LTXB.O / LTXB US	10%	✓	0.3%
Legg Mason Inc	LM.N / LM US	10%	✓	0.3%
Leggett & Platt Inc	LEG.N / LEG US	5%	✓	0.3%
Leidos Holdings Inc	LDOS.K / LDOS US	10%	✓	0.3%
LendingClub Corporation	LC / LC US	10%	✓	0.3%
LendingTree Inc	TREE.O / TREE US	10%	✗	0.3%
Lennar Corporation	LEN.N / LEN US	5%	✓	0.3%
Lennar Corporation	LENb.N / LEN/B US	20%	✗	0.3%
Lennox International Inc	LII.N / LII US	10%	✓	0.3%
Level 3 Communications Inc	LVLTK / LVLTK US	5%	✓	0.3%
Lexicon Pharmaceuticals Inc	LXRX.O / LXRX US	25%	✓	0.3%
LexinFintech Holdings Limited	LX.O / LX US	25%	☒	0.3%
Lexington Realty Trust	LXP.N / LXP US	10%	✓	0.3%
Lexmark International Inc	LXK.N / LXK US	10%	✓	0.3%
LiLAC Group	LILA.O / LILA US	10%	✗	0.3%
LiLAC Group	LILAK.O / LILAK US	10%	✗	0.3%
Liberty Broadband Corporation	LBRDA.O / LBRDA US	10%	✗	0.3%
Liberty Broadband Corporation	LBRDK.O / LBRDK US	10%	✗	0.3%
Liberty Global PLC	LBTYA.O / LBTYA US	5%	✓	0.3%
Liberty Global PLC	LBTYK.O / LBTYK US	5%	✓	0.3%
Liberty Oilfield Services Inc	LBRT.K / LBRT US	25%	✓	0.3%
Liberty Property Trust	LPT.N / LPT US	10%	✓	0.3%
Liberty TripAdvisor Holdings Inc	LTRPA.O / LTRPA US	15%	✓	0.3%
Liberty Ventures	LVNTA.O / LVNTA US	10%	✓	0.3%
Life Storage Inc	LSI / LSI US	10%	✓	0.3%
LifeLock Inc	LOCK.N / LOCK US	10%	✓	0.3%
LifePoint Health Inc	LPNT.O / LPNT US	10%	✓	0.3%
Ligand Pharmaceuticals Inc	LGND.O / LGND US	10%	✓	0.3%
Limelight Networks Inc	LLNW.O / LLNW US	20%	✗	0.3%
Limoneira Company	LMNR.O / LMNR US	25%	✓	0.3%
Lincoln Electric Holdings Inc	LECO.O / LECO US	10%	✓	0.3%
Lincoln National Corporation	LNC.N / LNC US	5%	✓	0.3%
Lindblad Expeditions Holdings Inc	LIND.O / LIND US	25%	✗	0.3%
Lindsay Corporation	LNN.N / LNN US	10%	✓	0.3%
Linear Technology Corporation	LLTC.O / LLTC US	5%	✓	0.3%
Link Motion Inc	LKM.N / LKM US	20%	✓	0.3%
LinkedIn Corporation	LNKD.K / LNKD US	10%	✓	0.3%
Lion Biotechnologies Inc	LBIO.O / LBIO US	25%	✗	0.3%
Lionbridge Technologies Inc	LIOX.O / LIOX US	25%	✗	0.3%
Lions Gate Entertainment Corporation	LGFa.N / LGF/A US	10%	✓	0.3%
Lions Gate Entertainment Corporation	LGFb.N / LGF/B US	10%	✓	0.3%
Lithia Motors Inc	LAD.N / LAD US	10%	✓	0.3%
Lithium Americas Corporation	LAC / LAC US	25%	✗	0.3%

Littelfuse Inc	LFUS.O / LFUS US	10%	✓	0.3%
LivaNova PLC	LIVN.O / LIVN US	25%	✗	0.3%
Live Nation Entertainment Inc	LYV.N / LYV US	10%	✓	0.3%
Live Oak Bancshares Inc	LOB.O / LOB US	20%	✗	0.3%
LivePerson Inc	LPSN.O / LPSN US	15%	✓	0.3%
Lockheed Martin Corporation	LMT.N / LMT US	5%	✓	0.3%
Loews Corporation	L.N / L US	5%	✓	0.3%
LogMeIn Inc	LOGM.O / LOGM US	10%	✓	0.3%
Logitech International SA	LOGI.O / LOGI US	10%	✓	0.3%
Loncar Cancer Immunotherapy ETF	CNCR.O / CNCR US	25%	✗	0.3%
Longfin Corporation	LFIN.PK / LFIN US	20%	✗	0.3%
Loral Space & Communications Inc	LORL.O / LORL US	20%	✗	0.3%
Louisiana-Pacific Corporation	LPX.N / LPX US	10%	✓	0.3%
Lowe's Companies Inc	LOW.N / LOW US	5%	✓	0.3%
Loxo Oncology Inc	LOXO.O / LOXO US	25%	✗	0.3%
Lululemon Athletica Inc	LULU.O / LULU US	10%	✓	0.3%
Lumber Liquidators Holdings Inc	LL.N / LL US	10%	✓	0.3%
Lumentum Holdings Inc	LITE.O / LITE US	10%	✗	0.3%
Luminex Corporation	LMNX.O / LMNX US	15%	✓	0.3%
Lumos Networks Corporation	LMOS.O / LMOS US	20%	✗	0.3%
Luxfer Holdings PLC	LXFR.K / LXFR US	25%	✗	0.3%
Luxoft Holding Inc	LXFT.K / LXFT US	10%	✗	0.3%
Lydall Inc	LDL / LDL US	15%	✗	0.3%
LyondellBasell Industries NV	LYB.N / LYB US	5%	✓	0.3%
M I Homes Inc	MHO / MHO US	10%	✗	0.3%
M&T Bank Corporation	MTB.N / MTB US	5%	✓	0.3%
M.D.C. Holdings Inc	MDC.N / MDC US	10%	✓	0.3%
MACOM Technology Solutions Holdings Inc	MTSI.O / MTSI US	10%	✓	0.3%
MAXIMUS Inc	MMS.N / MMS US	10%	✓	0.3%
MB Financial Inc	MBFI.O / MBFI US	10%	✗	0.3%
MBIA Inc	MBI.N / MBI US	10%	⚠	0.3%
MBT Financial Corporation	MBTF.O / MBTF US	25%	✗	0.3%
MCBC Holdings Inc	MCFT.O / MCFT US	20%	✗	0.3%
MDC Partners Inc	MDCA.O / MDCA US	15%	✗	0.3%
MDU Resources Group Inc	MDU.N / MDU US	10%	✓	0.3%
MEDNAX Inc	MD / MD US	10%	✓	0.3%
MFA Financial Inc	MFA.N / MFA US	10%	✓	0.3%
MFC Ban Corporation Limited	MFCB.K / MFCB US	25%	✓	0.3%
MGE Energy Inc	MGEE.O / MGEE US	15%	✗	0.3%
MGIC Investment Corporation	MTG.N / MTG US	10%	✓	0.3%
MGM Growth Properties LLC	MGP / MGP US	25%	✓	0.3%
MGM Resorts International	MGM / MGM US	10%	✓	0.3%
MGP Ingredients Inc	MGPI.O / MGPI US	10%	✗	0.3%
MINDBODY Inc	MB.O / MB US	10%	✓	0.3%
MKS Instruments Inc	MKSI.O / MKSI US	10%	✓	0.3%
MPLX LP	MPLX.N / MPLX US	10%	✓	0.3%
MRC Global Inc	MRC / MRC US	10%	✗	0.3%
MSA Safety Inc	MSA.N / MSA US	10%	✓	0.3%
MSC Industrial Direct Co. Inc	MSM.N / MSM US	10%	✓	0.3%
MSCI Inc	MSCI.K / MSCI US	10%	✓	0.3%
MSG Networks Inc	MSGN.K / MSGN US	10%	✓	0.3%
MTGE Investment Corporation	MTGE.O / MTGE US	10%	✓	0.3%
MTS Systems Corporation	MTSC.O / MTSC US	15%	✓	0.3%
MYR Group Inc	MYRG.O / MYRG US	15%	✗	0.3%

Macerich Company	MAC.N / MAC US	5%	✓	0.3%
Mack-Cali Realty Corporation	CLI.N / CLI US	10%	✓	0.3%
Macquarie Global Infrastructure Total Return Fund Inc	MGU.N / MGU US	25%	✓	0.3%
Macquarie Infrastructure Corporation	MIC.N / MIC US	25%	✓	0.3%
MacroGenics Inc	MGNX.O / MGNX US	25%	✗	0.3%
Macy's Inc	M.N / M US	5%	✓	0.3%
Madrigal Pharmaceuticals Inc	MDGL.O / MDGL US	40%	✗	0.3%
Magellan Health Inc	MGLN.O / MGLN US	10%	✓	0.3%
Magellan Midstream Partners LP	MMP.N / MMP US	10%	✓	0.3%
Magna International Inc	MGA.N / MGA US	5%	✓	0.3%
MagnaChip Semiconductor Corporation	MX.N / MX US	15%	✗	0.3%
Maiden Holdings Limited	MHLD.O / MHLD US	15%	✗	0.3%
Main Street Capital Corporation	MAIN.K / MAIN US	10%	✗	0.3%
MainSource Financial Group Inc	MSFG.O / MSFG US	15%	✗	0.3%
MakeMyTrip Limited	MMYT.O / MMYT US	10%	✗	0.3%
Malibu Boats Inc	MBUU.O / MBUU US	15%	✗	0.3%
Mallinckrodt PLC	MNK.N / MNK US	10%	✓	0.3%
Mammoth Energy Services Inc	TUSK.O / TUSK US	25%	✗	0.3%
ManTech International Corporation	MANT.O / MANT US	10%	✓	0.3%
Manhattan Associates Inc	MANH.O / MANH US	10%	✓	0.3%
MannKind Corporation	MNKD.O / MNKD US	25%	✗	0.3%
ManpowerGroup Inc	MAN.N / MAN US	10%	✓	0.3%
Manulife Financial Corporation	MFC.N / MFC US	5%	✓	0.3%
Marathon Oil Corporation	MRO.N / MRO US	5%	✓	0.3%
Marathon Petroleum Corporation	MPC.N / MPC US	5%	✓	0.3%
Marcus & Millichap Inc	MMI / MMI US	10%	✗	0.3%
Marin Software Inc	MRIN.K / MRIN US	25%	✓	0.3%
MarineMax Inc	HZO / HZO US	15%	✓	0.3%
Markel Corporation	MKL.N / MKL US	10%	✓	0.3%
MarketAxess Holdings Inc	MKTX.O / MKTX US	10%	✓	0.3%
Marlin Business Services Corporation	MRLN.O / MRLN US	25%	✗	0.3%
Marriott International Inc	MAR.O / MAR US	5%	✓	0.3%
Marriott Vacations Worldwide Corporation	VAC.N / VAC US	10%	✓	0.3%
Marsh & McLennan Companies Inc	MMC.N / MMC US	5%	✓	0.3%
Marten Transport Limited	MRTN.O / MRTN US	15%	✗	0.3%
Martin Marietta Materials Inc	MLM.N / MLM US	5%	✓	0.3%
Martin Midstream Partners LP	MMLP.O / MMLP US	20%	✓	0.3%
Marvell Technology Group Limited	MRVL.O / MRVL US	10%	✓	0.3%
MasTec Inc	MTZ.N / MTZ US	10%	✓	0.3%
Masco Corporation	MAS.N / MAS US	5%	✓	0.3%
Masimo Corporation	MASI.O / MASI US	10%	✓	0.3%
Masonite International Corporation	DOOR.K / DOOR US	10%	✗	0.3%
Mastercard Inc	MA.N / MA US	5%	✓	0.3%
Matador Resources Company	MTDR.K / MTDR US	10%	✓	0.3%
Match Group Inc	MTCH.O / MTCH US	10%	✗	0.3%
Materialise NV	MTLS.O / MTLS US	25%	✓	0.3%
Materials Select Sector SPDR(r) Fund	XLB.P / XLB US	10%	✓	0.3%
Materion Corporation	MTRN.N / MTRN US	15%	✓	0.3%
Matrix Service Company	MTRX.O / MTRX US	25%	✓	0.3%
Matson Inc	MATX.K / MATX US	10%	✗	0.3%
Mattel Inc	MAT.O / MAT US	10%	✓	0.3%
Matthews International Corporation	MATW.O / MATW US	15%	✗	0.3%
MaxLinear Inc	MXL / MXL US	10%	✓	0.3%
Maxar Technologies Limited	MAXR.N / MAXR US	20%	✓	0.3%

Maxim Integrated Products Inc	MXIM.O / MXIM US	5%	✓	0.3%
Mazor Robotics Limited	MZOR.O / MZOR US	25%	✓	0.3%
McCormick & Company Inc	MKC.N / MKC US	5%	✓	0.3%
McDermott International Inc	MDR.N / MDR US	10%	✓	0.3%
McDonald's Corporation	MCD.N / MCD US	5%	✓	0.3%
McEwen Mining Inc	MUX.N / MUX US	15%	✓	0.3%
McGrath Rent Corporation	MGRC.O / MGRC US	10%	✗	0.3%
McKesson Corporation	MCK.N / MCK US	5%	✓	0.3%
Mead Johnson Nutrition Company	MJN.N / MJN US	5%	✓	0.3%
Mechel PAO	MTL.N / MTL US	25%	☒	0.3%
Media General Inc	MEG.N / MEG US	10%	✓	0.3%
Medical Properties Trust Inc	MPW.N / MPW US	10%	✓	0.3%
MediciNova Inc	MNOV.O / MNOV US	25%	☒	0.3%
Medidata Solutions Inc	MDSO.O / MDSO US	10%	✓	0.3%
Medifast Inc	MED / MED US	10%	✗	0.3%
Medley Capital Corporation	MCC / MCC US	20%	✗	0.3%
Medpace Holdings Inc	MEDP.O / MEDP US	15%	✗	0.3%
Medtronic PLC	MDT.N / MDT US	5%	✓	0.3%
Melco Resorts & Entertainment Limited	MLCO.O / MLCO US	10%	✓	0.3%
Mellanox Technologies Limited	MLNX.O / MLNX US	10%	✓	0.3%
Menlo Therapeutics Inc	MNLO.O / MNLO US	20%	✓	0.3%
Mentor Graphics Corporation	MENT.O / MENT US	10%	✓	0.3%
Mercantile Bank Corporation	MBWM.O / MBWM US	25%	✗	0.3%
Mercer International Inc	MERC.O / MERC US	20%	✗	0.3%
Merchants Ban Corporation	MBIN.O / MBIN US	25%	✗	0.3%
Merchants Bancshares Inc	MBVT.O / MBVT US	25%	✗	0.3%
Merck & Co. Inc	MRK.N / MRK US	5%	✓	0.3%
Mercury General Corporation	MCY.N / MCY US	10%	✓	0.3%
Mercury Systems Inc	MRCY.O / MRCY US	10%	✓	0.3%
Meredith Corporation	MDP.N / MDP US	10%	✓	0.3%
Meridian Bancorp Inc	EBSB.O / EBSB US	20%	✗	0.3%
Meridian Bioscience Inc	VIVO.O / VIVO US	15%	✓	0.3%
Merit Medical Systems Inc	MMSI.O / MMSI US	10%	✓	0.3%
Meritage Homes Corporation	MTH.N / MTH US	10%	✓	0.3%
Meritor Inc	MTOR.K / MTOR US	10%	✓	0.3%
Merrimack Pharmaceuticals Inc	MACK.O / MACK US	25%	✗	0.3%
Mesa Laboratories Inc	MLAB.O / MLAB US	20%	✗	0.3%
Mesabi Trust	MSB / MSB US	20%	✗	0.3%
MetLife Inc	MET.N / MET US	5%	✓	0.3%
Meta Financial Group Inc	CASH.O / CASH US	10%	✓	0.3%
Metaldyne Performance Group Inc	MPG / MPG US	20%	✗	0.3%
Methanex Corporation	MEOH.O / MEOH US	10%	✓	0.3%
Methode Electronics Inc	MEI.N / MEI US	10%	✓	0.3%
Metropolitan Bank Holding Corporation	MCB / MCB US	25%	✗	0.3%
Mettler-Toledo International Inc	MTD.N / MTD US	10%	✓	0.3%
MiMedx Group Inc	MDXG.O / MDXG US	10%	✗	0.3%
Michael Kors Holdings Limited	KORS.K / KORS US	10%	✓	0.3%
Micro Focus International PLC	MFGP.N / MFGP US	20%	✓	0.3%
MicroStrategy Inc	MSTR.O / MSTR US	10%	✓	0.3%
Microchip Technology Inc	MCHP.O / MCHP US	5%	✓	0.3%
Micron Technology Inc	MU.O / MU US	5%	✓	0.3%
Microsemi Corporation	MSCC.O / MSCC US	10%	✓	0.3%
Microsoft Corporation	MSFT.O / MSFT US	5%	✓	0.3%
Mid-America Apartment Communities Inc	MAA.N / MAA US	10%	✓	0.3%

MidWestOne Financial Group Inc	MOFG.O / MOFG US	20%	✗	0.3%
Middlesex Water Company	MSEX.O / MSEX US	20%	✗	0.3%
Milacron Holdings Corporation	MCRN.K / MCRN US	10%	✗	0.3%
Miller Howard High Income Equity Fund	HIE / HIE US	25%	✗	0.3%
Miller Industries Inc	MLR / MLR US	25%	✗	0.3%
Mimecast Limited	MIME.O / MIME US	10%	✗	0.3%
Minerals Technologies Inc	MTX.N / MTX US	10%	✓	0.3%
Minerva Neurosciences Inc	NERV.O / NERV US	25%	✗	0.3%
Mirati Therapeutics Inc	MRTX.O / MRTX US	25%	✓	0.3%
Mistras Group Inc	MG / MG US	20%	✗	0.3%
Mitek Systems Inc	MITK.O / MITK US	20%	✗	0.3%
Mitel Networks Corporation	MITL.O / MITL US	15%	✗	0.3%
Mobile Mini Inc	MINI.O / MINI US	15%	✓	0.3%
MobileIron Inc	MOBL.O / MOBL US	20%	✗	0.3%
Mobileye NV	MBLY.N / MBBYF US	10%	✓	0.3%
Model N Inc	MODN.K / MODN US	20%	✗	0.3%
Modine Manufacturing Company	MOD / MOD US	10%	✓	0.3%
Moelis & Company	MC.N / MC US	10%	✓	0.3%
Mohawk Industries Inc	MHK.N / MHK US	5%	✓	0.3%
Molina Healthcare Inc	MOH / MOH US	10%	✓	0.3%
Molson Coors Brewing Company	TAP.N / TAP US	5%	✓	0.3%
Momenta Pharmaceuticals Inc	MNTA.O / MNTA US	10%	✓	0.3%
Momo Inc	MOMO.O / MOMO US	10%	✓	0.3%
Monarch Casino & Resort Inc	MCRI.O / MCRI US	20%	✓	0.3%
Mondelez International Inc	MDLZ.O / MDLZ US	5%	✓	0.3%
MoneyGram International Inc	MGI.O / MGI US	10%	✓	0.3%
MongoDB Inc	MDB.O / MDB US	10%	✓	0.3%
Monmouth Real Estate Investment Corporation	MNR / MNR US	15%	✗	0.3%
Monogram Residential Trust Inc	MORE.K / MORE US	10%	✗	0.3%
Monolithic Power Systems Inc	MPWR.O / MPWR US	10%	✓	0.3%
Monotype Imaging Holdings Inc	TYPE.O / TYPE US	10%	✓	0.3%
Monro Muffler Brake Inc	MNRO.O / MNRO US	10%	✗	0.3%
Monroe Capital Corporation	MRCC.O / MRCC US	25%	✗	0.3%
Monsanto Company	MON.N / MON US	5%	✓	0.3%
Monster Beverage Corporation	MNST.O / MNST US	5%	✓	0.3%
Monster Worldwide Inc	MWW.N / MWW US	20%	✓	0.3%
Moody's Corporation	MCO.N / MCO US	5%	✓	0.3%
Moog Inc	MOGa.N / MOG/A US	10%	✓	0.3%
Morgan Stanley	MS.N / MS US	5%	✓	0.3%
Morgan Stanley Asia-Pacific Fund Inc	APF.N / APF US	25%	✓	0.3%
Morgan Stanley China A Share Fund Inc	CAF.N / CAF US	25%	☒	0.3%
Morgan Stanley Emerging Markets Domestic Debt Fund Inc	EDD.N / EDD US	25%	✓	0.3%
Morgan Stanley India Investment Fund Inc	IIF.N / IIF US	25%	✗	0.3%
Morningstar Inc	MORN.O / MORN US	15%	✓	0.3%
Motley Fool 100 Index ETF	TMFC.Z / TMFC US	25%	✓	0.3%
Motorcar Parts of America Inc	MPAA.O / MPAA US	15%	✓	0.3%
Motorola Solutions Inc	MSI.N / MSI US	5%	✓	0.3%
Movado Group Inc	MOV / MOV US	15%	✗	0.3%
Mueller Industries Inc	MLI.N / MLI US	15%	✓	0.3%
Mueller Water Products Inc	MWA.N / MWA US	10%	✓	0.3%
MuleSoft Inc	MULE.K / MULE US	10%	✓	0.3%
Multi Packaging Solutions International Limited	MPSX.K / MPSX US	20%	✗	0.3%
Multi-Color Corporation	LABL.O / LABL US	15%	✗	0.3%

Murphy Oil Corporation	MUR.N / MUR US	10%	✓	0.3%
Murphy USA Inc	MUSA.K / MUSA US	10%	✓	0.3%
Myers Industries Inc	MYE / MYE US	20%	✗	0.3%
Mylan NV	MYL.O / MYL US	5%	✓	0.3%
MyoKardia Inc	MYOK.O / MYOK US	25%	✗	0.3%
Myovant Sciences Limited	MYOV.K / MYOV US	25%	✗	0.3%
Myriad Genetics Inc	MYGN.O / MYGN US	10%	✓	0.3%
NBT Bancorp Inc	NBTB.O / NBTB US	15%	✗	0.3%
NCI Building Systems Inc	NCS.N / NCS US	10%	✓	0.3%
NCR Corporation	NCR.N / NCR US	10%	✓	0.3%
NETGEAR Inc	NTGR.O / NTGR US	10%	✓	0.3%
NGL Energy Partners LP	NGL / NGL US	25%	✗	0.3%
NIC Inc	EGOV.O / EGOV US	10%	✓	0.3%
NICE Limited	NICE.O / NICE US	10%	✓	0.3%
NIKE Inc	NKE.N / NKE US	5%	✓	0.3%
NMI Holdings Inc	NMIH.O / NMIH US	10%	✗	0.3%
NN Inc	NNBR.O / NNBR US	15%	✗	0.3%
NOW Inc	DNOW.K / DNOW US	10%	✓	0.3%
NRG Energy Inc	NRG.N / NRG US	5%	✓	0.3%
NRG Yield Inc	NYLDa.N / NYLD/A US	15%	✓	0.3%
NV5 Global Inc	NVEE.O / NVEE US	20%	✗	0.3%
NVE Corporation	NVEC.O / NVEC US	20%	✗	0.3%
NVIDIA Corporation	NVDA.O / NVDA US	5%	✓	0.3%
NVR Inc	NVR.N / NVR US	10%	✓	0.3%
NXP Semiconductors NV	NXPI.O / NXPI US	5%	✓	0.3%
Nabors Industries Limited	NBR.N / NBR US	10%	✓	0.3%
NanoString Technologies Inc	NSTG.O / NSTG US	25%	✓	0.3%
Nanometrics Inc	NANO.O / NANO US	15%	✗	0.3%
NantKwest Inc	NK.O / NK US	25%	⚠	0.3%
Nasdaq Inc	NDAQ.O / NDAQ US	5%	✓	0.3%
Natera Inc	NTRA.O / NTRA US	25%	✗	0.3%
National Bank Holdings Corporation	NBHC.K / NBHC US	20%	✗	0.3%
National Bankshares Inc	NKSH.O / NKSH US	25%	✗	0.3%
National Beverage Corporation	FIZZ.O / FIZZ US	10%	✓	0.3%
National CineMedia Inc	NCMI.O / NCMI US	15%	✗	0.3%
National Commerce Corporation	NCOM.O / NCOM US	25%	✗	0.3%
National Fuel Gas Company	NFG.N / NFG US	10%	✓	0.3%
National General Holdings Corporation	NGHC.O / NGHC US	15%	✗	0.3%
National Grid PLC	NGG.N / NGG US	10%	✓	0.3%
National Health Investors Inc	NHI.N / NHI US	10%	✓	0.3%
National HealthCare Corporation	NHC.A / NHC US	20%	✗	0.3%
National Instruments Corporation	NATI.O / NATI US	10%	✓	0.3%
National Interstate Corporation	NATL.O / NATL US	25%	✗	0.3%
National Oilwell Varco Inc	NOV.N / NOV US	5%	✓	0.3%
National Presto Industries Inc	NPK / NPK US	20%	✗	0.3%
National Research Corporation	NRC.O / NRC.O	25%	✗	0.3%
National Retail Properties Inc	NNN.N / NNN US	10%	✓	0.3%
National Storage Affiliates Trust	NSA / NSA US	10%	✗	0.3%
National Western Life Group Inc	NWLI.O / NWLI US	20%	✗	0.3%
Nationstar Mortgage Holdings Inc	NSM.N / NSM US	10%	✓	0.3%
Natural Gas Services Group Inc	NGS.N / NGS US	25%	✓	0.3%
Natus Medical Inc	BABY.O / BABY US	10%	✓	0.3%
Nautilus Inc	NLS / NLS US	15%	✗	0.3%
Navient Corporation	NAVI.O / NAVI US	10%	✓	0.3%

Navigant Consulting Inc	NCI / NCI US	15%	✗	0.3%
Navigator Holdings Limited	NVGS.K / NVGS US	20%	✓	0.3%
Navios Maritime Partners LP	NMM / NMM US	20%	✗	0.3%
Navistar International Corporation	NAV.N / NAV US	10%	✓	0.3%
Neenah Paper Inc	NP / NP US	15%	✓	0.3%
Neff Corporation	NEFF.K / NEFF US	25%	✗	0.3%
Nektar Therapeutics	NKTR.O / NKTR US	10%	✓	0.3%
Nelnet Inc	NNI.N / NNI US	15%	✓	0.3%
Nemaura Medical Inc	NMRD.O / NMRD US	25%	✗	0.3%
NeoGenomics Inc	NEO.O / NEO US	20%	✗	0.3%
NeoPhotonics Corporation	NPTN.K / NPTN US	20%	✗	0.3%
Neogen Corporation	NEOG.O / NEOG US	10%	✗	0.3%
Neos Therapeutics Inc	NEOS.O / NEOS US	25%	✗	0.3%
Net 1 UEPS Technologies Inc	UEPS.O / UEPS US	20%	✗	0.3%
NetApp Inc	NTAP.O / NTAP US	5%	✓	0.3%
NetEase Inc	NTES.O / NTES US	5%	✓	0.3%
NetScout Systems Inc	NTCT.O / NTCT US	10%	✗	0.3%
NetSuite Inc	N.N / N US	10%	✓	0.3%
Netflix Inc	NFLX.O / NFLX US	5%	✓	0.3%
NeuStar Inc	NSR.N / NSR US	10%	✓	0.3%
NeuroDerm Limited	NDRM.O / NDRM US	25%	✓	0.3%
Neurocrine Biosciences Inc	NBIX.O / NBIX US	10%	✓	0.3%
Nevro Corporation	NVRO.K / NVRO US	25%	✓	0.3%
Nevsun Resources Limited	NSU.A / NSU US	20%	✓	0.3%
New Gold Inc	NGD.A / NGD US	10%	✓	0.3%
New Jersey Resources Corporation	NJR.N / NJR US	10%	✓	0.3%
New Media Investment Group Inc	NEWM.K / NEWM US	15%	✗	0.3%
New Mountain Finance Corporation	NMFC.K / NMFC US	15%	✓	0.3%
New Oriental Education & Technology Group Inc	EDU.N / EDU US	10%	✓	0.3%
New Relic Inc	NEWR.O / NEWR US	10%	✓	0.3%
New Residential Investment Corporation	NRZ.N / NRZ US	10%	✓	0.3%
New Senior Investment Group Inc	SNR / SNR US	15%	✗	0.3%
New York Community Bancorp Inc	NYCB.K / NYCB US	10%	✓	0.3%
New York Mortgage Trust Inc	NYMT.O / NYMT US	15%	✗	0.3%
New York REIT Inc	NYRT.K / NYRT US	10%	✗	0.3%
NewMarket Corporation	NEU.N / NEU US	10%	✓	0.3%
NewStar Financial Inc	NEWS.O / NEWS US	20%	✗	0.3%
Newell Brands Inc	NWL.N / NWL US	10%	✓	0.3%
Newfield Exploration Company	NFX.N / NFX US	20%	✓	0.3%
Newmark Group Inc	NMRK.O / NMRK US	25%	✗	0.3%
Newmont Mining Corporation	NEM.N / NEM US	5%	✓	0.3%
Newpark Resources Inc	NR.N / NR US	10%	✓	0.3%
News Corporation	NWSA.O / NWSA US	5%	✓	0.3%
News Corporation	NWS.O / NWS US	10%	✓	0.3%
Newtek Business Services Corporation	NEWT.O / NEWT US	20%	✓	0.3%
NexGen Energy Limited	NXE.P / NXE US	25%	✗	0.3%
NexPoint Residential Trust Inc	NXRT.K / NXRT US	20%	✗	0.3%
Nexa Resources SA	NEXA.K / NEXA US	15%	✗	0.3%
Nexstar Media Group Inc	NXST.O / NXST US	10%	✗	0.3%
NextEra Energy Inc	NEE.N / NEE US	5%	✓	0.3%
NextEra Energy Partners LP	NEP / NEP US	10%	✗	0.3%
NiSource Inc	NI.N / NI US	5%	✓	0.3%
Nielsen Holdings PLC	NLSN.K / NLSN US	5%	✓	0.3%
Nightstar Therapeutics PLC	NITE.O / NITE US	25%	✗	0.3%

Nimble Storage Inc	NMBL.K / NMBL US	30%	✓	0.3%
Noah Holdings Limited	NOAH.N / NOAH US	10%	✓	0.3%
Noble Corporation PLC	NE.N / NE US	10%	✓	0.3%
Noble Energy Inc	NBL.N / NBL US	5%	✓	0.3%
Noble Midstream Partners LP	NBLX.K / NBLX US	15%	✓	0.3%
Nomad Foods Limited	NOMD.K / NOMD US	10%	✓	0.3%
Norbord Inc	OSB / OSB US	15%	✗	0.3%
Nord Anglia Education Inc	NORD.K / NORD US	20%	✓	0.3%
Nordic American Tankers Limited	NAT.N / NAT US	15%	✓	0.3%
Nordson Corporation	NDSN.O / NDSN US	10%	✓	0.3%
Nordstrom Inc	JWN.N / JWN US	10%	✓	0.3%
Norfolk Southern Corporation	NSC.N / NSC US	5%	✓	0.3%
NorthStar Realty Finance Corporation	NRF.N / NRF US	10%	✓	0.3%
Northern Dynasty Minerals Limited	NAK.A / NAK US	25%	☒	0.3%
Northern Tier Energy LP	NTI.N / NTI US	10%	✓	0.3%
Northern Trust Corporation	NTRS.O / NTRS US	5%	✓	0.3%
Northfield Bancorp Inc. (Staten Island NY)	NFBK.O / NFBK US	20%	✓	0.3%
Northrim BanCorp Inc	NRIM.O / NRIM US	25%	✓	0.3%
Northrop Grumman Corporation	NOC.N / NOC US	5%	✓	0.3%
Northstar Asset Management Group Inc	NSAM.N / NSAM US	10%	✓	0.3%
Northstar Realty Europe Corporation	NRE / NRE US	15%	✗	0.3%
Northwest Bancshares Inc	NWBI.O / NWBI US	10%	✗	0.3%
Northwest Natural Gas Company	NWN / NWN US	10%	✗	0.3%
Northwestern Corporation	NWE / NWE US	10%	✓	0.3%
Norwegian Cruise Line Holdings Limited	NCLH.N / NCLH US	5%	✗	0.3%
Nova Measuring Instruments Limited	NVMI.O / NVMI US	20%	✗	0.3%
NovaGold Resources Inc	NG.A / NG US	25%	✓	0.3%
Novadaq Technologies Inc	NVDQ.O / NVDQ US	25%	✗	0.3%
Novanta Inc	GSIG.O / NOV T US	10%	✗	0.3%
Novartis SA	NVS.N / NVS US	10%	✓	0.3%
Novavax Inc	NVAX.O / NVAX US	25%	✓	0.3%
Novo Nordisk AS	NVO.N / NVO US	10%	✓	0.3%
NovoCure Limited	NVCR.O / NVCR US	25%	✗	0.3%
Nu Skin Enterprises Inc	NUS.N / NUS US	10%	✓	0.3%
NuCana PLC	NCNA.O / NCNA US	25%	☒	0.3%
NuStar Energy LP	NS.N / NS US	10%	✓	0.3%
NuStar GP Holdings LLC	NSH / NSH US	25%	✓	0.3%
NuVasive Inc	NUVA.O / NUVA US	10%	✓	0.3%
Nuance Communications Inc	NUAN.O / NUAN US	10%	✓	0.3%
Nucor Corporation	NUE.N / NUE US	5%	✓	0.3%
Nutanix Inc	NTNX.O / NTNX US	10%	✓	0.3%
Nutraceutical International Corporation	NUTR.O / NUTR US	25%	✗	0.3%
Nutrien Limited	NTR / NTR US	20%	✗	0.3%
Nutrisystem Inc	NTRI.O / NTRI US	10%	✓	0.3%
Nuveen Municipal Value Fund Inc	NUV / NUV US	20%	✗	0.3%
Nuveen Nasdaq 100 Dynamic Overwrite Fund	QQQX.O / QQQX US	25%	☒	0.3%
Nuveen Preferred Securities Income Fund	JPS / JPS US	10%	✓	0.3%
Nuveen Senior Income Fund	NSL / NSL US	25%	✓	0.3%
Nxstage Medical Inc	NXTM.O / NXTM US	10%	✗	0.3%
O'Reilly Automotive Inc	ORLY.O / ORLY US	5%	✓	0.3%
O'Shares FTSE Europe Quality Dividend Hedged ETF	OEUH.P / OEUH US	25%	✗	0.3%
O'Shares FTSE U.S. Quality Dividend ETF	OUSA.P / OUSA US	10%	☒	0.3%
OCI Partners LP	OCIP.K / OCIP US	25%	✗	0.3%
OFG Ban Corporation	OFG / OFG US	20%	✗	0.3%

OGE Energy Corporation	OGE.N / OGE US	10%	✓	0.3%
OMNOVA Solutions Inc	OMN / OMN US	20%	✓	0.3%
ON Semiconductor Corporation	ON.O / ON US	10%	✓	0.3%
ONE Gas Inc	OGS.N / OGS US	10%	✓	0.3%
ONEOK Inc	OKE.N / OKE US	5%	✓	0.3%
ONEOK Partners LP	OKS / OKS US	10%	✓	0.3%
ORBCOMM Inc	ORBC.O / ORBC US	15%	✓	0.3%
ORIX Corporation	IX.N / IX US	20%	✓	0.3%
OSI ETF Trust - O'Shares Global Internet Giants ETF	OGIG.P / OGIG US	25%	✗	0.3%
OSI Systems Inc	OSIS.O / OSIS US	10%	✓	0.3%
Oaktree Capital Group LLC	OAK / OAK US	10%	✗	0.3%
Oaktree Specialty Lending Corporation	OCSL.O / OCSL US	15%	✓	0.3%
Oasis Petroleum Inc	OAS.N / OAS US	10%	✓	0.3%
Obsidian Energy Limited	OBE.N / OBE US	25%	✓	0.3%
Occidental Petroleum Corporation	OXY.N / OXY US	5%	✓	0.3%
Ocean Rig UDW Inc	ORIG.O / ORIG US	25%	✗	0.3%
OceanFirst Financial Corporation	OCFC.O / OCFC US	20%	✗	0.3%
Oceaneering International Inc	OII.N / OII US	10%	✓	0.3%
Och-Ziff Capital Management Grou PLC	OZM.N / OZM US	20%	✓	0.3%
Oclaro Inc	OCLR.O / OCLR US	15%	✓	0.3%
Ocwen Financial Corporation	OCN.N / OCN US	15%	✓	0.3%
Office Depot Inc	ODP.O / ODP US	10%	✓	0.3%
Oil States International Inc	OIS.N / OIS US	10%	✓	0.3%
Okta Inc	OKTA.O / OKTA US	10%	✓	0.3%
Old Dominion Freight Line Inc	ODFL.O / ODFL US	10%	✓	0.3%
Old Line Bancshares Inc	OLBK.O / OLBK US	25%	✗	0.3%
Old National Ban Corporation	ONB.O / ONB US	10%	✗	0.3%
Old Republic International Corporation	ORI.N / ORI US	10%	✓	0.3%
Old Second Bancorp Inc	OSBC.O / OSBC US	25%	✗	0.3%
Olin Corporation	OLN.N / OLN US	10%	✓	0.3%
Ollie's Bargain Outlet Holdings Inc	OLLI.O / OLLI US	10%	✗	0.3%
Olympic Steel Inc	ZEUS.O / ZEUS US	25%	✗	0.3%
Omega Flex Inc	OFLX.O / OFLX US	25%	✗	0.3%
Omega Healthcare Investors Inc	OHI.N / OHI US	10%	✓	0.3%
Omega Protein Corporation	OME / OME US	15%	✗	0.3%
Omeros Corporation	OMER.O / OMER US	25%	✗	0.3%
Omicell Inc	OMCL.O / OMCL US	10%	✗	0.3%
Omnicom Group Inc	OMC.N / OMC US	5%	✓	0.3%
On Assignment Inc	ASGN.K / ASGN US	10%	✗	0.3%
On Deck Capital Inc	ONDK.K / ONDK US	20%	✓	0.3%
One Liberty Properties Inc	OLP / OLP US	25%	✗	0.3%
OneMain Holdings Inc	OMF / OMF US	10%	✗	0.3%
OneSmart International Education Group Limited	ONE / ONE US	25%	✓	0.3%
Onespan Inc	OSPN.O / OSPN US	20%	✓	0.3%
Open Text Corporation	OTEX.O / OTEX US	10%	✓	0.3%
Opko Health Inc	OPK.N / OPK US	10%	✓	0.3%
Oppenheimer Holdings Inc	OPY / OPY US	25%	✗	0.3%
Oppenheimer Large Cap Revenue ETF	RWL.P / RWL US	10%	✗	0.3%
Oppenheimer Ultra Dividend Revenue ETF	RDIV.P / RDIV US	10%	✓	0.3%
Opus Bank	OPB.O / OPB US	15%	✓	0.3%
OraSure Technologies Inc	OSUR.O / OSUR US	15%	✗	0.3%
Oracle Corporation	ORCL.K / ORCL US	5%	✓	0.3%
Orbital ATK Inc	OA.N / OA US	10%	✓	0.3%
Orbotech Limited	ORBK.O / ORBK US	10%	✓	0.3%

Orchid Island Capital Inc	ORC / ORC US	15%	X	0.3%
Orion Engineered Carbons SA	OEC / OEC US	10%	X	0.3%
Orion Group Holdings Inc	ORN / ORN US	25%	✓	0.3%
Oritani Financial Corporation	ORIT.O / ORIT US	20%	X	0.3%
Ormat Technologies Inc	ORA.N / ORA US	10%	✓	0.3%
Orthofix International NV	OFIX.O / OFIX US	15%	✓	0.3%
Oshkosh Corporation	OSK.N / OSK US	10%	✓	0.3%
Osisko Gold Royalties Limited	OR.N / OR US	15%	X	0.3%
Otter Tail Corporation	OTTR.O / OTTR US	15%	X	0.3%
Outfront Media Inc	OUT.N / OUT US	10%	✓	0.3%
Overseas Shipholding Group Inc	OSG / OSG US	20%	X	0.3%
Overstock.com Inc	OSTK.O / OSTK US	20%	✓	0.3%
Owens & Minor Inc	OMI.N / OMI US	10%	✓	0.3%
Owens Corning	OC.N / OC US	10%	✓	0.3%
Owens-Illinois Inc	OI.N / OI US	10%	✓	0.3%
Oxford Immunotec Global PLC	OXFD.O / OXFD US	25%	X	0.3%
Oxford Industries Inc	OXM / OXM US	10%	X	0.3%
Oxford Lane Capital Corporation	OXLC.O / OXLC US	25%	X	0.3%
Oxford Square Capital Corp	TICC.O / OXSQ US	25%	X	0.3%
P. H. Glatfelter Company	GLT / GLT US	15%	X	0.3%
PACCAR Inc	PCAR.O / PCAR US	5%	✓	0.3%
PAREXEL International Corporation	PRXL.O / PRXL US	10%	✓	0.3%
PBF Energy Inc	PBF.N / PBF US	10%	✓	0.3%
PC Connection Inc	PCCC.O / CNXN US	25%	X	0.3%
PDC Energy Inc	PDCE.O / PDCE US	10%	✓	0.3%
PDF Solutions Inc	PDFS.O / PDFS US	20%	X	0.3%
PDL BioPharma Inc	PDLI.O / PDLI US	15%	✓	0.3%
PG&E Corporation	PCG.N / PCG US	5%	✓	0.3%
PGT Innovations Inc	PGTI.N / PGTI US	15%	✓	0.3%
PHH Corporation	PHH.N / PHH US	20%	✓	0.3%
PICO Holdings Inc	PICO.O / PICO US	20%	✓	0.3%
PIMCO 0-5 Year High Yield Corporate Bond Index Exchange-Traded Fund	HYS.P / HYS US	10%	X	0.3%
PIMCO 25+ Year Zero Coupon U.S. Treasury Index Exchange-Traded Fund	ZROZ.P / ZROZ US	10%	✓	0.3%
PIMCO Active Bond Exchange-Traded Fund	BOND.P / BOND US	10%	✓	0.3%
PIMCO California Municipal Income Fund III	PZC / PZC US	25%	☐	0.3%
PIMCO Corporate & Income Opportunity Fund	PTY.N / PTY US	15%	☐	0.3%
PIMCO Corporate & Income Strategy Fund	PCN.N / PCN US	20%	☐	0.3%
PIMCO Dynamic Credit and Mortgage Income Fund	PCI / PCI US	25%	X	0.3%
PIMCO Dynamic Income Fund	PDI.N / PDI US	25%	✓	0.3%
PIMCO High Income Fund	PHK.N / PHK US	15%	✓	0.3%
PIMCO Income Strategy Fund II	PFN.N / PFN US	20%	☐	0.3%
PIMCO Municipal Income Fund II	PML.N / PML US	20%	✓	0.3%
PIMCO Municipal Income Fund III	PMX.N / PMX US	25%	X	0.3%
PIMCO Strategic Income Fund Inc	RCS / RCS US	10%	X	0.3%
PJT Partners Inc	PJT / PJT US	15%	X	0.3%
PLDT Inc	PHI.N / PHI US	20%	✓	0.3%
PNM Resources Inc	PNM.N / PNM US	10%	✓	0.3%
POS Company	PKX.N / PKX US	25%	✓	0.3%
PPG Industries Inc	PPG.N / PPG US	5%	✓	0.3%
PPL Corporation	PPL.N / PPL US	5%	✓	0.3%
PQ Group Holdings Inc	PQG / PQG US	10%	✓	0.3%
PRA Group Inc	PRAA.O / PRAA US	10%	✓	0.3%
PRA Health Sciences Inc	PRAH.O / PRAH US	10%	X	0.3%

PROS Holdings Inc	PRO / PRO US	15%	✗	0.3%
PS Business Parks Inc	PSB.N / PSB US	10%	✓	0.3%
PTC Inc	PTC.O / PTC US	20%	✓	0.3%
PTC Therapeutics Inc	PTCT.O / PTCT US	25%	✗	0.3%
PVH Corporation	PVH.N / PVH US	5%	✓	0.3%
PacWest Ban Corporation	PACW.O / PACW US	10%	✓	0.3%
Pacer Trendpilot(TM) 100 ETF	PTNQ.Z / PTNQ US	25%	✗	0.3%
Pacific Biosciences of California Inc	PACB.O / PACB US	25%	✓	0.3%
Pacific Continental Corporation	PCBK.O / PCBK US	25%	✗	0.3%
Pacific Ethanol Inc	PEIX.O / PEIX US	20%	✓	0.3%
Pacific Premier Bancorp Inc	PPBI.O / PPBI US	10%	✗	0.3%
Pacira Pharmaceuticals Inc	PCRX.O / PCRX US	10%	✓	0.3%
Packaging Corporation of America	PKG.N / PKG US	10%	✓	0.3%
PagSeguro Digital Limited	PAGS.K / PAGS US	10%	✓	0.3%
Palo Alto Networks Inc	PANW.K / PANW US	10%	✓	0.3%
Pampa Energia SA	PAM / PAM US	10%	✓	0.3%
Pan American Silver Corporation	PAAS.O / PAAS US	10%	✓	0.3%
Pandora Media Inc	P.N / P US	10%	✓	0.3%
Panera Bread Company	PNRA.O / PNRA US	25%	✓	0.3%
Panhandle Oil and Gas Inc	PHX / PHX US	25%	✗	0.3%
Papa John's International Inc	PZZA.O / PZZA US	10%	✓	0.3%
Par Pacific Holdings Inc	PARR.A / PARR US	25%	✗	0.3%
Paramount Group Inc	PGRE.K / PGRE US	10%	✗	0.3%
Paratek Pharmaceuticals Inc	PRTK.O / PRTK US	25%	✓	0.3%
Park Electrochemical Corporation	PKE / PKE US	25%	✗	0.3%
Park Hotels & Resorts Inc	PK / PK US	10%	✗	0.3%
Park National Corporation	PRK.A / PRK US	20%	✓	0.3%
Park Sterling Corporation	PSTB.O / PSTB US	20%	✗	0.3%
Park-Ohio Holdings Corporation	PKOH.O / PKOH US	25%	✗	0.3%
Parker-Hannifin Corporation	PH.N / PH US	5%	✓	0.3%
Parkway Properties Inc	PKY / PKY US	10%	✗	0.3%
Parsley Energy Inc	PE / PE US	10%	✓	0.3%
Partner Communications Company Limited	PTNR.O / PTNR US	25%	✓	0.3%
Party City Holdco Inc	PRTY.K / PRTY US	15%	✓	0.3%
Patrick Industries Inc	PATK.O / PATK US	10%	✗	0.3%
Pattern Energy Group Inc	PEGI.O / PEGI US	10%	✓	0.3%
Patterson Companies Inc	PDCO.O / PDCO US	10%	✓	0.3%
Patterson-UTI Energy Inc	PTEN.O / PTEN US	10%	✓	0.3%
PayPal Holdings Inc	PYPL.O / PYPL US	5%	✗	0.3%
Paychex Inc	PAYX.O / PAYX US	5%	✓	0.3%
Paycom Software Inc	PAYC.K / PAYC US	10%	✓	0.3%
Paylocity Holding Corporation	PCTY.O / PCTY US	10%	✗	0.3%
Peabody Energy Corporation	BTU.N / BTU US	15%	☐	0.3%
Peapack-Gladstone Financial Corporation	PGC.O / PGC US	20%	✗	0.3%
Pebblebrook Hotel Trust	PEB / PEB US	10%	✓	0.3%
Pegasystems Inc	PEGA.O / PEGA US	10%	✗	0.3%
Pendrell Corporation	PCOA.PK / PCOA US	25%	✗	0.3%
Pengrowth Energy Corporation	PGHEF.N / PGHEF US	25%	☐	0.3%
Penn National Gaming Inc	PENN.O / PENN US	10%	✓	0.3%
PennantPark Investment Corporation	PNNT.O / PNNT US	20%	✗	0.3%
Penns Woods Bancorp Inc	PWOD.O / PWOD US	25%	✗	0.3%
Pennsylvania Real Estate Investment Trust	PEI / PEI US	10%	✗	0.3%
PennyMac Financial Services Inc	PFSI.K / PFSI US	20%	✗	0.3%
PennyMac Mortgage Investment Trust	PMT.N / PMT US	10%	✓	0.3%

Penske Automotive Group Inc	PAG.N / PAG US	10%	✓	0.3%
Pentair PLC	PNR.N / PNR US	5%	✓	0.3%
Penumbra Inc	PEN / PEN US	10%	✗	0.3%
People's United Financial Inc	PBCT.O / PBCT US	5%	✓	0.3%
People's Utah Ban Corporation	PUB.O / PUB US	25%	✗	0.3%
Peoples Bancorp Inc	PEBO.O / PEBO US	25%	✗	0.3%
Peoples Financial Services Corporation	PFIS.O / PFIS US	25%	✗	0.3%
Pepsico Inc	PEP.O / PEP US	5%	✓	0.3%
Perficient Inc	PRFT.O / PRFT US	20%	✗	0.3%
Performance Food Group Company	PFGC.K / PFGC US	10%	✗	0.3%
PerkinElmer Inc	PKI.N / PKI US	10%	✓	0.3%
Permian Basin Royalty Trust	PBT.N / PBT US	25%	⊠	0.3%
Perrigo Company PLC	PRGO.K / PRGO US	5%	✓	0.3%
Perry Ellis International Inc	PERY.O / PERY US	20%	✓	0.3%
PetIQ Inc	PETQ.O / PETQ US	20%	✓	0.3%
PetMed Express Inc	PETS.O / PETS US	10%	✗	0.3%
PetroChina Company Limited	PTR.N / PTR US	10%	✓	0.3%
Petroleo Brasileiro S.A. - Petrobras	PBR.N / PBR US	10%	✓	0.3%
Pfizer Inc	PFE.N / PFE US	5%	✓	0.3%
PharMerica Corporation	PMC / PMC US	10%	✓	0.3%
Phibro Animal Health Corporation	PAHC.O / PAHC US	15%	✗	0.3%
Philip Morris International Inc	PM.N / PM US	5%	✓	0.3%
Phillips 66	PSX.N / PSX US	5%	✓	0.3%
Phillips 66 Partners LP	PSXP.K / PSXP US	10%	✓	0.3%
Photronics Inc	PLAB.O / PLAB US	15%	✗	0.3%
Physicians Realty Trust	DOC / DOC US	10%	✓	0.3%
Piedmont Office Realty Trust Inc	PDM / PDM US	10%	✗	0.3%
Pier 1 Imports Inc	PIR / PIR US	20%	✓	0.3%
Pieris Pharmaceuticals Inc	PIRS.O / PIRS US	25%	✗	0.3%
Pilgrim's Pride Corporation	PPC.O / PPC US	10%	✓	0.3%
Pinnacle Entertainment Inc	PNK.O / PNK US	10%	✓	0.3%
Pinnacle Financial Partners Inc	PNFP.O / PNFP US	10%	✗	0.3%
Pinnacle Foods Inc	PF.N / PF US	10%	✓	0.3%
Pinnacle West Capital Corporation	PNW.N / PNW US	5%	✓	0.3%
Pioneer Energy Services Corporation	PES / PES US	20%	✓	0.3%
Pioneer Natural Resources Company	PXD.N / PXD US	5%	✓	0.3%
Piper Jaffray Companies	PJC.N / PJC US	15%	✓	0.3%
Pitney Bowes Inc	PBI.N / PBI US	10%	✓	0.3%
Pivotal Software Inc	PVTL.K / PVTL US	20%	✗	0.3%
Plains All American Pipeline LP	PAA.N / PAA US	10%	✓	0.3%
Plains GP Holdings LP	PAGP.K / PAGP US	10%	✗	0.3%
Planet Fitness Inc	PLNT.K / PLNT US	10%	✗	0.3%
Plantronics Inc	PLT.N / PLT US	10%	✓	0.3%
Platform Specialty Products Corporation	PAH / PAH US	10%	✓	0.3%
Plexus Corporation	PLXS.O / PLXS US	10%	✓	0.3%
Plug Power Inc	PLUG.O / PLUG US	20%	⊠	0.3%
Pluralsight Inc	PS.O / PS US	15%	✓	0.3%
Ply Gem Holdings Inc	PGEM.K / PGEM US	20%	✓	0.3%
Polaris Industries Inc	PII.N / PII US	10%	✓	0.3%
PolyOne Corporation	POL.N / POL US	10%	✓	0.3%
Pool Corporation	POOL.O / POOL US	10%	✓	0.3%
Popeyes Louisiana Kitchen Inc	PLKI.O / PLKI US	10%	✓	0.3%
Popular Inc	BPOP.O / BPOP US	10%	✓	0.3%
Portland General Electric Company	POR.N / POR US	10%	✓	0.3%

Portola Pharmaceuticals Inc	PTLA.O / PTLA US	10%	✓	0.3%
Post Holdings Inc	POST.K / POST US	10%	✓	0.3%
Post Properties Inc	PPS.N / PPS US	10%	✓	0.3%
Potash Corporation of Saskatchewan Inc	POT.N / POT US	5%	✓	0.3%
Potbelly Corporation	PBPB.O / PBPB US	20%	✓	0.3%
Potlatch Corporation	PCH.O / PCH US	10%	✓	0.3%
Powell Industries Inc	POWL.O / POWL US	25%	✗	0.3%
Power Integrations Inc	POWI.O / POWI US	10%	✓	0.3%
PowerShares Aerospace & Defense Portfolio	PPA.P / PPA US	10%	✗	0.3%
PowerShares Buyback Achievers Portfolio	PKW.O / PKW US	10%	✓	0.3%
PowerShares CEF Income Composite Portfolio	PCEF.P / PCEF US	10%	✗	0.3%
PowerShares Chinese Yuan Dim Sum Bond Portfolio	DSUM.P / DSUM US	25%	✗	0.3%
PowerShares DB Agriculture Fund	DBA / DBA US	10%	✓	0.3%
PowerShares DB Base Metals Fund	DBB.P / DBB US	10%	☐	0.3%
PowerShares DB Commodity Index Tracking Fund	DBC.P / DBC US	5%	✓	0.3%
PowerShares DB Energy Fund	DBE / DBE US	10%	☐	0.3%
PowerShares DB Gold Fund	DGL.P / DGL US	25%	✗	0.3%
PowerShares DB Oil Fund	DBO / DBO US	10%	✗	0.3%
PowerShares DB Precious Metals Fund	DBP / DBP US	10%	✓	0.3%
PowerShares DB US Dollar Index Bearish Fund	UDN.P / UDN US	25%	☐	0.3%
PowerShares DB US Dollar Index Bullish Fund	UUP / UUP US	10%	✓	0.3%
PowerShares DWA Healthcare Momentum Portfolio	PTH.O / PTH US	25%	✓	0.3%
PowerShares DWA Technology Momentum Portfolio	PTF / PTF US	25%	✗	0.3%
PowerShares Dynamic Biotechnology & Genome Portfolio	PBE / PBE US	10%	✗	0.3%
PowerShares Dynamic Building & Construction Portfolio	PKB.P / PKB US	25%	✗	0.3%
PowerShares Dynamic Food & Beverage Portfolio	PBJ.P / PBJ US	10%	✓	0.3%
PowerShares Dynamic Media Portfolio	PBS.P / PBS US	25%	✓	0.3%
PowerShares Dynamic Pharmaceuticals Portfolio	PJP.P / PJP US	10%	✗	0.3%
PowerShares Dynamic Semiconductors Portfolio	PSI.P / PSI US	25%	✗	0.3%
PowerShares Dynamic Software Portfolio	PSJ.P / PSJ US	25%	✗	0.3%
PowerShares Emerging Markets Infrastructure Portfolio	PXR.P / PXR US	25%	✗	0.3%
PowerShares Emerging Markets Sovereign Debt Portfolio	PCY / PCY US	10%	✓	0.3%
PowerShares Financial Preferred Portfolio	PGF.P / PGF US	10%	✓	0.3%
PowerShares Fundamental High Yield Corporate Bond Portfolio	PHB.P / PHB US	10%	☐	0.3%
PowerShares Global Listed Private Equity Portfolio	PSP.P / PSP US	10%	✗	0.3%
PowerShares Global Water Portfolio	PIO.O / PIO US	10%	✓	0.3%
PowerShares Golden Dragon China Portfolio	PGJ.O / PGJ US	25%	✗	0.3%
PowerShares High Yield Equity Dividend Achievers(TM) Portfolio	PEY.P / PEY US	10%	☐	0.3%
PowerShares KBW Bank Portfolio	KBWB.P / KBWB US	10%	✓	0.3%
PowerShares KBW High Dividend Yield Financial Portfolio	KBWD.P / KBWD US	10%	✗	0.3%
PowerShares KBW Premium Yield Equity REIT Portfolio	KBWY.O / KBWY US	25%	✗	0.3%
PowerShares NASDAQ Internet Portfolio	PNQI.O / PNQI US	10%	✗	0.3%
PowerShares Optimum Yield Diversified Commodity Strategy No K-1 Portfolio	PDBC.O / PDBC US	10%	✗	0.3%
PowerShares Preferred Portfolio	PGX.P / PGX US	10%	✗	0.3%
PowerShares QQQ	QQQ.O / QQQ US	5%	✓	0.3%
PowerShares S&P 500 BuyWrite Portfolio	PBP.P / PBP US	10%	✗	0.3%
PowerShares S&P 500 Downside Hedged Portfolio	PHDG.P / PHDG US	25%	✗	0.3%
PowerShares S&P 500 High Beta Portfolio	SPHB.P / SPHB US	10%	✓	0.3%
PowerShares S&P 500 Low Volatility Portfolio	SPLV.K / SPLV US	10%	✗	0.3%
PowerShares S&P 500(r) High Dividend Low Volatility				

Portfolio	SPHD.P / SPHD US	10%	✓	0.3%
PowerShares S&P Emerging Markets Momentum Portfolio	EEMO.P / EEMO US	10%	✗	0.3%
PowerShares S&P MidCap Low Volatility Portfolio	XMLV.P / XMLV US	10%	☐	0.3%
PowerShares S&P SmallCap Energy Portfolio	PSCE.O / PSCE US	25%	✓	0.3%
PowerShares S&P SmallCap Health Care Portfolio	PSCH.O / PSCH US	10%	✗	0.3%
PowerShares S&P SmallCap Information Technology Portfolio	PSCT.O / PSCT US	10%	✗	0.3%
PowerShares Senior Loan Portfolio	BKLN.P / BKLN US	10%	✗	0.3%
PowerShares Taxable Municipal Bond Portfolio	BAB.P / BAB US	10%	✗	0.3%
PowerShares Water Resources Portfolio	PHO.O / PHO US	10%	☐	0.3%
PowerShares WilderHill Clean Energy Portfolio	PBW.P / PBW US	10%	✓	0.3%
Praxair Inc	PX.N / PX US	5%	✓	0.3%
Precision Drilling Corporation	PDS.N / PDS US	10%	✓	0.3%
Preferred Apartment Communities Inc	APTS.K / APTS US	10%	✓	0.3%
Preferred Bank	PFBC.O / PFBC US	15%	✓	0.3%
Preformed Line Products Company	PLPC.O / PLPC US	25%	✗	0.3%
Premier Inc	PINC.O / PINC US	10%	✗	0.3%
Presidio Inc	PSDO.O / PSDO US	15%	✓	0.3%
Press Ganey Holdings Inc	PGND.K / PGND US	20%	✓	0.3%
Prestige Brands Holdings Inc	PBH / PBH US	10%	✗	0.3%
Pretium Resources Inc	PVG / PVG US	25%	✓	0.3%
PriceSmart Inc	PSMT.O / PSMT US	10%	✓	0.3%
Primerica Inc	PRI / PRI US	10%	✗	0.3%
Primo Water Corporation	PRMW.O / PRMW US	20%	✓	0.3%
Primoris Services Corporation	PRIM.O / PRIM US	15%	✓	0.3%
Principal EDGE Active Income ETF	YLD.P / YLD US	10%	✓	0.3%
Principal Financial Group Inc	PFG.O / PFG US	5%	✓	0.3%
PrivateBancorp Inc	PVTB.O / PVTB US	10%	✓	0.3%
ProAssurance Corporation	PRA.N / PRA US	10%	✓	0.3%
ProPetro Holding Corporation	PUMP.K / PUMP US	10%	✗	0.3%
ProShares CDS Short North American HY Credit ETF	WYDE.P / WYDE US	25%	✗	0.3%
ProShares Decline of the Retail Store ETF	EMTY.P / EMTY US	25%	✗	0.3%
ProShares Inflation Expectations ETF	RINF.P / RINF US	25%	✗	0.3%
ProShares Large Cap Core Plus	CSM.P / CSM US	10%	✗	0.3%
ProShares S&P 500 Dividend Aristocrats ETF	NOBL.P / NOBL US	10%	✓	0.3%
ProShares Short 20+ Year Treasury	TBF.P / TBF US	10%	✓	0.3%
ProShares Short Dow30	DOG.P / DOG US	10%	✓	0.3%
ProShares Short FTSE China 50	YXI.P / YXI US	25%	✗	0.3%
ProShares Short Financials	SEF / SEF US	10%	✓	0.3%
ProShares Short High Yield	SJB.P / SJB US	10%	✓	0.3%
ProShares Short MSCI EAFE	EFZ.P / EFZ US	25%	☐	0.3%
ProShares Short MSCI Emerging Markets	EUM.P / EUM US	10%	☐	0.3%
ProShares Short Oil & Gas	DDP.P / DDG US	25%	✗	0.3%
ProShares Short QQQ	PSQ.P / PSQ US	25%	✗	0.3%
ProShares Short Russell2000	RWM.P / RWM US	10%	✓	0.3%
ProShares Short S&P500	SH / SH US	10%	✓	0.3%
ProShares Short Term USD Emerging Markets Bond ETF	EMSH.P / EMSH US	25%	✓	0.3%
ProShares Short VIX Short-Term Futures ETF	SVXY.P / SVXY US	10%	✓	0.3%
ProShares Trust - ProShares UltraShort S&P500	SDS.P / SDS US	10%	✗	0.3%
ProShares Trust II - ProShares Ultra VIX Short-Term Futures ETF	UVXY.P / UVXY US	15%	☐	0.3%
ProShares Ultra 20+ Year Treasury	UBT.P / UBT US	10%	✓	0.3%
ProShares Ultra Basic Materials	UYM / UYM US	25%	✓	0.3%
ProShares Ultra Bloomberg Crude Oil	UCO / UCO US	10%	✓	0.3%

ProShares Ultra Dow30	DDM / DDM US	20%	✓	0.3%
ProShares Ultra FTSE China 50	XPP.P / XPP US	25%	✓	0.3%
ProShares Ultra Financials	UYG / UYG US	25%	✓	0.3%
ProShares Ultra Gold	UGL / UGL US	10%	✓	0.3%
ProShares Ultra Gold Miners	GDXX.P / GDXX US	25%	☐	0.3%
ProShares Ultra Health Care	RXL / RXL US	10%	✓	0.3%
ProShares Ultra Junior Miners	GDJJ.P / GDJJ US	25%	✓	0.3%
ProShares Ultra MSCI Brazil Capped	UBR.P / UBR US	10%	✓	0.3%
ProShares Ultra MSCI Emerging Markets	EET.P / EET US	25%	✓	0.3%
ProShares Ultra MSCI Japan	EZJ.P / EZJ US	25%	✓	0.3%
ProShares Ultra MSCI Mexico Capped IMI	UMX / UMX US	25%	✗	0.3%
ProShares Ultra MidCap400	MVV / MVV US	25%	✓	0.3%
ProShares Ultra Nasdaq Biotechnology	BIB.O / BIB US	10%	✓	0.3%
ProShares Ultra Oil & Gas	DIG / DIG US	20%	✓	0.3%
ProShares Ultra QQQ	QLD.P / QLD US	10%	✓	0.3%
ProShares Ultra Real E SAE	URE / URE US	20%	☐	0.3%
ProShares Ultra Russell2000	UWM.P / UWM US	10%	☐	0.3%
ProShares Ultra S&P500	SSO.P / SSO US	10%	✓	0.3%
ProShares Ultra Semiconductors	USD / USD US	25%	☐	0.3%
ProShares Ultra Silver	AGQ / AGQ US	10%	✓	0.3%
ProShares Ultra Technology	ROM.P / ROM US	20%	☐	0.3%
ProShares Ultra Yen	YCL.P / YCL US	25%	✗	0.3%
ProShares UltraPro 3x Short Crude Oil ETF	OILD.P / OILD US	25%	✗	0.3%
ProShares UltraPro Dow30	UDOW.P / UDOW US	25%	✗	0.3%
ProShares UltraPro Financial Select Sector	FINU.P / FINU US	25%	✗	0.3%
ProShares UltraPro Nasdaq Biotechnology	UBIO.O / UBIO US	25%	✗	0.3%
ProShares UltraPro QQQ	TQQQ.O / TQQQ US	15%	✓	0.3%
ProShares UltraPro S&P500	UPRO.P / UPRO US	20%	✓	0.3%
ProShares UltraPro Short 20+ Year Treasury	TTT / TTT US	25%	☐	0.3%
ProShares UltraPro Short Dow30	SDOW.K / SDOW US	25%	✗	0.3%
ProShares UltraPro Short QQQ	SQQQ.O / SQQQ US	20%	✓	0.3%
ProShares UltraPro Short Russell2000	SRTY.P / SRTY US	25%	✗	0.3%
ProShares UltraPro Short S&P500	SPXU.P / SPXU US	5%	✓	0.3%
ProShares UltraShort 20+ Year Treasury	TBT / TBT US	10%	✓	0.3%
ProShares UltraShort 7-10 Year Treasury	PST / PST US	10%	✓	0.3%
ProShares UltraShort Australian Dollar	CROC.P / CROC US	25%	✗	0.3%
ProShares UltraShort Basic Materials	SMN / SMN US	20%	☐	0.3%
ProShares UltraShort Bloomberg Crude Oil	SCO / SCO US	10%	☐	0.3%
ProShares UltraShort Bloomberg Natural Gas	KOLD.P / KOLD US	25%	✗	0.3%
ProShares UltraShort Consumer Goods	SZK.P / SZK US	25%	✗	0.3%
ProShares UltraShort Consumer Services	SCC.P / SCC US	25%	✓	0.3%
ProShares UltraShort Dow30	DXD / DXD US	10%	☐	0.3%
ProShares UltraShort Euro	EUO / EUO US	15%	✓	0.3%
ProShares UltraShort FTSE China 50	FXP / FXP US	20%	☐	0.3%
ProShares UltraShort FTSE Europe	EPV.P / EPV US	25%	✗	0.3%
ProShares UltraShort Financials	SKF.P / SKF US	20%	✓	0.3%
ProShares UltraShort Gold	GLL / GLL US	10%	✓	0.3%
ProShares UltraShort Gold Miners	GDXS.P / GDXS US	25%	✗	0.3%
ProShares UltraShort Health Care	RXD.P / RXD US	25%	✗	0.3%
ProShares UltraShort MSCI Brazil Capped	BZQ.P / BZQ US	10%	✗	0.3%
ProShares UltraShort MSCI Emerging Markets	EEV / EEV US	10%	✓	0.3%
ProShares UltraShort MSCI Japan	EWV / EWV US	25%	✓	0.3%
ProShares UltraShort MSCI Mexico Capped IMI	SMK.P / SMK US	25%	✓	0.3%
ProShares UltraShort Nasdaq Biotechnology	BIS.O / BIS US	10%	☐	0.3%

ProShares UltraShort Oil & Gas	DUG / DUG US	20%	☐	0.3%
ProShares UltraShort QQQ	QID.P / QID US	10%	✓	0.3%
ProShares UltraShort Real E SAE	SRS / SRS US	25%	☐	0.3%
ProShares UltraShort Russell2000	TWM / TWM US	10%	✓	0.3%
ProShares UltraShort Semiconductors	SSG.P / SSG US	25%	✓	0.3%
ProShares UltraShort Silver	ZSL.P / ZSL US	20%	☐	0.3%
ProShares UltraShort Technology	REW.P / REW US	25%	✗	0.3%
ProShares UltraShort Yen	YCS.P / YCS US	10%	✓	0.3%
ProShares VIX Mid-Term Futures ETF	VIXM.P / VIXM US	25%	✗	0.3%
ProShares VIX Short-Term Futures ETF	VIXY.P / VIXY US	10%	✗	0.3%
Progenics Pharmaceuticals Inc	PGNX.O / PGNX US	20%	✗	0.3%
Progress Software Corporation	PRGS.O / PRGS US	10%	✓	0.3%
Prologis Inc	PLD.N / PLD US	5%	✓	0.3%
Proofpoint Inc	PFPT.O / PFPT US	10%	✓	0.3%
Prospect Capital Corporation	PSEC.O / PSEC US	10%	✓	0.3%
Prosperity Bancshares Inc	PB / PB US	10%	✗	0.3%
Prothena Corporation PLC	PRTA.O / PRTA US	25%	✓	0.3%
Proto Labs Inc	PRLB.K / PRLB US	10%	✓	0.3%
Provident Financial Services Inc	PFS / PFS US	15%	✗	0.3%
Prudential Financial Inc	PRU.N / PRU US	5%	✓	0.3%
Prudential PLC	PUK.N / PUK US	10%	✓	0.3%
Public Joint-Stock Company Mobile TeleSystems	MBT.N / MBT US	25%	✓	0.3%
Public Service Enterprise Group Inc	PEG.N / PEG US	5%	✓	0.3%
Public Storage	PSA.N / PSA US	5%	✓	0.3%
PulteGroup Inc	PHM.N / PHM US	5%	✓	0.3%
Puma Biotechnology Inc	PBYI.O / PBYI US	10%	✓	0.3%
Pure Storage Inc	PSTG.N / PSTG US	10%	✓	0.3%
PureFunds ISE Cyber Security(TM) ETF	HACK.P / HACK US	20%	✗	0.3%
PureFunds ISE Mobile Payments ETF	IPAY.P / IPAY US	25%	✓	0.3%
PureFunds(TM) ISE Junior Silver ETF	SILJ.P / SILJ US	25%	✓	0.3%
PureFunds(r) Video Game Tech ETF	GAMR.P / GAMR US	25%	✓	0.3%
Putnam Premier Income Trust	PPT.N / PPT US	20%	☐	0.3%
Pzena Investment Management Inc	PZN / PZN US	25%	✗	0.3%
Q2 Holdings Inc	QTWO.K / QTWO US	10%	✗	0.3%
QAD Inc	QADA.O / QADA US	20%	✗	0.3%
QCR Holdings Inc	QCRH.O / QCRH US	20%	✗	0.3%
QEP Resources Inc	QEP.N / QEP US	15%	✓	0.3%
QIAGEN NV	QGEN.N / QGEN US	10%	✗	0.3%
QTS Realty Trust Inc	QTS / QTS US	10%	✗	0.3%
QUALCOMM Inc	QCOM.O / QCOM US	5%	✓	0.3%
QVC Group	QRTEA.O / QRTEA US	5%	✓	0.3%
Qivi PLC	QIWI.O / QIWI US	10%	✓	0.3%
Qorvo Inc	QRVO.O / QRVO US	5%	✓	0.3%
Quad Graphics Inc	QUAD.K / QUAD US	15%	✗	0.3%
Quaker Chemical Corporation	KWR / KWR US	10%	✗	0.3%
Quality Care Properties Inc	QCP / QCP US	10%	✓	0.3%
Quality Systems Inc	QSII.O / QSII US	15%	✓	0.3%
Qualys Inc	QLYS.O / QLYS US	10%	✓	0.3%
Quanex Building Products Corporation	NX.N / NX US	20%	✓	0.3%
QuantShares U.S. Market Neutral Value Fund	CHEP.P / CHEP US	25%	✓	0.3%
Quanta Services Inc	PWR.N / PWR US	5%	✓	0.3%
Quantenna Communications Inc	QTNA.O / QTNA US	15%	✗	0.3%
Quarterhill Inc	QTRH.O / QTRH US	25%	✗	0.3%
Qudian Inc	QD / QD US	25%	✗	0.3%

Quest Diagnostics Inc	DGX.N / DGX US	5%	✓	0.3%
Quidel Corporation	QDEL.O / QDEL US	10%	✓	0.3%
QuinStreet Inc	QNST.O / QNST US	20%	✗	0.3%
Qunar Cayman Islands Limited	QUNR.O / QUNR US	15%	✗	0.3%
Quotient Technology Inc	QUOT.K / QUOT US	10%	✓	0.3%
R.R. Donnelley & Sons Company	RRD.N / RRD US	15%	✓	0.3%
R1 RCM Inc	RCM.O / RCM US	15%	✗	0.3%
RBC Bearings Inc	ROLL.O / ROLL US	10%	✓	0.3%
RCI Hospitality Holdings Inc	RICK.O / RICK US	20%	✗	0.3%
RE MAX Holdings Inc	RMAX.K / RMAX US	15%	✓	0.3%
REV Group Inc	REVG.N / REVG US	10%	✗	0.3%
REX American Resources Corporation	REX / REX US	15%	✓	0.3%
REX VolMAXX(TM) Short VIX Weekly Futures Strategy ETF	VMIN.Z / VMIN US	25%	✗	0.3%
RH	RH.N / RH US	15%	✓	0.3%
RISE Education Cayman Limited	REDU.O / REDU US	25%	✓	0.3%
RLI Corporation	RLI.N / RLI US	10%	✗	0.3%
RLJ Lodging Trust	RLJ / RLJ US	10%	✗	0.3%
RPC Inc	RES.N / RES US	10%	✓	0.3%
RPM International Inc	RPM.N / RPM US	10%	✓	0.3%
RPX Corporation	RPXC.O / RPXC US	15%	✓	0.3%
RSP Permian Inc	RSPP.N / RSPP US	10%	✓	0.3%
RTI Surgical Inc	RTIX.O / RTIX US	25%	✗	0.3%
RYB Education Inc	RYB / RYB US	20%	✗	0.3%
Rackspace Hosting Inc	RAX.N / RAX US	10%	✓	0.3%
RadNet Inc	RDNT.O / RDNT US	20%	✓	0.3%
Radian Group Inc	RDN.N / RDN US	10%	✓	0.3%
Radius Health Inc	RDUS.O / RDUS US	25%	✗	0.3%
Radware Limited	RDWR.O / RDWR US	20%	✓	0.3%
Ralph Lauren Corporation	RL.N / RL US	5%	✓	0.3%
Rambus Inc	RMBS.O / RMBS US	10%	✓	0.3%
Ramco-Gershenson Properties Trust	RPT.N / RPT US	10%	✓	0.3%
Randgold Resources Limited	GOLD.O / GOLD US	10%	✓	0.3%
Range Resources Corporation	RRC.N / RRC US	10%	✓	0.3%
Rapid7 Inc	RPD.O / RPD US	15%	✓	0.3%
Raptor Pharmaceuticals Corporation	RPTP.O / RPTP US	25%	✓	0.3%
Raven Industries Inc	RAVN.O / RAVN US	15%	✓	0.3%
Raymond James Financial Inc	RJF.N / RJF US	10%	✓	0.3%
Rayonier Advanced Materials Inc	RYAM.K / RYAM US	10%	✓	0.3%
Rayonier Inc	RYN.N / RYN US	10%	✓	0.3%
Raytheon Company	RTN.N / RTN US	5%	✓	0.3%
Reading International Inc	RDI.O / RDI US	25%	✓	0.3%
RealPage Inc	RP.O / RP US	10%	✗	0.3%
Realty Shares DIVS ETF	DIVY.A / DIVY US	25%	✗	0.3%
Realty Shares Divcon Dividend Defender ETF	DFND.Z / DFND US	25%	✗	0.3%
Realty Shares Nasdaq NexGen Economy ETF	BLCN.O / BLCN US	25%	✓	0.3%
Realogy Holdings Corporation	RLGY.K / RLGY US	10%	✓	0.3%
Realty Income Corporation	O.N / O US	5%	✓	0.3%
Reata Pharmaceuticals Inc	RETA.O / RETA US	25%	✗	0.3%
Red Hat Inc	RHT.N / RHT US	5%	✓	0.3%
Red Robin Gourmet Burgers Inc	RRGB.O / RRGB US	15%	✓	0.3%
Red Violet Inc	RDVT.O / RDVT US	25%	✗	0.3%
Redfin Corporation	RDFN.O / RDFN US	10%	✓	0.3%
Redwood Trust Inc	RWT.N / RWT US	15%	✓	0.3%

Regal Beloit Corporation	RBC.N / RBC US	10%	✓	0.3%
Regal Entertainment Group	RGC.N / RGC US	10%	✓	0.3%
Regency Centers Corporation	REG.N / REG US	10%	✓	0.3%
Regeneron Pharmaceuticals Inc	REGN.O / REGN US	5%	✓	0.3%
Regenxbio Inc	RGNX.O / RGNX US	25%	✗	0.3%
Regional Management Corporation	RM / RM US	20%	✓	0.3%
Regions Financial Corporation	RF.N / RF US	5%	✓	0.3%
Regis Corporation	RGS.N / RGS US	20%	✓	0.3%
Reinsurance Group of America Inc	RGA.N / RGA US	10%	✓	0.3%
Reliance Steel & Aluminum Company	RS.N / RS US	10%	✓	0.3%
Renaissance IPO ETF	IPO.P / IPO US	25%	✓	0.3%
RenaissanceRe Holdings Limited	RNR.N / RNR US	10%	✓	0.3%
Reasant Corporation	RNST.O / RNST US	10%	✓	0.3%
Renewable Energy Group Inc	REGI.O / REGI US	15%	✓	0.3%
Rent-A-Center Inc	RCII.O / RCII US	15%	☐	0.3%
Repligen Corporation	RGEN.O / RGEN US	10%	✓	0.3%
Republic Bancorp Inc	RBCAA.O / RBCAA US	25%	✓	0.3%
Republic First Bancorp Inc	FRBK.O / FRBK US	20%	✗	0.3%
Republic Services Inc	RSG.N / RSG US	5%	✓	0.3%
ResMed Inc	RMD.N / RMD US	10%	✓	0.3%
Resolute Energy Corporation	REN.N / REN US	15%	☐	0.3%
Resolute Forest Products Inc	RFP.N / RFP US	20%	✓	0.3%
Resource Capital Corporation	RSO.N / XAN US	20%	✓	0.3%
Resources Connection Inc	RECN.O / RECN US	20%	✓	0.3%
Restaurant Brands International Inc	QSR / QSR US	5%	✓	0.3%
Restaurant ETF	BITE.O / BITE US	25%	✗	0.3%
Retail Opportunity Investments Corporation	ROIC.O / ROIC US	10%	✓	0.3%
Retail Properties of America Inc	RPAI.K / RPAI US	10%	✓	0.3%
RetailMeNot Inc	SALE.O / SALE US	15%	✓	0.3%
Retrophin Inc	RTRX.O / RTRX US	15%	✗	0.3%
Revance Therapeutics Inc	RVNC.O / RVNC US	25%	✗	0.3%
Revlon Inc	REV.N / REV US	15%	☐	0.3%
Rexford Industrial Realty Inc	REXR.K / REXR US	10%	✓	0.3%
Rexnord Corporation	RXN.N / RXN US	10%	✓	0.3%
Reynolds American Inc	RAI.N / RAI US	15%	✓	0.3%
Rhythm Pharmaceuticals Inc	RYTM.O / RYTM US	25%	✗	0.3%
Ribbon Communications Inc	RBBN.O / RBBN US	20%	✓	0.3%
Rice Energy Inc	RICE.K / RICE US	25%	✗	0.3%
Rice Midstream Partners LP	RMP.N / RMP US	10%	✓	0.3%
Richmont Mines Inc	RIC.N / RIC US	15%	✗	0.3%
RigNet Inc	RNET.O / RNET US	25%	✗	0.3%
Rigel Pharmaceuticals Inc	RIGL.O / RIGL US	25%	✗	0.3%
Ring Energy Inc	REI.A / REI US	15%	✓	0.3%
RingCentral Inc	RNG.N / RNG US	10%	✓	0.3%
Rio Tinto PLC	RIO.N / RIO US	10%	✓	0.3%
Ritchie Bros. Auctioneers Inc	RBA.N / RBA US	10%	✓	0.3%
Rite Aid Corporation	RAD.N / RAD US	20%	✓	0.3%
Riverview Bancorp Inc	RVSB.O / RVSB US	25%	✗	0.3%
Robert Half International Inc	RHI.N / RHI US	5%	✓	0.3%
Robo Global(TM) Robotics and Automation Index ETF	ROBO.P / ROBO US	20%	✗	0.3%
Rocket Pharmaceuticals Inc	RCKT.O / RCKT US	25%	☐	0.3%
Rockwell Automation Inc	ROK.N / ROK US	5%	✓	0.3%
Rockwell Collins Inc	COL.N / COL US	10%	✓	0.3%
Rockwell Medical Inc	RMTI.O / RMTI US	25%	✗	0.3%

Rofin-Sinar Technologies Inc	RSTI.O / RSTI US	15%	✓	0.3%
Rogers Corporation	ROG.N / ROG US	10%	✓	0.3%
Roku Inc	ROKU.O / ROKU US	25%	✗	0.3%
Rollins Inc	ROL / ROL US	10%	✓	0.3%
Roper Technologies Inc	ROP.N / ROP US	5%	✓	0.3%
Rose Rock Midstream LP	RRMS.K / RRMS US	15%	✓	0.3%
Rosetta Stone Inc	RST / RST US	25%	✗	0.3%
Ross Stores Inc	ROST.O / ROST US	5%	✓	0.3%
Rowan Companies PLC	RDC.N / RDC US	10%	✓	0.3%
Royal Bank of Canada	RY.N / RY US	5%	✓	0.3%
Royal Caribbean Cruises Limited	RCL.N / RCL US	5%	✓	0.3%
Royal Dutch Shell PLC	RDSa.N / RDS/A US	10%	✓	0.3%
Royal Dutch Shell PLC	RDSb / RDS/B US	10%	✓	0.3%
Royal Gold Inc	RGLD.O / RGLD US	10%	✓	0.3%
Rudolph Technologies Inc	RTEC.K / RTEC US	15%	✗	0.3%
Rush Enterprises Inc	RUSHA.O / RUSHA US	10%	✓	0.3%
Ruth's Hospitality Group Inc	RUTH.O / RUTH US	15%	✗	0.3%
Ryanair Holdings PLC	RYAAY.O / RYAAY US	10%	✓	0.3%
Ryder System Inc	R.N / R US	10%	✓	0.3%
Ryman Hospitality Properties Inc	RHP / RHP US	10%	✓	0.3%
S&P Global Inc	SPGI.N / SPGI US	5%	✓	0.3%
S&T Bancorp Inc	STBA.O / STBA US	20%	✓	0.3%
SAP SE	SAP.N / SAP US	10%	✓	0.3%
SBA Communications Corporation	SBAC.O / SBAC US	5%	✓	0.3%
SCANA Corporation	SCG.N / SCG US	5%	✓	0.3%
SEACOR Marine Holdings Inc	SMHI.K / SMHI US	25%	✓	0.3%
SEI Investments Company	SEIC.O / SEIC US	10%	✗	0.3%
SINA Corporation	SINA.O / SINA US	10%	✓	0.3%
SJW Group	SJW / SJW US	15%	✓	0.3%
SK Telecom Company Limited	SKM.N / SKM US	10%	✓	0.3%
SL Green Realty Corporation	SLG.N / SLG US	5%	✓	0.3%
SLM Corporation	SLM.O / SLM US	10%	✓	0.3%
SM Energy Company	SM.N / SM US	10%	✓	0.3%
SMART Global Holdings Inc	SGH.O / SGH US	15%	✓	0.3%
SP Plus Corporation	SP.O / SP US	15%	✗	0.3%
SPDR(r) Bloomberg Barclays Convertible Securities ETF	CWB.P / CWB US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays High Yield Bond ETF	JNK.P / JNK US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays International Treasury Bond ETF	BWX.P / BWX US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays Investment Grade Floating Rate ETF	FLRN.P / FLRN US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays Short Term Corporate Bond ETF	SCPB.P / SPSB US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays Short Term High Yield Bond ETF	SJNK.P / SJNK US	10%	✗	0.3%
SPDR(r) Bloomberg Barclays Short Term Treasury ETF	SST.P / SPTS US	25%	✗	0.3%
SPDR(r) Bloomberg Barclays TIPS ETF	IPE.P / IPE US	10%	✓	0.3%
SPDR(r) Dow Jones Global Real Estate ETF	RWO.P / RWO US	10%	✗	0.3%
SPDR(r) Dow Jones Industrial Average ETF	DIA.P / DIA US	5%	✓	0.3%
SPDR(r) Dow Jones International Real Estate ETF	RWX.P / RWX US	10%	✓	0.3%
SPDR(r) Dow Jones REIT ETF	RWR.P / RWR US	10%	✓	0.3%
SPDR(r) EURO STOXX 50 ETF	FEZ.P / FEZ US	10%	✓	0.3%
SPDR(r) FactSet Innovative Technology ETF	XITK.P / XITK US	25%	✗	0.3%
SPDR(r) Gold Sh AE	GLD.P / GLD US	5%	✓	0.3%
SPDR(r) Nuveen Bloomberg Barclays Municipal Bond ETF	TFI.P / TFI US	10%	✗	0.3%

SPDR(r) Nuveen Bloomberg Barclays Short Term Municipal Bond ETF	SHM.P / SHM US	10%	X	0.3%
SPDR(r) Portfolio Emerging Markets ETF	SPEM.P / SPEM US	10%	☐	0.3%
SPDR(r) S&P 400 Mid Cap Growth ETF	MDYG.P / MDYG US	10%	✓	0.3%
SPDR(r) S&P 500 ETF	SPY.P / SPY US	5%	✓	0.3%
SPDR(r) S&P 500 High Dividend ETF	SPYD.P / SPYD US	25%	✓	0.3%
SPDR(r) S&P 600 Small Cap ETF	SLY.P / SLY US	10%	☐	0.3%
SPDR(r) S&P 600 Small Cap Growth ETF	SLYG.P / SLYG US	10%	X	0.3%
SPDR(r) S&P Aerospace & Defense ETF	XAR.P / XAR US	10%	X	0.3%
SPDR(r) S&P Bank ETF	KBE.P / KBE US	10%	✓	0.3%
SPDR(r) S&P Biotech ETF	XBI.P / XBI US	10%	✓	0.3%
SPDR(r) S&P China ETF	GXC.P / GXC US	10%	X	0.3%
SPDR(r) S&P Dividend ETF	SDY.P / SDY US	10%	✓	0.3%
SPDR(r) S&P Emerging Asia Pacific ETF	GMF.P / GMF US	10%	✓	0.3%
SPDR(r) S&P Emerging Europe ETF	GUR.P / GUR US	25%	X	0.3%
SPDR(r) S&P Emerging Markets Dividend ETF	EDIV.P / EDIV US	10%	☐	0.3%
SPDR(r) S&P Emerging Markets Small Cap ETF	EWX / EWX US	10%	☐	0.3%
SPDR(r) S&P Global Dividend ETF	WDIV.P / WDIV US	25%	X	0.3%
SPDR(r) S&P Health Care Equipment ETF	XHE.P / XHE US	25%	☐	0.3%
SPDR(r) S&P Health Care Services ETF	XHS.P / XHS US	25%	X	0.3%
SPDR(r) S&P Homebuilders ETF	XHB.P / XHB US	10%	✓	0.3%
SPDR(r) S&P Insurance ETF	KIE.P / KIE US	10%	✓	0.3%
SPDR(r) S&P International Dividend Currency Hedged ETF	HDWX.P / HDWX US	25%	✓	0.3%
SPDR(r) S&P International Dividend ETF	DWX.P / DWX US	10%	✓	0.3%
SPDR(r) S&P International Small Cap ETF	GWX.P / GWX US	10%	X	0.3%
SPDR(r) S&P International Telecommunications Sector ETF	IST.P / IST US	25%	✓	0.3%
SPDR(r) S&P Metals and Mining ETF	XME.P / XME US	10%	✓	0.3%
SPDR(r) S&P MidCap 400 ETF	MDY.P / MDY US	10%	✓	0.3%
SPDR(r) S&P Oil & Gas Equipment & Services ETF	XES / XES US	10%	✓	0.3%
SPDR(r) S&P Oil & Gas Exploration & Production ETF	XOP.P / XOP US	10%	✓	0.3%
SPDR(r) S&P Pharmaceuticals ETF	XPH.P / XPH US	15%	✓	0.3%
SPDR(r) S&P Regional Banking ETF	KRE.P / KRE US	10%	✓	0.3%
SPDR(r) S&P Retail ETF	XRT.P / XRT US	10%	✓	0.3%
SPDR(r) S&P Semiconductor ETF	XSD.P / XSD US	25%	✓	0.3%
SPDR(r) S&P Telecom ETF	XTL.P / XTL US	25%	X	0.3%
SPDR(r) S&P Transportation ETF	XTN / XTN US	10%	✓	0.3%
SPDR(r) S&P World ex-US ETF	GWL.P / SPDW US	10%	☐	0.3%
SPS Commerce Inc	SPSC.O / SPSC US	15%	X	0.3%
SPX Corporation	SPXC.N / SPXC US	10%	✓	0.3%
SPX FLOW Inc	FLOW.K / FLOW US	10%	X	0.3%
SRC Energy Inc	SRCI.A / SRCI US	10%	✓	0.3%
SS&C Technologies Holdings Inc	SSNC.O / SSNC US	10%	✓	0.3%
SSR Mining Inc	SSRM.O / SSRM US	10%	✓	0.3%
STAAR Surgical Company	STAA.O / STAA US	20%	✓	0.3%
STAG Industrial Inc	STAG.K / STAG US	10%	X	0.3%
STMicroelectronics NV	STM.N / STM US	10%	✓	0.3%
STORE Capital Corporation	STOR.K / STOR US	10%	✓	0.3%
SUPERVALU Inc	SVU.N / SVU US	25%	✓	0.3%
SVB Financial Group	SIVB.O / SIVB US	10%	✓	0.3%
SYNNEX Corporation	SNX.N / SNX US	10%	✓	0.3%
Sabine Royalty Trust	SBR / SBR US	25%	✓	0.3%
Sabra Health Care REIT Inc	SBRA.O / SBRA US	10%	✓	0.3%
Sabre Corporation	SABR.O / SABR US	10%	✓	0.3%

Safe Bulkers Inc	SB.N / SB US	20%	✓	0.3%
Safeguard Scientifics Inc	SFE / SFE US	25%	✗	0.3%
Safety Insurance Group Inc	SAFT.O / SAFT US	15%	✓	0.3%
Sage Therapeutics Inc	SAGE.O / SAGE US	25%	✗	0.3%
Saia Inc	SAIA.O / SAIA US	10%	✓	0.3%
SailPoint Technologies Holdings Inc	SAIL.K / SAIL US	25%	✓	0.3%
Salesforce.com Inc	CRM.N / CRM US	5%	✓	0.3%
Sally Beauty Holdings Inc	SBH.N / SBH US	10%	✓	0.3%
San Juan Basin Royalty Trust	SJT.N / SJT US	25%	☐	0.3%
Sanchez Energy Corporation	SN.N / SN US	20%	✓	0.3%
SandRidge Energy Inc	SD.N / SD US	25%	✗	0.3%
Sanderson Farms Inc	SAFM.O / SAFM US	10%	✓	0.3%
Sandstorm Gold Limited	SAND.A / SAND US	20%	✓	0.3%
Sandy Spring Bancorp Inc	SASR.O / SASR US	20%	✓	0.3%
Sangamo Therapeutics Inc	SGMO.O / SGMO US	25%	✓	0.3%
Sanmina Corporation	SANM.O / SANM US	10%	✓	0.3%
Santander Consumer USA Holdings Inc	SC / SC US	10%	✓	0.3%
Sapiens International Corporation NV	SPNS.O / SPNS US	25%	✗	0.3%
Sarepta Therapeutics Inc	SRPT.O / SRPT US	10%	✓	0.3%
Sasol Limited	SSL.N / SSL US	10%	✓	0.3%
Saul Centers Inc	BFS.N / BFS US	20%	✓	0.3%
Savara Inc	SVRA.O / SVRA US	25%	✗	0.3%
ScanSource Inc	SCSC.O / SCSC US	20%	✓	0.3%
Schlumberger Limited	SLB.N / SLB US	5%	✓	0.3%
Schneider National Inc	SNDR.K / SNDR US	10%	✗	0.3%
Schnitzer Steel Industries Inc	SCHN.O / SCHN US	10%	✓	0.3%
Scholastic Corporation	SCHL.O / SCHL US	15%	✓	0.3%
Schwab U.S. Aggregate Bond ETF(TM)	SCHZ.P / SCHZ US	10%	✗	0.3%
Schwab U.S. Broad Market ETF(TM)	SCHB.P / SCHB US	10%	☐	0.3%
Schwab U.S. Dividend Equity ETF(TM)	SCHD.P / SCHD US	10%	✗	0.3%
Schwab U.S. Large-Cap ETF(TM)	SCHX.P / SCHX US	10%	✗	0.3%
Schwab U.S. Mid-Cap ETF(TM)	SCHM.P / SCHM US	10%	☐	0.3%
Schwab U.S. REIT ETF(TM)	SCHH.K / SCHH US	10%	✗	0.3%
Schweitzer-Mauduit International Inc	SWM.N / SWM US	15%	✓	0.3%
SciClone Pharmaceuticals Inc	SCLN.O / SCLN US	15%	✗	0.3%
Science Applications International Corporation	SAIC.K / SAIC US	10%	✓	0.3%
Scientific Games Corporation	SGMS.O / SGMS US	10%	✓	0.3%
Scorpio Bulkers Inc	SALT.K / SALT US	15%	✓	0.3%
Scorpio Tankers Inc	STNG.K / STNG US	10%	✓	0.3%
Scripps Networks Interactive Inc	SNI.O / SNI US	5%	✓	0.3%
Sea Limited	SE / SE US	20%	✓	0.3%
SeaWorld Entertainment Inc	SEAS.K / SEAS US	10%	✓	0.3%
Seaboard Corporation	SEB / SEB US	20%	✗	0.3%
Seabridge Gold Inc	SA.N / SA US	25%	✓	0.3%
Seacoast Banking Corporation of Florida	SBCF.O / SBCF US	15%	✗	0.3%
Seagate Technology PLC	STX.O / STX US	10%	✓	0.3%
Sealed Air Corporation	SEE.N / SEE US	5%	✓	0.3%
Seaspan Corporation	SSW.N / SSW US	10%	✓	0.3%
Seattle Genetics Inc	SGEN.O / GEN US	10%	✓	0.3%
Select Income REIT	SIR.O / SIR US	10%	✗	0.3%
Select Medical Holdings Corporation	SEM / SEM US	10%	✗	0.3%
Selecta Biosciences Inc	SELB.O / SELB US	25%	✓	0.3%
Selective Insurance Group Inc	SIGI.O / SIGI US	10%	✓	0.3%
SemGroup Corporation	SEMG.K / SEMG US	10%	✗	0.3%

Sempra Energy	SRE.N / SRE US	5%	✓	0.3%
Semtech Corporation	SMT.C.O / SMT.C US	10%	✓	0.3%
SendGrid Inc	SEND.K / SEND US	25%	✓	0.3%
Senior Housing Properties Trust	SNH.N / SNH US	10%	✓	0.3%
Sensata Technologies Holding NV	ST.N / ST US	10%	✓	0.3%
Sensient Technologies Corporation	SXT / SXT US	10%	✗	0.3%
Seres Therapeutics Inc	MCRB.O / MCRB US	20%	✗	0.3%
Seritage Growth Properties	SRG / SRG US	10%	✓	0.3%
Service Corporation International	SCI.N / SCI US	10%	✓	0.3%
ServiceMaster Global Holdings Inc	SERV.N / SERV US	10%	✓	0.3%
ServiceNow Inc	NOW.N / NOW US	10%	✓	0.3%
ServiceSource International Inc	SREV.O / SREV US	25%	✗	0.3%
ServisFirst Bancshares Inc	SFBS.O / SFBS US	15%	✗	0.3%
Shake Shack Inc	SHAK.K / SHAK US	10%	✓	0.3%
Shaw Communications Inc	SJR.N / SJR US	5%	✓	0.3%
Shell Midstream Partners LP	SHLX.K / SHLX US	10%	✗	0.3%
Shenandoah Telecommunications Company	SHEN.O / SHEN US	15%	✗	0.3%
Shinhan Financial Group Company Limited	SHG.N / SHG US	15%	✓	0.3%
Ship Finance International Limited	SFL.N / SFL US	10%	✓	0.3%
Shire PLC	SHPG.O / SHPG US	20%	✓	0.3%
Shoe Carnival Inc	SCVL.O / SCVL US	15%	✓	0.3%
Shopify Inc	SHOP.K / SHOP US	10%	✓	0.3%
ShoreTel Inc	SHOR.O / SHOR US	15%	✗	0.3%
Shutterfly Inc	SFLY.O / SFLY US	10%	✓	0.3%
Shutterstock Inc	SSTK.K / SSTK US	10%	✓	0.3%
Sierra Ban Corporation	BSRR.O / BSRR US	25%	✓	0.3%
Sierra Wireless Inc	SWIR.O / SWIR US	10%	✓	0.3%
Sigma Designs Inc	SIGM.O / SIGM US	20%	✓	0.3%
Signature Bank	SBNY.O / SBNY US	10%	✓	0.3%
Signet Jewelers Limited	SIG.N / SIG US	15%	✓	0.3%
Silgan Holdings Inc	SLGN.O / SLGN US	10%	✓	0.3%
Silicom Limited	SILC.O / SILC US	20%	✗	0.3%
Silicon Graphics International Corporation	SGI.O / SGI US	20%	✓	0.3%
Silicon Laboratories Inc	SLAB.O / SLAB US	10%	✓	0.3%
Silicon Motion Technology Corporation	SIMO.O / SIMO US	10%	✓	0.3%
Silver Bay Realty Trust Corporation	SBY.N / SBY US	15%	✓	0.3%
Silver Spring Networks Inc	SSNI.K / SSNI US	10%	✗	0.3%
Silvercorp Metals Inc	SVM.P / SVM US	20%	✗	0.3%
Simmons First National Corporation	SFNC.O / SFNC US	10%	✓	0.3%
Simon Property Group Inc	SPG.N / SPG US	5%	✓	0.3%
Simpson Manufacturing Co. Inc	SSD.N / SSD US	10%	✓	0.3%
Simulations Plus Inc	SLP.O / SLP US	25%	✗	0.3%
Sinclair Broadcast Group Inc	SBGI.O / SBGI US	10%	✓	0.3%
Sinopec Shanghai Petrochemical Company Limited	SHI.N / SHI US	20%	✓	0.3%
Sinovac Biotech Limited	SVA.O / SVA US	25%	✓	0.3%
Sirius XM Holdings Inc	SIRI.O / SIRI US	5%	✓	0.3%
SiteOne Landscape Supply Inc	SITE.K / SITE US	10%	✗	0.3%
Six Flags Entertainment Corporation	SIX.N / SIX US	10%	✓	0.3%
Skechers U.S.A. Inc	SKX.N / SKX US	20%	✓	0.3%
SkyWest Inc	SKYW.O / SKYW US	10%	✓	0.3%
Skyworks Solutions Inc	SWKS.O / SWKS US	5%	✓	0.3%
Sleep Number Corp	SNBR.O / SNBR US	10%	✓	0.3%
Smart & Final Stores Inc	SFS / SFS US	15%	✓	0.3%
Smartsheet Inc	SMAR.K / SMAR US	10%	✓	0.3%

Smith & Nephew PLC	SNN.N / SNN US	10%	✓	0.3%
Snap Inc	SNAP.N / SNAP US	10%	✗	0.3%
Snap-on Inc	SNA.N / SNA US	5%	✓	0.3%
Snyder's-Lance Inc	LNCE.O / LNCE US	10%	✓	0.3%
Social Capital Hedosophia Holdings Corporation	IPOA.N / IPOA US	25%	✓	0.3%
Sociedad Quimica y Minera de Chile SA	SQM.N / SQM US	10%	✓	0.3%
SodaStream International Limited	SODA.O / SODA US	15%	✓	0.3%
Sogou Inc	SOGO.K / SOGO US	25%	✓	0.3%
Sohu.com Inc	SOHU.O / SOHU US	10%	✓	0.3%
Solar Capital Limited	SLRC.O / SLRC US	20%	✓	0.3%
SolarCity Corporation	SCTY.O / SCTY US	10%	✓	0.3%
SolarEdge Technologies Inc	SEDG.O / SEDG US	10%	✓	0.3%
Solaris Oilfield Infrastructure Inc	SOI / SOI US	20%	✗	0.3%
Solid Biosciences LLC	SLDB.O / SLDB US	20%	✓	0.3%
Sonic Automotive Inc	SAH / SAH US	15%	✓	0.3%
Sonic Corporation	SONC.O / SONC US	10%	✓	0.3%
Sonoco Products Company	SON.N / SON US	10%	✓	0.3%
Sony Corporation	SNE.N / SNE US	10%	✓	0.3%
Sorrento Therapeutics Inc	SRNE.O / SRNE US	25%	✗	0.3%
Sotheby's	BID.N / BID US	10%	✓	0.3%
Source Capital Inc	SOR / SOR US	25%	✓	0.3%
South Jersey Industries Inc	SJI.N / SJI US	10%	✓	0.3%
South State Corporation	SSB.O / SSB US	10%	✗	0.3%
Southern Copper Corporation	SCCO.K / SCCO US	10%	✓	0.3%
Southern Missouri Bancorp Inc	SMBC.O / SMBC US	25%	✓	0.3%
Southern National Bancorp of Virginia Inc	SONA.O / SONA US	25%	✗	0.3%
Southside Bancshares Inc	SBSI.O / SBSI US	15%	✗	0.3%
Southwest Airlines Company	LUV.N / LUV US	5%	✓	0.3%
Southwest Bancorp Inc	OKSB.O / OKSB US	25%	✗	0.3%
Southwest Gas Holdings Inc	SWX.N / SWX US	10%	✓	0.3%
Southwestern Energy Company	SWN.N / SWN US	10%	✓	0.3%
Spark Therapeutics Inc	ONCE.O / ONCE US	10%	✓	0.3%
Spartan Motors Inc	SPAR.O / SPAR US	20%	✗	0.3%
SpartanNash Company	SPTN.O / SPTN US	15%	✓	0.3%
Sparton Corporation	SPA / SPA US	20%	✓	0.3%
Spectra Energy Partners LP	SEP.N / SEP US	10%	✓	0.3%
Spectrum Brands Holdings Inc	SPB.N / SPB US	10%	✓	0.3%
Spectrum Pharmaceuticals Inc	SPPI.O / SPPI US	25%	✓	0.3%
Speedway Motorsports Inc	TRK / TRK US	25%	✗	0.3%
Spire Inc	LG.N / SR US	10%	✓	0.3%
Spirit AeroSystems Holdings Inc	SPR.N / SPR US	10%	✓	0.3%
Spirit Airlines Inc	SAVE.N / SAVE US	10%	✓	0.3%
Spirit MTA REIT	SMTA_w.N / SMTA US	25%	✗	0.3%
Splunk Inc	SPLK.O / SPLK US	10%	✓	0.3%
Spok Holdings Inc	SPOK.O / SPOK US	20%	✗	0.3%
Spotify Technology SA	SPOT.K / SPOT US	15%	✓	0.3%
Sprague Resources LP	SRLP.K / SRLP US	25%	✗	0.3%
Sprint Corporation	S.N / S US	10%	✓	0.3%
Sprott Gold Miners ETF	SGDM.P / SGDM US	25%	✗	0.3%
Sprott Physical Silver Trust	PSLV.P / PSLV US	25%	✗	0.3%
Sprouts Farmers Market Inc	SFM.O / SFM US	10%	✓	0.3%
Square Inc	SQ / SQ US	10%	✗	0.3%
St. Jude Medical Inc	STJ.N / STJ US	5%	✓	0.3%
Stamps.com Inc	STMP.O / STMP US	10%	✗	0.3%

Standard Motor Products Inc	SMP / SMP US	15%	✓	0.3%
Standex International Corporation	SXI / SXI US	15%	✓	0.3%
Stanley Black & Decker Inc	SWK.N / SWK US	5%	✓	0.3%
Stantec Inc	STN / STN US	25%	✓	0.3%
Staples Inc	SPLS.O / SPLS US	10%	✓	0.3%
Star Bulk Carriers Corporation	SBLK.O / SBLK US	15%	✗	0.3%
Starbucks Corporation	SBUX.O / SBUX US	5%	✓	0.3%
Starwood Property Trust Inc	STWD.K / STWD US	10%	✓	0.3%
Starwood Waypoint Homes	SFR / SFR US	10%	✓	0.3%
Starz	STRZA.O / STRZA US	10%	✓	0.3%
State Auto Financial Corporation	STFC.O / STFC US	25%	✓	0.3%
State Bank Financial Corporation	STBZ.O / STBZ US	20%	✗	0.3%
State National Companies Inc	SNC.O / SNC US	25%	✓	0.3%
State Street Corporation	STT.N / STT US	5%	✓	0.3%
Steel Dynamics Inc	STLD.O / STLD US	10%	✓	0.3%
Steel Partners Holdings LP	SPLP.K / SPLP US	25%	✓	0.3%
Steelcase Inc	SCS.N / SCS US	10%	✓	0.3%
Stellus Capital Investment Corporation	SCM / SCM US	25%	✗	0.3%
Stemline Therapeutics Inc	STML.O / STML US	25%	✓	0.3%
Stepan Company	SCL / SCL US	15%	✓	0.3%
Stericycle Inc	SRCL.O / SRCL US	15%	✓	0.3%
Steris PLC	STE.N / STE US	10%	✓	0.3%
Sterling Bancorp	STL / STL US	10%	✗	0.3%
Sterling Bancorp Inc. (Southfield MI)	SBT.O / SBT US	25%	✓	0.3%
Sterling Construction Company Inc	STRL.O / STRL US	15%	✗	0.3%
Steven Madden Limited	SHOO.O / SHOO US	10%	✓	0.3%
Stewart Information Services Corporation	STC / STC US	15%	✓	0.3%
Stifel Financial Corporation	SF / SF US	10%	✗	0.3%
Stillwater Mining Company	SWC.N / SWC US	10%	✓	0.3%
Stitch Fix Inc	SFIX.O / SFIX US	25%	⚠	0.3%
Stock Yards Bancorp Inc	SYBT.O / SYBT US	20%	✗	0.3%
StoneMor Partners LP	STON.N / STON US	20%	✗	0.3%
Stonegate Bank	SGBK.O / SGBK US	25%	✓	0.3%
Stoneridge Inc	SRI / SRI US	15%	✓	0.3%
Straight Path Communications Inc	STRP.A / STRP US	25%	✗	0.3%
Stratasys Limited	SSYS.O / SSYS US	20%	✓	0.3%
Strayer Education Inc	STRA.O / STRA US	10%	✓	0.3%
Stryker Corporation	SYK.N / SYK US	5%	✓	0.3%
Student Transportation Inc	STB.O / STB US	25%	✗	0.3%
Sturm Ruger & Company Inc	RGR.N / RGR US	10%	✓	0.3%
Suburban Propane Partners LP	SPH.N / SPH US	10%	✓	0.3%
Suffolk Ban Corporation	SCNB.K / SCNB US	20%	✓	0.3%
Summit Hotel Properties Inc	INN / INN US	10%	✓	0.3%
Summit Hotel Properties Inc	INN_pa.N / EP041052@EXCH PFD	25%	✗	0.3%
Summit Materials Inc	SUM / SUM US	10%	✓	0.3%
Summit Midstream Partners LP	SMLP.N / SMLP US	20%	✓	0.3%
Sun Bancorp Inc	SNBC.O / SNBC US	25%	✓	0.3%
Sun Communities Inc	SUI.N / SUI US	10%	✓	0.3%
Sun Hydraulics Corporation	SNHY.O / SNHY US	15%	✓	0.3%
Sun Life Financial Inc	SLF / SLF US	5%	✓	0.3%
SunCoke Energy Inc	SXC.N / SXC US	10%	✓	0.3%
SunCoke Energy Partners LP	SXCP.K / SXCP US	15%	✗	0.3%
SunEdison Semiconductor Limited	SEMI.O / SEMI US	15%	✓	0.3%

SunOpta Inc	STKL.O / STKL US	20%	✓	0.3%
SunPower Corporation	SPWR.O / SPWR US	10%	✗	0.3%
SunTrust Banks Inc	STI.N / STI US	5%	✓	0.3%
Suncor Energy Inc	SU.N / SU US	5%	✓	0.3%
Sunoco LP	SUN.N / SUN US	10%	✗	0.3%
Sunrun Inc	RUN.O / RUN US	15%	✗	0.3%
Sunstone Hotel Investors Inc	SHO / SHO US	10%	✗	0.3%
Super Micro Computer Inc	SMCI.O / SMCI US	10%	✗	0.3%
Superior Energy Services Inc	SPN.N / SPN US	10%	✓	0.3%
Superior Industries International Inc	SUP.N / SUP US	20%	✓	0.3%
Superior Uniform Group Inc	SGC.O / SGC US	25%	✓	0.3%
Supernus Pharmaceuticals Inc	SUPN.O / SUPN US	10%	✗	0.3%
Supreme Industries Inc	STS.A / STS US	25%	✓	0.3%
Surgery Partners Inc	SGRY.O / SGRY US	20%	✗	0.3%
Surgical Care Affiliates Inc	SCAI.O / SCAI US	10%	✓	0.3%
Surmodics Inc	SRDX.O / SRDX US	25%	✓	0.3%
Switch Inc	SWCH.K / SWCH US	15%	✗	0.3%
Sykes Enterprises Inc	SYKE.O / SYKE US	15%	✗	0.3%
Symantec Corporation	SYMC.O / SYMC US	5%	✓	0.3%
Synaptics Inc	SYNA.O / SYNA US	10%	✓	0.3%
Synchronoss Technologies Inc	SNCR.PK / SNCR US	15%	✓	0.3%
Synchrony Financial	SYF / SYF US	5%	✓	0.3%
Syneos Health Inc	SYNH.O / SYNH US	10%	✓	0.3%
Synergy Pharmaceuticals Inc	SGYP.O / SGYP US	25%	✗	0.3%
Syneron Medical Limited	ELOS.O / ELOS US	25%	✗	0.3%
Synopsys Inc	SNPS.O / SNPS US	10%	✓	0.3%
Synovus Financial Corporation	SNV.N / SNV US	10%	✓	0.3%
Syntel Inc	SYNT.O / SYNT US	10%	✓	0.3%
Sysco Corporation	SYN.N / SYN US	5%	✓	0.3%
T-Mobile US Inc	TMUS.O / TMUS US	5%	✓	0.3%
T. Rowe Price Group Inc	TROW.O / TROW US	5%	✓	0.3%
TAL Education Group	TAL.N / TAL US	10%	✓	0.3%
TC PipeLines LP	TCP / TCP US	10%	✗	0.3%
TCF Financial Corporation	TCB.N / TCF US	10%	✓	0.3%
TD Ameritrade Holding Corporation	AMTD.O / AMTD US	10%	✓	0.3%
TE Connectivity Limited	TEL / TEL US	5%	✓	0.3%
TEGNA Inc	TGNA.K / TGNA US	10%	✓	0.3%
TELUS Corporation	TU.N / TU US	5%	✓	0.3%
TETRA Technologies Inc	TTI.N / TTI US	15%	✓	0.3%
TFS Financial Corporation	TFSL.O / TFSL US	20%	✗	0.3%
TG Therapeutics Inc	TGTX.O / TGTX US	25%	✗	0.3%
TPG RE Finance Trust Inc	TRTX.K / TRTX US	25%	✗	0.3%
TPI Composites Inc	TPIC.O / TPIC US	15%	✗	0.3%
TRC Companies Inc	TRR / TRR US	25%	✗	0.3%
TRI Pointe Group Inc	TPH / TPH US	10%	✗	0.3%
TTM Technologies Inc	TTMI.O / TTMI US	10%	✓	0.3%
Tableau Software Inc	DATA.K / DATA US	10%	✓	0.3%
Tabula Rasa Healthcare Inc	TRHC.O / TRHC US	20%	✓	0.3%
Tahoe Resources Inc	TAHO.K / TAHO US	10%	✓	0.3%
Tailored Brands Inc	TLRD.K / TLRD US	10%	✓	0.3%
Taiwan Semiconductor Manufacturing Company Limited	TSM.N / TSM US	10%	✓	0.3%
Take-Two Interactive Software Inc	TTWO.O / TTWO US	10%	✓	0.3%
Talen Energy Corporation	TLN / TLN US	10%	✗	0.3%

Talend SA	TLND.O / TLND US	15%	✗	0.3%
Tallgrass Energy GP LP	TEGP.N / TEGP US	15%	✗	0.3%
Tallgrass Energy Partners LP	TEP / TEP US	10%	✗	0.3%
Talos Energy LLC	TALO.K / TALO US	25%	✗	0.3%
Tandem Diabetes Care Inc	TNDM.O / TNDM US	25%	✗	0.3%
Tanger Factory Outlet Centers Inc	SKT.N / SKT US	10%	✓	0.3%
Tapestry Inc	TPR.N / TPR US	5%	✓	0.3%
Tarena International Inc	TEDU.O / TEDU US	15%	✓	0.3%
Targa Resources Corporation	TRGP.N / TRGP US	10%	✓	0.3%
Target Corporation	TGT.N / TGT US	5%	✓	0.3%
Taro Pharmaceutical Industries Limited	TARO.N / TARO US	10%	✓	0.3%
Taseko Mines Limited	TGB.P / TGB US	20%	✗	0.3%
Tata Motors Limited	TTM.N / TTM US	10%	✓	0.3%
Taubman Centers Inc	TCO.N / TCO US	10%	✓	0.3%
Taylor Morrison Home Corporation	TMHC.K / TMHC US	10%	✓	0.3%
Team Health Holdings Inc	TMH / TMH US	10%	✓	0.3%
Team Inc	TISI.K / TISI US	15%	✗	0.3%
Tech Data Corporation	TECD.O / TECD US	10%	✓	0.3%
TechTarget Inc	TTGT.O / TTGT US	15%	✗	0.3%
TechnipFMC PLC	FTI.N / FTI US	5%	✓	0.3%
Technology Select Sector SPDR(r) Fund	XLK.P / XLK US	10%	✓	0.3%
Teck Resources Limited	TECK.K / TECK US	5%	✓	0.3%
Teekay Corporation	TK.N / TK US	10%	✓	0.3%
Teekay LNG Partners LP	TGP / TGP US	15%	✗	0.3%
Teekay Offshore Partners LP	TOO / TOO US	20%	✗	0.3%
Tejon Ranch Company	TRC.N / TRC US	20%	✓	0.3%
Teladoc Inc	TDOC.K / TDOC US	25%	✗	0.3%
TeleTech Holdings Inc	TTEC.O / TTEC US	15%	✓	0.3%
Telecom Argentina SA	TEO.N / TEO US	15%	⚠	0.3%
Teledyne Technologies Inc	TDY.N / TDY US	10%	✓	0.3%
Teleflex Inc	TFX.N / TFX US	10%	✓	0.3%
Telephone and Data Systems Inc	TDS / TDS US	10%	✗	0.3%
Tellurian Inc	TELL.O / TELL US	25%	✗	0.3%
Templeton Dragon Fund Inc	TDF.N / TDF US	25%	✓	0.3%
Templeton Emerging Markets Fund	EMF.N / EMF US	25%	✓	0.3%
Templeton Global Income Fund	GIM / GIM US	20%	✗	0.3%
Tempur Sealy International Inc	TPX.N / TPX US	10%	✓	0.3%
Tenaris SA	TS.N / TS US	10%	✓	0.3%
Tenet Healthcare Corporation	THC.N / THC US	10%	✓	0.3%
Tennant Company	TNC / TNC US	15%	✗	0.3%
Tenneco Inc	TEN.N / TEN US	10%	✓	0.3%
Teradata Corporation	TDC.N / TDC US	10%	✓	0.3%
Teradyne Inc	TER.N / TER US	10%	✓	0.3%
Terex Corporation	TEX.N / TEX US	10%	✓	0.3%
Terra Nitrogen Company LP	TNH.N / TNH US	20%	⚠	0.3%
TerraForm Global Inc	GLBL.O / GLBL US	15%	✗	0.3%
TerraForm Power Inc	TERP.O / TERP US	15%	✗	0.3%
Terreno Realty Corporation	TRNO.K / TRNO US	10%	✗	0.3%
Territorial Bancorp Inc	TBNK.O / TBNK US	25%	✓	0.3%
Tesaro Inc	TSRO.O / TSRO US	10%	✓	0.3%
Tesco Corporation	TESO.O / TESO US	25%	✓	0.3%
Tesla Inc	TSLA.O / TSLA US	5%	✓	0.3%
Tesoro Logistics LP	TLLP.K / ANDX US	10%	✓	0.3%
Tetra Tech Inc	TTEK.O / TTEK US	10%	✓	0.3%

Tetraphase Pharmaceuticals Inc	TTPH.O / TTPH US	25%	✓	0.3%
Teucrium Corn Fund	CORN.P / CORN US	15%	☒	0.3%
Teucrium Soybean	SOYB.P / SOYB US	25%	✓	0.3%
Teucrium Wheat	WEAT.P / WEAT US	25%	✗	0.3%
Teva Pharmaceutical Industries Limited	TEVA.N / TEVA US	10%	✓	0.3%
Texas Capital Bancshares Inc	TCBI.O / TCBI US	10%	✗	0.3%
Texas Instruments Inc	TXN.O / TXN US	5%	✓	0.3%
Texas Pacific Land Trust	TPL.N / TPL US	10%	✗	0.3%
Texas Roadhouse Inc	TXRH.O / TXRH US	10%	✓	0.3%
Textainer Group Holdings Limited	TGH.N / TGH US	10%	✓	0.3%
Textron Inc	TXT.N / TXT US	5%	✓	0.3%
The AES Corporation	AES.N / AES US	5%	✓	0.3%
The Advisory Board Company	ABCO.O / ABCO US	10%	✓	0.3%
The Allstate Corporation	ALL.N / ALL US	5%	✓	0.3%
The Andersons Inc	ANDE.O / ANDE US	15%	✓	0.3%
The Bancorp Inc	TBBK.O / TBBK US	20%	✓	0.3%
The Bank of N.T. Butterfield & Son Limited	NTB / NTB US	10%	✗	0.3%
The Bank of New York Mellon Corporation	BK.N / BK US	5%	✓	0.3%
The Bank of Nova Scotia	BNS / BNS US	5%	✓	0.3%
The Blackstone Group LP	BX.N / BX US	10%	✓	0.3%
The Boeing Company	BA.N / BA US	5%	✓	0.3%
The Boston Beer Company Inc	SAM.N / SAM US	10%	✓	0.3%
The Brink's Company	BCO.N / BCO US	10%	✓	0.3%
The Buckle Inc	BKE.N / BKE US	10%	✓	0.3%
The Carlyle Group LP	CG.O / CG US	10%	✓	0.3%
The Cato Corporation	CATO.K / CATO US	20%	✓	0.3%
The Central and Eastern Europe Fund Inc	CEE.N / CEE US	25%	✓	0.3%
The Charles Schwab Corporation	SCHW.K / SCHW US	5%	✓	0.3%
The Cheesecake Factory Inc	CAKE.O / CAKE US	10%	✓	0.3%
The Chefs' Warehouse Inc	CHEF.O / CHEF US	20%	✓	0.3%
The Chemours Company	CC / CC US	10%	✗	0.3%
The Children's Place Inc	PLCE.O / PLCE US	10%	✓	0.3%
The China Fund Inc	CHN.N / CHN US	25%	✓	0.3%
The Clorox Company	CLX.N / CLX US	5%	✓	0.3%
The Coca-Cola Company	KO.N / KO US	5%	✓	0.3%
The Cooper Companies Inc	COO.N / COO US	10%	✓	0.3%
The Descartes Systems Group Inc	DSGX.O / DSGX US	20%	✗	0.3%
The Dow Chemical Company	DOW.N / DOW US	5%	✓	0.3%
The Dun & Bradstreet Corporation	DNB.N / DNB US	10%	✓	0.3%
The E. W. Scripps Company	SSP / SSP US	10%	✓	0.3%
The Empire District Electric Company	EDE / EDE US	10%	✓	0.3%
The Ensign Group Inc	ENSG.O / ENSG US	15%	✓	0.3%
The Estee Lauder Companies Inc	EL.N / EL US	5%	✓	0.3%
The Finish Line Inc	FINL.O / FINL US	15%	✓	0.3%
The First Bancorp Inc	FNLC.O / FNLC US	25%	✗	0.3%
The First of Long Island Corporation	FLIC.O / FLIC US	20%	✗	0.3%
The GEO Group Inc	GEO.N / GEO US	10%	✗	0.3%
The Gabelli Dividend & Income Trust	GDV.N / GDV US	15%	✓	0.3%
The Gabelli Equity Trust Inc	GAB.N / GAB US	20%	☒	0.3%
The Gap Inc	GPS.N / GPS US	5%	✓	0.3%
The Goldman Sachs Group Inc	GS.N / GS US	5%	✓	0.3%
The Goodyear Tire & Rubber Company	GT.O / GT US	5%	✓	0.3%
The Gorman-Rupp Company	GRC.A / GRC US	20%	✗	0.3%
The Greenbrier Companies Inc	GBX.N / GBX US	10%	✓	0.3%

The Habit Restaurants Inc	HABT.O / HABT US	20%	✗	0.3%
The Hackett Group Inc	HCKT.O / HCKT US	20%	✗	0.3%
The Hain Celestial Group Inc	HAIN.O / HAIN US	10%	✓	0.3%
The Hanover Insurance Group Inc	THG.N / THG US	10%	✓	0.3%
The Hartford Financial Services Group Inc	HIG.N / HIG US	5%	✓	0.3%
The Hershey Company	HSY.N / HSY US	5%	✓	0.3%
The Home Depot Inc	HD.N / HD US	5%	✓	0.3%
The Howard Hughes Corporation	HHC / HHC US	10%	✓	0.3%
The India Fund Inc	IFN.N / IFN US	20%	✓	0.3%
The Interpublic Group of Companies Inc	IPG.N / IPG US	10%	✓	0.3%
The J. M. Smucker Company	SJM.N / SJM US	5%	✓	0.3%
The KEYW Holding Corporation	KEYW.O / KEYW US	20%	✗	0.3%
The Kraft Heinz Company	KHC.O / KHC US	5%	✓	0.3%
The Kroger Company	KR.N / KR US	5%	✓	0.3%
The Liberty Braves Group	BATRA.O / BATRA US	20%	✓	0.3%
The Liberty Braves Group	BATRK.O / BATRK US	20%	✓	0.3%
The Liberty SiriusXM Group	LSXMA.O / LSXMA US	10%	✓	0.3%
The Liberty SiriusXM Group	LSXMK.O / LSXMK US	10%	✓	0.3%
The Long-Term Care ETF	OLD.O / OLD US	25%	✗	0.3%
The Madison Square Garden Company	MSG.N / MSG US	10%	✓	0.3%
The Manitowoc Company Inc	MTW.N / MTW US	25%	✓	0.3%
The Marcus Corporation	MCS / MCS US	20%	✗	0.3%
The Medicines Company	MDCO.O / MDCO US	25%	✓	0.3%
The Meet Group Inc	MEET.O / MEET US	20%	✗	0.3%
The Mexico Fund Inc	MXF / MXF US	25%	☐	0.3%
The Michaels Companies Inc	MIK.O / MIK US	10%	✓	0.3%
The Middleby Corporation	MIDD.O / MIDD US	10%	✓	0.3%
The Mosaic Company	MOS.N / MOS US	5%	✓	0.3%
The Navigators Group Inc	NAV.G.O / NAVG US	15%	✗	0.3%
The New Germany Fund Inc	GF.N / GF US	25%	✓	0.3%
The New York Times Company	NYT.N / NYT US	10%	✓	0.3%
The Obesity ETF	SLIM.O / SLIM US	25%	✗	0.3%
The Organics ETF	ORG.O / ORG US	25%	✗	0.3%
The PNC Financial Services Group Inc	PNC.N / PNC US	5%	✓	0.3%
The Procter & Gamble Company	PG.N / PG US	5%	✓	0.3%
The Progressive Corporation	PGR.N / PGR US	5%	✓	0.3%
The Providence Service Corporation	PRSC.O / PRSC US	15%	✗	0.3%
The RMR Group Inc	RMR.O / RMR US	20%	✗	0.3%
The Real Estate Select Sector SPDR Fund	XLRE.P / XLRE US	10%	✗	0.3%
The Scotts Miracle-Gro Company	SMG.N / SMG US	10%	✓	0.3%
The Sherwin-Williams Company	SHW.N / SHW US	5%	✓	0.3%
The Simply Good Foods Company	SMPL.O / SMPL US	15%	✓	0.3%
The Southern Company	SO.N / SO US	5%	✓	0.3%
The Spectranetics Corporation	SPNC.O / SPNC US	25%	✓	0.3%
The St. Joe Company	JOE.N / JOE US	15%	✓	0.3%
The Stars Group Inc	TSG.O / TSG US	10%	✗	0.3%
The Swiss Helvetia Fund Inc	SWZ / SWZ US	25%	✓	0.3%
The TJX Companies Inc	TJX.N / TJX US	5%	✓	0.3%
The Timken Company	TKR.N / TKR US	10%	✓	0.3%
The Toro Company	TTC.N / TTC US	10%	✓	0.3%
The Toronto-Dominion Bank	TD.N / TD US	5%	✗	0.3%
The Trade Desk Inc	TTD.O / TTD US	10%	✗	0.3%
The Travelers Companies Inc	TRV.N / TRV US	5%	✓	0.3%
The Ultimate Software Group Inc	ULTI.O / ULTI US	10%	✓	0.3%

The Valspar Corporation	VAL.N / VAL US	10%	✓	0.3%
The Walt Disney Company	DIS.N / DIS US	5%	✓	0.3%
The Wendy's Company	WEN.O / WEN US	10%	✓	0.3%
The Western Union Company	WU.N / WU US	5%	✓	0.3%
The WhiteWave Foods Company	WWAV.K / WWAV US	10%	✓	0.3%
The Williams Companies Inc	WMB.N / WMB US	5%	✓	0.3%
The York Water Company	YORW.O / YORW US	25%	✗	0.3%
TherapeuticsMD Inc	TXMD.O / TXMD US	25%	✗	0.3%
Theravance Biopharma Inc	TBPH.O / TBPH US	25%	✓	0.3%
Thermo Fisher Scientific Inc	TMO.N / TMO US	10%	✓	0.3%
Thermon Group Holdings Inc	THR / THR US	15%	✗	0.3%
Third Point Reinsurance Limited	TPRE.K / TPRE US	10%	✓	0.3%
Thomson Reuters Corporation	TRI.N / TRI US	10%	✓	0.3%
Thor Industries Inc	THO.N / THO US	10%	✓	0.3%
TiVo Corporation	TIVO.O / TIVO US	10%	✓	0.3%
TiVo Corporation	ROVI.O / ROVI US	10%	✓	0.3%
Tiffany & Company	TIF.N / TIF US	5%	✓	0.3%
Tile Shop Holdings Inc	TTS.O / TTS US	15%	✗	0.3%
Time Inc	TIME.K / TIME US	10%	✓	0.3%
TimkenSteel Corporation	TMST.N / TMST US	15%	✓	0.3%
Titan International Inc	TWI.N / TWI US	15%	✓	0.3%
Titan Machinery Inc	TITN.O / TITN US	20%	✗	0.3%
Tivity Health Inc	TVTY.O / TVTY US	15%	✓	0.3%
Tobira Therapeutics Inc	TBRA.O / TBRA US	25%	✗	1%
Toll Brothers Inc	TOL.N / TOL US	10%	✓	0.3%
Tompkins Financial Corporation	TMP / TMP US	20%	✗	0.3%
Tootsie Roll Industries Inc	TR.N / TR US	15%	✓	0.3%
TopBuild Corporation	BLD / BLD US	10%	✓	0.3%
Torchmark Corporation	TMK.N / TMK US	5%	✓	0.3%
Total System Services Inc	TSS.N / TSS US	5%	✓	0.3%
Tower International Inc	TOWR.K / TOWR US	15%	✗	0.3%
Tower Semiconductor Limited	TSEM.O / TSEM US	10%	✗	0.3%
TowneBank	TOWN.O / TOWN US	15%	✗	0.3%
Toyota Motor Corporation	TM.N / TM US	10%	✓	0.3%
Tractor Supply Company	TSCO.O / TSCO US	5%	✓	0.3%
TransDigm Group Inc	TDG.N / TDG US	5%	✓	0.3%
TransEnterix Inc	TRXC.A / TRXC US	25%	✗	0.3%
TransUnion	TRU / TRU US	10%	✗	0.3%
Transocean Limited	RIG.N / RIG US	10%	✓	0.3%
Transocean Partners LLC	RIGP.K / RIGP US	20%	✗	0.3%
Transportadora de Gas del Sur SA	TGS / TGS US	15%	✗	0.3%
Travelport Worldwide Limited	TVPT.N / TVPT US	10%	✓	0.3%
Trecora Resources	TREC.K / TREC US	25%	✓	0.3%
Tredegar Corporation	TG.N / TG US	20%	✓	0.3%
Treehouse Foods Inc	THS / THS US	15%	✓	0.3%
Trex Company Inc	TREX.K / TREX US	10%	✗	0.3%
Tri-Continental Corporation	TY.N / TY US	20%	✓	0.3%
TriCo Bancsh AE	TCBK.O / TCBK US	20%	✗	0.3%
TriMas Corporation	TRS.O / TRS US	15%	✗	0.3%
TriNet Group Inc	TNET.N / TNET US	10%	✓	0.3%
Triangle Capital Corporation	TCAP.K / TCAP US	15%	✓	0.3%
Tribune Media Company	TRCO.K / TRCO US	10%	✗	0.3%
Trimble Inc	TRMB.O / TRMB US	10%	✓	0.3%
Trina Solar Limited	TSL.N / TSL US	20%	✓	0.3%

Trinity Industries Inc	TRN.N / TRN US	10%	✓	0.3%
Trinseo SA	TSE / TSE US	10%	✓	0.3%
TripAdvisor Inc	TRIP.O / TRIP US	10%	✓	0.3%
Triple-S Management Corporation	GTS / GTS US	20%	✗	0.3%
TriplePoint Venture Growth BDC Corporation	TPVG.K / TPVG US	20%	✓	0.3%
Tristate Capital Holdings Inc	TSC.O / TSC US	20%	✗	0.3%
Triton International Limited	TRTN.N / TRTN US	10%	✗	0.3%
Triumph Bancorp Inc	TBK.O / TBK US	20%	✓	0.3%
Triumph Group Inc	TGI.N / TGI US	10%	✓	0.3%
Tronox Limited	TROX.K / TROX US	10%	✓	0.3%
TrueBlue Inc	TBI / TBI US	10%	✗	0.3%
TrueCar Inc	TRUE.O / TRUE US	15%	✗	0.3%
Trupanion Inc	TRUP.K / TRUP US	15%	✗	0.3%
TrustCo Bank Corp NY	TRST.O / TRST US	20%	✓	0.3%
Trustmark Corporation	TRMK.O / TRMK US	10%	✗	0.3%
Tsakos Energy Navigation Limited	TNP.N / TNP US	20%	✗	0.3%
TubeMogul Inc	TUBE.O / TUBE US	20%	✗	0.3%
Tucows Inc	TCX.O / TCX US	15%	✗	0.3%
Tupperware Brands Corporation	TUP.N / TUP US	10%	✓	0.3%
Turning Point Brands Inc	TPB / TPB US	25%	✗	0.3%
Turquoise Hill Resources Limited	TRQ.N / TRQ US	10%	✓	0.3%
Turtle Beach Corporation	HEAR.O / HEAR US	25%	✗	0.3%
Tutor Perini Corporation	TPC.N / TPC US	10%	✓	0.3%
Twenty-First Century Fox Inc	FOXA.O / FOXA US	15%	✓	0.3%
Twenty-First Century Fox Inc	FOX.O / FOX US	15%	✓	0.3%
Twilio Inc	TWLO.N / TWLO US	10%	✓	0.3%
Twin Disc Inc	TWIN.O / TWIN US	25%	✗	0.3%
Twitter Inc	TWTR.N / TWTR US	10%	✓	0.3%
Two Harbors Investment Corporation	TWO.N / TWO US	10%	✓	0.3%
Tyler Technologies Inc	TYL / TYL US	10%	✓	0.3%
Tyson Foods Inc	TSN.N / TSN US	5%	✓	0.3%
U.S. Ban Corporation	USB.N / USB US	5%	✓	0.3%
U.S. Concrete Inc	USCR.O / USCR US	10%	✓	0.3%
U.S. Global Jets ETF	JETS.P / JETS US	25%	✗	0.3%
U.S. Physical Therapy Inc	USPH.K / USPH US	15%	✗	0.3%
U.S. Silica Holdings Inc	SLCA.K / SLCA US	10%	✓	0.3%
UBS AG FI Enhanced Large Cap Growth ETN	FBGX.K / FBGX US	10%	✗	0.3%
UBS ETRACS Monthly Reset 2xLeveraged ISE Exclusively Homebuilders ETN	HOML.P / HOML US	25%	✓	0.3%
UBS Group AG	UBS.N / UBS US	5%	✓	0.3%
UDR Inc	UDR.N / UDR US	5%	✓	0.3%
UGI Corporation	UGI.N / UGI US	10%	✓	0.3%
UMB Financial Corporation	UMBF.O / UMBF US	10%	✗	0.3%
UMH Properties Inc	UMH / UMH US	20%	✗	0.3%
US Ecology Inc	ECOL.O / ECOL US	15%	✗	0.3%
US Foods Holding Corporation	USFD.N / USFD US	10%	✓	0.3%
USA Compression Partners LP	USAC.K / USAC US	20%	✗	0.3%
USA Technologies Inc	USAT.O / USAT US	15%	✓	0.3%
USANA Health Sciences Inc	USNA.K / USNA US	10%	✓	0.3%
USG Corporation	USG.N / USG US	10%	✓	0.3%
Ubiquiti Networks Inc	UBNT.O / UBNT US	10%	⊘	0.3%
Ulta Beauty Inc	ULTA.O / ULTA US	5%	✓	0.3%
Ultra Clean Holdings Inc	UCTT.O / UCTT US	10%	✗	0.3%
Ultragenyx Pharmaceutical Inc	RARE.O / RARE US	25%	✗	0.3%

Ultratech Inc	UTEK.O / UTEK US	15%	✗	0.3%
Umpqua Holdings Corporation	UMPQ.O / UMPQ US	10%	✓	0.3%
Under Armour Inc	UAA.N / UAA US	10%	✓	0.3%
Under Armour Inc	UA.N / UA US	10%	✓	0.3%
UniFirst Corporation	UNF / UNF US	10%	✗	0.3%
Unifi Inc	UFI / UFI US	20%	✓	0.3%
Unilever NV	UN.N / UN US	10%	✓	0.3%
Union Bankshares Corporation	UBSH.O / UBSH US	15%	✗	0.3%
Union Pacific Corporation	UNP.N / UNP US	5%	✓	0.3%
Unisys Corporation	UIS.N / UIS US	20%	✓	0.3%
Unit Corporation	UNT.N / UNT US	10%	✓	0.3%
United Bankshares Inc	UBSI.O / UBSI US	10%	✗	0.3%
United Community Banks Inc	UCBI.O / UCBI US	10%	✓	0.3%
United Community Financial Corporation	UCFC.O / UCFC US	25%	✗	0.3%
United Continental Holdings Inc	UAL.N / UAL US	5%	✓	0.3%
United Financial Bancorp Inc	UBNK.O / UBNK US	20%	✗	0.3%
United Fire Group Inc	UFCS.O / UFCS US	20%	✗	0.3%
United Insurance Holdings Corporation	UIHC.O / UIHC US	20%	✗	0.3%
United Natural Foods Inc	UNFI.O / UNFI US	10%	✓	0.3%
United Parcel Service Inc	UPS.N / UPS US	5%	✓	0.3%
United Rentals Inc	URI.N / URI US	5%	✓	0.3%
United States 12 Month Oil Fund LP	USL.P / USL US	10%	✓	0.3%
United States Brent Oil Fund LP	BNO.P / BNO US	25%	✗	0.3%
United States Cellular Corporation	USM.N / USM US	15%	✓	0.3%
United States Commodity Index Fund LP	USCI.P / USCI US	10%	✓	0.3%
United States Gasoline Fund LP	UGA.P / UGA US	25%	✗	0.3%
United States Natural Gas Fund LP	UNG.P / UNG US	10%	✓	0.3%
United States Oil Fund LP	USO.P / USO US	10%	✓	0.3%
United States Short Oil Fund LP	DNO.P / DNO US	25%	✗	0.3%
United States Steel Corporation	X.N / X US	10%	✓	0.3%
United Technologies Corporation	UTX.N / UTX US	5%	✓	0.3%
United Therapeutics Corporation	UTHR.O / UTHR US	10%	✓	0.3%
UnitedHealth Group Inc	UNH.N / UNH US	5%	✓	0.3%
Uniti Group Inc	UNIT.O / UNIT US	10%	✓	0.3%
Unitil Corporation	UTL / UTL US	20%	✗	0.3%
Univar Inc	UNVR.K / UNVR US	10%	✗	0.3%
Universal American Corporation	UAM.N / UAM US	25%	✓	0.3%
Universal Corporation	UVV.N / UVV US	10%	✓	0.3%
Universal Display Corporation	OLED.O / OLED US	10%	✓	0.3%
Universal Electronics Inc	UEIC.O / UEIC US	20%	✗	0.3%
Universal Forest Products Inc	UFPI.O / UFPI US	10%	✓	0.3%
Universal Health Realty Income Trust	UHT / UHT US	15%	✗	0.3%
Universal Health Services Inc	UHS.N / UHS US	5%	✓	0.3%
Universal Insurance Holdings Inc	UVE / UVE US	10%	✗	0.3%
Universal Logistics Holdings Inc	UACL.O / ULH US	25%	✗	0.3%
Universal Stainless & Alloy Products Inc	USAP.O / USAP US	25%	✗	0.3%
Univest Corporation of Pennsylvania	UVSP.O / UVSP US	20%	✗	0.3%
Unum Group	UNM.N / UNM US	5%	✓	0.3%
Upland Software Inc	UPLD.O / UPLD US	20%	✗	0.3%
Uranium Energy Corporation	UEC / UEC US	25%	✗	0.3%
Urban Edge Properties	UE.N / UE US	10%	✗	0.3%
Urban Outfitters Inc	URBN.O / URBN US	10%	✓	0.3%
Urstadt Biddle Properties Inc	UBA.N / UBA US	20%	✓	0.3%
Utah Medical Products Inc	UTMD.O / UTMD US	25%	✗	0.3%

Utilities Select Sector SPDR(r) Fund	XLU.P / XLU US	10%	✓	0.3%
V.F. Corporation	VFC.N / VFC US	5%	✓	0.3%
VCA Inc	WOOF.O / WOOF US	10%	✓	0.3%
VEON Limited	VEON.O / VEON US	10%	✓	0.3%
VEREIT Inc	VER / VER US	10%	✓	0.3%
VMware Inc	VMW.N / VMW US	10%	✓	0.3%
VSE Corporation	VSEC.O / VSEC US	20%	✗	0.3%
VWR Corporation	VWR.O / VWR US	10%	✓	0.3%
Vail Resorts Inc	MTN.N / MTN US	10%	✓	0.3%
Valeant Pharmaceuticals International Inc	VRX.N / VRX US	20%	✓	0.3%
Valero Energy Corporation	VLO.N / VLO US	5%	✓	0.3%
Valero Energy Partners LP	VLP / VLP US	15%	✓	0.3%
Validus Holdings Limited	VR.N / VR US	10%	✓	0.3%
Valley National Ban Corporation	VLY.N / VLY US	10%	✓	0.3%
Valmont Industries Inc	VMI.N / VMI US	10%	✓	0.3%
ValueShares International Quantitative Value ETF	IVAL.P / IVAL US	25%	✓	0.3%
ValueShares U.S. Quantitative Value ETF	QVAL.P / QVAL US	25%	✓	0.3%
Valvoline Inc	VVV / VVV US	10%	✗	0.3%
VanEck Vectors AMT-Free Intermediate Municipal Index ETF	ITM.P / ITM US	10%	✗	0.3%
VanEck Vectors AMT-Free Short Municipal Index ETF	SMB.P / SMB US	10%	✗	0.3%
VanEck Vectors Africa Index ETF	AFK.P / AFK US	10%	✓	0.3%
VanEck Vectors Agribusiness ETF	MOO / MOO US	10%	✓	0.3%
VanEck Vectors Biotech ETF	BBH.O / BBH US	10%	✓	0.3%
VanEck Vectors Brazil Small-Cap ETF	BRF / BRF US	25%	☐	0.3%
VanEck Vectors ChinaAMC CSI 300 ETF	PEK / PEK US	25%	✗	0.3%
VanEck Vectors ChinaAMC SME-ChiNext ETF	CNXT.K / CNXT US	25%	✗	0.3%
VanEck Vectors Coal ETF	KOL.P / KOL US	25%	☐	0.3%
VanEck Vectors Egypt Index ETF	EGPT.P / EGPT US	25%	☐	0.3%
VanEck Vectors Emerging Markets High Yield Bond ETF	HYEM.P / HYEM US	10%	✗	0.3%
VanEck Vectors Fallen Angel High Yield Bond ETF	ANGL.P / ANGL US	10%	✗	0.3%
VanEck Vectors Global Alternative Energy ETF	GEX.P / GEX US	25%	✓	0.3%
VanEck Vectors Gold Miners ETF	GDX.P / GDX US	10%	✓	0.3%
VanEck Vectors High-Yield Municipal Index ETF	HYD / HYD US	15%	✓	0.3%
VanEck Vectors India Small-Cap Index ETF	SCIF.P / SCIF US	10%	✓	0.3%
VanEck Vectors Indonesia Index ETF	IDX / IDX US	10%	☐	0.3%
VanEck Vectors J.P. Morgan EM Local Currency Bond ETF	EMLC.P / EMLC US	10%	✗	0.3%
VanEck Vectors Junior Gold Miners ETF	GDXJ.P / GDXJ US	10%	✓	0.3%
VanEck Vectors Morningstar Wide Moat ETF	MOAT.K / MOAT US	10%	✗	0.3%
VanEck Vectors Mortgage REIT Income ETF	MORT.P / MORT US	25%	☐	0.3%
VanEck Vectors Oil Refiners ETF	CRAK.P / CRAK US	25%	✗	0.3%
VanEck Vectors Oil Services ETF	OIH.P / OIH US	10%	✓	0.3%
VanEck Vectors Rare Earth Strategic Metals ETF	REMX.P / REMX US	10%	✓	0.3%
VanEck Vectors Retail ETF	RTH.P / RTH US	25%	✗	0.3%
VanEck Vectors Russia ETF	RSX / RSX US	10%	✓	0.3%
VanEck Vectors Russia Small-Cap ETF	RSXJ.P / RSXJ US	25%	✓	0.3%
VanEck Vectors Semiconductor ETF	SMH / SMH US	10%	✓	0.3%
VanEck Vectors Steel ETF	SLX / SLX US	10%	☐	0.3%
VanEck Vectors Vietnam ETF	VNM.P / VNM US	10%	✓	0.3%
Vanda Pharmaceuticals Inc	VNDA.O / VNDA US	25%	✗	0.3%
Vanguard Consumer Discretionary Index Fund ETF Sh AE	VCR.P / VCR US	10%	✓	0.3%
Vanguard Consumer Staples Index Fund ETF Sh AE	VDC.P / VDC US	10%	✗	0.3%
Vanguard Dividend Appreciation Index Fund ETF Sh AE	VIG.P / VIG US	10%	✗	0.3%

Vanguard Emerging Markets Government Bond Index Fund ETF Sh AE	VWOB.O / VWOB US	10%	✗	0.3%
Vanguard Energy Index Fund ETF Sh AE	VDE.P / VDE US	10%	✗	0.3%
Vanguard Extended Duration Treasury Index Fund ETF Sh AE	EDV.P / EDV US	10%	✗	0.3%
Vanguard FTSE All-World ex-US Index Fund ETF Sh AE	VEU.P / VEU US	10%	✗	0.3%
Vanguard FTSE All-World ex-US Small-Cap Index Fund ETF Sh AE	VSS.P / VSS US	10%	✗	0.3%
Vanguard FTSE Developed Markets Index Fund ETF Sh AE	VEA.P / VEA US	10%	✓	0.3%
Vanguard FTSE Emerging Markets Index Fund ETF Sh AE	VWO.P / VWO US	10%	✓	0.3%
Vanguard FTSE Europe Index Fund ETF Sh AE	VGK.P / VGK US	10%	✓	0.3%
Vanguard FTSE Pacific Index Fund ETF Sh AE	VPL.P / VPL US	10%	✗	0.3%
Vanguard Financials Index Fund ETF Sh AE	VFH.P / VFH US	10%	✗	0.3%
Vanguard Global ex-U.S. Real Estate Index Fund ETF Sh AE	VNQI.O / VNQI US	10%	✗	0.3%
Vanguard Growth Index Fund ETF Sh AE	VUG.P / VUG US	10%	✓	0.3%
Vanguard Health Care Index Fund ETF Sh AE	VHT.P / VHT US	10%	✗	0.3%
Vanguard High Dividend Yield Index Fund ETF Sh AE	VYM.P / VYM US	15%	✗	0.3%
Vanguard Industrials Index Fund ETF Sh AE	VIS.P / VIS US	10%	✓	0.3%
Vanguard Information Technology Index Fund ETF Sh AE	VG.T.P / VG.T US	10%	✓	0.3%
Vanguard Intermediate-Term Bond Index Fund ETF Sh AE	BIV / BIV US	10%	✓	0.3%
Vanguard Intermediate-Term Corporate Bond Index Fund ETF Sh AE	VCIT.O / VCIT US	10%	✓	0.3%
Vanguard Intermediate-Term Government Bond Index Fund ETF Sh AE	VGIT.O / VGIT US	10%	☐	0.3%
Vanguard International High Dividend Yield Index Fund ETF Sh AE	VYMI.O / VYMI US	25%	✓	0.3%
Vanguard Long-Term Bond Index Fund ETF Sh AE	BLV.P / BLV US	10%	✗	0.3%
Vanguard Long-Term Corporate Bond Index Fund ETF Sh AE	VCLT.O / VCLT US	10%	✗	0.3%
Vanguard Long-Term Government Bond Index Fund ETF Sh AE	VGLT.O / VGLT US	10%	☐	0.3%
Vanguard Materials Index Fund ETF Sh AE	VAW.P / VAW US	10%	☐	0.3%
Vanguard Mega Cap Growth Index Fund ETF Sh AE	MGK.P / MGK US	10%	☐	0.3%
Vanguard Mid-Cap Growth Index Fund ETF Sh AE	VOT.P / VOT US	10%	✓	0.3%
Vanguard Mid-Cap Index Fund ETF Sh AE	VO.P / VO US	10%	✗	0.3%
Vanguard Mid-Cap Value Index Fund ETF Sh AE	VOE.P / VOE US	10%	✗	0.3%
Vanguard REIT Index Fund ETF Sh AE	VNQ.P / VNQ US	10%	✓	0.3%
Vanguard S&P 500 ETF	VOO / VOO US	10%	✓	0.3%
Vanguard Small-Cap Growth Index Fund ETF Sh AE	VBK.P / VBK US	10%	✓	0.3%
Vanguard Small-Cap Index Fund ETF Sh AE	VB.P / VB US	10%	✓	0.3%
Vanguard Small-Cap Value Index Fund ETF Sh AE	VBR.P / VBR US	10%	✗	0.3%
Vanguard Telecommunication Services Index Fund ETF Sh AE	VOX.P / VOX US	10%	☐	0.3%
Vanguard Total Bond Market Index Fund ETF Sh AE	BND.P / BND US	10%	✗	0.3%
Vanguard Total International Bond Index Fund ETF Sh AE	BNDX.P / BNDX US	10%	✗	0.3%
Vanguard Total International Stock Index Fund ETF Sh AE	VXUS.O / VXUS US	10%	✗	0.3%
Vanguard Total Stock Market Index Fund ETF Sh AE	VTI.P / VTI US	10%	✓	0.3%
Vanguard Total World Stock Index Fund ETF Sh AE	VT.P / VT US	10%	☐	0.3%
Vanguard Utilities Index Fund ETF Sh AE	VPU.P / VPU US	10%	✓	0.3%
Vanguard Value Index Fund ETF Sh AE	VTV.P / VTV US	10%	✓	0.3%
Varex Imaging Corporation	VREX.O / VREX US	10%	✓	0.3%
Varian Medical Systems Inc	VAR.N / VAR US	5%	✓	0.3%
Varonis Systems Inc	VRNS.O / VRNS US	10%	✓	0.3%

Vascular Solutions Inc	VASC.O / VASC US	15%	✗	0.3%
Vector Group Limited	VGR.N / VGR US	10%	✓	0.3%
Vectren Corporation	VVC.N / VVC US	10%	✓	0.3%
Vectrus Inc	VEC / VEC US	20%	✗	0.3%
Veeco Instruments Inc	VECO.O / VECO US	10%	✓	0.3%
Veeva Systems Inc	VEEV.K / VEEV US	10%	✓	0.3%
Venator Materials PLC	VNTR.K / VNTR US	10%	✓	0.3%
Ventas Inc	VTR.N / VTR US	5%	✓	0.3%
Vera Bradley Inc	VRA.O / VRA US	20%	✓	0.3%
VeriFone Systems Inc	PAY.N / PAY US	10%	✓	0.3%
VeriSign Inc	VRSN.O / VRSN US	5%	✓	0.3%
Vericel Corporation	VCEL.O / VCEL US	25%	✓	0.3%
Verint Systems Inc	VRNT.O / VRNT US	10%	✓	0.3%
Verisk Analytics Inc	VRSK.O / VRSK US	5%	✓	0.3%
Veritex Holdings Inc	VBTX.O / VBTX US	20%	✗	0.3%
Veritiv Corporation	VRTV.K / VRTV US	20%	✓	0.3%
Verizon Communications Inc	VZ.N / VZ US	5%	✓	0.3%
Verso Corporation	VRS / VRS US	15%	✗	0.3%
Versum Materials Inc	VSM / VSM US	10%	✓	0.3%
Vertex Pharmaceuticals Inc	VRTX.O / VRTX US	20%	✓	0.3%
ViaSat Inc	VSAT.O / VSAT US	10%	✓	0.3%
Viacom Inc	VIAB.O / VIAB US	5%	✓	0.3%
Viacom Inc	VIA.O / VIA US	20%	✓	0.3%
Viad Corporation	VVI.N / VVI US	15%	✓	0.3%
Viavi Solutions Inc	VIAV.O / VIAV US	10%	✓	0.3%
Vicor Corporation	VICR.O / VICR US	25%	✗	0.3%
ViewRay Inc	VRAY.O / VRAY US	25%	✓	0.3%
Vina Concha y Toro SA	VCO / VCO US	25%	✗	0.3%
Viper Energy Partners LP	VNOM.O / VNOM US	15%	✗	0.3%
Vipshop Holdings Limited	VIPS.N / VIPS US	10%	✓	0.3%
Virgin America Inc	VA.O / VA US	25%	✗	0.3%
VirnetX Holding Corporation	VHC.A / VHC US	20%	✓	0.3%
Virtu Financial Inc	VIRT.O / VIRT US	10%	✗	0.3%
Virtus Global Dividend & Income Fund Inc	ZTR / ZTR US	20%	✗	0.3%
Virtus Global Multi-Sector Income Fund	VGI / VGI US	25%	✗	0.3%
Virtus Investment Partners Inc	VRTS.O / VRTS US	15%	✓	0.3%
Virtus LifeSci Biotech Products ETF	BBP.P / BBP US	25%	⚠	0.3%
Virtus Total Return Fund Inc	ZF / ZF US	25%	✗	0.3%
Virtusa Corporation	VRTU.O / VRTU US	10%	✓	0.3%
Visa Inc	V.N / V US	5%	✓	0.3%
Vishay Intertechnology Inc	VSH.N / VSH US	10%	✓	0.3%
Vishay Precision Group Inc	VPG / VPG US	25%	✗	0.3%
Vista Outdoor Inc	VSTO.K / VSTO US	10%	✓	0.3%
Visteon Corporation	VC.O / VC US	10%	✓	0.3%
Vistra Energy Corporation	VST / VST US	10%	✗	0.3%
Vitae Pharmaceuticals Inc	VTAE.O / VTAE US	25%	✗	0.3%
Vocera Communications Inc	VCRA.K / VCRA US	15%	✗	0.3%
Vodafone Group PLC	VOD.O / VOD US	5%	✓	0.3%
Vonage Holdings Corporation	VG / VG US	10%	✗	0.3%
Vornado Realty Trust	VNO.N / VNO US	5%	✓	0.3%
Voya Financial Inc	VOYA.K / VOYA US	10%	✓	0.3%
Voya Global Equity Dividend and Premium Opportunity Fund	IGD.N / IGD US	20%	✓	0.3%
Vulcan Materials Company	VMC.N / VMC US	5%	✓	0.3%

W&T Offshore Inc	WTI.N / WTI US	15%	✓	0.3%
W. P. Carey Inc	WPC.N / WPC US	10%	✓	0.3%
W. R. Berkley Corporation	WRB / WRB US	10%	✗	0.3%
W. R. Grace & Company	GRA.N / GRA US	10%	✓	0.3%
W.W. Grainger Inc	GWV.N / GWV US	5%	✓	0.3%
WABCO Holdings Inc	WBC / WBC US	10%	✗	0.3%
WAVE Life Sciences Limited	WVE.O / WVE US	25%	✗	0.3%
WCI Communities Inc	WCIC.K / WCIC US	15%	✗	0.3%
WD-40 Company	WDFC.O / WDFC US	10%	✓	0.3%
WEC Energy Group Inc	WEC.N / WEC US	5%	✓	0.3%
WESCO International Inc	WCC.N / WCC US	10%	✓	0.3%
WEX Inc	WEX / WEX US	10%	✓	0.3%
WGL Holdings Inc	WGL / WGL US	10%	✗	0.3%
WNS (Holdings) Limited	WNS.N / WNS US	10%	✓	0.3%
WPP PLC	WPP.N / WPP US	10%	✗	0.3%
WPX Energy Inc	WPX.N / WPX US	10%	✓	0.3%
WSFS Financial Corporation	WSFS.O / WSFS US	15%	✗	0.3%
Wabash National Corporation	WNC.N / WNC US	10%	✓	0.3%
Waddell & Reed Financial Inc	WDR.N / WDR US	10%	✓	0.3%
WageWorks Inc	WAGE.K / WAGE US	10%	✓	0.3%
Wal-Mart Stores Inc	WMT.N / WMT US	5%	✓	0.3%
Walgreens Boots Alliance Inc	WBA.O / WBA US	5%	✓	0.3%
Walker & Dunlop Inc	WD.N / WD US	10%	✓	0.3%
Warrior Met Coal LLC	HCC.N / HCC US	25%	✓	0.3%
Washington Federal Inc	WAFD.O / WAFD US	10%	✓	0.3%
Washington Prime Group Inc	WPG.N / WPG US	10%	✓	0.3%
Washington Real Estate Investment Trust	WRE.N / WRE US	10%	✓	0.3%
Washington Trust Bancorp Inc	WASH.O / WASH US	20%	✗	0.3%
Waste Connections Inc	WCN.N / WCN US	10%	✓	0.3%
Waste Management Inc	WM.N / WM US	5%	✓	0.3%
Waters Corporation	WAT.N / WAT US	10%	✓	0.3%
Waterstone Financial Inc	WSBF.O / WSBF US	25%	✗	0.3%
Watsco Inc	WSO.N / WSO US	10%	✓	0.3%
Watts Water Technologies Inc	WTS.N / WTS US	10%	✓	0.3%
Wayfair Inc	W.N / W US	10%	✓	0.3%
Weatherford International PLC	WFT.N / WFT US	10%	✓	0.3%
Web.com Group Inc	WEB.O / WEB US	10%	✓	0.3%
WebMD Health Corporation	WBMD.O / WBMD US	15%	✓	0.3%
Webster Financial Corporation	WBS.N / WBS US	10%	✓	0.3%
Weibo Corporation	WB.O / WB US	10%	✓	0.3%
Weight Watchers International Inc	WTW.N / WTW US	10%	✓	0.3%
Weingarten Realty Investors	WRI.N / WRI US	10%	✓	0.3%
Weis Markets Inc	WMK / WMK US	20%	✗	0.3%
Welbilt Inc	MFS.N / MFS US	10%	✗	0.3%
Welbilt Inc	WBT / WBT US	10%	✓	0.3%
WellCare Health Plans Inc	WCG.N / WCG US	10%	✓	0.3%
Wells Fargo & Company	WFC / WFC US	5%	✓	0.3%
Welltower Inc	WELL.N / WELL US	5%	✓	0.3%
Werner Enterprises Inc	WERN.O / WERN US	10%	✗	0.3%
WesBanco Inc	WSBC.O / WSBC US	15%	✓	0.3%
Wesco Aircraft Holdings Inc	WAIR.K / WAIR US	15%	✗	0.3%
West Bancorporation Inc	WTBA.O / WTBA US	25%	✗	0.3%
West Corporation	WSTC.O / WSTC US	15%	✗	0.3%
West Marine Inc	WMAR.O / WMAR US	25%	✗	0.3%

West Pharmaceutical Services Inc	WST.N / WST US	10%	✓	0.3%
WestRock Company	WRK / WRK US	5%	✓	0.3%
Westamerica Ban Corporation	WABC.O / WABC US	15%	✓	0.3%
Westar Energy Inc	WR.N / WR US	10%	✓	0.3%
Western Alliance Ban Corporation	WAL / WAL US	10%	✗	0.3%
Western Asset Claymore Inflation-Linked Securities & Income Fund	WIA / WIA US	25%	✗	0.3%
Western Asset Mortgage Capital Corporation	WMC / WMC US	20%	✓	0.3%
Western Digital Corporation	WDC.O / WDC US	5%	✓	0.3%
Western Gas Equity Partners LP	WGP / WGP US	15%	✓	0.3%
Western Gas Partners LP	WES.N / WES US	10%	✓	0.3%
Western Refining Inc	WNR.N / WNR US	10%	✓	0.3%
Westinghouse Air Brake Technologies Corporation	WAB.N / WAB US	10%	✓	0.3%
Westlake Chemical Corporation	WLK.N / WLK US	10%	✓	0.3%
Westpac Banking Corporation	WBK.N / WBK US	15%	✓	0.3%
Westwood Holdings Group Inc	WHG / WHG US	20%	✓	0.3%
Weyerhaeuser Company	WY.N / WY US	5%	✓	0.3%
Wheaton Precious Metals Corporation	WPM.N / WPM US	10%	✓	0.3%
Whirlpool Corporation	WHR.N / WHR US	5%	✓	0.3%
White Mountains Insurance Group Limited	WTM.N / WTM US	10%	✓	0.3%
WhiteHorse Finance Inc	WHF.O / WHF US	25%	⚠	0.3%
Whitestone REIT	WSR / WSR US	15%	✗	0.3%
Whiting Petroleum Corporation	WLL.N / WLL US	25%	✓	0.3%
Whole Foods Market Inc	WFM.O / WFM US	20%	✓	0.3%
WideOpenWest Inc	WOW / WOW US	15%	✓	0.3%
WildHorse Resource Development Corporation	WRD / WRD US	10%	✗	0.3%
WillScot Corporation	WSC.O / WSC US	25%	✗	0.3%
Willdan Group Inc	WLDN.O / WLDN US	20%	✗	0.3%
William Lyon Homes	WLH / WLH US	10%	✗	0.3%
Williams Partners LP	WPZ.N / WPZ US	10%	✓	0.3%
Williams-Sonoma Inc	WSM.N / WSM US	10%	✓	0.3%
Willis Towers Watson PLC	WLTW.O / WLTW US	5%	✓	0.3%
Windstream Holdings Inc	WIN.O / WIN US	10%	✓	0.3%
Wingstop Inc	WING.O / WING US	10%	✓	0.3%
Winmark Corporation	WINA.O / WINA US	25%	✗	0.3%
Winnebago Industries Inc	WGO.N / WGO US	10%	✓	0.3%
Wintrust Financial Corporation	WTFC.O / WTFC US	10%	✓	0.3%
WisdomTree Barclays Negative Duration U.S. Aggregate Bond Fund	AGND.O / AGND US	25%	✓	0.3%
WisdomTree Chinese Yuan Strategy Fund	CYB / CYB US	10%	✓	0.3%
WisdomTree Dynamic Long Short U.S. Equity Fund	DYLS.Z / DYLS US	25%	✓	0.3%
WisdomTree Emerging Currency Strategy Fund	CEW.P / CEW US	10%	✓	0.3%
WisdomTree Emerging Markets High Dividend Fund	DEM.P / DEM US	10%	✗	0.3%
WisdomTree Europe Hedged Equity Fund	HEDJ.P / HEDJ US	10%	✗	0.3%
WisdomTree Europe SmallCap Dividend Fund	DFE.P / DFE US	10%	✓	0.3%
WisdomTree Global High Dividend Fund	DEW.P / DEW US	25%	✓	0.3%
WisdomTree India Earnings Fund	EPI / EPI US	10%	✓	0.3%
WisdomTree International Dividend ex-Financials Fund	DOO.P / DOO US	25%	✓	0.3%
WisdomTree International SmallCap Dividend Fund	DLS.P / DLS US	10%	✗	0.3%
WisdomTree Investments Inc	WETF.O / WETF US	10%	✓	0.3%
WisdomTree Japan Hedged Capital Goods Fund	DXJC.P / DXJC US	25%	✗	0.3%
WisdomTree Japan Hedged Equity Fund	DXJ.P / DXJ US	10%	✓	0.3%
WisdomTree Japan Hedged Financials Fund	DXJF.P / DXJF US	25%	✗	0.3%
WisdomTree Japan Hedged Real Estate Fund	DXJR.P / DXJR US	25%	✓	0.3%
WisdomTree Japan SmallCap Dividend Fund	DFJ.P / DFJ US	15%	⚠	0.3%

WisdomTree Managed Futures Strategy Fund	WDTI.P / WDTI US	25%	✓	0.3%
WisdomTree Managed Futures Strategy Fund	WTMF.P / WTMF US	25%	✓	0.3%
WisdomTree Middle East Dividend Fund	GULF.P / GULF US	25%	☐	0.3%
WisdomTree Negative Duration High Yield Bond Fund	HYND.O / HYND US	25%	✓	0.3%
Wix.com Limited	WIX.O / WIX US	15%	✗	0.3%
Wolverine World Wide Inc	WWW.N / WWW US	10%	✓	0.3%
Woodward Inc	WWD.O / WWD US	10%	✓	0.3%
Workday Inc	WDAY.O / WDAY US	10%	✓	0.3%
Workiva Inc	WK / WK US	20%	✗	0.3%
World Acceptance Corporation	WRLD.O / WRLD US	10%	✗	0.3%
World Fuel Services Corporation	INT.N / INT US	10%	✓	0.3%
World Point Terminals LP	WPT / WPT US	25%	✗	0.3%
World Wrestling Entertainment Inc	WWE.N / WWE US	10%	✓	0.3%
Worldpay INC - Class A	WP.N / WP US	10%	✗	0.3%
Worthington Industries Inc	WOR.N / WOR US	20%	✓	0.3%
Wright Medical Group Inc	WMGI.O / WMGI US	10%	✗	0.3%
Wyndham Destinations Inc	WYND.N / WYND US	5%	✓	0.3%
Wyndham Hotels & Resorts Inc	WH / WH US	25%	✓	0.3%
Wynn Resorts Limited	WYNN.O / WYNN US	5%	✓	0.3%
XL Group Limited	XL.N / XL US	25%	✓	0.3%
XO Group Inc	XOXO.K / XOXO US	20%	✗	0.3%
XPO Logistics Inc	XPO.N / XPO US	10%	✓	0.3%
Xactly Corporation	XTLY.K / XTLY US	15%	✗	0.3%
Xcel Energy Inc	XEL.O / XEL US	5%	✓	0.3%
Xcerra Corporation	XCRA.O / XCRA US	15%	✗	0.3%
Xencor Inc	XNCR.O / XNCR US	15%	✗	0.3%
Xenia Hotels & Resorts Inc	XHR / XHR US	10%	✗	0.3%
Xerox Corporation	XRX.N / XRX US	10%	✓	0.3%
Xilinx Inc	XLNX.O / XLNX US	5%	✓	0.3%
Xinyuan Real Estate Company Limited	XIN / XIN US	25%	✗	0.3%
Xperi Corporation	XPER.O / XPER US	10%	✓	0.3%
Xunlei Limited	XNET.O / XNET US	25%	☐	0.3%
Xylem Inc	XYL.N / XYL US	5%	✓	0.3%
YPF Sociedad Anonima	YPF.N / YPF US	10%	✓	0.3%
YRC Worldwide Inc	YRCW.O / YRCW US	20%	✓	0.3%
YY Inc	YY.O / YY US	10%	✓	0.3%
Yadkin Financial Corporation	YDKN.K / YDKN US	20%	✓	0.3%
Yamana Gold Inc	AUY.N / AUY US	10%	✓	0.3%
Yandex NV	YNDX.O / YNDX US	10%	✓	0.3%
Yelp Inc	YELP.K / YELP US	10%	✓	0.3%
Yext Inc	YEXT.K / YEXT US	15%	✓	0.3%
YieldShares High Income ETF	YYY / YYY US	25%	✓	0.3%
Yirendai Limited	YRD / YRD US	10%	✗	0.3%
Yum! Brands Inc	YUM.N / YUM US	5%	✓	0.3%
ZAGG Inc	ZAGG.O / ZAGG US	15%	✗	0.3%
ZELTIQ Aesthetics Inc	ZLTQ.O / ZLTQ US	10%	✗	0.3%
ZIOPHARM Oncology Inc	ZIOP.O / ZIOP US	25%	✓	0.3%
ZTO Express (Cayman) Inc	ZTO / ZTO US	10%	✗	0.3%
Zai Lab Limited	ZLAB.O / ZLAB US	25%	✓	0.3%
Zayo Group Holdings Inc	ZAYO.K / ZAYO US	10%	✓	0.3%
Zebra Technologies Corporation	ZBRA.O / ZBRA US	10%	✓	0.3%
Zendesk Inc	ZEN.N / ZEN US	10%	✓	0.3%
Zillow Group Inc	Z.O / Z US	10%	✓	0.3%
Zillow Group Inc	ZG.O / ZG US	10%	✗	0.3%

Zimmer Biomet Holdings Inc	ZBH / ZBH US	5%	✓	0.3%
Zions Ban Corporation	ZION.O / ZION US	5%	✓	0.3%
Zix Corporation	ZIXI.O / ZIXI US	20%	✗	0.3%
Zoe's Kitchen Inc	ZOES.N / ZOES US	20%	✓	0.3%
Zoetis Inc	ZTS.N / ZTS US	5%	✓	0.3%
Zogenix Inc	ZGNX.O / ZGNX US	50%	✗	0.3%
Zscaler Inc	ZS.O / ZS US	25%	✓	0.3%
Zumiez Inc	ZUMZ.O / ZUMZ US	15%	✓	0.3%
Zuora Inc	ZUO / ZUO US	25%	✓	0.3%
Zynga Inc	ZNGA.O / ZNGA US	10%	✓	0.3%
athenahealth Inc	ATHN.O / ATHN US	10%	✓	0.3%
bluebird bio Inc	BLUE.O / BLUE US	10%	✗	0.3%
comScore Inc	SCOR.PK / SCOR US	15%	✓	0.3%
e.l.f. Beauty Inc	ELF / ELF US	10%	✗	0.3%
eBay Inc	EBAY.O / EBAY US	5%	✓	0.3%
eHealth Inc	EHTH.O / EHTH US	15%	✓	0.3%
ePlus Inc	PLUS.O / PLUS US	15%	✗	0.3%
iKang Healthcare Group Inc	KANG.O / KANG US	20%	✓	0.3%
iQIYI Inc	IQ.O / IQ US	15%	✓	0.3%
iRhythm Technologies Inc	IRTC.O / IRTC US	10%	✓	0.3%
iRobot Corporation	IRBT.O / IRBT US	10%	✓	0.3%
iShares 0-5 Year TIPS Bond ETF	STIP.P / STIP US	10%	✗	0.3%
iShares 1-3 Year Credit Bond ETF	CSJ.O / CSJ US	10%	✓	0.3%
iShares 1-3 Year Treasury Bond ETF	SHY.O / SHY US	10%	✓	0.3%
iShares 20+ Year Treasury Bond ETF	TLT.O / TLT US	10%	✓	0.3%
iShares 7-10 Year Treasury Bond ETF	IEF.O / IEF US	10%	✓	0.3%
iShares CMBS ETF	CMBS.P / CMBS US	25%	✗	0.3%
iShares China Large-Cap ETF	FXI.P / FXI US	10%	✓	0.3%
iShares Cohen & Steers REIT ETF	ICF.P / ICF US	10%	✓	0.3%
iShares Core Dividend Growth ETF	DGRO.P / DGRO US	10%	✗	0.3%
iShares Core High Dividend ETF	HDV.P / HDV US	10%	✗	0.3%
iShares Core S&P 500 ETF	IVV.P / IVV US	10%	✓	0.3%
iShares Core S&P Mid-Cap ETF	IJH.P / IJH US	10%	✗	0.3%
iShares Core S&P Small-Cap ETF	IJR.P / IJR US	10%	✗	0.3%
iShares Core S&P Total U.S. Stock Market ETF	ITOT.P / ITOT US	10%	✗	0.3%
iShares Core S&P U.S. Growth ETF	IUSG.P / IUSG US	10%	✗	0.3%
iShares Core U.S. Aggregate Bond ETF	AGG.P / AGG US	10%	✓	0.3%
iShares Currency Hedged MSCI EAFE ETF	HEFA.P / HEFA US	10%	✗	0.3%
iShares Currency Hedged MSCI Emerging Markets ETF	HEEM.K / HEEM US	25%	✗	0.3%
iShares Currency Hedged MSCI Eurozone ETF	HEZU.P / HEZU US	10%	✗	0.3%
iShares Currency Hedged MSCI Italy ETF	HEWI.P / HEWI US	25%	✗	0.3%
iShares Currency Hedged MSCI Japan ETF	HEWJ.K / HEWJ US	10%	✓	0.3%
iShares Dow Jones U.S. ETF	IYY.P / IYY US	10%	✗	0.3%
iShares Edge MSCI Min Vol Asia ex Japan ETF	AXJV.P / AXJV US	25%	✓	0.3%
iShares Edge MSCI Min Vol EAFE ETF	EFAV.P / EFAV US	10%	✓	0.3%
iShares Edge MSCI Min Vol Global ETF	ACWV.P / ACWV US	10%	✓	0.3%
iShares Edge MSCI Min Vol USA ETF	USMV.P / USMV US	10%	✗	0.3%
iShares Edge MSCI Multifactor Global ETF	ACWF.P / ACWF US	25%	✗	0.3%
iShares Edge MSCI Multifactor Intl ETF	INTF.K / INTF US	10%	✓	0.3%
iShares Edge MSCI Multifactor Intl Small-Cap ETF	ISCF.P / ISCF US	25%	✗	0.3%
iShares Edge MSCI USA Momentum Factor ETF	MTUM.P / MTUM US	10%	✓	0.3%
iShares Europe ETF	IEV.P / IEV US	10%	✓	0.3%
iShares Exponential Technologies ETF	XT.P / XT US	10%	✓	0.3%
iShares Global 100 ETF	IOO.P / IOO US	10%	✓	0.3%

iShares Global Clean Energy ETF	ICLN.O / ICLN US	25%	☐	0.3%
iShares Global Consumer Discretionary ETF	RXI.P / RXI US	25%	✗	0.3%
iShares Global Energy ETF	IXC.P / IXC US	10%	✓	0.3%
iShares Global Financials ETF	IXG.P / IXG US	10%	✓	0.3%
iShares Global Healthcare ETF	IXJ.P / IXJ US	10%	☐	0.3%
iShares Global Industrials ETF	EXI.P / EXI US	10%	✓	0.3%
iShares Global Infrastructure ETF	IGF.O / IGF US	10%	✓	0.3%
iShares Global REIT ETF	REET.P / REET US	25%	✗	0.3%
iShares Global Tech ETF	IXN.P / IXN US	10%	✗	0.3%
iShares Global Telecom ETF	IXP.P / IXP US	10%	✓	0.3%
iShares Global Timber & Forestry ETF	WOOD.O / WOOD US	10%	☐	0.3%
iShares Global Utilities ETF	JXI.P / JXI US	25%	✓	0.3%
iShares Gold Trust	IAU.P / IAU US	10%	✗	0.3%
iShares India 50 ETF	INDY.O / INDY US	10%	✗	0.3%
iShares Intermediate Credit Bond ETF	CIU.O / CIU US	10%	✓	0.3%
iShares International Developed Property ETF	WPS / WPS US	25%	✗	0.3%
iShares International Developed Real Estate ETF	IFGL.O / IFGL US	10%	✗	0.3%
iShares International Select Dividend ETF	IDV.P / IDV US	10%	✓	0.3%
iShares International Treasury Bond ETF	IGOV.O / IGOV US	10%	☐	0.3%
iShares J.P. Morgan USD Emerging Markets Bond ETF	EMB.O / EMB US	10%	✓	0.3%
iShares Latin America 40 ETF	ILF.P / ILF US	10%	✓	0.3%
iShares MBS ETF	MBB.O / MBB US	10%	✗	0.3%
iShares MSCI ACWI ETF	ACWI.O / ACWI US	10%	✓	0.3%
iShares MSCI ACWI Low Carbon Target ETF	CRBN.P / CRBN US	10%	✗	0.3%
iShares MSCI ACWI ex U.S. ETF	ACWX.O / ACWX US	10%	✓	0.3%
iShares MSCI All Country Asia ex Japan ETF	AAXJ.O / AAXJ US	15%	✓	0.3%
iShares MSCI All Peru Capped ETF	EPU.P / EPU US	15%	✓	0.3%
iShares MSCI Argentina Global Exposure ETF	AGT.Z / AGT US	25%	✓	0.3%
iShares MSCI Australia ETF	EWA.P / EWA US	10%	✓	0.3%
iShares MSCI Austria Capped ETF	EWO.P / EWO US	25%	✗	0.3%
iShares MSCI BRIC ETF	BKF.P / BKF US	25%	✗	0.3%
iShares MSCI Brazil Capped ETF	EWZ.P / EWZ US	10%	✓	0.3%
iShares MSCI Brazil Small-Cap ETF	EWZS.O / EWZS US	25%	✗	0.3%
iShares MSCI Canada ETF	EWC.P / EWC US	10%	✓	0.3%
iShares MSCI Chile Capped ETF	ECH / ECH US	10%	✓	0.3%
iShares MSCI China ETF	MCHI.O / MCHI US	25%	✓	0.3%
iShares MSCI Colombia Capped ETF	ICOL.P / ICOL US	25%	✓	0.3%
iShares MSCI EAFE ETF	EFA.P / EFA US	10%	✓	0.3%
iShares MSCI EAFE Small-Cap ETF	SCZ.O / SCZ US	10%	✗	0.3%
iShares MSCI EM ESG Optimized ETF	ESGE.O / ESGE US	25%	✗	0.3%
iShares MSCI Emerging Markets ETF	EEM.P / EEM US	10%	✓	0.3%
iShares MSCI Europe Financials ETF	EUFN.O / EUFN US	10%	✗	0.3%
iShares MSCI Eurozone ETF	EZU.Z / EZU US	10%	✗	0.3%
iShares MSCI France ETF	EWQ / EWQ US	10%	✗	0.3%
iShares MSCI Frontier 100 ETF	FM / FM US	10%	✗	0.3%
iShares MSCI Germany ETF	EWG.P / EWG US	10%	✓	0.3%
iShares MSCI Germany Small-Cap ETF	EWGS.P / EWGS US	25%	✗	0.3%
iShares MSCI Global Gold Miners ETF	RING.O / RING US	10%	✓	0.3%
iShares MSCI Global Impact ETF	MPCT.O / MPCT US	25%	✗	0.3%
iShares MSCI Global Metals & Mining Producers ETF	PICK.P / PICK US	25%	✗	0.3%
iShares MSCI Global Silver Miners ETF	SLVP.P / SLVP US	25%	✗	0.3%
iShares MSCI Hong Kong ETF	EWK.P / EWH US	10%	✓	0.3%
iShares MSCI India ETF	INDA.Z / INDA US	25%	✓	0.3%
iShares MSCI India Small-Cap ETF	SMIN.P / SMIN US	25%	✓	0.3%

iShares MSCI Indonesia ETF	EIDO.P / EIDO US	10%	✗	0.3%
iShares MSCI Ireland Capped ETF	EIRL.P / EIRL US	25%	✗	0.3%
iShares MSCI Israel Capped ETF	EIS.P / EIS US	25%	✗	0.3%
iShares MSCI Italy Capped ETF	EWI.P / EWI US	10%	✓	0.3%
iShares MSCI Japan ETF	EWJ.P / EWJ US	10%	✓	0.3%
iShares MSCI Japan Small-Cap ETF	SCJ.P / SCJ US	10%	✓	0.3%
iShares MSCI KLD 400 Social ETF	DSI.P / DSI US	10%	☐	0.3%
iShares MSCI Malaysia ETF	EWM.P / EWM US	10%	✓	0.3%
iShares MSCI Mexico Capped ETF	EWV.P / EWW US	10%	✓	0.3%
iShares MSCI New Zealand Capped ETF	ENZL.P / ENZL US	25%	✗	0.3%
iShares MSCI Pacific ex Japan ETF	EPP.P / EPP US	10%	✓	0.3%
iShares MSCI Philippines ETF	EPHE.P / EPHE US	10%	✓	0.3%
iShares MSCI Poland Capped ETF	EPOL.P / EPOL US	10%	✓	0.3%
iShares MSCI Qatar Capped ETF	QAT.O / QAT US	25%	✗	0.3%
iShares MSCI Saudi Arabia ETF	KSA.P / KSA US	25%	✗	0.3%
iShares MSCI Singapore Capped ETF	EWS.P / EWS US	10%	✓	0.3%
iShares MSCI South Africa ETF	EZA.P / EZA US	10%	✓	0.3%
iShares MSCI South Korea Capped ETF	EWY.P / EWY US	25%	✓	0.3%
iShares MSCI Spain Capped ETF	EWP.P / EWP US	10%	✗	0.3%
iShares MSCI Sweden Capped ETF	EWD.P / EWD US	10%	✓	0.3%
iShares MSCI Switzerland Capped ETF	EWL.P / EWL US	10%	✗	0.3%
iShares MSCI Taiwan Capped ETF	EWT.P / EWT US	10%	✓	0.3%
iShares MSCI Thailand Capped ETF	THD / THD US	10%	✓	0.3%
iShares MSCI Turkey ETF	TUR.P / TUR US	10%	✓	0.3%
iShares MSCI USA ESG Select ETF	KLD.P / SUSA US	10%	✓	0.3%
iShares MSCI United Kingdom ETF	EWU.P / EWU US	10%	✗	0.3%
iShares Micro-Cap ETF	IWC.P / IWC US	10%	✓	0.3%
iShares Morningstar Large-Cap Value ETF	JKF.P / JKF US	10%	✗	0.3%
iShares Morningstar Mid-Cap Growth ETF	JKH.P / JKH US	25%	✗	0.3%
iShares Morningstar Small-Cap Value ETF	JKL.P / JKL US	10%	✓	0.3%
iShares Mortgage Real Estate Capped ETF	REM.P / REM US	10%	✓	0.3%
iShares Nasdaq Biotechnology ETF	IBB.O / IBB US	10%	✓	0.3%
iShares National Muni Bond ETF	MUB / MUB US	10%	✗	0.3%
iShares North American Natural Resources ETF	IGE.P / IGE US	10%	✓	0.3%
iShares North American Tech ETF	IGM.P / IGM US	10%	✗	0.3%
iShares North American Tech-Multimedia Networking ETF	IGN.P / IGN US	25%	✗	0.3%
iShares North American Tech-Software ETF	IGV.Z / IGV US	10%	✓	0.3%
iShares PHLX Semiconductor ETF	SOXX.O / SOXX US	10%	✓	0.3%
iShares Residential Real Estate Capped ETF	REZ.P / REZ US	10%	✓	0.3%
iShares Russell 1000 ETF	IWB.P / IWB US	10%	✓	0.3%
iShares Russell 1000 Growth ETF	IWF.P / IWF US	10%	✗	0.3%
iShares Russell 1000 Value ETF	IWD / IWD US	10%	✓	0.3%
iShares Russell 2000 ETF	IWM.P / IWM US	10%	✓	0.3%
iShares Russell 2000 Growth ETF	IWO.P / IWO US	10%	✓	0.3%
iShares Russell 2000 Value ETF	IWN.P / IWN US	10%	✗	0.3%
iShares Russell 3000 ETF	IWV.P / IWV US	15%	✓	0.3%
iShares Russell Mid-Cap ETF	IWR / IWR US	25%	✓	0.3%
iShares S&P 100 ETF	OEF.P / OEF US	10%	✗	0.3%
iShares S&P 500 Growth ETF	IVW.P / IVW US	10%	✗	0.3%
iShares S&P 500 Value ETF	IVE.P / IVE US	10%	✗	0.3%
iShares S&P GSCI Commodity- Indexed Trust	GSG.P / GSG US	10%	✓	0.3%
iShares S&P Mid-Cap 400 Value ETF	IJJ.P / IJJ US	10%	✓	0.3%
iShares S&P Small-Cap 600 Value ETF	IJS.P / IJS US	10%	✗	0.3%

iShares Select Dividend ETF	DVY.P / DVY US	10%	✓	0.3%
iShares Short Treasury Bond ETF	SHV.P / SHV US	10%	✗	0.3%
iShares Silver Trust	SLV.P / SLV US	10%	✓	0.3%
iShares TIPS Bond ETF	TIP.P / TIP US	10%	✓	0.3%
iShares Transportation Average ETF	IYT.P / IYT US	10%	✓	0.3%
iShares U.S. Aerospace & Defense ETF	ITA.P / ITA US	10%	✗	0.3%
iShares U.S. Basic Materials ETF	IYM.P / IYM US	10%	✓	0.3%
iShares U.S. Broker-Dealers & Securities Exchanges ETF	IAI.P / IAI US	10%	✓	0.3%
iShares U.S. Consumer Goods ETF	IYK.P / IYK US	10%	✓	0.3%
iShares U.S. Consumer Services ETF	IYC.P / IYC US	10%	✓	0.3%
iShares U.S. Energy ETF	IYE.P / IYE US	10%	✓	0.3%
iShares U.S. Financial Services ETF	IYG.P / IYG US	10%	✓	0.3%
iShares U.S. Financials ETF	IYF.P / IYF US	10%	✓	0.3%
iShares U.S. Healthcare ETF	IYH.P / IYH US	10%	✓	0.3%
iShares U.S. Healthcare Providers ETF	IHF.P / IHF US	10%	✓	0.3%
iShares U.S. Home Construction ETF	ITB.P / ITB US	10%	✓	0.3%
iShares U.S. Industrials ETF	IYJ.P / IYJ US	10%	✓	0.3%
iShares U.S. Insurance ETF	IAK.P / IAK US	10%	✓	0.3%
iShares U.S. Medical Devices ETF	IHI.P / IHI US	10%	✓	0.3%
iShares U.S. Oil & Gas Exploration & Production ETF	IEO.P / IEO US	10%	✓	0.3%
iShares U.S. Oil Equipment & Services ETF	IEZ.P / IEZ US	10%	✓	0.3%
iShares U.S. Pharmaceuticals ETF	IHE.P / IHE US	10%	✓	0.3%
iShares U.S. Preferred Stock ETF	PFF.P / PFF US	10%	✓	0.3%
iShares U.S. Real Estate ETF	IYR.P / IYR US	10%	✓	0.3%
iShares U.S. Regional Banks ETF	IAT.P / IAT US	10%	✓	0.3%
iShares U.S. Technology ETF	IYW.P / IYW US	10%	✓	0.3%
iShares U.S. Utilities ETF	IDU.P / IDU US	10%	✓	0.3%
iShares iBonds Dec 2019 Term Corporate ETF	IBDK.P / IBDK US	25%	✗	0.3%
iShares iBonds Dec 2020 Term Corporate ETF	IBDL.P / IBDL US	10%	✗	0.3%
iShares iBoxx \$ High Yield Corporate Bond ETF	HYG.P / HYG US	10%	✓	0.3%
iShares iBoxx \$ Investment Grade Corporate Bond ETF	LQD.P / LQD US	10%	✓	0.3%
iStar Inc	STAR.K / STAR US	15%	✓	0.3%
inContact Inc	SAAS.O / SAAS US	25%	✗	0.3%
j2 Global Inc	JCOM.O / JCOM US	10%	✓	0.3%
trivago NV	TRVG.O / TRVG US	10%	✓	0.3%
uniQure NV	QURE.O / QURE US	25%	✗	0.3%

1: Margin rate / Marginsatz / Margine / Säkerhetskrav / Margin / Porcentaje de depósito requerido / Couverture / Margem

2: Can go short? / Möglichkeit short zu gehen / Vendita allo scoperto / Kan blankas? / Kan ik short gaan? / ¿Posición corta? / Position courte? / Posições curtas?

3: Limited Risk premium / Prämie zur Risikobegrenzung / Premio Rischio Limitato / Premie för riskbegränsning / Beperkt risico premie / Prima de riesgo limitado / Coût Stop Garanti / Prémio de Risco Limitado

*Important note: The column 'Can go short?' only indicates whether there are currently any factors (of which we are aware) prohibiting short positions on the share concerned. There is no guarantee that you will be able to go short of any share cited. In addition, there may be an extra charge (a borrowing charge) which will be included in the interest adjustment applied to your position. To determine whether a charge applies, call our dealers in advance of trading. The ability to borrow and the cost of borrowing stock can be changed at short notice.

If you cannot find the market you are looking for, please call our dealing desk (on +44 20 7896 0000) and we will normally be able to add it for you in a matter of minutes

† Trader Account Margin Rate / Marginsatz Trader-Konto / Margine applicabile al conto trader / Säkerhetskrav för Trader-konto / Vereiste margin voor een Trader Rekening / Depósito requerido para Cuentas Trader / Couvertures requises pour un compte Trader / Margem para Conta Trader